



# [C I](1–0) and [C I](2–1) in Resolved Local Galaxies\*

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## Abstract

We present resolved [C I] line intensities of 18 nearby galaxies observed with the SPIRE FTS spectrometer on the *Herschel Space Observatory*. We use these data along with resolved CO line intensities from  $J_{\text{up}} = 1$  to 7 to interpret what phase of the interstellar medium the [C I] lines trace within typical local galaxies. A tight, linear relation is found between the intensities of the CO(4–3) and [C I](2–1) lines; we hypothesize this is due to the similar upper level temperature of these two lines. We modeled the [C I] and CO line emission using large-velocity gradient models combined with an empirical template. According to this modeling, the [C I](1–0) line is clearly dominated by the low-excitation component. We determine [C I] to molecular mass conversion factors for both the [C I](1–0) and [C I](2–1) lines, with mean values of  $\alpha_{[\text{C I}](1-0)} = 7.3 M_{\odot} \text{K}^{-1} \text{km}^{-1} \text{s pc}^{-2}$  and  $\alpha_{[\text{C I}](2-1)} = 34 M_{\odot} \text{K}^{-1} \text{km}^{-1} \text{s pc}^{-2}$  with logarithmic root-mean-square spreads of 0.20 and 0.32 dex, respectively. The similar spread of  $\alpha_{[\text{C I}](1-0)}$  to  $\alpha_{\text{CO}}$  (derived using the CO(2–1) line) suggests that [C I](1–0) may be just as good a tracer of cold molecular gas as CO(2–1) in galaxies of this type. On the other hand, the wider spread of  $\alpha_{[\text{C I}](2-1)}$  and the tight relation found between [C I](2–1) and CO(4–3) suggest that much of the [C I](2–1) emission may originate in warmer molecular gas.

*Unified Astronomy Thesaurus concepts:* [Interstellar atomic gas \(833\)](#); [Disk galaxies \(391\)](#)

*Supporting material:* machine-readable table

## 1. Introduction

In this paper, we study the resolved [C I] line emission obtained by the *Herschel Space Observatory* for a sample of nearby (mostly disk) galaxies. We focus on the potential diagnostic power of the [C I] lines, in particular, whether they may be used as tracers of the total molecular gas content.

Ground-state neutral carbon ( $\text{C}^0$ ) emits two fine-structure emission lines in the far-infrared (FIR). The [C I](1–0) and [C I](2–1) lines have wavelengths of 609 and 370  $\mu\text{m}$ , frequencies of 492 and 809 GHz, and upper level temperatures of 23.6 and 62.4 K, respectively. Given its low-ionization potential of 11.26 eV,  $\text{C}^0$  is found mostly in the cold neutral and molecular ISM and within photodissociation regions (PDRs, e.g., Tielens & Hollenbach 1985). For static and homogenous PDRs, the

neutral carbon is expected to reside in a layer between  $\text{C}^+$  and CO at a range of extinctions into the cloud from the dissociating source of  $A_{\text{V}} \approx 2\text{--}4$  (Tielens & Hollenbach 1985). In more realistic molecular clouds with clumps, turbulence and possibly embedded stellar sources, the neutral carbon “layer” is a complicated surface that may effectively exist throughout the cloud (Offner et al. 2014; Glover et al. 2015). This distribution is directly seen in observations of Milky Way molecular clouds (Shimajiri et al. 2013).

The [C I] lines are more easily observed at high redshift and thus have already been used as ISM diagnostics (e.g., Walter et al. 2011; Carilli & Walter 2013). However, much of the low-redshift observational work that grounds these interpretations is limited to the Milky Way or has thus far focused on high-excitation galaxies, such as luminous infrared galaxies (LIRGs). Using *Herschel Space Observatory* data, Israel et al. (2015; hereafter *Is15*) studied a group of 76 LIRGs and starburst galaxy centers in [C I] and CO lines. They establish

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many empirical correlations between [C I] and CO line intensities and between ratios of these lines. Then they perform large velocity gradient (LVG) modeling of three fiducial sets of [C I] and CO ratios in order to describe different families of galaxies within their sample.

Kamenetzky et al. (2016) published a master catalog of central CO, [C I], and [N II] line fluxes for 301 extragalactic sources observed with *Herschel*. However, these central-only measurements do not indicate the typical ISM properties within galaxy disks, which require resolved observations. Two papers from the Very Nearby Galaxy Survey present and analyze CO and [C I] maps from *Herschel* SPIRE spectroscopy of nearby spirals M83 (Wu et al. 2015) and M51 (Schirm et al. 2017). Wu et al. (2015) reports an interesting linear relationship between [C I](2–1) intensity and the surface density of star formation. Schirm et al. (2017) are able to include the [C I] lines along with the CO lines to fit a two-component non-LTE model describing the state of the molecular gas in M51. They find the cold component is similar to that found in more excited galaxies and the warm component has a similar temperature, but is less dense. Very recently, a paper with a similar sample to ours (nine galaxies overlap) published maps of the [C I] lines for 15 local spiral galaxies (Jiao et al. 2019) and studies the correlations of [C I] lines with CO(1–0) and dust emission.

Extragalactic molecular gas measurements typically depend on  $^{12}\text{CO}$  line emission to obtain molecular masses through a conversion factor  $\alpha_{\text{CO}}$ :  $M_{\text{mol}} = \alpha_{\text{CO}} L_{\text{CO}}$ , which is known to vary with certain galaxy properties (metallicity, IR luminosity; see Bolatto et al. 2013 for a recent review). The [C I] emission has also received attention as a potential molecular gas tracer, particularly as one that might excel at higher redshift. Comparison of the [C I](1–0) line to various CO lines and the dust continuum within 14 nearby galaxies led Gerin & Phillips (2000) to conclude that [C I](1–0) was a preferred tracer of the  $\text{H}_2$  mass compared to the CO lines. Papadopoulos & Greve (2004) present non-equilibrium chemical models and suggest that this non-equilibrium chemistry and the known turbulence of molecular clouds keeps the abundance of neutral carbon high throughout molecular clouds. They thus argue that [C I] should be a good tracer of the total molecular gas, especially highlighting how the [C I] lines may be helpful at high redshift where they are easier to observe than low- $J$  CO lines.

Offner et al. (2014) and Glover et al. (2015) simulated individual, turbulent molecular clouds and focused on their [C I] content and emission. They both find that [C I] traces the column density of  $\text{H}_2$  in their simulated clouds, with a conversion factor of  $X_{[\text{C I}](1-0)} = N_{\text{H}_2}/I_{[\text{C I}](1-0)} = (1.0-1.1) \times 10^{21} \text{ cm}^{-2} \text{ K}^{-1} \text{ km}^{-1} \text{ s}$  (corresponding to a molecular mass conversion factor of  $\alpha_{[\text{C I}](1-0)} \approx 20 M_{\odot} \text{ K}^{-1} \text{ km}^{-1} \text{ s pc}^{-2}$ , including helium). In both cases, the simulations did not include radiation from newly formed internal stellar sources. So, in particular, their simulations miss any contribution from PDRs irradiated by high-intensity radiation fields. A more recent simulation by Clark et al. (2019) shows that [C I] (particularly [C I](1–0)) traces molecular gas with similar characteristics as CO(1–0): gas with number densities 500–1000  $\text{cm}^{-3}$  and kinetic temperatures under 30 K.

Recent work on local galaxies has analyzed the utility of [C I](1–0) and [C I](2–1) as molecular gas tracers, with conflicting results. Israel et al. (2015) recommend against using either [C I] line as a molecular gas mass tracer having

found a poor correlation between the [C I] lines and their beam-averaged  $\text{H}_2$  column density. In contrast, Jiao et al. (2017) also use *Herschel* data on LIRGs along with CO(1–0) data to argue that, due to a strong (linear) relation between the [C I] and CO(1–0) line luminosities, the [C I] lines can be used to measure the total  $\text{H}_2$  mass of these galaxies. Most recently, Jiao et al. (2019) extend this to local spiral galaxies and again conclude the [C I](1–0) is likely a good molecular mass tracer based upon its good correlation with CO(1–0).

Our paper focuses on the resolved [C I] emission in a sample of local galaxies. After presenting the sample and data in Section 2, we first report an empirical analysis of the [C I] lines (Section 3). In order to understand what physical properties are driving the relationships we see, we use models applied to the [C I] and full suite of CO lines from  $J_{\text{up}} = 1$  to 7 in Section 4. Finally, we conclude with an investigation of the  $\text{H}_2$  conversion factor for the [C I] lines,  $\alpha_{[\text{C I}]}$ , in Section 5.

## 2. Data

### 2.1. Beyond the Peak Data

The Beyond the Peak (BtP) project used the SPIRE spectrometer (Griffin et al. 2010) on the *Herschel Space Observatory* (Pilbratt et al. 2010) to target 22 local star-forming galaxies taken from the KINGFISH project sample (see Table 1). Galaxies were chosen to represent a range of gas conditions, star-formation rates and galaxy masses while maintaining a central infrared surface brightness of  $9 \times 10^{-6} \text{ W m}^{-2} \text{ sr}^{-1}$  or brighter in a  $40''$  aperture. Table 1 documents the physical resolution achieved for each galaxy and whether each galaxy’s [C I] emission is not detected (below a S/N of 3), detected only in the center or detected in multiple resolution elements (resolved). Note that the physical resolution is computed based upon  $40''$  extraction apertures; the actual spatial resolution is either  $37''$  for the longer-wavelength SLW bolometer data and  $19''$  for the shorter-wavelength SSW bolometer data. Additional properties of these galaxies such as distance, metallicity, stellar mass and star-formation rate are documented in Table 1 of Kennicutt et al. (2011).

The *Herschel* SPIRE FTS data were obtained and reduced as explained in detail in Pellegrini et al. (2013). To more reliably extract the faint, extended emission, many custom modifications were made to the *Herschel* pipeline. A semi-extended source correction was applied to Level 1 data, after which spectra were extracted from  $40''$  diameter regions. We choose to extract on a rectilinear grid with beam centers separated by  $40''$  so that the regions are statistically independent. We then fitted a modified blackbody with a scalar offset to each SPIRE/FTS spectrum (omitting ranges with line emission) and removed this offset. Synthetic photometry in the three SPIRE photometric bands was then computed from these offset-corrected spectra. We flux-calibrated the spectra using a scale factor to match this synthetic photometry to that measured by the SPIRE photometer in identical apertures. After this flux calibration was applied, line fluxes were extracted by fitting Gauss-sinc profiles to the FTS spectra. This profile is appropriate because even at the highest spectral resolution at short wavelengths ( $300 \text{ km s}^{-1}$ ) nearly all the galaxies had unresolved spectral lines. The one exception was NGC 7331, which required convolution with a Gaussian profile due to physical spectral broadening from an inclined star-forming ring in the beam. Spectral lines were considered detected if they

**Table 1**  
BTP Sample Galaxies: Basic Parameters and Coverage

Galaxy	40'' Res. (kpc)	$T_d$ (K) central	$T_d$ (K) disk	Enc. 70 $\mu$ m	Frac. $R_{25}$
Not detected in [C I]					
NGC 1377	4.8	30	...	0.90	0.35
NGC 2976	0.69	27	...	0.10	0.10
NGC 3077	0.74	28	...	0.65	0.15
NGC 5457	1.3	22	...	0.05	0.05
Central [C I]					
NGC 1266	5.9	28	...	0.95	0.45
NGC 1482	4.4	26	...	0.90	0.25
NGC 2798	5.0	27	...	0.90	0.25
NGC 3351	1.8	25	...	0.70	0.10
NGC 4254	2.8	22	...	0.20	0.10
NGC 4536	2.8	27	...	0.75	0.10
NGC 5713	4.1	25	...	0.70	0.25
Resolved [C I]					
NGC 1097	2.8	25	25	0.75	0.15
NGC 3521	2.2	21	21	0.60	0.20
NGC 3627	1.8	24	23	0.70	0.25
NGC 4321	2.8	23	23	0.50	0.25
NGC 4569	1.9	23	22	0.50	0.10
NGC 4631	1.5	25	25	0.65	0.15
NGC 4736	0.90	27	26	0.95	0.20
NGC 4826	1.0	24	23	0.95	0.20
NGC 5055	1.5	22	21	0.30	0.10
NGC 6946	1.3	26	23	0.50	0.30
NGC 7331	2.8	22	22	0.75	0.20

**Note.** Modified blackbody derived temperatures for the centers of the BtP galaxies and the average dust temperatures of regions detected in [C I] for their disks. The proportion of 70  $\mu$ m emission enclosed and the fraction of the  $R_{25}$  galactic radius covered by the regions detected in [C I] (or the central aperture for non-detections) are shown in the last two columns (rounded to nearest 5% to indicate rough level of precision).

were above  $3\sigma$ . The line intensities for [C I](1–0) and [C I](2–1) are tabulated in Table 2 for all 40'' diameter regions. The CO line intensities will be presented in a subsequent paper.

## 2.2. Auxiliary Data

Ground-based CO data were compiled from multiple sources. CO(1–0) data came from the BIMA-SONG survey (only those galaxies with short-spacings; Helfer et al. 2003) or the Nobeyama CO Atlas of Nearby Spiral Galaxies (Kuno et al. 2007). CO(2–1) data came from the HERACLES survey (Leroy et al. 2013) and CO(3–2) data came from the JCMT Nearby Galaxies Legacy Survey and archival data (Wilson et al. 2012). The data cubes from these surveys were convolved to match our spatial resolution; line fluxes were extracted based upon velocity ranges from the high signal-to-noise CO(2–1) data.  $\alpha_{\text{CO}}$  values are from Sandstrom et al. (2013), where available.

## 2.3. Modified Blackbody Dust Temperatures

We fit single-component modified blackbody (MBB) functions to the FIR photometry for regions matching our spectroscopic apertures:

$$I_\nu = A\nu^\beta B_\nu(T), \quad (1)$$

where  $A$  is an amplitude,  $\beta$  is the emissivity, and  $B_\nu(T)$  is the Planck function at temperature  $T$ . We use far-IR photometry at 70, 160, 250, 350, and 500  $\mu$ m from the *Herschel* PACS and SPIRE maps obtained under the KINGFISH project (Kennicutt et al. 2011). The fits were performed using `curve_fit` function from the SciPy library; two example fits are shown in Figure 1. The fit parameters give an estimate of the cold dust temperature and the  $\beta$  parameter modifying the blackbody shape. We choose to use a single MBB component and drop the 24  $\mu$ m photometry available from *Spitzer*, because the addition of this one more point, but three more free parameters (associated with a second MBB component) led to more degeneracies.

## 3. Empirical Analysis

We start with an empirical analysis of the spatially resolved [C I] and CO line data. This approach has the inherent strength of being independent of any model assumptions. Critical densities and upper level temperatures for the lines involved are listed in Table 3.

### 3.1. Disk versus Central Emission

We separate the disk emission from the central apertures in order to investigate the properties of [C I] emission in normal galaxy disks as well as in isolated galaxy centers. We plot the disk and central [C I](2–1)/[C I](1–0), CO(4–3)/[C I](1–0) and CO(7–6)/[C I](2–1) ratios against dust temperature, IRAC 3.6  $\mu$ m intensity (for the disks; a proxy for stellar surface density), and central X-ray luminosity (for the centers) in Figure 2. All three ratios are chosen such that higher values reflect higher excitation conditions (temperature or density; see Table 3). The motivation for pairing these specific CO lines with the [C I] lines is that they are the closest in frequency and thus may be conveniently observed together, with similar angular resolutions. With 40'' diameter apertures separated by 40'' between aperture centers, we consider all non-central apertures to be dominated by disk emission.

We look for correlations between both the disk and central [C I] ratios with dust temperature, as a proxy of local ISM excitation. Using a Kendall's tau statistic appropriate for censored data as implemented in the R package NADA (Nondetects And Data Analysis; Lee 2017), we can reject the null hypothesis of no correlation for the [C I](2–1)/[C I](1–0) and CO(4–3)/[C I](1–0) ratios for both disks and galaxy centers and the CO(7–6)/[C I](2–1) ratio for galaxy centers. The  $p$ -values of these correlations are shown in the upper right corner of the appropriate subplot in Figure 2. In all of these cases, the data show the expected correlation of more highly excited [C I] ratios with increasing dust temperature.

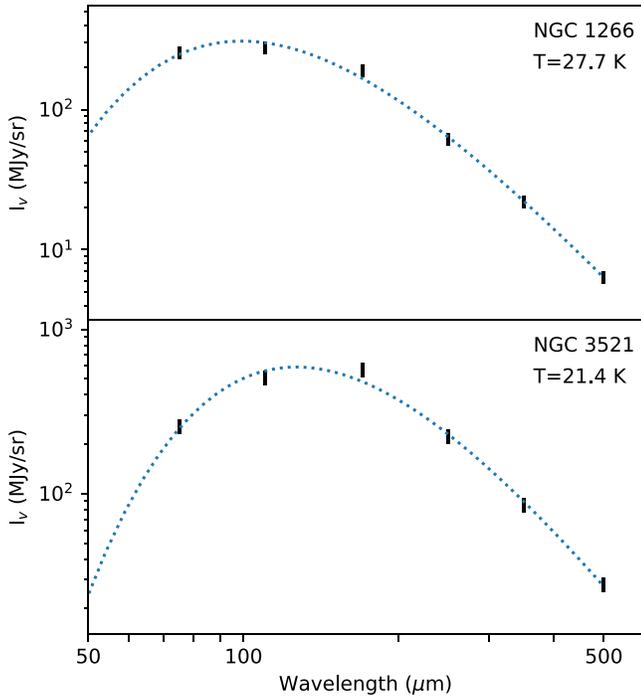
There are very few detections of the CO(7–6)/[C I](2–1) ratio within galaxy disks, but surprisingly, the detections tend to decrease in ratio as dust temperature increases in contrast to what is seen for the galaxy centers and reported elsewhere for LIRGs (Lu et al. 2017). We note that all of the high-temperature low CO(7–6)/[C I](2–1) ratio points are contributed by the same galaxy, NGC 4736. This galaxy's central near-IR spectrum lacks Br $\gamma$  emission (Walker et al. 1988) and optical spectroscopy diagnoses its nuclear region as a 1 Gyr post-starburst with very little current star formation (Taniguchi et al. 1996). NGC 4736's dust is likely heated by this high-density post-starburst population instead of ongoing star

**Table 2**  
[C I] Line Intensities

Galaxy	Reg.	R.A.	Decl.	$I([\text{C I}]_{(1-0)})$	$\text{Err}(I([\text{C I}]_{(1-0)}))$	$I([\text{C I}]_{(2-1)})$	$\text{Err}(I([\text{C I}]_{(2-1)}))$
		(deg)	(deg)	(W sr <sup>-1</sup> m <sup>-2</sup> )			
NGC 1097	1_1	41.58504	-30.26998	5.178E-10	9.383E-11	6.378E-10	6.313E-11
NGC 1097	2_1	41.57861	-30.27002	7.368E-10	1.037E-10	9.7E-10	5.456E-11
NGC 1097	3_1	41.57218	-30.27006	3.98E-10	6.825E-11	5.191E-10	4.634E-11
NGC 1097	0_2	41.59152	-30.27549	1.759E-10	1.203E-10	2.856E-10	7.672E-11
NGC 1097	1_2	41.58509	-30.27553	7.571E-10	9.933E-11	1.055E-09	5.636E-11

**Note.** The second column indicates the region name for the Beyond the Peak survey and will allow easy cross-referencing to the CO line intensities. The regions are enumerated as “x\_y” in a coordinate grid, with “2\_2” the central region.

(This table is available in its entirety in machine-readable form.)



**Figure 1.** Two examples of the single-component modified blackbody fits to the FIR spectral energy distribution from 70 to 500  $\mu\text{m}$ .

formation. Furthermore, NGC 4736 has the strongest central [C II] suppression (Smith et al. 2017), which is similarly interpreted to be due to a high-intensity, but softer (than ongoing star formation) stellar radiation field. Thus, NGC 4736’s very low CO(7–6)/[C I](2–1) ratios at relatively high dust temperature may be explained by intense starlight with a relative lack of recent star formation.

Within galaxy disks, the IRAC 3.6  $\mu\text{m}$  intensity is a proxy for the density of the radiation field contributed by old stars, which has been found to correlate with the [C II] deficit (Smith et al. 2017). The relations in Figure 2 do not show any statistically significant correlations between these three [C I] ratios and the IRAC 3.6  $\mu\text{m}$  intensity. Note that galaxy centers are excluded from this plot, because a low-luminosity AGN or central starburst can make even the 3.6  $\mu\text{m}$  emission a less reliable tracer of old stars.

The galactic centers of the BtP galaxies are a mix of low-luminosity AGNs, nuclear starbursts and quiescent nuclei (Moustakas et al. 2010) in otherwise normal galaxies. Due to the resolution of our observations, the central extraction

**Table 3**  
Reference Line Parameters

Line	$T_u$ (K)	$n_{\text{crit}}$ (cm <sup>-3</sup> ) 10 K	$n_{\text{crit}}$ (cm <sup>-3</sup> ) 100 K
[C I](1–0)	24	$1.1 \times 10^3$	$1.1 \times 10^3$
[C I](2–1)	62	$1.8 \times 10^3$	$1.2 \times 10^3$
CO(1–0)	5.5	$1.9 \times 10^3$	$2.1 \times 10^3$
CO(2–1)	17	$6.1 \times 10^3$	$6.7 \times 10^3$
CO(3–2)	33	$1.5 \times 10^4$	$1.7 \times 10^4$
CO(4–3)	55	$3.3 \times 10^4$	$3.4 \times 10^4$
CO(5–4)	83	$6.3 \times 10^4$	$6.1 \times 10^4$
CO(6–5)	116	$1.1 \times 10^5$	$9.8 \times 10^4$
CO(7–6)	155	$1.5 \times 10^5$	$1.5 \times 10^5$

**Note.** Critical densities and upper level temperatures for relevant lines. Critical densities are calculated assuming ortho-H<sub>2</sub> is the dominant collision partner and using rate coefficients appropriate for a kinetic temperature of either 10 K or 100 K.

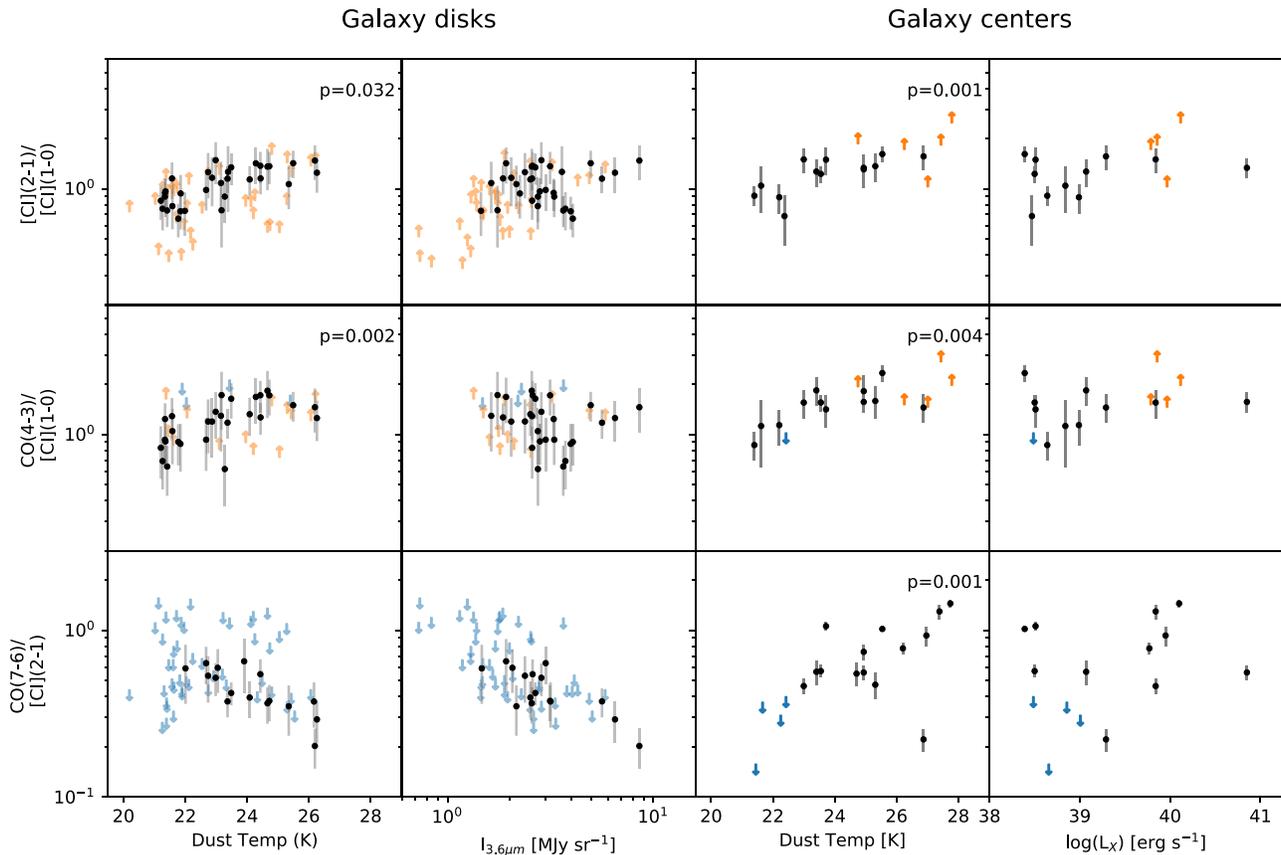
measures not only the true nucleus, but extended circumnuclear regions from a 0.9 to 6 kpc scale (see Table 1). Line ratios for these central regions are plotted against their nuclear (within 2''/3) X-ray luminosity in Figure 2 in order to look for correlations with AGNs or star-formation power. The majority of these X-ray luminosities are from the compilation by Grier et al. (2011) of *Chandra* measurements, with additional *Chandra* measurements for NGC 1266 (Alatalo et al. 2014) and NGC 4536 (McAlpine et al. 2011). Note that these X-ray luminosities include both hard and soft emission (0.3–8.0 keV) and thus may have contributions from an AGN and/or from central star formation. No statistically significant correlations are observed between X-ray luminosity and any of these central [C I] ratios.

### 3.2. [C I] and CO

Both [C I] lines are indisputably correlated with all observed transitions of CO, as shown in the intensity versus intensity plots of Figure 3 (first and third rows of each column). In these plots, gray circles indicate detections, green left arrows indicate upper limits in CO, and blue down arrows indicate upper limits in [C I]. For clarity, data that are non-detections in both lines are not shown. We fit a power-law relation between the intensities (indicated with a dashed line) and the residual scatter around this relation (second and fourth row of each column).

The power law we are interested in is written as follows:

$$I([\text{C I}]) \propto I(\text{CO})^\gamma. \quad (2)$$



**Figure 2.** [C I](2–1)/[C I](1–0), CO(4–3)/[C I](1–0) and CO(7–6)/[C I](2–1) ratios for galaxy disks and centers as a function of dust temperature (first and third columns), IRAC 3.6  $\mu\text{m}$  intensity (2nd column, disks only), and central X-ray luminosity (fourth column, centers only). Upper (lower) limits are shown as blue (orange) arrows.

Values of  $\gamma$  close to one imply that it is reasonable to convert directly from one line intensity to the other. Sub- or super-linear correlations imply a dependence on a physical effect that correlates with the line intensity of a region. Fitting in log–log space means the slope of a linear fit will be the desired power-law exponent,  $\gamma$ . However, the task of fitting such a line is complicated by the multitude of upper limits within these data sets. We follow advice from Feigelson & Babu (2012) and use the doubly censored Theil–Sen estimator given in Akritas et al. (1995) for fitting lines with doubly left-censored data as implemented in the R package NADA. We determine best slopes using this algorithm for each combination of CO line and [C I] line, which are shown with dashed lines in Figure 3. It should be noted that this algorithm does not return a best estimate for the y-intercept. Thus, we used least-squares to find a y-intercept estimate with a fixed slope at the NADA value, and ignored the censored data.

The calculated values of the power-law exponent are shown in Figure 4 for both [C I](1–0) and [C I](2–1) against the various  $J$  CO lines. Uncertainties on these slopes are determined by bootstrap resampling of the data. For [C I](2–1), the relationship with CO(4–3) is very nearly linear. For [C I](1–0), the relationship between [C I] and CO is always sublinear, which means that higher-intensity CO regions have higher CO/[C I] ratios, with a larger effect for lines farther from CO(4–3). For both [C I](1–0) and [C I](2–1), we expect the following physical reasons are at work. At high intensities of low- $J$  CO, the majority of gas is cold, and unable to excite

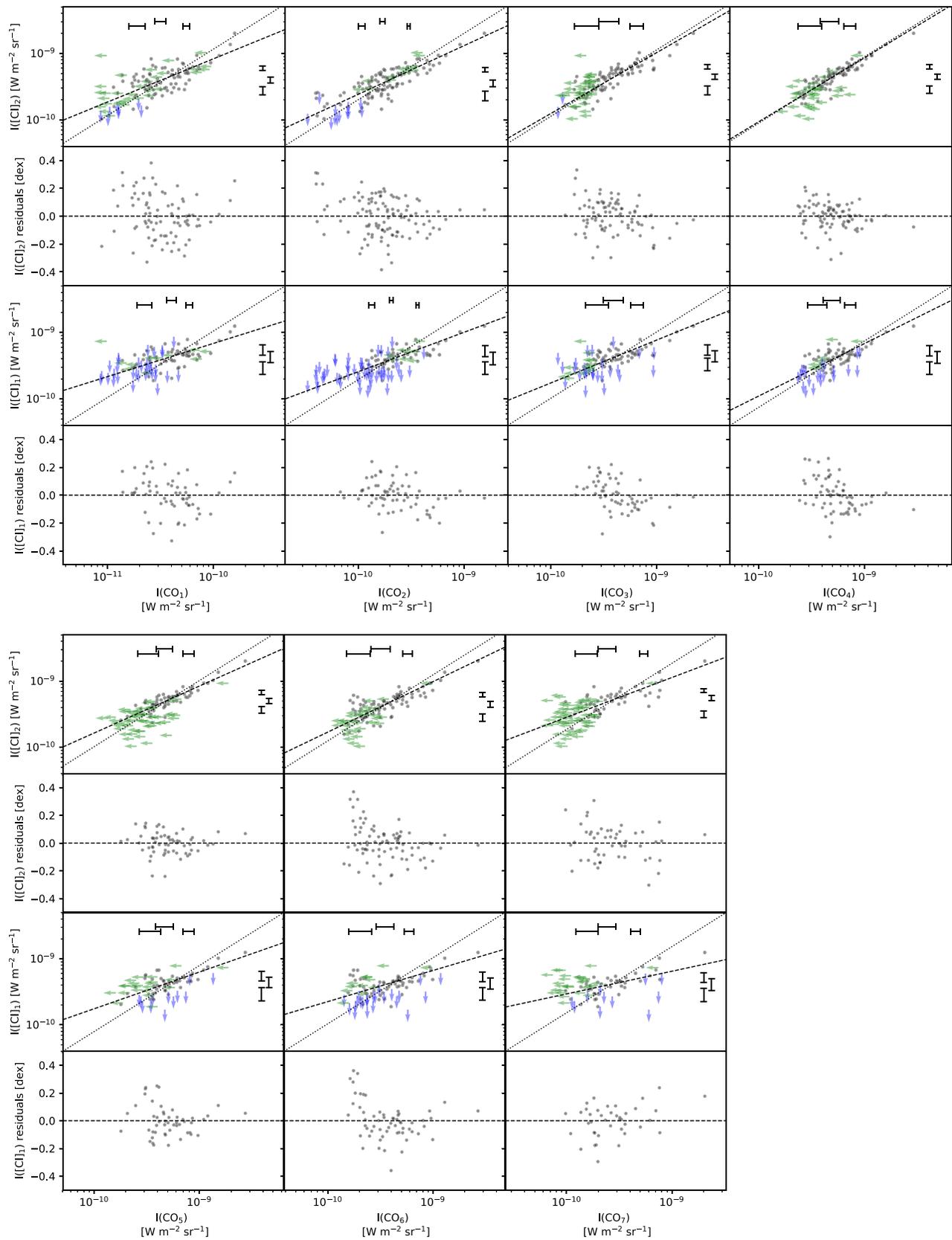
the transitions of [C I] with their higher upper level temperatures. Thus these regions have lower [C I]/CO ratios and result in sublinear correlations. At high intensities of high- $J$  CO, there is more dense, warm gas, which is more effective at exciting the high critical density high- $J$  CO lines. Again, this results in lower [C I]/CO ratios at the high intensity end and thus, again, a sublinear correlation.

The intrinsic scatter in the relation can be determined using the statistical approach of Cappellari et al. (2013; their Equation (6)). The basic idea is to compute the reduced  $\chi^2$  statistic including a term for the intrinsic scatter that may be increased until the reduced  $\chi^2$  equals its expected value of 1. Using this approach, the intrinsic scatter appears minimal for the [C I](2–1) to CO(4–3) relation as shown in the bottom panels of Figure 4. This low scatter is a further indication of a strong relationship between [C I](2–1) and CO(4–3). Relations between [C I](1–0) and CO(2–1), CO(3–2) and CO(4–3) all have similar intrinsic scatter. Thus, we cannot make a strong statement about which CO line [C I](1–0) is best correlated with.

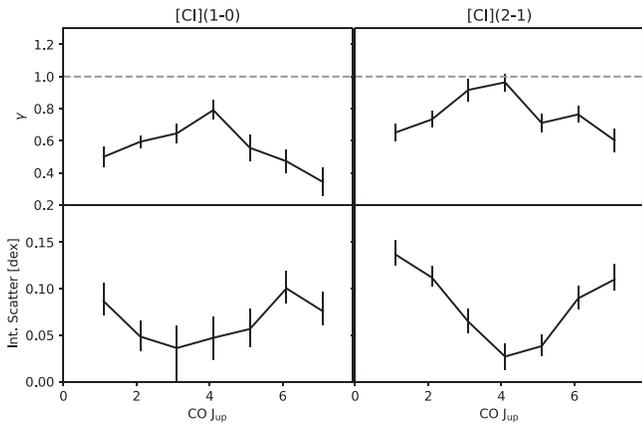
## 4. An Approximation and Models

### 4.1. LTE Approximation

We first consider what the [C I] lines alone can tell us about the physical state of the gas. Unfortunately, there are only two [C I] lines, which means we must make some assumptions if we are to derive gas properties. A common assumption is that the neutral carbon is in LTE and the two [C I] lines are optically



**Figure 3.** [C I](2–1) (first two rows) and [C I](1–0) (second two rows) vs. all seven CO lines. The first and third rows of each column are intensity vs. intensity plots, while the second and fourth rows show logarithmic residuals from the best-fit power law (dashed line). The dotted line in the intensity vs. intensity plots indicates a relation with a linear slope. The floating error bars above and to the right depict typical errors for the BtP or ground-based detected data in three intensity bins for each ordinate.



**Figure 4.** Power-law exponent,  $\gamma$ , (top) and intrinsic scatter (bottom) as calculated by a power-law fit of [C I](1–0) and [C I](2–1) intensities vs. CO line intensities of Figure 3. The value of CO  $J_{up}$  is shown along the x-axis.

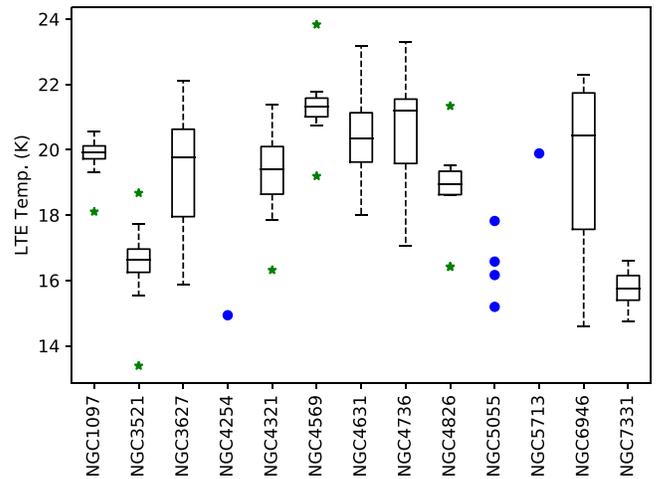
thin (e.g., Kamenetzky et al. 2014). In this case, the excitation temperature is simply calculated using the Boltzmann distribution for the [C I] level populations and Einstein A coefficients to determine the line fluxes from these level populations. Figure 5 documents these optically thin LTE temperatures for all galaxies where both [C I](1–0) and [C I](2–1) are detected. These temperatures are generally lower than those found for the more active galaxies (starbursts, AGNs, ultraluminous infrared galaxies (ULIRGs)) in the Kamenetzky et al. (2014) sample. Within their sample, only 6 out of 18 studied regions have LTE [C I] temperatures below 24 K, the highest temperature seen in the BtP sample. These optically thin LTE temperatures are consistent with the kinetic temperatures found for the [C I]-emitting gas for simulated molecular clouds at both a solar neighborhood interstellar radiation field and an ISRF 10 times stronger (Clark et al. 2019).

However, the conditions for LTE may not be met, for instance, if subthermal excitation is important. Section 3.3 of Glover et al. (2015) discusses the problems they see when assuming LTE for the [C I] emission of their simulated cloud. If lines are (partially) optically thick, we also cannot simply derive the excitation temperature, as the observed flux will be lower than the emitted flux. We will later discuss how important these effects are for the BtP sample, if we use the gas properties derived from our two-component fit.

#### 4.2. Two-component LVG + Template Models

Next, we use models to help connect the [C I] lines to a physical gas phase. As CO and [C I] emission have been observed to be cospatial on parsec scales within Milky Way clouds (e.g., Figures 3 and 4 of Shimajiri et al. 2013) as well as on hundreds of parsec scales within resolved galaxies (e.g., Krips et al. 2016), we use the emission from both species to constrain the CO- and [C I]-emitting gas parameters.

LVG models are commonly used to describe the emission from molecular clouds because they provide an easily computable non-LTE approximation. To model the line intensities of a single molecular species, the parameters required are the kinetic temperature, the volume density of dominant collision partners, and the column density of the molecule of interest per unit velocity spread. When such models are applied to regions within galaxies, we assume those regions are made up of clouds with identical values of these



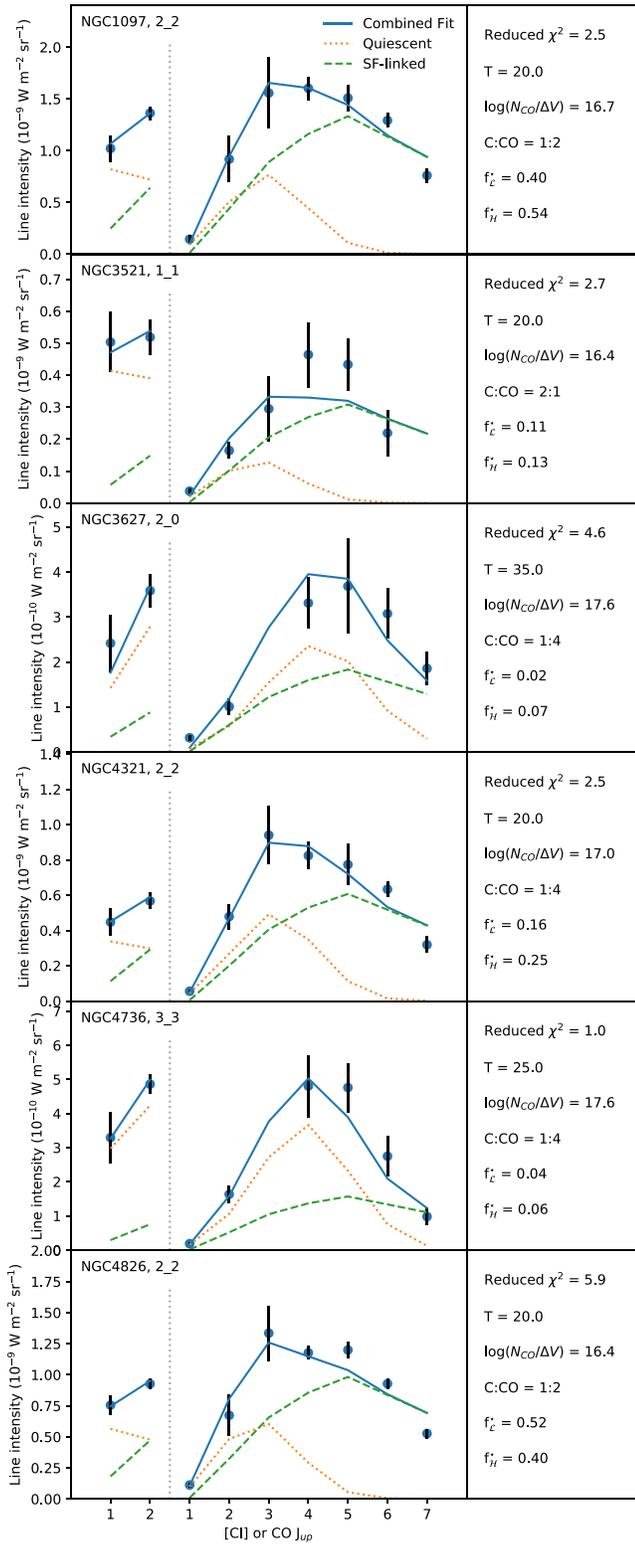
**Figure 5.** Boxplots indicating the distribution of LTE [C I] temperatures for each galaxy. For galaxies with fewer than six [C I] temperature measurements, the blue dots show the individual temperatures instead of a boxplot. The green stars represent outliers in the distributions.

parameters. An additional normalization parameter allows for the intensity of the region to be lower than if it were uniformly filled by such clouds. LVG models may also include multiple species, as long as either an abundance ratio is assumed, or there are enough lines to allow the abundance ratio to be fit.

Two-component LVG models are required to fit the [C I] and CO emission of these galaxies. Israel et al. (2015) noted that their lowest-excitation galaxies cannot be well fit by a single component. Schirm et al. (2017) document in their Appendix A why a one-component LVG model is not realistic for regions in M51. Similarly, we found unrealistically high gas kinetic temperatures and low densities when the BtP data were fit with a single LVG component.

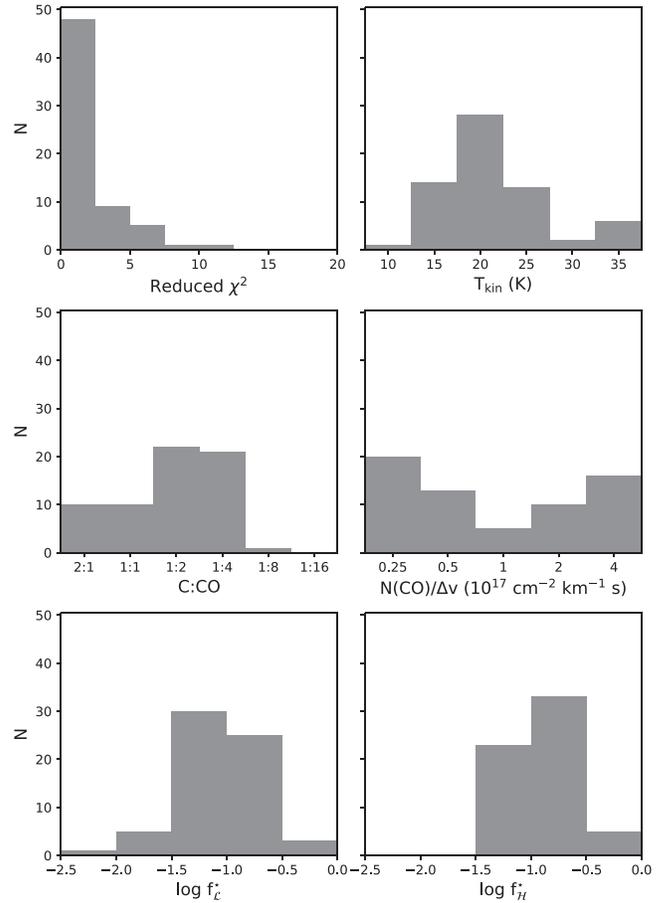
A full two-component model requires 10 free parameters: density, kinetic temperature, column density per velocity interval, filling factor, and C to CO abundance for both components. In the very best cases within the BtP sample, only 9 lines were detected, therefore a more constrained model was necessary. We first tried a combination of a low-excitation template based upon the Milky Way and fit a high-excitation LVG model. However, this led to high reduced  $\chi^2$  values for many regions due to poor fits at the low-excitation end of the spectral line energy distribution. Thus, we instead use an empirically derived template for the high-excitation emission and an LVG model to account for the lower-excitation emission. The high-excitation template we use is based on the detailed empirical observations of an actively star-forming region in the LMC, N159W (Lee et al. 2016). Lee et al. (2016) analyze the sources powering the [C I] and CO emission in this region, acknowledging some is from star-formation-powered PDRs, while other emission must be powered by star-formation feedback related shocks. Instead of modeling these components separately, we simply use their integrated observed emission from the region as a template.

For the lower-excitation component, we use the LVG code RADEX to provide models of the emission (van der Tak et al. 2007). We constrained the models to  $n(\text{H}_2) = 10^3 \text{ cm}^{-3}$  because at higher densities the shape simply resembles the high-excitation template and we lose diagnostic power. Kinetic temperature is allowed to vary from 10 to 35 K in 5 K increments.  $N(\text{CO})/\Delta v = 0.25, 0.5, 1, 2, 4 \times 10^{17} \text{ cm}^{-2} \text{ km}^{-1} \text{ s}$  are options for the column density per unit velocity. In addition, we allow the  $\text{C}^0:\text{CO}$



**Figure 6.** Six example fits to [C I] and CO SLEDS. The fits combine a constant shape high-excitation template (green dashed line) and a low-excitation component (red dotted line) based on RADEX models with varying temperature, column density per velocity unit and C to CO abundance ratio. Both the high-excitation template and low-excitation component are scaled by a filling factor,  $f_{\mathcal{H}}^*$  and  $f_{\mathcal{L}}^*$ , respectively.

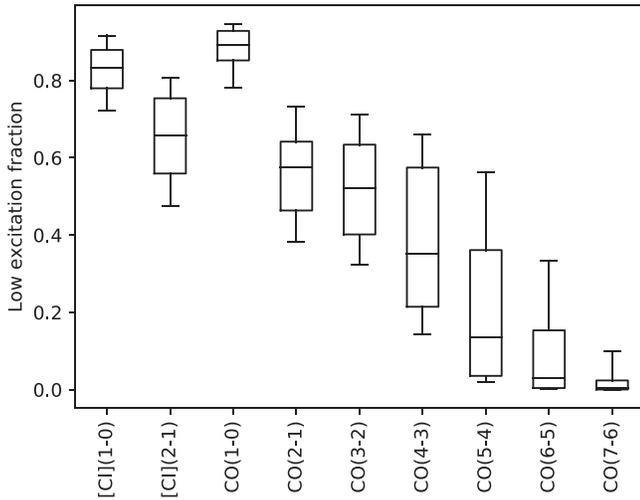
abundance ratio to be 2:1, 1:1, 1:2, 1:4, 1:8, or 1:16. These choices are all based upon the “quiescent” and “very quiescent” models for [C I] and CO from Papadopoulos & Greve (2004),



**Figure 7.** Histograms representing the results of the two-component fit to the [C I] and CO lines. The best-fit models for different regions vary over the full range of  $T_{\text{kin}}$  and  $N(\text{CO})/\Delta v$ , although few regions are best fit by 10 K. Very low C:CO abundances do not seem to be required. Filling factors are not remarkably different between low- and high-excitation components. Reduced  $\chi^2$  values are mostly lower than 5.

which summarize observations of the Milky Way, M31 and M33. They also cover the parameters found to fit the cold component of M51 (Schirm et al. 2017). The scale factor for each component is defined as the average number of  $\Delta v = 1 \text{ km s}^{-1}$  clouds along the line of sight and is hereafter denoted  $f_{\mathcal{L}}^*$ . While this appears to be an awkward definition, it prevents us from either having to fix the typical cloud width within our galaxies or from assuming the full velocity line width of the galaxy is the relevant line width for the LVG approximation. Based upon this definition, the N159W high-excitation region has  $f_{\mathcal{H}}^* = 1$  because it is a  $10 \text{ km s}^{-1}$  wide cloud with a beam filling factor of 0.1 (Lee et al. 2016). Thus, the scale factor we apply to the high-excitation N159W template for a given region gives us that region’s  $f_{\mathcal{H}}^*$  scale factor.

For robust fits of the five parameters ( $T$ ,  $N(\text{CO})/\Delta v$ , C:CO,  $f_{\mathcal{L}}^*$ ,  $f_{\mathcal{H}}^*$ ) we decided to require detections in both [C I] lines and at least four CO lines, including one of CO(1–0) or CO(2–1). This cut results in 64 independent regions that are fit. The best fit is determined by minimizing the  $\chi^2$ . We show the data and best fits for six example regions in Figure 6; histograms documenting the fit results for all regions are shown in Figure 7.



**Figure 8.** Distributions of the fraction of line flux contributed by the low-excitation component, according to the two-component model fit. The boxes enclose the middle two quartiles, with the median indicated. The whiskers extend to 10% and 90% of the full data range.

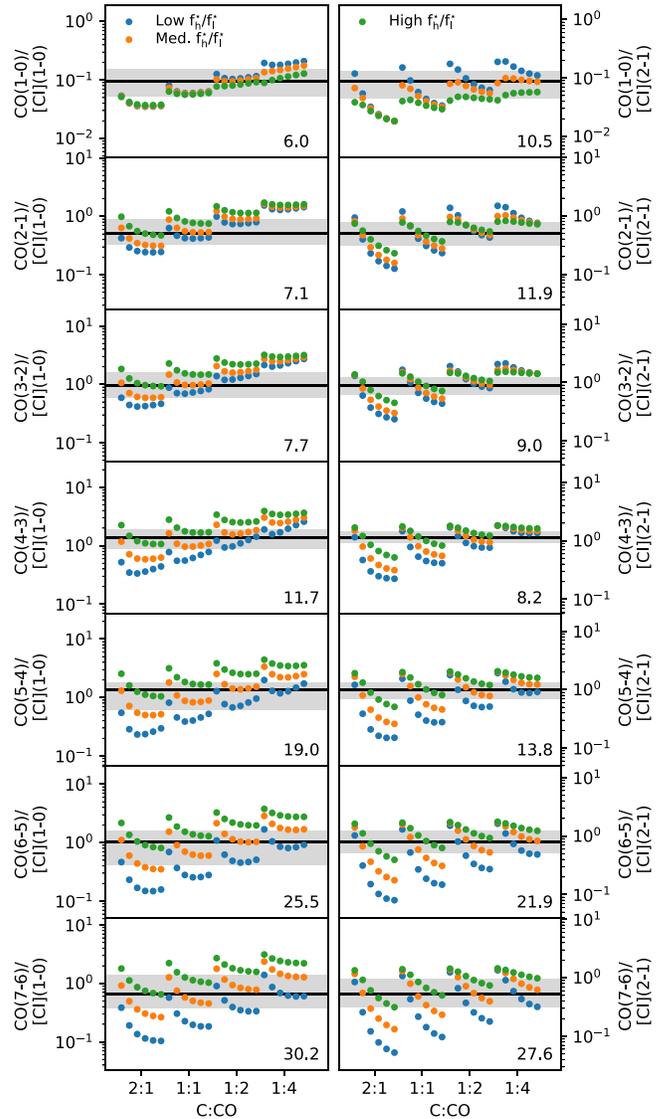
#### 4.3. Excitation Fraction Distributions

Given the adequate decomposition provided by the two-component fit, we indicate distributions of the low-excitation fraction of each line in Figure 8. For [C I], nearly all of the [C I](1–0) line is contributed by the low-excitation component. For [C I](2–1), the fraction varies significantly from region to region, although the median is close to 70%. For CO, there is a steady decrease in the contribution of the low-excitation component as  $J$  increases. We note that our range for the CO(2–1) high-excitation fraction corresponds nearly identically to the range Israel et al. (2015) report for their LIRG/ULIRGs CO(2–1) dense fraction (rightmost panel of their Figure 8). As expected, very little of the highest- $J$  CO line intensities are contributed by the low-excitation component.

These distributions represent the fraction of line emission from a low-excitation component for the brightest regions within a sample of normal, local spiral galaxies. By this analysis, [C I](1–0) and CO(1–0) are both reliable tracers of the low-excitation component for these regions. [C I](2–1) is less reliable, as even in these normal spiral galaxies there are cases where half of its emission is from a high-excitation component. The CO  $J_{\text{up}} = 2-5$  lines have low-excitation fraction ranges of typically 30%–70%. So for these regions of spiral galaxies they are not dominated by one component or the other and indeed show a wide variation. While this limited two-component analysis is only a slight improvement on trying to account for the physical properties with a single LVG model, already we can see that considering multiple components is very important for the [C I]- and CO-emitting gas.

#### 4.4. The [C I](2–1) to CO(4–3) Connection

One of the goals of this modeling work is to explain the strong link found between the CO(4–3) and [C I](2–1) emission. In order to do this, we consider the range of line ratios produced by these two-component models. For various abundances and temperatures (shown on the  $x$ -axis; temperature increases from 10 to 35 K left to right in 5 K steps for each abundance), Figure 9 shows the line ratios produced by our two-component model. The different color circles represent



**Figure 9.** Variation in model ratios with C:CO abundance, temperature, and filling factor ratio with a fixed  $N(\text{CO})/\Delta v = 10^{17} \text{ cm}^{-2} \text{ km}^{-1} \text{ s}$ . The left column presents CO ratios with [C I](1–0) and the right column with [C I](2–1). Along the  $x$ -axis, different models are indicated, first by the C:CO abundance of the low-excitation component, then within those categories, by low-excitation component temperature from 10 to 35 K in 5 K increments (thus 6 points per abundance flight). The blue, orange, and green points indicate filling factor ratios at 10%, 50%, and 90% of the filling factor ratio distribution, respectively. The ratios of these models may be compared to the observed ratios for each combination, as indicated by the median (black line) and the zone containing 10%–90% of the distribution for the BTP sample in gray. The number in the bottom right indicates the factor spread from the highest to lowest ratios of the models.

different  $f_{\mathcal{H}}^*/f_{\mathcal{L}}^*$  filling factor ratios. We depict the median ratio in orange, the ratio at 90% of the observed distribution in green and the ratio at 10% of the observed distribution in blue. We only show the  $N(\text{CO})/\Delta v = 10^{17} \text{ cm}^{-2} \text{ km}^{-1} \text{ s}$  models to avoid clutter. The number in the bottom right corner shows the multiplicative spread from the minimum to maximum line ratio for the models.

For [C I](2–1), the models collapse to a small range at the CO(4–3)/[C I](2–1) ratio. Note that this also occurs for the four other column densities individually and all five column densities as a set (not shown). This collapse fits precisely with the linear correlation with minimal scatter observed for

CO(4–3) versus [C I](2–1) in Section 3.2. While Figure 9 graphically shows the limited range in ratios, we may understand the narrow range physically as being due to the very similar upper level temperatures of 55 K versus 62 K for CO(4–3) and [C I](2–1), respectively. While their critical densities differ by a factor of about 20 and this is surely responsible for some of the differences seen in these ratios, the similar upper level temperatures are more important in these cases where density is not too far below critical.

However, the observed ratios exhibit an even narrower range than that populated by these “reasonable” models. The full range of observed ratios is shown by the gray band in all of these plots. So even if the models alone suggest a narrow range for the CO(4–3)/[C I](2–1) ratio, we find that real regions within galaxies have an even narrower range in this ratio, suggesting that all of our model parameter space is not explored by real galaxies.

For [C I](1–0), with its 24 K upper level temperature and lower critical density, the narrowest range of ratios should occur for CO(1–0)/[C I](1–0) based purely on the reasonable range models. But at least for the regions with [C I](1–0) detections, the analysis summarized by Figure 5 showed minimal scatter for the relationships between [C I](1–0) and CO(2–1), CO(3–2) or CO(4–3). This may be due to our detections in [C I](1–0) being biased toward the brightest (likely higher-excitation) regions of these spiral galaxies or the lower quality of the ground-based CO(1–0) data.

## 5. The [C I] to H<sub>2</sub> Conversion Factor

One of the primary reasons [C I] lines are interesting is their ability to measure the cold molecular gas mass at high redshift, where they are observationally easier to measure than the low- $J$  transitions of CO. Matching the definition of  $\alpha_{\text{CO}}$ , we define

$$\alpha_{[\text{C I}]} = \frac{\Sigma_{\text{mol}}}{I_{[\text{C I}]}} \quad (3)$$

where  $\Sigma_{\text{mol}}$  is the mass surface density of molecular gas (including helium) in  $M_{\odot} \text{ pc}^{-2}$  and  $I_{[\text{C I}]}$  is the [C I] line intensity in  $\text{K km s}^{-1}$ . For most of the galaxies in the BtP sample,  $\alpha_{\text{CO}(2-1)}$  values have been obtained in Sandstrom et al. (2013) using a technique that minimizes the spread in the dust-to-gas ratio within a limited region using the observed dust, H I and CO emission. We apply these values to calculate  $\Sigma_{\text{mol}}$  for the regions with detected [C I] lines. Then,  $\alpha_{[\text{C I}]}$  may be estimated by dividing by the region’s [C I] intensity:

$$\alpha_{[\text{C I}]} = \frac{\alpha_{\text{CO}(2-1)} I_{\text{CO}(2-1)}}{I_{[\text{C I}]}} \quad (4)$$

The test here is to see how the distribution of  $\alpha_{[\text{C I}]}$  compares to that of  $\alpha_{\text{CO}}$ . Unfortunately, observational uncertainties mean we cannot compare the true distributions of these values, only their observed distributions. However, similar to the method used to calculate the intrinsic scatter about the power-law fits in Figure 3, we can estimate the intrinsic spread of the distribution for  $\alpha_{\text{CO}(2-1)}$ ,  $\alpha_{[\text{C I}](1-0)}$  and  $\alpha_{[\text{C I}](2-1)}$ , as we know the uncertainties on the quantities used to compute them. We assume a lognormal distribution for these quantities. We allow for both the observational uncertainties and an intrinsic spread of the distribution ( $\sigma_{\text{int}}$ ). The estimated  $\sigma_{\text{int}}$  (in dex) is that

which gives a reduced  $\chi^2$  value of 1:

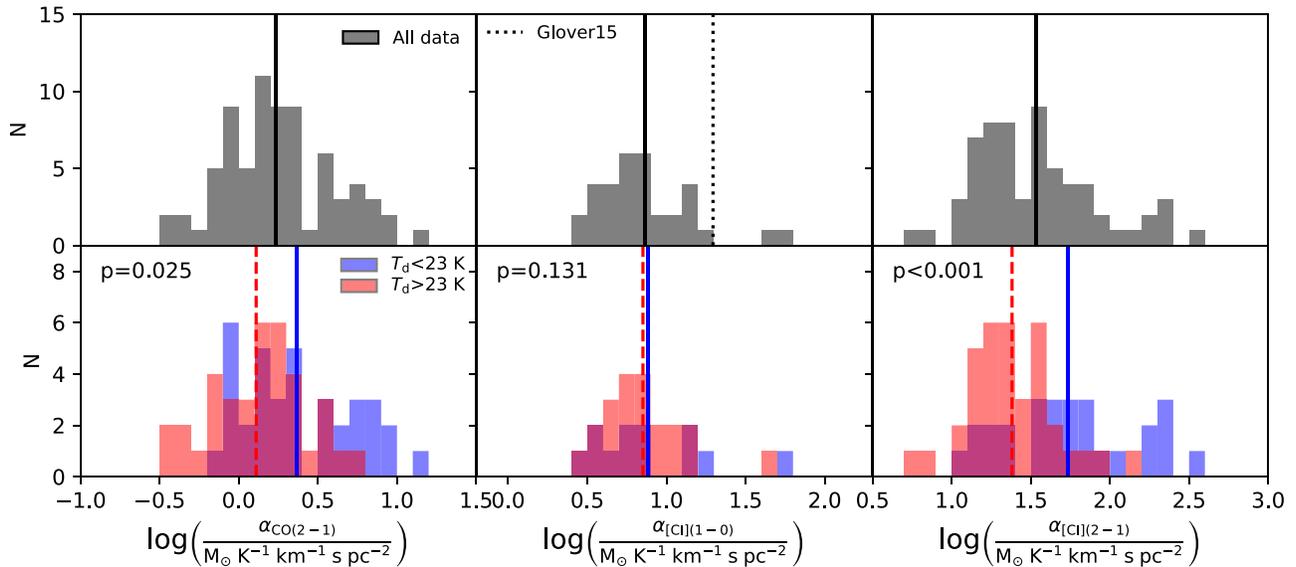
$$\frac{\chi^2}{\nu} = \sum \frac{(\log_{10} \mu - \log_{10} \alpha_i)^2}{\sigma_i^2 + \sigma_{\text{int}}^2} = 1, \quad (5)$$

where  $\nu$  is the number of degrees of freedom,  $\mu$  is the geometric mean of all the  $\alpha_i$ ,  $\alpha_i$  are the calculated values of  $\alpha$  for each region, and  $\sigma_i$  is the total observational uncertainty for each region, expressed in dex. The  $\alpha_{\text{CO}(2-1)}$  values have a typical uncertainty of about 0.2 dex (Sandstrom et al. 2013). The  $\alpha_{[\text{C I}]}$  values have additional uncertainty from the measurements of  $I_{\text{CO}(2-1)}$  and  $I_{[\text{C I}]}$  that go into computing them.

The top row of Figure 10 shows the distributions of  $\alpha_{\text{CO}(2-1)}$ ,  $\alpha_{[\text{C I}](1-0)}$ , and  $\alpha_{[\text{C I}](2-1)}$  values for regions within BtP sample galaxies. Table 4 tabulates the geometric mean  $\alpha_{[\text{C I}]}$  and  $\alpha_{\text{CO}(2-1)}$  values and both the observed and intrinsic spreads expressed in dex along with their errors. There are two rows for  $\alpha_{\text{CO}(2-1)}$ , because it must be constrained to the same set of data as its  $\alpha_{[\text{C I}]}$  pair (fewer regions are available for [C I](1–0)) for a fair comparison. Comparing these data-matched pairs, the spreads of  $\alpha_{[\text{C I}](1-0)}$  and  $\alpha_{\text{CO}(2-1)}$  are not significantly different, while the spread of  $\alpha_{[\text{C I}](2-1)}$  is greater than that of  $\alpha_{\text{CO}(2-1)}$ . Thus [C I](1–0) is potentially as good a tracer of the cold molecular gas as CO(2–1). On the other hand, [C I](2–1) appears to be a slightly worse tracer, in agreement with our finding of its good correlation with CO(4–3).

The factor  $\alpha_{\text{CO}}$  is known to vary based on local excitation conditions. In particular, it has long been known that more highly excited galaxies like LIRGs and ULIRGs tend to have lower  $\alpha_{\text{CO}}$  ratios (less molecular mass per unit emission). In the bottom panel of Figure 10 we use the dust temperature to separate more and less excited regions within our sample galaxies. We divided the available data into two bins using a division of 23 K (the average dust temperature). Blue represents lower dust temperatures and red higher. The  $p$ -values listed in the upper left hand corner correspond to the probability that both distributions are samples drawn from the same parent distribution according to a Kolmogorov–Smirnov test. Values under 0.05 can be considered significant, so  $\alpha_{\text{CO}(2-1)}$  and  $\alpha_{[\text{C I}](2-1)}$  both show significance. In both of these cases, we see the expected pattern that higher-excitation regions tend to lower  $\alpha$  values. The  $\alpha_{[\text{C I}](1-0)}$  distribution does not show a significant difference between low and high dust temperature regions, signaling perhaps it is a better molecular gas tracer because it is less dependent on excitation conditions.

Our  $\alpha_{[\text{C I}](1-0)}$  values are mostly lower (our median value is about a factor of 3 lower) than the simulation-based predictions of  $\alpha_{[\text{C I}](1-0)} \approx 20 M_{\odot} \text{ K}^{-1} \text{ km}^{-1} \text{ s pc}^{-2}$  (Offner et al. 2014; Glover et al. 2015, dotted line in Figure 10). We suspect this difference occurs because these simulations do not trace the clouds after star formation begins and thus contain purely quiescent gas instead of the mix contained in our large apertures within disk galaxies. We also note that the regions with detected [C I] emission have lower than typical  $\alpha_{\text{CO}}$  values found on average for disks. When converted to the more common  $\alpha_{\text{CO}(1-0)}$  via the Sandstrom et al. (2013) assumed  $R_{21} = 0.7$ , the mean value of  $\alpha_{\text{CO}(1-0)}$  is 0.9 (or 1.1)  $\text{K}^{-1} \text{ km}^{-1} \text{ s pc}^{-2}$  for our regions detected in [C I](1–0) (or [C I](2–1)). These values are both lower than the mean  $\alpha_{\text{CO}(1-0)} = 3.1 M_{\odot} \text{ K}^{-1} \text{ km}^{-1} \text{ s pc}^{-2}$  found by Sandstrom et al. (2013), whose sample contains many of these same galaxies, but probes farther out in their disks. However, as expected, the mean  $\alpha_{[\text{C I}]}$



**Figure 10.** Distributions of  $\alpha_{\text{CO}(2-1)}$ ,  $\alpha_{\text{C I}(1-0)}$ , and  $\alpha_{\text{C I}(2-1)}$  values for regions within BtP sample galaxies. The top row shows the full distributions, while the bottom row’s colored histograms break the distributions into two bins, based on a dust temperature of 23 K. Probabilities are drawn from the same sample according to a two-sample Kolmogorov–Smirnov test are given in the upper left corner of each subplot. The dashed red line gives the median of the warm-dust  $\alpha$  values while the blue solid line gives the median of the cold-dust  $\alpha$  values. The dotted line in the top center subplot indicates the  $\alpha_{\text{C I}(2-1)}$  value estimated from the Glover et al. (2015) simulation.  $\alpha_{\text{CO}(2-1)}$  values are from Sandstrom et al. (2013).

**Table 4**  
H<sub>2</sub> Conversion Factors for [C I] Detected Regions

	Mean	$\sigma_{\text{obs}}$ (dex)	$\sigma_{\text{int}}$ (dex)
$\alpha_{\text{C I}(1-0)}$	7.3	0.29	$0.20^{+0.06}_{-0.05}$
$\alpha_{\text{CO}(2-1)}$	1.30	0.28	$0.21^{+0.06}_{-0.04}$
$\alpha_{\text{C I}(2-1)}$	34	0.39	$0.32^{+0.05}_{-0.04}$
$\alpha_{\text{CO}(2-1)}$	1.56	0.33	$0.27^{+0.04}_{-0.03}$

**Note.** Units for the means are  $M_{\odot} \text{K}^{-1} \text{km}^{-1} \text{s pc}^{-2}$ . The two rows for  $\alpha_{\text{CO}(2-1)}$  describe the  $\alpha_{\text{CO}(2-1)}$  distribution constrained to the same set of regions and have detections in [C I](1–0) and [C I](2–1), respectively.

values we calculate are larger than the  $\alpha_{\text{C I}(1-0)} = 4.9 M_{\odot} \text{K}^{-1} \text{km}^{-1} \text{s pc}^{-2}$  and  $\alpha_{\text{C I}(2-1)} = 17 M_{\odot} \text{K}^{-1} \text{km}^{-1} \text{s pc}^{-2}$  found for (U)LIRGs by Jiao et al. (2017) using a conversion of CO to H<sub>2</sub> mass. On the other hand, Jiao et al. (2017) find similar values of  $\alpha_{\text{C I}(1-0)} = 10.3 M_{\odot} \text{K}^{-1} \text{km}^{-1} \text{s pc}^{-2}$  and  $\alpha_{\text{C I}(2-1)} = 37.4 M_{\odot} \text{K}^{-1} \text{km}^{-1} \text{s pc}^{-2}$  when using a different method of inferring the H<sub>2</sub> mass directly from the two[C I] lines, assuming they are optically thin and in LTE. (Note that these are different than the values in Jiao et al. 2017, adjusted by a factor 1.36 to include the contribution of helium to the total molecular gas mass.)

## 6. Conclusion

We used spatially resolved Herschel SPIRE/FTS FIR spectroscopy from the Beyond the Peak program to measure [C I] and CO line intensities in a sample of 18 nearby, normal galaxies. We found a linear relationship between the [C I](2–1) and CO(4–3) lines. This relationship also had minimal scatter compared to the relationships found with other CO lines. A simple physical explanation is the small difference between the upper level temperatures of the [C I](2–1) and CO(4–3) lines, which appears to be more important than their different critical densities. Other relationships between [C I] and CO lines are all sublinear (see Figures 4 and 5).

With a two-component LVG + high excitation template model, we find that [C I](1–0) and CO(1–0) are dominated by the low-excitation gas, while CO(6–5) and CO(7–6) are dominated by the high-excitation component. [C I](2–1), CO(2–1), CO(3–2), CO(4–3), and CO(5–4) have significant contributions from both low- and high-excitation components, but critically depend on local conditions.

We also determined [C I] to molecular mass conversion factors for both [C I] lines, with mean values of  $\alpha_{\text{C I}(1-0)} = 7.3 M_{\odot} \text{K}^{-1} \text{km}^{-1} \text{s pc}^{-2}$  and  $\alpha_{\text{C I}(2-1)} = 34 M_{\odot} \text{K}^{-1} \text{km}^{-1} \text{s pc}^{-2}$ .  $\alpha_{\text{C I}(1-0)}$  has a similar intrinsic spread ( $0.20^{+0.06}_{-0.05}$  dex) to an  $\alpha_{\text{CO}}$  value based upon CO(2–1) ( $0.21^{+0.06}_{-0.04}$  dex), thus we conclude that it is a similarly good tracer of the cold molecular gas. However,  $\alpha_{\text{C I}(2-1)}$  has a larger intrinsic spread ( $0.32^{+0.05}_{-0.04}$  dex) than  $\alpha_{\text{CO}(2-1)}$  ( $0.27^{+0.04}_{-0.03}$ ), signaling that much of the [C I](2–1) emission may originate in warmer molecular gas.

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