

Noonan and LEOPARD syndrome in zebrafish : molecular mechanisms and cardiac development

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Noonan and LEOPARD syndrome: an overview

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1.Hubrecht Institute-KNAW and University Medical Centre Utrecht, the Netherlands 2. Institute of Biology Leiden, Leiden University, the Netherlands Noonan syndrome (NS) (OMIM:163950) is a common (1:2000) heterogeneous syndrome that is related to other clinical syndromes associated with the RAS-MAPK pathway, including LEOPARD syndrome (LS) (OMIM:151100), cardio-facio-cutaneous (CFC) syndrome (OMIM:115150), neurofibromatosis type 1 (NF1) (OMIM:162200) and Costello syndrome (CS) (OMIM:218040). Collectively, these syndromes are termed RASopathies.

1. Symptoms

Noonan syndrome is characterized by short stature, congenital heart defects, including pulmonary stenosis, low set ears, webbed neck, hypertelorism, low set eyelids and chest deformities [1] (Figure 1). Whereas these features are prominent in a large group of NS patients, some patients may either be of normal height, or have less prominent craniofacial features. Other features include scoliosis, deafness and an increased propensity to develop leukemia. Whereas some patients exhibit cognitive defects as well, many NS patients have normal intelligence [2]. Noonan patients have a higher chance of developing juvenile myelomonocytic leukaemia (JMML), and mice carrying the D61G NS mutation develop myeloproliferative disease [3]. Moreover, Gab2, a downstream effector of Shp2, is essential for the aetiology of the myeloproliferative disease than Shp2 D61G knock-in mice [3]. A paternal origin for *de novo* mutations has been observed in NS, with a possible link to the age of the father as well [4]. Additionally, transmission of NS is skewed maternally, that may be attributed to the reduced fertility in male NS patients [4].

LEOPARD syndrome, an acronym of Lentigines, Electrocardiographic conduction anomalies, Ocular hypertelorism, Pulmonary stenosis, Abnormal genitalia, Retarded growth and sensorineural Deafness is clinically very similar to NS. Patients exhibiting LS are often initially diagnosed with NS. Only when certain 'café au lait' spots or lentigines appear during early adolescence a clinical distinction is often made between NS and LS. Whereas NS and LS share many common characteristics, several clinical features are unevenly distributed among NS and LS patients. For instance, pulmonary stenosis (PS) is more common in NS, whereas hypertrophic cardiomyopathy (HCM) is more prevalent in LS [5]. Additionally, craniofacial defects are more prominent in NS than in LS patients and LS patients exhibit less height deficits than NS. Postnatal growth retardation is a clinical manifestation of NS and LS patients. Approximately 70% of adults with NS are below the 10th percentile for height [6] and 85% of adults with LS are below the 25th percentile [7]. The short stature in NS patients is generally due to a decrease in responsiveness to growth hormone (GH), as evidenced by reduced levels of insulin-like growth factor 1 (IGF-1) [8-11]. A Ptpn11 Noonan syndrome mouse model displays increased ERK activation in response to growth hormone; blocking MAPK signaling restores IGF-1 levels, thus reducing the postnatal growth retardation in these mice [8]. The molecular basis of growth defects in LS is less well understood.

2. Genetics

Roughly 50% of all cases of NS are caused by mutations in *PTPN11* while heterozygous missense mutations in *PTPN11* are observed in up to 90% of LS cases. Other mutations have been identified in genes encoding for proteins along the RAS-MAPK pathway. Mutations were identified in *MEK1*, *MEK2*, *KRAS*, *NRAS* and in *SHOC2* [12-16]. Also *RAF1* and *BRAF* gain of function mutations were identified in patients with NS and LS [17,18] (Figure. 2). Mutations in *CBL*, an E3 ubiquitin ligase with tumour suppressing activity, are causative of Noonan-like syndrome [19]. Moreover, Noonan-

like symptoms are associated with mutations in the histone methyltransferase, *MYST4*, resulting in enhanced MAPK signalling [20]. The genetic cause of some 25% of all Noonan cases still remains to be determined. It is evident that these patients do not have mutations in any of the known Noonan syndrome-associated genes.



Figure 1. Clinical characteristics and facies of Noonan and LEOPARD syndrome patients. A) Diagnosis of Noonan and LEOPARD syndrome is primarily dependent on clinical features, including short stature, typical face dysmorphology, and congenital heart defects. Diagnosis can be difficult due to the wide spectrum of clinical features, not all of which need to be present for diagnosis. Cardinal features of Noonan and LEOPARD syndrome include hypertelorism, ptosis, and low-set, posteriorly rotated ears with a thickened helix. Cardiac abnormalities most commonly associated with the two syndromes include pulmonary stenosis (NS) and hypertrophic cardiomyopathy (LS). Other associated features include the presence of a webbed neck, chest deformity, mild intellectual deficit, cryptorchidism, poor feeding in infancy, bleeding tendencies, and lymphatic issues. B) Photographs of families diagnosed with Noonan [81] and LEOPARD [82] syndrome with mutations in *PTPN11*.

3. Mechanism

Whereas mutations that cause NS all result in a phosphatase hyperactive form of Shp2, LS causing mutations in Shp2 all display strongly reduced phosphatase activity [21,22]. How is it possible that two seemingly opposite mutations cause similar clinical features? Examination of the structural mechanism of Shp2 revealed a gain-of-function in NS forms of Shp2, giving rise to a hyperactive form [23]. NS mutations predominantly reside in the interface between the N-SH2 domain and the PTP domain, resulting in the impairment of the closed conformation and enhanced catalytic activity of NS-Shp2 [24,25]. By contrast, most LS mutations reside close to the active site and result in strongly reduced, yet detectable, catalytic activity [24,26,27] (Chapter 1). Phosphatase assays have shown a catalytic defect in LS Shp2 [24,26,28]. LS-Shp2 mutations are mostly located in the protein-tyrosine phosphatase (PTP) domain, and the local conformational changes induced by LS-Shp2 mutations impair the catalytic properties of Shp2 [29]. However, in vivo, LS Shp2 may act as a gain-of-function as well [30]. In flies, LS mutations give rise to gain-offunction defects that cause upregulation of MAPK and require residual PTP activity of Shp2 [30]. LS-Shp2 displays residual phosphatase activity [26,27]. LS mutations cause loss of phosphatase activity and at the same time result in enhanced binding to Gab1 and PI3K [26]. Further investigation showed that LS, unlike NS causes activation of the PI3K-AKT pathways upon growth factor stimulation [31]. This is due to enhanced phosphorylation of Gab1 due to the loss of phosphatase activity of LS Shp2 [31]. Enhanced PI3K activation likely contributes to the discrepancies between NS and LS. PI3K/AKT signaling is upregulated in hearts of LS/+ knock-in mice [22] as well as in fibroblasts isolated from LS patients [31]. Despite these explanations it remains unclear how activating and inactivating mutants of Shp2 can generate overlapping syndromes. Most probably, NS and LS share some of their targets in order for them to generate the overlapping clinical features.



Figure 2. Overview of the RAS-MAPK pathway associated with NS/LS disorders. Syndromes caused by mutations in genes of RAS-MAPK signalling proteins are indicated.

4. Spatiotemporal control

Cardio-facio-cutaneous (CFC) syndrome is one of the RASopathies, and is caused by mutations in BRAF, MEK1 or MEK2. Like NS, activating mutations give rise to short stature, heart defects and craniofacial defects arising from hyperactivation of the MAPK pathway. In zebrafish, NS and CFC induced gastrulation defects can be rescued by treatment with the MEK inhibitor CI-1040 [14,32]. Importantly, inhibitor treatment is only required during a small temporal window prior to shield formation [14,32]. Not only temporal control but also spatial control (membranebound versus cytoplasmic, or lipid-raft versus non-lipid raft localization) of protein signalling is likely a vital aspect in the aetiology of RASopathies. SHOC2 is a scaffolding protein that binds RAS and RAF. Under normal stimulation, SHOC2 is

targeted to late endosomes and activates ERK1/2 [33]. An interesting finding was that a mutation in SHOC2 that causes Noonan-like syndrome with loose anagen hair results in N-myristoylation of SHOC2. This causes aberrant translocation of SHOC2 to the cell membrane instead of late endosomes, which results in enhanced MAPK activation in a cell-type specific manner [13,33]. Interestingly, whereas NS mutations in Shp2 and SHOC2 are additive [34], NS and LS mutations in Shp2 are not [28]. Moreover, NS associated mutations in *RAF1* cause enhanced heterodimerization with BRAF which also results in enhanced ERK activation [35]. More importantly, not only kinaseimpaired D486N RAF1 but also kinase-activating NS forms of RAF1 required heterodimerization with BRAF to enhance MEK/ERK activation [35]. Interestingly, RAF1 mutations have been found in NS and LS patients, suggesting a common mechanism for NS and LS [17].

Targeting of Shp2 to lipid rafts results in integrin clustering, Focal Adhesion Kinase phosphorylation, Rho and ERK activation, all of which are hallmarks of fibronectin attachment [36]. Shp2 is targeted to lipid rafts upon adhesion, and dominant negative RhoA blocks Shp2 induced signalling. In addition, Shp2 phosphatase activity is essential for negative feedback to Rho [36]. The downstream target of Shp2, RhoA, also prevents apoptosis by activating the MAPK pathway and expression of *bcl-2*, in zebrafish [37]. A phosphatase independent, but SH2 domain dependent role for LS Shp2 was described in preventing p53 mediated apoptosis in the brain and neural crest, suggesting that the SH2 domain of Shp2 may be essential for RhoA activation [37,38]. An emerging model is that under basal conditions, Shp2 binds Abl, which activates p190 RhoGAP, which in turn inactivates Rho. In its active state, Shp2 inactivates p190 RhoGAP by dephosphorylation. In addition, Shp2 dephosphorylates an inhibiting tyrosine phosphorylation site on ROCKII, causing activation of the pathway [39]. It is noteworthy that NS Shp2-mediated activation of ROCKII causes heart defects in Xenopus [40].

Recently, a role for Ephrin signalling has been implicated in Shp2 mediated ERK activation [41]. Hepatic growth factor (HGF) induced ERK activation is dependent on EphA2 phosphorylating the C-terminal tyrosines, Y542 and Y580 of Shp2, thereby mediating prolonged Grb2 binding and ERK activation. Interestingly, ERK activation is independent of Shp2 phosphatase activity, since expression of T468M (LS) or a N308D (NS) variant enhanced ERK activation. Mutation of the C-terminal tyrosines in the LS background suppress ERK activation as well, suggesting an essential role for these tyrosines in mediating ERK activation. Comparison of Shp2 knockdown embryos and zebrafish embryos expressing LS-Shp2 allowed assessment of a phosphatase and ERK dependent role for Shp2 in zebrafish neural crest specification and cell migration [38].

Shp2 phosphatase activity plays a role in cell migration. IGF1, which cooperates with integrins to promote cellular migration and invasion [42,43], mediates IRS1 binding to a complex containing FAK, paxilin and Shp2. This results in the dephosphorylation of FAK and paxilin. Interestingly, Shp2 phosphatase activity is essential for integrin deactivation since Shp2 C460S expressing cells show higher numbers of focal adhesion contacts [44]. This is likely independent of ERK activation since treatment with a MEK inhibitor did not attenuate this effect [44]. Shp2 also mediates activation of Fyn kinase at focal adhesion sites in a catalytic activity independent manner, where Shp2 binds to a6b4 integrin via its N-SH2 domain and to Fyn with its C terminal tyrosine Y580, thereby acting as a docking protein [45]. Additionally, Fyn phosphorylates Shp2 Y542, thereby providing a positive feedback loop [45]. Also Src kinase is known to be activated by, and bound to Shp2 in a catalytically independent manner [46]. Whereas the SH3 domain of Src was essential for this binding, a specific Src binding site has not yet been identified in Shp2 [46]. Other kinases also influence Shp2 function, as Abl phosphorylates Shp2, at Y580. Interestingly, Abl indirectly causes

phosphorylation of target sites in Shp2 that are located at or near residues often mutated in NS and LS respectively, namely Y63 and Y279 [47]. Phosphorylation of Y279 caused a decrease in growth factor mediated ERK activation [47]. Other pathways than the Rho, MAPK and Src pathways are also controlled by Shp2, like the JAK/STAT pathway. In contrast to these other pathways, Shp2 plays an inhibiting role [48]. Interestingly, down regulation of Stat3 by activating variants of Shp2 may contribute to pulmonary stenosis in NS and myeloproliferative disorder in JMML [49]. Indeed, phosphorylated Stat3 is inhibited by active Shp2, and expression of active Stat3 rescues the activating Shp2 defects in bone marrow cells [49].

Several attempts have been made to find an inhibitor for Shp2 for treatment and use in biochemical assays [50]. One of these, NSC-87877 binds to the catalytic site of the PTP domain and is able to inhibit Shp2 mediated, growth factor induced ERK activation [50]. In addition, phenylhydrazonopyrazolone sulfonate (PHPS1) was identified by an *in silico* screen, and further tested *in vitro* for its phosphatase inhibitory capacity [51]. PHPS1 was able to inhibit HGF induced cell scattering that is mediated by Shp2 phosphatase activity [51]. However, these compounds only target the phosphatase dependent function of Shp2, while Shp2 also exhibits phosphatase independent mechanisms.

Thus, Shp2 is involved in a plethora of signalling mechanisms and one can imagine that biochemical changes in the enzyme may result in widespread defects. In LS patient derived induced pluripotent stem (iPS) cells, several downstream proteins are hyper- or hypo-tyrosyl phosphorylated [52]. Interestingly, although LS Shp2 is thought to result in a decreased ability to activate the ERK pathway following growth factor stimulation due to its loss of phosphatase activity, basal levels of MEK are upregulated in these cells [52]. Gene expression profiling of NS and Noonan-like syndrome patients show that many alterations are present on the transcriptional level and that NS-specific gene expression signatures are distinguished [53]. NS Shp2 expressing cells show enhanced expression of Src family kinase substrates, indicating that these proteins may play an essential role in the aetiology of Shp2 induced NS [53].

5. Cardiac defects associated with NS and LS

Noonan and LEOPARD patients share several clinical manifestations, including scoliosis, facial dysmorphic features, short stature and congenital heart defects. Despite those clinical similarities, the heart defects are quite distinct between Noonan and LEOPARD patients. The most common cardiac defect in NS is pulmonary stenosis (PS) resulting from dysplastic valve leaflets, but stenosis of mitral valve, atrial, ventricular, and atrio-ventricular septal defects, or, rarely, double-outlet right ventricle are also seen [54,55]. Moreover, hypertrophic cardiomyopathy (HCM) has been found in few NS patients without Shp2 mutations [56] and genotype-phenotype correlation studies show that only 8% of Shp2-associated NS patients present with HCM [57]. HCM is most commonly present in NS patients with mutations in *RAF1* [58]. Electrocardiogram (ECG) of NS patients often shows a characteristic pattern, with a left axis deviation, abnormal R/S ratio over the left precordium, and an abnormal Q wave. These ECG features are characteristic for NS and they are not related to a specific cardiac defect (PS or HCM) [59]. In contrast, the most common cardiac defect in LS is HCM, but similar valve anomalies to NS are also observed [60].

Although Shp2 is a major player in cardiac disease, its function in heart development in NS and LS remains poorly addressed. Several animal models have been used to investigate the role of Shp2 in NS and LS. The injection of the most prevalent human NS Shp2 mutations into *Xenopus* results



Figure 3. Stages of cardiac development.

A) At 5 h post fertilization (hpf) cardiac progenitor cells are positioned bilaterally in the lateral marginal zone of the blastula. Atrial progenitor cells (pink) are located more ventrally than the ventricle progenitor cells (light blue). During gastrulation, the cardiac progenitor cells move dorsally towards the mid-line to end up in the anterior later plate mesoderm (ALPM). At 17 hpf, the future ventricle and atrial myocardial cells differentiate because of the expression of cardiac myosins (blue/red). The bilateral heart fields fuse at the mid-line (22hpf), forming a cardiac disc structure with the ventricular myocytes internally and atrial myocytes externally of the disc. Cardiac morphogenesis transforms the cardiac disc into a cardiac tube. At 28 hpf, the linear heart tube has formed. At 36 hpf, cardiac looping has started, with a displacement of the ventricle towards the mid-line. The heart tube continues to loop and forms an S-shaped loop (48 hpf). B) Cartoon in which the migration, rotation and involution of the cardiac tissue during heart tube formation is indicated. The color-coding of the 4 cells indicates the original and the final position of the cardiomyocytes [63, 69].

in hearts that failed to complete looping or undergo chamber formation coupled with a delay or arrest of the cardiac cell cycle in M-phase [40]. Similarly, the overexpression of NS and LS Shp2 mRNA in zebrafish embryos at the 1-cell stage result in heart displacement defects at 24 hpf [28]. Moreover, NS and LS Shp2 induce convergence and extension cell movement defects at the end of gastrulation, followed by the development of craniofacial defects and reduced body axis length at 4dpf [28].

The mouse model expressing the NS-associated mutant D61G develops short stature, craniofacial abnormalities and multiple cardiac defects, including pulmonary stenosis and double outlet of the right ventricle (DORV). Their endocardial cushions show an increased Erk activation. When homozygous, the D61G mutant is embryonic lethal [61]. Similarly the knockin mice harboring the LS *Ptpn11* mutation Y279C recapitulates the human disorder, showing short stature, craniofacial dysmorphia, and HCM. LS/+ mice also exhibit increased Akt/mTOR activity and the inhibition of mTOR signaling with rapamycin completely normalized and reversed the LS cardiac defects [62]. Conclusively, LS models support the conclusion that excessive AKT/mTOR activity, not MAPK, is essential for the development and maintenance of HCM.

6. Zebrafish as a model to study heart development.

The role of NS and LS Shp2 variants in the development of cardiac defects is still unclear. Zebrafish has become a powerful model to study cardiac development in recent years [63,64]. Moreover, the transparency of zebrafish embryos facilitates time-lapse analysis of the onset and nature of the cardiac defects in vivo. The heart is the first organ to form and function during zebrafish embryo development. Heart development begins with the specification of myocardial and endocardial progenitor cells. Before gastrulation, 5 h post fertilization (hpf), the heart progenitor cells are formed and placed in the ventral and lateral regions of the embryo. Atrial progenitor cells are located more ventrally in the lateral marginal zone when compared with ventricular progenitor cells [65]. By 14 somites (17hpf), the cardiac progenitors cells appear as two bilateral pools on the lateral plate mesoderm (LPM) [66]. Next, by 22 somites (22 hpf), these pools combine at the LPM to form the heart disk. This disk moves anteriorly and to the left and forms the heart tube (24-28 hpf). Afterwords, by 30 hpf, ventricular and atrial chambers form. By 36 hpf, the heart undergoes looping morphogenesis and, by 48 hpf, functional valves are formed (Figure 3A)[66]. Up to 22 somites, the heart morphogenesis is a symmetrical process because there are no morphological differences between the left and right cardiac fields. However, after the cardiac disc formation, the myocardial tissue originating from the right cardiac field involutes ventrally and moves towards the anterior/left (Figure 3B). In this way, the left-right organization of the cardiac disc change into a dorsal-ventral organization of the cardiac tube. Four-dimensional confocal miscroscopy and the automated cell tracking showed that co-occurring with right-field involution, the myocardial disc rotates in a clockwise direction [67-69]. As a consequence of these moviments, the cardiac tube is created, with its venous pole located at the left side and its arterial pole located at the mid-line. Breaking of the heart symmetry is initiated by a transient ciliated organ called Kupffer's vesicle that is derived from the dorsal forerunner cells (DFCs) [70,71]. Cilia within the KV rotate in a counter-clockwise direction, thus generating a fluid flow in the same direction [72,73]. The directional fluid flow in the KV induces asymmetric expression of two conserved L/R signaling genes *pitx2* (a *bicoid*-related transcription factor) and *southpaw* (*spaw*, a Nodal-related gene) in the LPM. The bilateral expression of *spaw/nodal* starts around the KV at the 4 somite stage and its expression resides in the perinodal area [74]. At around the 10–12 somite stage, spaw/nodal expression starts in the LPM. The left-sided spaw/nodal expression moves to the anterior part of the embryo [74]. At late somite stages, the leftward expression of southpaw overlaps with the left-sided expression of other genes, such as *lefty2*. Spaw/nodal is proposed to be a significant player in the diffusion of the asymmetric expression in the left LPM, together with the activation of its own antagonists, Leftv1 and Leftv2 [75]. In particular, Leftv1 has the function to block the nodal expression on the right-side of the LPM. Lefty1 expression acts in the midline as physical barrier to avoid the diffusion of asymmetric signal in the LPM to the right side, preventing the right LPM to acquire a left-sided identity [76]. Impairment of the L/R patterning determination can cause either a randomized positioning of organs (situs ambiguus) or a mirror-image duplication of organs (situs inversus). In humans, clinically significant laterality defects occur in 1 in 10,000 births [77]. The mortality associated with laterality defects is always ascribed to complex congenital heart defects. In fact the heart morphogenesis is very susceptible to impairments in the left-right (LR) body plan. The heart tube undergoes looping morphogenesis quite early in vertebrate development and before the heart tube has completed its formation. Because cardiac looping is a highly conserved process, the directionality of the heart loop can predict the body *situs* [78]. As the heart tube proceeds in the elongation, it develops a dextral loop ("d" loop). The process of looping and the directionality of the loop are important for normal heart development. Because most cardiac structures originate from cells derived from more than one area of the heart tube, the significant function of looping is to rearrange regions of the heart tube so they are appropriately positioned for proper formation and alignment of chambers, valves, and septa. Thus, the directionality of looping establishes once the left ventricle underlies the left atrium, and the right ventricle beneath the right atrium [79]. The heart shows an atrioventricular (A-V) concordance only when the position of the ventricles is correct. Moreover, L/R chambers division is fundamental for the functions of the heart and if L/R asymmetry is abnormal, one or more congenital defects may arise. These defects range from atrial septal defects (ASD) or ventral septal defects (VSD) to the double outlet of the right ventricle (DORV) and transposition of the great arteries (TGA). It is noteworthy that laterality disease is frequently associated with congenital heart defects (CHD) [80]. However, cardiac defects associated with laterality diseases are also reported in Shp2-D61G knock-in mice. A proportion of the heterozygous Shp2-D61G mice displays septal defects and DORV [61]. However, laterality defects are not the only cause of septation defects or DORV. Hence, it remains to be determined whether laterality defects have a role in human NS and LS. Overall the zebrafish model is becoming a very powerful model to study cardiac development. By evolving new and more specific assays, the zebrafish model can be used to study mechanisms leading to human cardiac diseases and to model human congenital cardiac diseases.

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