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General introduction

# CHAPTER 1



## 1 **VIRUS DIVERSITY AND THE ORDER *NIDOVIRALES***

2  
3 Per definition viruses are inanimate organic entities that are capable to replicate them-  
4 selves. However, in contrast to living beings their DNA or RNA genomes do not encode  
5 information for the expression of proteins involved in the synthesis of the four funda-  
6 mental biological building blocks: amino acids, carbohydrates, lipids, and nucleoside  
7 triphosphates (NTPs). Because of this limitation, they are obligate intracellular parasites  
8 that strictly depend on the metabolism of a host cell. Additionally, host proteins may  
9 play essential or supporting roles during specific steps in the viral replication cycle – the  
10 most obvious being cellular receptors used for viral entry. In effect, host factors thus  
11 determine the spectrum of genetically related cellular species and cell types a virus can  
12 infect – in short the virus' host range.

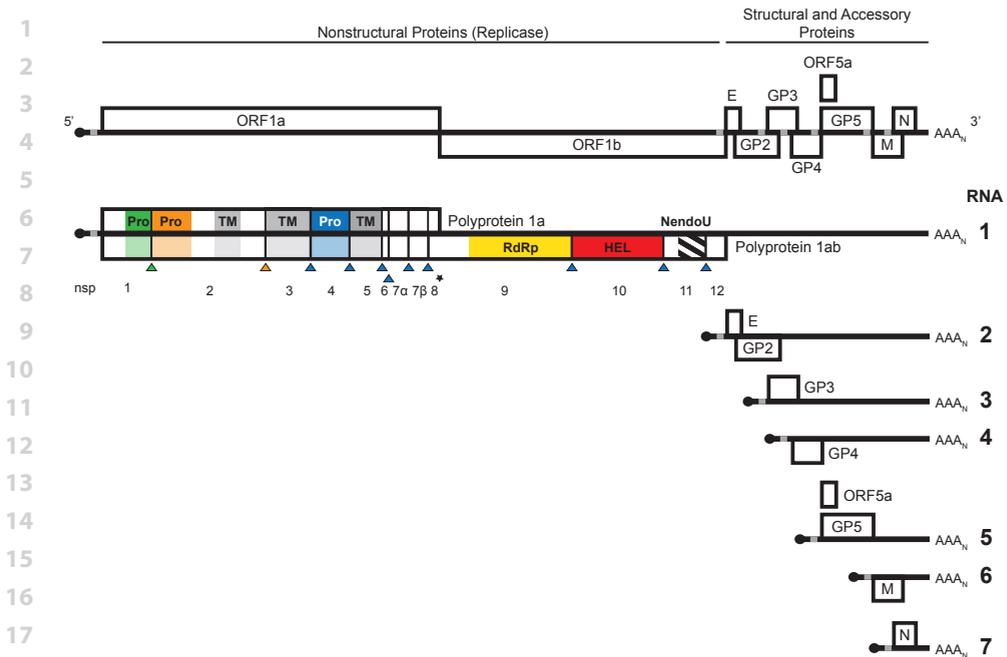
13  
14 At the moment the International Committee on Taxonomy of Viruses (ICTV) recognizes  
15 about 3000 different virus species (1). On the other hand, it has been estimated that  
16 about 15 million different cellular species (~9 million eukaryotes, ~6 million prokary-  
17 otes) live on this planet (2;3). If we assume that each of those is host to at least one virus  
18 species – likely a vast underestimation given that humans are host to 189 known viruses  
19 (4) – a lot remains to be discovered. To bring order into the known and anticipated virus  
20 diversity in terms of, for example, genome type and organization, or replication strategy,  
21 related viruses have been grouped into genera, (sub-)families, and orders (proceeding  
22 from lower to higher rank). However, due to the extreme divergence of viruses and  
23 fast evolution, the relationship between different ranks remains often obscure. In this  
24 respect, virus taxonomy stands in stark contrast to the Tree of Life that has been con-  
25 structed for organisms to reflect the course of cellular evolution.

26  
27 The viruses that are discussed in this thesis belong to the order *Nidovirales*. This name  
28 derives from the typical genome expression strategy of its members featuring a nested  
29 set of subgenomic (sg) mRNAs (in Latin, *nidus* means nest). At the moment four fami-  
30 lies with different host ranges are united in the order: *Arteriviridae* (vertebrate hosts),  
31 *Coronaviridae* (vertebrate hosts), *Mesoniviridae* (invertebrate hosts), and *Roniviridae*  
32 (invertebrate hosts) (5-8). With the exception of the *Mesoniviridae*, all families contain  
33 economically important pathogens infecting livestock, for example swine (arterivirus  
34 porcine reproductive and respiratory syndrome virus, coronaviruses porcine epidemic  
35 diarrhea virus and transmissible gastroenteritis virus), cattle (bovine coronavirus),  
36 poultry (coronavirus infectious bronchitis virus, IBV), and prawn (ronivirus yellow head  
37 virus), and hence cause severe losses to the respective industries (9-14). Additionally, es-  
38 tablished human coronaviruses may cause mild respiratory symptoms. Combined these  
39 are the second leading cause for common cold after rhinoviruses (*Picornaviridae*) (15).

1 Recent years also saw the emergence of two previously unknown and highly pathogenic  
2 zoonotic coronaviruses in the human population: severe acute respiratory syndrome  
3 coronavirus (SARS-CoV) in 2002 and Middle East respiratory syndrome coronavirus  
4 (MERS-CoV) in 2012 (16;17). In contrast to the established human coronaviruses,  
5 which are constantly circulating in the human population, these viruses were initially  
6 directly transmitted from an animal reservoir to humans. In the case of SARS-CoV it is  
7 now believed that this reservoir may be one of the numerous bat species (18;19). From  
8 these animals the virus spread to humans and caused the first pandemic of the 21st  
9 century with major outbreaks in China and Southeast Asia but also Canada (20). Despite  
10 concerns that SARS-CoV might mutate to permanently establish itself within the human  
11 population, the virus disappeared – thanks to the imposed control measures like strict  
12 quarantine protocols – from circulation in humans in 2003 after causing about 8500  
13 cases, including 812 deaths (21). The second newly-emerged coronavirus, MERS-CoV,  
14 which might be transmitted by camels (22), appears to be even more lethal with a case  
15 fatality rate of above 30%. However, thus far the case numbers have remained low, with  
16 about 1000 cases between April 2012 and November 2014 (23). Still, the threat to global  
17 public health and economy, exemplified by the SARS and MERS outbreaks, but also  
18 the combined economic damage caused by the veterinary nidoviruses call for a more  
19 thorough understanding of nidovirus biology. Ultimately these efforts might contribute  
20 to the development of countermeasures to keep future outbreaks in check.

## 23 THE NIDOVIRUS REPLICATION CYCLE

25 Nidoviruses enter a host cell by receptor-mediated endocytosis utilizing a variety of  
26 entry receptors (24). Afterwards the viral genome, which is a single RNA molecule of  
27 positive (mRNA) polarity carrying a type-1 cap structure (cap-1) (<sup>m</sup>GpppN<sub>m</sub>) and a poly-  
28 adenylate (polyA) tail at its 5' and 3' end, respectively, is released into the cytoplasm.  
29 The genome is organized into multiple open reading frames (ORFs) (Figure 1), of which  
30 ORF1a and ORF1b encode all nonstructural proteins (nsps) separated by a ribosomal  
31 frameshift site, comprising a secondary structure element called RNA pseudoknot and  
32 a uridine-rich so-called "slippery sequence". It is estimated that in equine arteritis virus  
33 (EAV) about 15-20% and in the coronaviruses mouse hepatitis virus (MHV) and IBV up to  
34 40% of the translating ribosomes perform the -1 frameshift and hence synthesize a large  
35 polyprotein called pp1ab (25-28). In the remainder of the cases the ribosome reaches  
36 a stop codon that is located just downstream of the frameshift signal. The resulting  
37 polyprotein is known as pp1a. Interestingly, all key enzymes for RNA synthesis and pro-  
38 cessing, for example the RNA-dependent RNA polymerase (RdRp), helicase, and – in the  
39 case of coronaviruses – also the proofreading exoribonuclease and capping enzymes,



**Figure 1:** Typical nidovirus genome organization illustrated using equine arteritis virus (EAV). Open reading frames (ORFs) are indicated as boxes. Cleavage sites of replicase proteins in polyproteins 1a and 1ab are marked by triangles corresponding in color to the protease responsible for cleavage. Known transmembrane and enzymatic domains are indicated. Pro, protease; TM, transmembrane domain; RdRp, RNA-dependent RNA polymerase; HEL, helicase; NendoU, endoribonuclease; E, envelope protein; GP, glycoprotein; M, membrane protein; N, nucleocapsid protein. The ribosomal frameshift site leading to expression of polyprotein 1ab is labeled with a star. Transcription-regulating sequences are indicated as gray boxes. Presumed 5' cap structures are depicted as black dots.

are encoded downstream of the frameshift while known co-factors of these enzymes, RNA-binding and membrane-anchoring proteins, as well as proteolytic enzymes are so far exclusively mapped to pp1a (29-32). The frameshift is thus an elegant way to regulate the relative abundance of these key enzymes compared to other proteins controlling genome replication and expression. Surprisingly, a second frameshift site was recently discovered in all arteriviruses except EAV. This site, located further upstream roughly in the middle of ORF1a, is able to direct a -2 as well as a -1 frameshift and thus gives rise to two additional variants of the membrane-bound nonstructural protein nsp2, one of which being predicted to be soluble. This arterivirus frameshift site with dual shift capacity, which is controlled by *trans*-activation by the upstream nsp1 $\beta$  subunit, is the only one of its kind known to date (33;34).

In addition to the nsps that are directly translated from the genome, group-specific structural proteins and – in the case of coronaviruses – accessory proteins are trans-

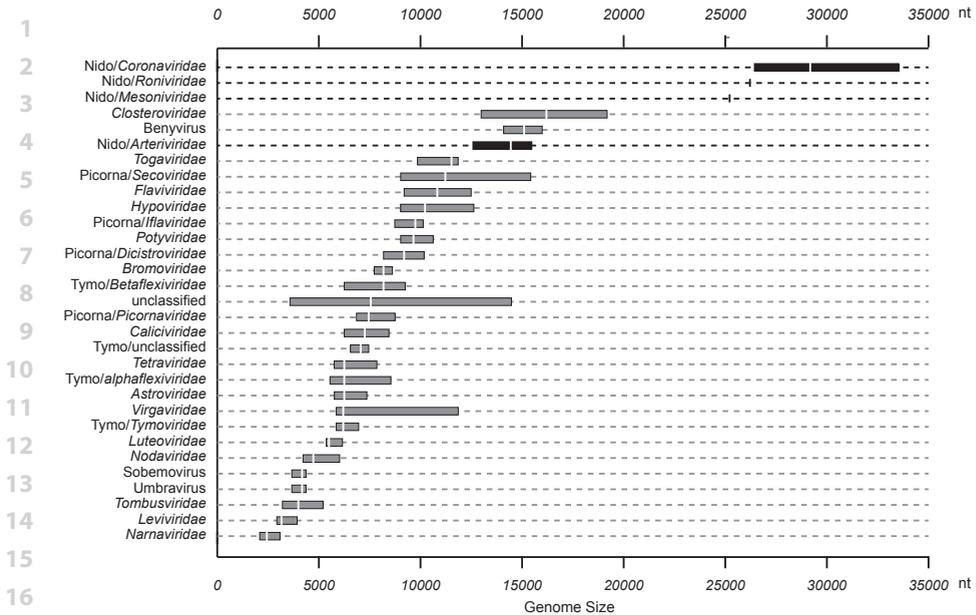
1 lated from an in part extensive set of sg mRNAs (35). These mRNAs, which carry the  
2 same 5'- and 3'-terminal sequences as the genome in most nidoviruses, are transcribed  
3 with the help a unique mechanism involving subgenome-size negative-stranded (-)  
4 templates that in part arise from discontinuous RNA synthesis (see below). As for all  
5 positive-stranded (+) RNA viruses, RNA replication (amplification of the genome) and  
6 transcription (synthesis of sg mRNAs) are thought to take place in association with an  
7 extensive network of modified membranes (36;37). For nidoviruses this membranous  
8 web takes mainly the form of interconnected double-membrane vesicles (DMVs) and  
9 convoluted membranes (CMs). It was speculated that these membrane structures may  
10 provide a scaffold for replication-transcription complex (RTC) assembly inside DMVs.  
11 Hence, it is thought that these structures support viral replication in two ways; on the  
12 one hand, by increasing local concentrations of NTPs, RNAs, and proteins required  
13 for RNA synthesis and, on the other, by shielding viral replication products, especially  
14 double-stranded replication intermediates, from detection by the host's innate immune  
15 system. After encapsidation of the viral genome, particles bud into the lumen of the  
16 smooth endoplasmic reticulum (ER) or Golgi complex. From there they are transported  
17 via the cellular secretory pathway to be released from the plasma membrane (24).

## 20 MOLECULAR DETAILS OF NIDOVIRUS REPLICATION AND TRANSCRIPTION

### 22 **Prison break: how nidoviruses with large genomes overcame size constraints**

24 The genome sizes of nidoviruses range from 12-16 kilobases (kb) for arteriviruses (from  
25 here on referred to as "small nidoviruses") to 20-34 kb for mesoni-, roni-, and coronavi-  
26 ruses ("intermediate and large nidoviruses"). With these sizes especially the latter group  
27 deviates substantially from the average size of most (+) RNA virus genomes that typically  
28 are smaller than 10 kb (Figure 2) (38). Still, even the largest RNA virus currently known,  
29 the recently discovered ball python nidovirus – a proposed member of the *Torovirinae*, a  
30 subfamily of the *Coronaviridae* – with a genome size of 33.5 kb (39), is dwarfed by some  
31 DNA viruses, whose genomes can reach sizes in the range of megabase pairs (Mbp),  
32 for example mimiviruses (~1 Mbp) and pandoraviruses (~2.5 Mbp) (40;41). Considering  
33 these tremendous size differences, two questions arise: in what way are RNA viruses so  
34 fundamentally different from DNA viruses that a genome expansion of the scale of the  
35 latter did not occur, and how did large nidoviruses, at least to some extent, overcome  
36 the size restrictions imposed on other (+) RNA viruses?

38 To answer these questions, it should be informative to explore the underlying reason for  
39 the existence of the observed size barrier in (+) RNA viruses. All of these viruses encode



**Figure 2:** Genome sizes of positive-stranded RNA viruses. Size ranges of major families or genera and unclassified viruses are indicated by black (nidoviruses) or gray boxes. The median size is marked by a white vertical bar. Nido, *Nidovirales*; Picorna, *Picornavirales*; Tymo, *Tymovirales*. Adapted from (42).

an RdRp that synthesizes copies of the viral genome during the infection of a host cell. The basic mechanism by which these enzymes fulfill this central function in the viral replication cycle can be simplified to two steps: the matching of an incoming NTP to the template and the formation of a chemical bond to extend the nascent RNA chain (43;44). The first step of this mechanism basically occurs by a trial-and-error method as polymerases lack the means to determine the identity of the nucleotide that is about to be copied or of the NTP that has entered the active site. Instead the selection and, ultimately, the incorporation of an NTP is solely based on the relative difference between its dissociation rate from the active site and the rate of phosphodiester bond formation. Because a correct Watson-Crick base pair is energetically more stable than a mismatched one or any of the alternative base pairs, the correct NTP will, on average, remain at the active site for a longer period of time than an incorrect one. If this period is long enough for bond formation to occur, the RNA chain will be extended by this one nucleotide. If not, the NTP will diffuse away, and the next NTP can be tried at random. In summary, in order to minimize the number of errors but maintain RNA synthesis, the chemical reaction rate should be much lower than the dissociation rate of incorrect NTPs but higher than the dissociation rate of correct NTPs.

1 In general, error rates of RdRps were estimated to range between  $10^{-3}$  and  $10^{-5}$  errors  
2 per nucleotide incorporated (45). That means most individual genomes of an average  
3 (+) RNA virus would differ by at least one nucleotide from each other. To emphasize this  
4 variation, the concept of a quasispecies was introduced, essentially representing a cloud  
5 of different variants of a consensus sequence that are heterogeneous in respect to their  
6 fitness (46;47). Depending on the environmental conditions, the composition of these  
7 quasispecies may differ. Interestingly, decreasing the variation within a quasispecies by  
8 increasing the replication fidelity of an RdRp was shown to strongly diminish the overall  
9 fitness of a virus population (48-52). It is therefore believed that on an evolutionary scale  
10 it is the quasispecies, rather than individual variants, that is targeted by selection. On  
11 the other hand, decreasing replication fidelity will lead to the accumulation of too many  
12 detrimental mutations, which will eventually prevent virus replication, a consequence  
13 that was termed "error catastrophe". Because of these two opposing principles, RNA  
14 viruses are thought to be optimized to exist close to the threshold of this error catastro-  
15 phe. In summary, this implies that the size of the genome is limited by the error rate of  
16 the RdRp it encodes. Interestingly, there seems to be a correlation between the size of  
17 RNA genomes and their RdRp genes (45). Whether these larger RdRps indeed operate  
18 with a lower error rate, however, remains to be seen.

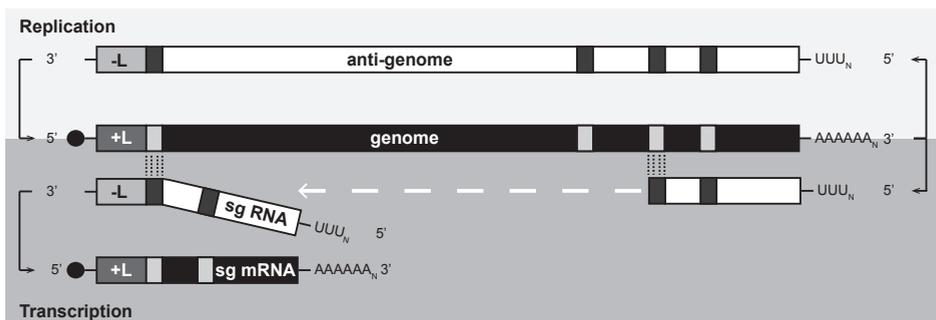
19  
20 To express the interdependence between replication fidelity, genome size, and genome  
21 complexity, the term "Eigen trap" has been coined (53). This term essentially conveys  
22 the fact that none of these three parameters can be increased without simultaneously  
23 increasing the other two. When comparing (+) RNA virus genomes, two instances where  
24 both genome complexity and size expanded by the introduction of a new enzyme have  
25 been recognized. First, an RNA helicase is encoded by all viruses with genomes larger  
26 than 7 kb (54). It was proposed that this enzyme may support the RdRp by removing  
27 double-stranded regions from the template. However, how this would directly affect  
28 fidelity, which depends, as explained above, on an interplay between NTP affinities and  
29 the chemical reaction rate, is unclear. Therefore, it cannot be excluded that there may be  
30 other reasons underlying the presence of a helicase in (+) RNA virus genomes.

31  
32 A more straightforward explanation was proposed for a second instance of genome  
33 expansion. In this case a 3'-5' exoribonuclease was acquired, which gave rise to (+) RNA  
34 genomes of more than 20 kb (55). A similar enzymatic activity, a 3'-5' exonuclease, is a  
35 vital part of typical DNA polymerases encoded by DNA viruses and cellular organisms.  
36 Contrary to general believe, the intrinsic error rates of DNA polymerases are, as a matter  
37 of fact, not significantly lower ( $10^{-4}$ - $10^{-5}$  bp<sup>-1</sup>) than that of RNA polymerases. It is the pres-  
38 ence of this associated exonuclease activity that enables the reduction of the error rate  
39 to  $10^{-5}$ - $10^{-7}$  bp<sup>-1</sup> by removing incorrectly incorporated NTPs during DNA synthesis (45).

1 By analogy, it was assumed and recently experimentally confirmed that the nidovirus  
 2 exoribonuclease confers proofreading activity to the viral RTC (32;56). Furthermore, in  
 3 reverse genetics experiments it was demonstrated that a knock-out of this proofreading  
 4 activity led to a more than 10-fold increase of the overall error rate during MHV and  
 5 SARS-CoV replication in cell culture (57;58). In contrast to large nidoviruses, arteriviruses  
 6 do not encode an exoribonuclease subunit (55). Still, their genomes are substantially  
 7 larger than those of most other (+) RNA viruses. It thus remains to be seen if another  
 8 domain acquisition event may be linked to this expansion.

### 9 10 Nidovirus discontinuous RNA synthesis

11  
 12 As already hinted at, the transcription mechanism of nidoviruses is unique in the virus  
 13 world (Figure 3). Although several non-nidovirus families utilize sg mRNAs, none gener-  
 14 ates those by a mechanism equivalent to that of most nidoviruses, involving discontinu-  
 15 ous (-) subgenome-length RNA synthesis (roni- and toroviruses do not or only in part  
 16 employ this mechanism) (59). In contrast to, for example, alphavirus sg mRNA synthesis  
 17 that is driven from an internal promoter in the full-length negative strand, nidovirus  
 18 sg mRNAs are transcribed from several co-terminal (-) subgenome-length RNAs of  
 19 different lengths. Essential protagonists in the still not well understood mechanism to  
 20 produce those templates are so-called transcription-regulating sequences (TRSs), which  
 21 are conserved AU-rich elements of a length – depending on the virus – of 5-18 nucleo-  
 22 tides located near the genome's 5' end (leader TRS) and upstream of most of the 3' ORFs  
 23 (body TRSs). During negative-strand synthesis, which always initiates at the genome's  
 24 3' end, the viral RdRp may pause at one of these sequences. Subsequently, the part of  
 25 the template between the body and leader TRS is skipped before RNA synthesis resumes  
 26 at the genome's 5' end at the so-called leader sequence. How exactly this skipping oc-  
 27 curs is still not understood, but a dissociative step during body-leader joining may be  
 28



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 39 **Figure 3:** Discontinuous negative-strand transcription model. Transcription-regulating sequences are indicated by gray (positive strands) or black (negative strands) boxes. Leader and anti-leader regions are labeled +L and -L, respectively. Presumed 5' cap structures of mRNAs are depicted as black dots. Adapted from (24).

1 involved since co-infection experiments with two different MHV strains showed that the  
2 leader sequence may derive from a different template than the rest of the transcribed  
3 product (60). Also base-pairing between the leader and anti-body TRS is important since  
4 the amount of each sg mRNA correlates with the calculated stability of the respective  
5 TRS duplex (61-64). However, it was speculated that base-pairing may not be the only  
6 factor involved. For instance, it was also shown that TRSs serve a function independent  
7 of base-pairing, potentially in secondary structure-dependent recruitment of specific  
8 proteins (59). Three viral proteins that were implicated in arterivirus transcription regu-  
9 lation are nsp1 (65;66), the nidovirus-wide conserved helicase nsp10 (67;68), and the  
10 endoribonuclease nsp11 (69). For these proteins, mutations either altered the balance  
11 between genome replication and transcription or selectively abolished sg mRNA syn-  
12 thesis altogether. Since genome replication requires the synthesis of full-length nega-  
13 tive strands, and hence a read-through through all TRSs, these results indicated that the  
14 three proteins are directly or indirectly involved in the discontinuous step. Interestingly,  
15 nsp1 as well as nsp10 contain a zinc-binding domain that may be instrumental in estab-  
16 lishing interactions with the RNA or proteins of the RTC to serve this regulatory function.

17  
18 As a consequence of discontinuous RNA synthesis, all nidovirus mRNAs, except the  
19 smallest, are structurally polycistronic. However, with a few exceptions, only the  
20 most 5'-located ORF is actually translated, meaning that the mRNAs are functionally  
21 monocistronic (70). Thus, the question arises what the advantage of this complicated  
22 transcription mechanism is compared to structurally monocistronic mRNAs expressed  
23 from multiple promoters or polycistronic mRNAs enabling internal ribosome entry or  
24 other non-canonical translation initiation mechanisms frequently employed by other  
25 viruses. Obviously, the nidovirus mechanism ensures that all RNAs carry the same 5'-  
26 and 3'-terminal sequences as the genome or anti-genome. This could be advantageous  
27 if regulatory elements are located at the ends. For example, sequences of negative  
28 strands may be required to initiate positive-strand synthesis or capping. It would also  
29 be possible that the genome ends contain translational enhancers (59). Finally, those  
30 elements could also serve to discriminate viral from host RNAs. In view of the notion  
31 that all vertebrate nidoviruses encode an endoribonuclease (55), whose substrate is still  
32 elusive but may well be a host RNA, this possibility is especially intriguing.

### 33 34 **Means to an end: nidovirus mRNA modification**

35  
36 Given the complexity of ribosomes, comprising 80 proteins and 4 rRNAs in higher eu-  
37 karyotes (71), RNA viruses cannot encode information for their components. To ensure  
38 that viral mRNAs are translated in the host cell, a variety of strategies is employed by  
39 different virus families. The most obvious is to adopt the essential modifications of cel-

1 lular mRNAs, a 5' cap structure and a 3' polyA tail. Alternatively, viral mRNAs may contain  
2 special secondary structures, called internal ribosome entry sites (IRESs) or 3' cap-  
3 independent translation enhancers (3' CITEs), that allow the non-canonical recruitment  
4 of the translational apparatus. Finally, some viruses encode proteins that may replace  
5 certain cellular translation initiation factors. Their mRNAs may thus lack some of the  
6 modifications of host mRNAs, for instance the cap-1 or polyA tail (72-74).

7  
8 Where characterized, the 3' ends of positive-stranded RNAs of a number of nidoviruses  
9 contained polyA tails (53;75-79). Furthermore, a cap-1 (<sup>m</sup>GpppN<sub>m</sub>) structure was found  
10 to be present at the 5' end of the genome and/or sg mRNAs of equine torovirus (80), the  
11 coronavirus MHV (81;82), and the arterivirus simian hemorrhagic fever virus (83). Based  
12 on common ancestry, it is thus assumed that all nidoviruses equip their mRNAs with  
13 these modifications, which would allow them to enter the cellular translation pathway.  
14 In line with this hypothesis, it was shown that MHV infection leads to phosphorylation of  
15 the cap-binding translation initiation factor eIF4E, which is required for the cellular path-  
16 way (84). This phosphorylation, which is a known regulatory mechanism in eukaryotic  
17 cells to strengthen the interaction between the cap and the protein, in turn increased  
18 the translation efficiency of viral mRNAs. Furthermore, overexpression of an inhibitor  
19 of eIF4E, 4E-BP, abolished replication of human coronavirus 229E in HeLa cells (85).  
20 Nevertheless, contradicting evidence with regard to the nature of its 5' end has been  
21 brought forward for the arterivirus lactate dehydrogenase-elevating virus, whose ge-  
22 nome appeared to be devoid of a cap and instead was monophosphorylated (86). Given  
23 this result and the fact that none of the members of the *Roniviridae* and *Mesoniviridae*  
24 was characterized so far, care should be taken in assuming that all nidovirus mRNAs  
25 carry the same 5' end modification. Such a deviation in respect to translation strategy  
26 was also observed in the *Flaviviridae*, whose members may utilize cap-dependent or  
27 -independent mechanisms (87;88). In addition to cap-dependent translation initiation,  
28 IRES elements may, at least in coronaviruses, drive expression of a second gene product  
29 from a single sg mRNA (89-91).

30  
31 In the host cell cap-1 and polyA tails are strictly generated in the nucleus during and  
32 shortly after RNA polymerase II-dependent transcription (92). Since nidoviruses and  
33 many other (+) RNA viruses replicate in the cytoplasm, they cannot benefit from this  
34 cellular machinery. Instead the polyA tail may be synthesized by (one of) the viral  
35 RdRp(s) – coronaviruses are believed to encode a main RdRp (nsp12) and an accessory  
36 RdRp (nsp8) (93-95). How exactly this is achieved was not investigated so far. However,  
37 since negative-stranded RNAs were shown to contain a short polyU stretch at their 5'  
38 end, it was speculated that iterative copying of this stretch may be involved (96). Alterna-  
39

1 tively, SARS-CoV nsp8 in complex with nsp7 was shown to possess terminal transferase,  
2 that is, non-templated extension activity, on single-stranded RNAs (95).

3  
4 In contrast to polyA-tail addition, the assembly of the cap-1 structure appears to be bet-  
5 ter understood in regard to the proteins involved, at least in large nidoviruses. In general  
6 the synthesis of the cap involves four steps and three different enzymatic activities that  
7 may be present in a single subunit with multiple domains or in multiple individual  
8 proteins (97;98). In case of the conventional capping pathway, which is employed by all  
9 eukaryotes and a number of viruses, the triphosphate end of a newly synthesized RNA  
10 is trimmed back to a diphosphate by an RNA-triphosphatase (RTPase). As this activity is  
11 mechanistically identical to the cleavage of NTPs, the NTPase domains of a viral helicase,  
12 if encoded, may execute it. In the second step, a guanylyltransferase (GTase) transfers a  
13 GMP-moiety to the RNA diphosphate end. In contrast to nucleotide bonds established  
14 by polymerases, this bond is formed via a 5'-5' linkage to generate a GpppN-RNA struc-  
15 ture. While this unusual bond cannot be cleaved by regular exo- and endoribonucleases,  
16 specially regulated cytoplasmic host decapping enzymes are employed for the removal  
17 of cap structures (99). As a consequence, capping confers protection against 5'-3' ex-  
18 oribonucleases, and hence capped RNAs exhibit much longer half-lives than uncapped  
19 ones. In order to make the second step irreversible, a methyl group is attached to the  
20 N7-position of the guanine by an N7-methyltransferase (N-MT). Although this so-called  
21 cap-0 structure is due to the specific recognition of the methyl group by eIF4E the basic  
22 requirement for translation initiation (100), a second methylation usually occurs at the 2'  
23 oxygen of the ribose of the first (cap-1) or second (cap-2) nucleotide following the cap.  
24 This second methylation step, which is catalyzed by a 2'-O-methyltransferase (O-MT)  
25 that may or may not be different from the domain utilized for N7 methylation, is con-  
26 nected to host mRNA surveillance mechanisms for self versus non-self discrimination  
27 (101;102). Next to this conventional pathway, alternative viral mechanisms have evolved  
28 that include a different order of steps leading to the same mature cap structure (98).

29  
30 It has been proposed that nidoviruses employ the canonical pathway of cap synthesis  
31 described above (98). However, this hypothesis is far from proven especially with respect  
32 to the universal conservation of this pathway in all nidoviruses. For instance, the GTase  
33 has not been identified in any of the nidoviruses, while RTPase activity was demonstrated  
34 for only two coronavirus helicases (nsp13) (103;104). Whether or not this enzyme, which  
35 belongs to the most conserved proteins of the order, actually exerts this activity in the  
36 context of capping, however, remains to be verified. Finally, two methyltransferases  
37 (MTases) residing in nsp14 (N-MT) and nsp16 (O-MT) have been experimentally identi-  
38 fied in coronaviruses (105-108). Interestingly, while other large nidoviruses – with the  
39 exception of toroviruses, which seem to lack the N-MT activity – encode homologs of

1 both MTases (55), neither of them was identified in arteriviruses. Since arteriviruses  
2 encode a unique protein (nsp12) at a genome position equivalent to that of coronavirus  
3 nsp16, the capping mechanism could be another example of biochemical variability  
4 within the diverse *Nidovirales* order.

## 5 6 7 **OUTLINE OF THIS THESIS** 8

9 The work described in this thesis addresses several poorly or uncharacterized (domains  
10 of) nsps that are likely involved in one or multiple steps during RNA replication and/or  
11 transcription of the prototypic arterivirus EAV. After the above short introduction on  
12 the nidovirus replication cycle and known molecular details of the unusual transcrip-  
13 tion and mRNA processing mechanisms, **chapter 2** presents the crystal structure of  
14 the enzymatically active EAV helicase nsp10, which was obtained and analyzed in close  
15 collaboration with Chinese colleagues. Interestingly, a strong resemblance between  
16 this viral protein and the conserved cellular helicase Upf1, in particular with respect to  
17 their N-terminal zinc-binding domains, became obvious. Since this cellular helicase is  
18 implicated in a number of eukaryotic post-transcriptional quality control mechanisms,  
19 a role for nsp10 and its nidovirus homologs in genome expansion is proposed. This and  
20 other potential functions of the nidovirus helicase in RNA replication, transcription,  
21 and translation, as well as virion biogenesis are further discussed in **chapter 3**, which  
22 presents a review of our current knowledge about nidovirus helicases. Special emphasis  
23 is placed on gaps that still remain, facts that cannot be easily reconciled with our current  
24 understanding of the nidovirus replication mechanisms, and questions that need to be  
25 addressed in future.

26  
27 **Chapters 4 and 5** focus on one of the central arterivirus replication proteins, nsp9,  
28 which harbors the RdRp domain. **Chapter 4** describes a carefully controlled study to  
29 investigate different polymerase activities that nsp9 may have, including a previously  
30 claimed primer-independent RdRp activity. Despite considerable efforts, involving ex-  
31 periments with different preparations of nsp9 and assays performed in the presence of  
32 putative polymerase co-factors, no *in vitro* activity was observed that could be clearly  
33 attributed to this protein. Moreover, circumstantial evidence suggested that the previ-  
34 ously reported activity may have been caused by a contamination of the recombinant  
35 nsp9 preparation with the T7 RNA polymerase used to drive its expression in *E. coli*.  
36 In arteriviruses, the RdRp domain is located in the C-terminal two-thirds of nsp9. In  
37 **chapter 5**, it is now described for the first time that the RdRp domain is flanked at its  
38 N-terminus by another domain that is conserved in all nidoviruses. However, unlike the  
39 situation for the RdRp domain, no homologs of this domain have been found in other

1 RNA viruses. This domain is thus proposed to be a second marker for the *Nidovirales*  
2 order, besides the N-terminal zinc-binding domain of the helicase subunit. Residues that  
3 are part of three conserved sequence motifs were without exception associated with  
4 a newly discovered nucleotidylation activity of recombinant nsp9. It is thus proposed  
5 that this activity could play a role in the modification of the 5' end of viral RNAs through  
6 either RNA ligation, protein priming of RNA synthesis, or guanylyl transfer during RNA  
7 capping. Further research is required to definitely tie nsp9 to one of these pathways.  
8 Nevertheless, alanine substitution of any of the conserved residues was either lethal to  
9 EAV and SARS-CoV or severely crippled these viruses, eventually resulting in reversion  
10 of the mutation. These results thus demonstrate the essential nature of this domain for  
11 virus replication, whatever its exact function will turn out to be.

12  
13 Two MTase activities, commonly required for capping of mRNAs, were previously identi-  
14 fied in two ORF1b-encoded coronavirus proteins, nsp14 and nsp16. While the former  
15 has no counterpart among the arterivirus nsps, the latter and the arterivirus C-terminal  
16 subunit nsp12 occupy equivalent positions in the ORF1b-encoded part of the replicase  
17 although the two proteins share no detectable sequence similarity. It is thus a long  
18 standing question, how arteriviruses may catalyze the 5' end modification of mRNAs,  
19 and we therefore performed a first characterization of the entirely uncharacterized  
20 EAV nsp12 subunit (**chapter 6**). Based on the genomic position of its coding sequence,  
21 sequence alignment, and secondary structure prediction it is hypothesized that nsp12  
22 might represent a unique arterivirus MTase, which has diverged from its homologs  
23 beyond sharing appreciated similarity. To test this hypothesis, recombinant nsp12 was  
24 expressed in and purified from *E. coli* and tested alone and in combination with poten-  
25 tial co-factors for N-MT and O-MT activity. Although positive controls represented by the  
26 SARS-CoV MTases (nsp14 and the nsp10:nsp16 complex) demonstrated the functionality  
27 of the assay, no activity was detected for EAV nsp12. Guided by the sequence alignment,  
28 an extensive set of EAV mutants was generated and characterized with respect to their  
29 plaque phenotype and progeny titer, as well as their protein expression. These reverse  
30 genetics experiments revealed a number of phenotypes ranging from wild-type-like via  
31 non-spreading to replication-incompetent, which indicated that nsp12 is essential for  
32 viral replication.

33  
34 The above chapters describing biochemical properties of selected proteins may ulti-  
35 mately contribute to the identification of drug targets to combat nidovirus infections. In  
36 **chapter 7** the prerequisites under which the marketing of such an antiviral drug would  
37 be economically viable are analyzed. This project was realized under guidance of several  
38 specialists of one of the industrial partners, Janssen Infectious Diseases, of the EUVIRNA  
39 consortium, the Marie Curie Initial Training Network to which my research project be-

1 longed. This study concludes that, at the moment, none of the circulating nidoviruses  
2 constitutes a sufficiently sized market to warrant the considerable investments required  
3 for drug development. The situation may be different if a new highly-pathogenic virus  
4 would emerge, as exemplified in 2002 by SARS-CoV or 2012 by MERS-CoV. In view of  
5 such threats, pre-pandemic drug stockpiling could be considered. However, also under  
6 those circumstances, it seems likely that the inherent financial risk would preclude an  
7 independent private initiative, even though market parameters and approval procedures  
8 appear to be favorable.

9  
10 Finally, **chapter 8** connects some of the main findings described in this thesis with  
11 previously described data. In particular, potential differences between small and large  
12 nidoviruses on the level of the molecular mechanisms of RNA synthesis initiation and  
13 mRNA capping are highlighted. To this end, alternative mechanisms are considered that  
14 would be consistent with the data on arteriviruses presented in this thesis and else-  
15 where. Furthermore, potential roles of cellular helicases in nidovirus replication and the  
16 host's immune response against nidoviruses are discussed.

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