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Chapter 2

Deficient Sustained Attention to Response Task and P300 characteristics in early Huntington's disease

Authors: E.P. Hart^a, R.H.A.M. Reijntjes^a, K. van der Hiele^b, S.J.A. van den Bogaard^a, H.A.M. Middelkoop^{a,b}, R.A.C. Roos^a, J.G. van Dijk^a

Institutional affiliations: ^aLeiden University Medical Centre, Department of Neurology, P.O. Box 9600, 2300 RC, Leiden, the Netherlands ^bLeiden University, Department of Psychology, Neuropsychology Unit, Wassenaarseweg 52, 2333 AK, Leiden, the Netherlands

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Abstract

Objective Evidence for the extent and nature of attentional impairment in premanifest and manifest Huntington's disease (HD) is inconsistent. Understanding such impairments may help to better understand early functional changes in HD and could have consequences concerning care for HD patients. We investigated attentional control in both early and premanifest HD.

Method We studied 17 early HD subjects (mean age: 51 yrs), 12 premanifest HD subjects (mean age: 43 yrs), and 15 healthy controls (mean age: 51 yrs), using the Sustained Attention to Response Task (SART), a simple Go/No-go test reflecting attentional and inhibitory processes through reaction time (RT) and error rates. Simultaneously recorded EEG yielded P300 amplitudes and latencies.

Results The early HD group made more Go errors (p<0.001) and reacted slower (p<0.005) than the other groups. The RT pattern during the SART was remarkably different for early HD subjects compared to the other two groups (p<0.005), apparent as significant post-error slowing. P300 data showed that for early HD the No-go amplitude was lower than for the other two groups (p<0.05).

Conclusions Subjects with early HD showed a reduced capacity to effectively control attention. They proved unable to resume the task directly after having made an error, and need more time to return to pre-error performance levels. No attentional control deficits were found for the premanifest HD group.

INTRODUCTION

Huntington's disease (HD) is an autosomal dominant neurological disorder characterized by progressive motor, cognitive and behavioural abnormalities. While the clinical diagnosis is based on the presence of motor signs, deficits in the other functional domains are widespread¹². The discovery of the HD gene³ allows genecarriers to be identified in the premanifest phase of the disease, i.e., before symptoms and signs appear. Deficits in cognition such as psychomotor slowing, memory decline and executive dysfunctioning have been reported in both manifest and premanifest individuals⁴, but inconsistently⁵.

Attentional processing may well be abnormal in HD, but results are conflicting: some authors reported attentional and inhibitory deficits in both patients and premanifest gene carriers⁶⁷, while others did not⁸. The conflict may be due to the complex nature of the widely applied neuropsychological tests such as the symbol digit modalities test, the Stroop colour-word task and the trial making test. These assess attention and inhibition, they also tap into psychomotor speed, implicit learning, and visuomotor integration.

Studies of attentional processing showed deficits in focused attention ⁹¹⁰, shifting attention ¹¹¹², and inhibition, with sometimes reduced inhibition ¹² and sometimes increased inhibitory control ¹³. Due to such methodological differences it is difficult to come to a conclusion about overall attentional processing in HD. Moreover, attention and inhibition are functionally very closely related and rely heavily on each other, i.e. attention often is a prerequisite of correct inhibition. This interdependence lead us to investigate attention and inhibition together in the context of attentional control, a construct overarching the two terms ¹⁴¹⁵.

The Sustained Attention to Response Test

The Sustained Attention to Response Test (SART) is a test of attention control assessing both attentional and inhibitory processes $^{16 \ 17 \ 18}$. Participants are requested to press a button when a number (1-9) appears on a screen except when that number is a 3. The need to withhold responses only to rare stimuli means that the task relies heavily on attentional control. Pressing a button is simple in terms of motor control, important in HD, as motor disturbances can interfere with the determination of cognitive deficits. The SART demonstrated deficiencies in attention, in disorders such as traumatic brain injury ¹⁹, schizophrenia ²⁰, attention deficit hyperactivity disorder ²¹ and narcolepsy ²².

The P300

There is a growing need in HD research for sensitive, objective and quantifiable assessments. Electroencephalography (EEG) has the advantages of low cost, non-invasiveness and high temporal resolution. Event-related potentials (ERP) are

EEG-based potentials reflecting the neurophysiologic substrate of mental processes such as stimulus identification, processing and response initiation²³. We focused on the P300 peak, which is most commonly evoked by rare stimuli interspersed in a series of frequent ones. Although the exact neural origin is not entirely clear, the P300 is often linked with processes of attention^{24,25,26}. Some authors have suggested P300 amplitude to reflect the amount of attentional resources allocated to the stimulus, while the latency is linked to the stimulus evaluation time, or more general, speed of cognitive processing of the stimulus²⁷. In previous work P300 latency was increased and its amplitude decreased in manifest HD compared to healthy controls in a visual search task²⁸ and a visual Go/No-go task²⁹. However, no P300 abnormalities were found in premanifest HD or those at risk in auditory odd-ball paradigms^{30,31}.

The P300 can be elicited by Go/No-go tasks such as the SART. Studies in healthy individuals found that simultaneous SART and EEG assessment resulted in good indexes for attentional and inhibitory processes³². Only one previous study has used the combination of SART and P300 in premanifest HD³³, but found no differences in P300 characteristics between the premanifest HD group and controls. They concluded that possibly their premanifest group was not yet close enough to disease onset and that with progressive basal ganglia degeneration closer to onset differences would have emerged. Indeed, magnetic resonance imaging measurements of grey and white matter structures showed changes before the appearance of overt clinical signs of HD^{34,35}.

We hypothesized that both premanifest and manifest (early) HD groups show impaired attentional control in comparison with control subjects as measured by a heightened error rate on the SART. Furthermore, we aim to further strengthen this hypothesis by showing altered P300 characteristics (i.e. lowered amplitude and increased latency) in both HD groups in accordance with deviant SART results. Due to the motor disturbances in HD we expected the SART reaction times for the early HD group to be longer.

METHODS

Subjects

Thirteen subjects with premanifest HD (PMHD), 18 with early manifest HD (MHD) and 17 age-matched healthy controls, relatives that were tested as gene-negative, were included, all above the age of 18 years. Participants were recruited from the outpatient neurologic clinic of the Leiden University Medical Centre and had been genetically tested for HD. Gene carriers were considered premanifest when they had 5 points or less on the total motor subscale of the Unified Huntingto's Disease Rating Scale (UHDRS)³⁶. The manifest group consisted of early HD sub-

jects (Shoulson-Fahn stages 1 and 2)³⁷. Disease burden was calculated using the formula 'age(CAG-35.5)'³⁸. Exclusion criteria were major psychiatric disorders, neurological co-morbidity, a score of \leq 25 on the Mini-Mental State Examination and medication with known effects on the EEG (e.g. neuroleptics). The study was approved by the local Medical Ethical committee and all participants gave written informed consent.

All participants underwent neurological, SART and EEG assessments. Depression was measured using the short version of the Problem Behaviour Assessment for HD^{39} . The motor part of the UHDRS was administered by a clinician (SvdB) blinded for genetic status.

One PMHD, one MHD, and one control subject were excluded because of excessive muscle artefacts on the EEG. One additional control was excluded because of epileptiform abnormalities on the EEG. Data of 12 PMHD, 17 MHD and 15 controls were analyzed.

Sustained Attention to Response Task

For the SART, subjects were seated in a comfortable chair one meter from a computer screen, with a computer keyboard placed in easy access of the dominant hand. Numbers from 1 to 9 were shown 25 times on a computer screen. Subjects were asked to respond to the appearance of every number by pressing the spacebar ('Go' trials), except when the number 3 was shown ('No-go' trials). When the number 3 was displayed, participants were instructed to withhold their response. Reaction time (RT) was recorded whenever the spacebar was pressed. To ensure accurate measurement of RT a cathode ray screen was used together with a purpose built hardware device that allowed precise measurement of the build-up time of the screen information and hence of RT in relation to the visual stimulus. Subjects were instructed that accuracy and speed were equally important. Before the start of the test subjects performed a practice run consisting of 25 numbers from 1 to 9 in random order. Stimuli were shown for 250 milliseconds followed by a blank screen for 900 milliseconds (detailed description of the task see ¹⁶). Outcome measures for the SART were RT and error rates. Overall RT refers to mean RT over all trials performed. The mean RT for correct Go trials and incorrect No-go trials were also computed. Error rate data consisted of overall error rate (the total number of errors as a percentage of the total number of trials performed), error rate Go (total of Go errors as percentage of total number of trials) and error rate No-go (total of No-go errors as a percentage of total number of trials).

ERP recording and analysis

All EEGs (Nihon Kohden 2110 EEG apparatus) were recorded between 12.00 and 14.30 hrs, except for one control subjects tested late in the morning. Twenty-one Ag/AgCl electrodes were placed according to the 10/20 convention. ECG,

respiration and horizontal eye movement leads were also recorded. The EEG was band-pass filtered from 0.16-70 Hz before display and analysis. Sample frequency was 200 Hz and A-D precision 12 bits. For the P300 analysis we used the midline sites Fz (frontal), Cz (central) and Pz (parietal) with linked mastoids as reference. The computer controlling the SART paradigm wrote synchronization signals to the EEG machine, allowing averaging to take place offline after controlling for signal quality. Data were averaged over epochs of 1200 ms, starting 200 ms before stimulus onset. Individual trials with eye blink artefacts or suspected muscle artefacts (peak amplitudes more than 75 μ V) were excluded from P300 analysis. The P300 component was defined as the maximum positivity between 350 and 650 ms. This time-frame was based on visual inspection of the averaged ERPs. ERP analysis, including peak detection, was performed automatically using an inhouse developed program written in MATLAB (The MathWorks, Natick, USA). Peak amplitudes were measured relative to a 200 ms baseline before stimulus onset. Outcome measures consisted of amplitude and latency data. The mean amplitudes and latencies for all trials, all Go trials and all No-go trials were calculated averaging the data from the midline electrodes.

Statistical analysis

SPSS for Windows version 17.0 was used for data analysis. Analyses of demographic variables were performed using parametric and non-parametric tests where appropriate. Group differences for mean SART RT and error rate and P300 amplitude and latency data were calculated using univariate analysis of covariance (ANCOVA) with age as a covariate. Upon visual inspection of the RT patterns for the four trials preceding and the four trials following a No-go trial different patterns were observed. To investigate possible group differences in these patterns a secondary analysis was performed. For this purpose the difference (delta) between the mean RT just before and just after both correct and incorrect No-go trials was calculated for each subject. The delta scores for the three groups were analyzed again using ANCOVA with age as covariate. The Bonferroni method was used to correct for multiple testing. The level of significance was set at p \leq 0.05. P-values > 0.05 < 0.1 were reported as trend significant.

RESULTS

Clinical characteristics

There were no differences between groups for sex, age, IQ and level of education (Table 1). Disease burden differed significantly between PMHD and MHD (p<0.0001). Two subjects (one premanifest, one early HD subject) were rated as mildly depressed; depression did however not differ between groups (data not

shown in table).

	Controls N=15	PMHD N=12	MHD N=17
Characteristic			
Age	51 (10)	43 (10)	50 (11)
CAG	20 (3)	42 (2)	44 (3)
Male/female ^{a,b}	7/8	6/6	8/9
Level of education ^{a,b} (lower/middle/higher)	1/9/5	0/9/3	2/11/12
Intelligence Quotient (IQ) Disease burden	107 (8)	105 (8) 251 (75)	101 (12) 404 (81)

Table 1: Descriptive statistics of controls, premanifest and manifest participants

Note. Descriptive statistics are presented as mean (standard deviation), except for ^a which is total number. IQ was measured by the National Adult Reading Test (Dutch version). Disease burden is age(CAG-35.5). ^bPearson Chi Square Test.

SART

Table 2 shows SART error rate and RT data. The overall error rate (i.e. all errors made, not differentiated for type) differed significantly between groups (p<0.05) (Table 2). The MHD group made significantly more errors of all types than both PMHD and controls (p<0.05). Further analysis revealed that only for Go-errors (i.e., subjects did not press the spacebar when they ought to) there was a group difference (p<0.001). Surprisingly, the number of No-go errors (pressing the spacebar when the number '3 appears') did not differ between groups. Concerning mean RT (i.e. mean RT for all pressed trials, not differentiated for correct or erroneous trials) there was a group difference (p<0.005), where the overall RT was significantly longer for MHD than for PMHD (p<0.005) and controls (p<0.001).



Note. P300 waves for the three groups during SART Go trials, averaged over the midline electrodes. Time point 0 denotes the point of stimulus presentation.



Note. P300 waves for the three groups during SART No-go trials, averaged over the midline electrodes. Time point 0 denotes the point of stimulus presentation.

Figure 1: P300 waves per group (averaged)

P300

The number of epochs used for P300 analyses were not different between groups. P300 amplitudes were larger for No-go trials than Go trials (Table 2; Figures 1a/1b.). Overall P300 amplitude proved only trend significant between groups (p<0.06). Amplitude in No-go trials differed significantly between groups, with lower amplitude in MHD than in the other groups (p<0.05). For mean latency only a trend towards significant group differences for Go trials was observed (p<0.06).



Pattern of reaction time surrounding correct vs incorrect response to No-go trails

Note. The reaction time for the four trials preceding and the four trials following No-go trials. Data are separated for RT patterns surrounding correct responses (i.e. not pressing at No-go stimulus) and incorrect responses (i.e. pressing at No-go stimulus), averaged per group.

Figure 2: RT patterns for trials before and after correct and incorrect No-go trial

Reaction time patterns before and after correct and incorrect No-go

Almost all Go errors (not pressing on 1-9) occurred directly following a No-go error (incorrectly pressing on 3), with MHD making significantly more of these errors than the PMHD group (p<0.05). The RT patterns for the four trials preceding and following both correct and incorrect No-go trials are shown in Figure 2. Analysis of covariance on the difference between the RT of the last trial before and the first trial after both correct and incorrect No-go responses revealed a significant result for incorrect No-go trials only (p<0.01). MHD had a significantly slower response to the first Go trial following an incorrect No-go trial compared to controls (p<0.005) and PMHD (p<0.05). P300 amplitude did not differ significantly for trials surrounding correct and incorrect No-go trials.

SART	Controls N=15	PMHD N=12	MHD N=17	p-value main effect	p-value MHD- controls	p-value PMHD- controls	p-value MHD- PMHD
Error rate	4.3 (1.8)	3.9 (2.5)	6.3 (2.8)	0.021	0.027	ns	0.014
Error rate No-go	27.7 (11.9)	25.8 (13.8)	32.9 (15.9)	^{ns}	ns	ns	^{ns}
Error rate Go	1.4 (0.98)	1.2 (1.3)	3.0 (1.4)	<0.001	0.001	ns	0.001
Mean RT	381 (34)	388 (48)	462 (77)	0.001	<0.001	ns	0.004
P300							
Amplitude	8.7 (2.8)	8.3 (4.4)	5.9 (3.2)	0.058	ns	ns	ns
Amplitude Go	7.9 (2.8)	7.8 (4.6)	5.4 (3.1)	ns	ns	ns	ns
Amplitude No-go	16.3 (4.9)	1.61 (4.5)	1.20 (5.6)	0.046	0.023	ns	0.064
Latency	414 (37)	401 (37)	439 (57)	ns	ns	ns	ns
Latency Go	408 (30)	405 (43)	445 (62)	0.059	ns	ns	ns
Latency No-go	430 (38)	424 (47)	447 (50)	ns	ns	ns	ns

Table 2: Main and post-hoc effects for SART error rate and mean reaction time data and P3 mean amplitude and latency data

Note. Data are mean (standard deviation). $RT = Reaction time in milliseconds. Errors are percentage of errors out of total number of stimuli. Amplitude is <math>\mu V$. Latency is milliseconds. ns = not significant.

DISCUSSION

The main finding of this study was that attentional control is deficient in MHD, evident primarily through a heightened error rate on the SART. This behavioural deficit was corroborated by abnormalities of P300 characteristics.

SART error rate

As expected, MHD made more errors of any type than the other groups, indicative of defective attentional mechanisms. Unexpectedly, this was not caused by a high rate of No-go errors, but by significantly more Go errors. The only study using the SART in HD did not report about the type of errors made³³. Even though they used different Go/No-go paradigms other studies also report on attentional deficits in manifest HD as measured by more Go errors for manifest HD compared to controls, but all have concomitantly also found more No-go errors, contrary to our findings^{10 40}. Our findings are partly in line with studies using the SART in other brain disorders with known attentional deficits. Schizophrenic patients have also been found to largely make Go errors and not No-go errors of both types, with stronger evidence for No-go than Go errors^{16 21}. So, our findings cannot be easily attributed to attentional deficits alone as earlier findings in other studies were not replicated. Therefore they were further investigated in reaction time pattern analyses.

Reaction time patterns surrounding No-go errors

As the SART is likely to provoke No-go errors, due to the repetitive nature of the task and the rarity of No-go stimuli, we further investigated the significant amount of Go errors made by the early HD group. Examination of these Go errors in MHD revealed that most were made directly following a No-go error. Analysis of the reaction time patterns for trials directly preceding and following a correct No-go trial (correctly withholding response to a three) revealed identical patterns for the three groups, although the MHD group reacted significantly slower than the PMHD or control groups. Prior to correct responses to a No-go trial RT was relatively stable for all three groups. Directly after such a correctly withheld response, RT was noticeably shorter. This speeding most likely represents action anticipation that is evoked by the repetitive nature of the SART. This primes the motor response; after having correctly withheld the response at the No-go trial, the response to the next Go trial is more quickly accessed, resulting in a quicker response⁴¹. Although the MHD group reacted slower, the general pattern was the same as for the other groups. This suggests that the cause of slowing is due to motor disturbances and not to different cognitive processing.

Interestingly, a different pattern emerged concerning incorrect No-go responses, i.e.

when participants incorrectly pressed the space bar in response to a three. For all three groups the trials directly preceding such a No-go error showed a shortened RT. We hypothesize that this pre-error speeding could mean that the task was performed fairly automatically, with less attentional control, eventually resulting in an error¹⁶. Remarkably, this pre-error speeding was more prominent in MHD than in the other two groups. This could indicate that subjects with MHD can sustain attention less well than the other groups. After such a No-go error RT returned to the pre-error level almost immediately for the PMHD and control groups, but not for the MHD group, showing a dramatic post-error slowing.

One proposition for this is that a No-go error induces MHD subjects to slow down in response time in the hope of making fewer errors. This is an unconscious cognitive strategy known as 'speed-accuracy trade-off' (SAT): low speed allows high accuracy⁴². That healthy controls performing the SART use this SAT strategy has also been put forward by Helton and colleagues¹⁷. At first glance one would then expect that subjects who choose 'accuracy over speed' would make fewer errors, but this was not the case. A more likely explanation is that there is an intrinsic deficit of attentional control in MHD. This is seen in the obvious drop in RT trials preceding an error. This could possibly reflect a drop in attentional control, in turn causing the No-go error. The post-error RT pattern shows that the PMHD and control groups are able to return to the task immediately and perform on pre-error level. The pattern of the MHD subjects however reflects a difficulty in recovery; it takes this group several trials to return to pre-error performance. This difficulty could be due to the realization of having made an error, i.e., the response evaluation, causes confusion; the subsequent quick return to a Go trial adds to this confusion, leading to a slower return to pre-error performance. Alternatively, this post-error slowing does not reflect cognitive confusion, but could be indicative of an inability to switch from a No-go to a Go response, and thus from inhibiting the response to activating it. Together with the fact that directly following a No-go error significantly more Go errors are made in the MHD group than in the other two groups we speculate that attentional and inhibitory deficits are the probable causes of inadequate attentional control in MHD. Adding to this theory of impaired attentional control we found, on further analysis, that in the trial directly following a No-go error trial, the early group made significantly more go-errors (8%) than both the premanifest (0.5%) and control groups (3%). Similar results in a taskswitch and stop-signal task in MHD have been reported ¹². Post-error slowing was interpreted in that study as task-switch cost and a deficit in the 'inhibition of the just-performed response' respectively. The authors attributed these phenomena to deficient inhibition. These explanations are not mutually exclusive in that early HD subjects can use the speed-accuracy trade-off strategy to avoid making further errors, but that their cognitive abilities are deficient and they cannot use this strategy successfully.

Even though constructs such as attention and inhibition are not directly measurable and can only be derived from secondary measurements, we hypothesize that the

RT pattern around No-go errors in the MHD group seems to reflect a cognitive rather than a motor process as subjects with early HD are able to respond in the same manner as PMHD and controls in correctly withheld No-go trials, albeit slower. This similar pattern for all groups demonstrates that it is not a No-go trial per se that elicits a deviant reaction from early subjects. The problem seems to lie purely in the fact that an error was made.

P300 amplitude and latency

As stated before P300 amplitude is hypothesized to reflect the amount of attentional capacity that is being allocated to a stimulus²⁷. If so, then P300 amplitude would be lower for incorrectly performed No-go trials. This was indeed the case for the MHD group, confirming a lowered attentional control during presentation of No-go stimuli. Our findings correspond well to those of Beste et al. and Jurgens et al.^{29 33}. Münte et al.²⁸ also reported lowered P300 amplitude, however not in the context of a Go/No-go task.

P300 latency is thought to be linked to the speed of attentional processing²⁷. In accordance with Münte et al.²⁸, P300 latency was significantly longer in MHD compared to the other groups for Go trials. This implies a low speed of attentional processing during Go trials is lessened for MHD. Together with a lowered attention during No-go trials this strengthens our hypothesis that the disturbed pattern observed surrounding No-go errors is of a cognitive rather than a motor nature.

Premanifest HD results

PMHD did not exhibit any attentional or inhibitory deficits. Explanations for this are that no attentional control deficits are yet present or that subtle changes in attentional control capacity are already present in PMHD, but that they are still too subtle to be measured with this method. Possibly these deficits gradually worsen and are better picked up in subjects closer to expected onset. This interpretation seems plausible as both SART and P300 data did show a nonsignificant trend towards worse performance in the premanifest group. The only reverse pattern concerned SART error rate, where PMHD subjects made fewer errors than controls. We hypothesize that this reflects a high motivation. Clinical experience suggests that PMHD subjects are highly motivated to perform to their best on the tests, as they may wish to prove that there they are still in the premanifest phase.

Practical implications and limitations

Patients with HD may experience more distress from the decline of their cognitive functions rather than the presence of motor disturbances. The results from this study indicate that patients with HD experience difficulties with recovering after an error and maintaining attentional control for a longer period, which adds to the

knowledge about cognition in HD and could have implication for daily care.

A limitation to the present study is the relative small number of subjects in the PMHD group and therefore having less statistical power. This could have obscured possible subtle differences from controls.

We conclude that there is an attentional control deficit in MHD. MHD subjects are cognitively not able to directly resume task requirements after having made an error and that they need more time to return to pre-error performance level. No attentional control deficits were found for the PMHD group.

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Competing Interests

The authors state that they have no conflict of interest.

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