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The course of clinically suspect arthralgia and early rheumatoid arthritis : clinical features, imaging and genetics

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Citation

Steenbergen, H. W. van. (2016, November 8). *The course of clinically suspect arthralgia and early rheumatoid arthritis : clinical features, imaging and genetics*. Retrieved from <https://hdl.handle.net/1887/44019>

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Title: The course of clinically suspect arthralgia and early rheumatoid arthritis : clinical features, imaging and genetics

Issue Date: 2016-11-08

**Does a genetic variant in
FOXO3A predict a milder course
of rheumatoid arthritis?**

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Arthritis Rheumatol 2014;66:1678-81

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The severity of rheumatoid arthritis (RA) is variable between patients, and the processes underlying these interindividual differences are scarcely understood. Although it has been observed that the severity of joint destruction is heritable ¹, and several identified genetic risk factors have been replicated in independent cohorts ^{2,3}, a large part of the total genetic effect is still unexplained. Unraveling the biologic processes that determine the course of RA increases our comprehension of disease progression and may convey novel targets for focused therapies.

Lee et al reported a milder disease course in patients carrying the *FOXO3A* minor allele (G) of rs12212067 ⁴. That candidate gene study addressed genetic variants in the immune pathways of interleukin-2 (IL-2) and IL-7 and was initially performed in patients with Crohn's disease. It was observed that the rs12212067 minor allele was associated with higher transcription of FoxO3 in blood monocytes after lipopolysaccharide stimulation and with down-regulation of tumor necrosis factor α (TNF α) and other proinflammatory cytokines and up-regulation of IL-10. The relevance of FoxO3 in disease outcome was supported by associations with more severe malaria and less severe joint damage in patients with early RA ⁴.

FoxO3 is a transcription factor that is involved in the regulation of immune cell homeostasis ⁵. Increased expression of FoxO3 in polymorphonuclear cells has been described in RA ⁶. The results described by Lee et al are promising, because identification of predictors of interindividual differences in disease outcome is the Holy Grail of personalised medicine. This finding therefore requires replication in independent cohorts. The challenges within the field of RA severity are that large longitudinal cohorts with well-characterised data are scarce, and that cohorts of patients who were treated in an era when disease-modifying antirheumatic drugs (DMARDs) were less potent and strategies were not guided by the Disease Activity Score (DAS) are rare ⁷. We examined rs12212067 in *FOXO3A* in relation to the severity of RA in multiple cohorts; the majority of patients studied were treated in the era before the introduction of biologic agents and DAS-guided therapy.

The main outcome of our study was radiographic progression. Five independent data sets were studied, comprising a total of 2,300 patients with RA and 5,512 radiographs. RA was defined according to the American College of Rheumatology 1987 revised criteria ⁸. All patients gave informed consent, and approval was obtained from the local medical ethics committees.

The Leiden Early Arthritis Clinic (EAC) cohort comprised 597 patients with early RA, all of whom were included between 1993 and 2006 ⁹. At baseline and at yearly follow-up visits over 7 years, a total of 3,143 sets of radiographs of the hands and feet were obtained. These radiographs were scored according to the Sharp-van der Heijde (SHS) method ¹⁰ by one reader in chronologic order (within-reader intraclass correlation coefficient [ICC] 0.91). The initial treatment strategy differed for different inclusion periods: patients included in 1993–1995 were initially treated with nonsteroidal anti-inflammatory drugs, patients included

in 1996–1998 were initially treated with hydroxychloroquine or sulfasalazine, and patients included in 1999–2006 were promptly treated with methotrexate ⁹.

The Umeå cohort consisted of 459 patients with early RA from Sweden, in whom RA was diagnosed between 1996 and 2010 ¹¹. A total of 868 sets of radiographs of the hands and feet obtained at baseline and year 2 were scored using the Larsen method ¹², as described previously ¹¹. All patients were initially treated with methotrexate or sulfasalazine. Treatment with biologic agents during the 2-year follow-up period was uncommon (5.7%).

The North American Rheumatoid Arthritis Consortium (NARAC) study group comprised 384 unrelated patients in whom RA was diagnosed between 1953 and 2002 ¹³. One set of radiographs of the hands was available for each patient. The radiographs were scored according to the SHS method (ICC 0.99).

The Wichita cohort consisted of 101 patients from a single practice in Wichita, Kansas, in whom RA was diagnosed between 1963 and 1999 ¹⁴. Radiographic evaluations were not performed at protocolised time points; 358 sets of hand radiographs were obtained during the first 15 years after disease onset. These were scored in a known time order, using the SHS method (ICC 0.98).

The National Data Bank for Rheumatic Diseases (NDB) cohort comprised 759 patients from the US and Canada, in whom RA was diagnosed between 1944 and 1999 ¹⁵. One set of radiographs of the hands was available for each patient. The radiographs were scored using the SHS method (ICC 0.98). The patients in the 3 North American cohorts were treated in an era when biologic agents were uncommon.

Genotyping in the Leiden EAC, Umeå, Wichita, and NDB cohorts was performed using the Illumina ImmunoChip according to the manufacturer's protocols, as previously described ¹⁶, and data for rs12212067 located on chromosome 6 were extracted. In the NARAC cohort, genotyping was performed using Illumina HapMap 500 BeadChips ^{13,17}. Data for rs12212067 were not available in the NARAC group, but data for a perfect proxy for this variant (rs11153120) were retrieved (r^2 1.00).

In all data sets, the radiographic scores were log-transformed before analyses to approximate a normal distribution. In each data set, the relative progression rate in patients with the rs12212067 minor allele was estimated, using patients with the common genotype as reference. An additive model was used. For the analyses in the cohorts with multiple measurements per patient (Leiden EAC, Umeå, and Wichita), a multivariate normal regression analysis was performed, with radiographic damage as the response variable ¹⁸. For the data sets with one radiographic measurement per patient (NARAC and NDB), the estimated yearly progression rate (the total SHS divided by the number of disease-years at the time of radiography) was studied. Details on the statistical methodology and adjustment factors are available in the online Supplementary Methods (available on the Arthritis & Rheumatology website). Because all of the obtained effect sizes represented the relative

increase in the progression in joint destruction per year per minor allele, the effect sizes and standard errors of the individual analyses could be compared and combined in an inverse-variance weighted meta-analysis.

Because of the observation that the rs12212067 minor allele was associated with lower TNF α expression and higher production of anti-inflammatory interleukins ⁴, which presumably affect not only joint damage but also arthritis persistency, a second outcome measure, achieving sustained DMARD-free remission, was studied. Sustained DMARD-free remission is defined as the sustained absence of clinically detectable arthritis after discontinuation of DMARD therapy. This is described elsewhere and in the online Supplementary Methods. This stringent definition of remission is a proxy for cure ¹⁹. Data on achieving DMARD-free remission were available only in the Leiden EAC cohort during 15 years of follow-up. Kaplan-Meier survival analyses were performed.

The characteristics of the patients are shown in the online Supplementary Table 1. In the largest cohort, consisting of 3,143 radiographs and 597 patients, rs12212067 was not statistically significantly associated with the severity of radiographic progression ($p=0.14$). No tendency toward less severe joint damage in the presence of the minor G allele was observed (Figure 1A). Significance was not obtained in any of the other cohorts. The meta-analysis did not reveal a significant association with radiographic progression ($p=0.10$), and the directionality of the effect sizes was not uniform (Figure 1B). When the secondary outcome of achieving sustained DMARD-free disease remission was evaluated, no significant association was observed ($p=0.54$) (Figure 1C).

Although the analyses described by Lee et al were performed in a mixed autoantibody-positive and antibody-negative population ^{4,20,21}, we also performed analyses adjusted and stratified for the presence of anti-citrullinated protein antibodies (ACPA). In the analyses that were additionally adjusted for ACPA, rs12212067 was not significantly associated with the severity of radiographic progression (see online Supplementary Figure 1A). In the meta-analysis of the ACPA-positive subgroup, a significant result was obtained using the fixed-effects model but not the random-effects model; the directionality of the effect sizes was diverse (see online Supplementary Figure 1B). In the meta-analysis of the ACPA-negative subgroup, no association between rs12212067 and radiographic progression was observed. For the outcome of achieving sustained DMARD-free disease remission, no significance was observed in the ACPA-positive and ACPA-negative strata ($p=0.77$ and $p=0.62$, respectively).

In conclusion, from a clinical perspective, it is highly relevant to unravel the biology determining disease outcome. A recent study demonstrated a protective association between a genetic variant in *FOXO3A* and the severity of radiographic joint destruction in 2 early RA cohorts consisting of both ACPA-positive and ACPA-negative patients ^{4,20,21}. Using 5 independent RA cohorts, we could not replicate an association of *FOXO3A* with the severity of RA, implying that the initial observation in UK cohorts cannot be extrapolated to other

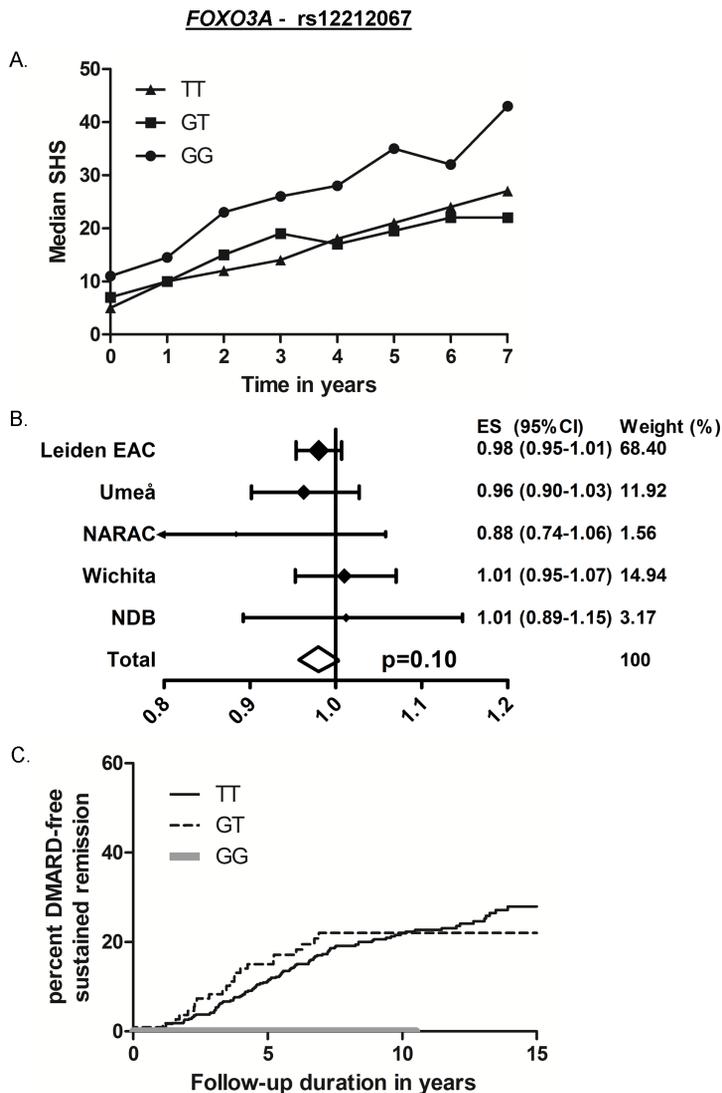


Figure 1. Relationship of *FOXO3A* rs12212067 genotypes with radiographic progression and DMARD-free sustained remission. (A) Median raw Sharp-van der Heijde (SHS) scores during 7 years of follow-up in patients in the Leiden EAC cohort. (B) Inverse-variance weighted meta-analysis of the annual radiographic progression rate in 5 cohorts, consisting of 2,300 patients and 5,512 radiographs (I^2 0.0%, $p=0.59$; for both the fixed-effects and random-effects models, $p=0.10$). (C) Frequency of achieving sustained DMARD-free remission in the Leiden EAC cohort ($p=0.54$ by log rank test). Only 6 patients had the GG genotype, and none of them achieved disease remission. The frequencies of the minor allele (G) were 9.3% in the Leiden EAC cohort, 12.0% in the Umeå cohort, 8.6% in the NARAC cohort, 9.3% in the Wichita cohort, and 10.5% in the NDB cohort. ES=effect size; 95% CI=95% confidence interval.

populations. This may reflect some differences in regulating progression in very early disease, which was the focus of the UK studies. Some data sets studied here were smaller than the UK cohorts; consequently, these individual cohorts were underpowered to replicate the signal individually. However, when the 5 data sets were combined, the number of radiographs was larger than that in the original study, and the meta-analysis was adequately powered to identify statistically significant differences and prevent false-negative results. The prevalence of ACPA in our cohorts was similar or higher than that in the original cohorts^{20,21}. After stratification for ACPA, the p-value for a meta-analysis with a fixed-effects model was less than 0.05 within ACPA-positive patients; however, the directionality of the effect sizes was variable. The question of whether SNP rs12212067 in *FOXO3A* is associated with joint destruction in the ACPA-positive subgroup of patients with RA requires further investigation. The absence of an association of rs12212067 with sustained DMARD-free remission (the reverse of disease persistency) further supported the notion that *FOXO3A* is not a major factor regulating the severity of the course of RA.

SUPPLEMENTARY DATA

Supplementary data are published on the website of *Arthritis & Rheumatology*.

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