# On the millimetre continuum flux-radius correlation of proto-planetary discs

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#### ABSTRACT

A correlation between proto-planetary disc radii and sub-mm fluxes has been recently reported. In this Letter we show that the correlation is a sensitive probe of grain growth processes. Using models of grain growth and drift, we have shown in a companion paper that the observed disc radii trace where the dust grains are large enough to have a significant sub-mm opacity. We show that the observed correlation emerges naturally if the maximum grain size is set by radial drift, implying relatively low values of the viscous  $\alpha$  parameter  $\lesssim 0.001$ . In this case the relation has an almost universal normalisation, while if the grain size is set by fragmentation the flux at a given radius depends on the dust-to-gas ratio. We highlight two observational consequences of the fact that radial drift limits the grain size. The first is that the dust masses measured from the sub-mm could be overestimated by a factor of a few. The second is that the correlation should be present also at longer wavelengths (e.g. 3mm), with a normalisation factor that scales as the square of the observing frequency as in the optically thick case.

**Key words:** protoplanetary discs – planets and satellites: formation – accretion, accretion discs – circumstellar matter – submillimetre: planetary systems

## 1 INTRODUCTION

Planet formation takes place in proto-planetary discs, which provide the building blocks (gas and solids) for assembling the numerous planetary systems observed around main sequence stars. Characterising proto-planetary discs is therefore of fundamental importance for understanding planet formation.

Thanks to the Atacama Large Millimetre Array (ALMA), it is now possible (e.g., Ansdell et al. 2016; Pascucci et al. 2016) to build statistical inventories of disc properties in various star forming regions. The raw values provided by the surveys are of extreme importance for planet formation models (for example, the solid mass available to turn into planets, see discussion in Mordasini et al. 2015). More broadly, the usefulness of these surveys is to test and inform theories of disc evolution (e.g., Manara et al. 2016; Rosotti et al. 2017; Lodato et al. 2017; Mulders et al. 2017).

The processes controlling how the dust moves and coagulates in the disc (see Testi et al. 2014 for a review) are certainly among the biggest unknowns in disc evolution. Dust growth is obviously a necessary step to form planets, but models of dust coagulation encounter numerous "barriers" (e.g., Brauer et al. 2008; Zsom et al. 2010; Okuzumi et al. 2011; Booth et al. 2018) that inhibit growth beyond a certain size. As summarised by Birnstiel et al. (2012), the two most prominent barriers are those imposed by fragmentation and drift. The former is a consequence of the motions induced by turbulence, which causes the grains to collide and shatter (Voelk et al. 1980), and the latter is a consequence of the fast radial motion of dust grains (Weidenschilling 1977).

Recently Tripathi et al. (2017) reported the discovery of a quadratic correlation between the disc flux and radius at 850  $\mu$ m using results from a previous generation telescope, the Sub-Millimiter Array (SMA). And rews et al. (2018a) confirmed that the correlation is also present using ALMA data in the Lupus star forming region. The same correlation is tentatively present also in the Upper Sco region (Barenfeld et al. 2017), although the method of analysis was different in this case. Pending a homogeneous analysis, in this Letter we will work under the assumption that the correlation is universal.

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One possible interpretation of the correlation is that proto-planetary discs are (at least marginally) optically thick. In this Letter we show that another interpretation of the correlation is that it is a sensitive probe of grain growth processes. After first dismissing the hypothesis that the correlation is driven by instrumental sensitivity (section 2), we then argue (section 3) that the correlation emerges naturally, with the correct normalisation, if the grain size is limited by radial drift. In contrast, there is no reason for the observed universal correlation if dust growth is limited by fragmentation. We highlight two consequences of the drift dominated regime in section 4 and finally draw our conclusions in section 5.

#### 2 IS THE FLUX-RADIUS CORRELATION PHYSICAL?

Before exploring the possible origins of the correlation, it is worth asking if the correlation is genuine. Of particular concern is the fact that radio interferometers are only sensitive above a given threshold in surface brightness. Given that most of the disc flux is in the outer parts of the disc, a finite surface brightness sensitivity (i.e., the disc "disappears into the noise") also leads to a quadratic correlation between disc flux and size (see appendix A for an example).

There are two key predictions of such a hypothesis:

(i) The normalisation of the correlation (i.e., the average surface brightness) should be, apart from a factor of order unity, the surface brightness sensitivity.

(ii) Observations with different surface brightness sensitivities should therefore find different normalisations. Gathering observations with different sensitvities should introduce spread.

For what concerns the first prediction, the average surface brightness reported by Tripathi et al. (2017), 0.2 Jy/arcsec<sup>2</sup>, is a factor of 20 higher than the median sensitivity of 5.7 mJy/arcsec<sup>2</sup>. This cannot be reconciled with a factor of order unity.

With regards to the second prediction, Tripathi et al. (2017) and Andrews et al. (2018a) do not find any statistically significant difference in the correlation normalisation. These two works use very different datasets: the first is a heterogeneous collection of SMA data, while the second is a homogeneous ALMA survey. The ALMA data has a surface brightness sensitivity of 2 mJy/arcsec<sup>2</sup>. Although the difference in surface brightness sensitivities is modest, ~ 3, if the correlation was due to sensitivity effects there should be a discernible difference in the normalisation, given the uncertainties quoted in Andrews et al. (2018a). Such a difference is not observed. We should also expect a higher spread in the SMA data, but Andrews et al. (2018a) report instead a comparable scatter around the best fit.

Based on these considerations, we dismiss the hypothesis that the correlation is a spurious consequence of the finite surface brightness sensitivity of the observations. Qualitatively, this is also confirmed by visual inspection of the fitted profiles reported by Tripathi et al. (2017) and by Andrews et al. (2018a): many show a sudden drop outside some radius, lending credence to the fact that the disc does not simply disappear into the noise.



Figure 1. The dust opacity at 850  $\mu$ m (blue) and at 3 mm (orange) as a function of the maximum grain size. We marked on the figure the "opacity cliff" (where the opacity steeply drops by one order of magnitude over a small range of variation in grain size; see text) at a wavelength of 850  $\mu$ m.

#### 3 PREDICTIONS FROM GRAIN GROWTH MODELS

In this section we present 1D models of dust growth and evolution in proto-planetary discs to explore the origin of the observed disc radius-flux correlation. Our models resemble those presented in Tripathi et al. (2017), but here we also seek to provide explanations as to why models of grain growth predict correlations between the disc radius and flux. The models are described in considerable detail in Booth et al. (2017) and in a companion paper (Rosotti et al. 2019). In short, we solve the viscous evolution equation for the gas, while for the dust we use the simplified treatment of grain growth described in Birnstiel et al. (2012) assuming a temperature profile  $T = 40 (r/10au)^{-0.5}$  K (e.g., D'Alessio et al. 1998). As a post-processing step, we compute the opacity at ALMA wavelengths resulting from the dust properties obtained from the grain growth model and use it to generate synthetic surface brightness profiles at 850  $\mu$ m. Following Tripathi et al. (2017), we define the disc radius as the radius enclosing 68 per cent of the total disc flux.

There are two aspects of the model that require special attention in light of the following discussion. The first is that in the Birnstiel et al. (2012) model the grain size at each radius is set either by radial drift or by fragmentation. In the former case the maximum radius a of a dust grain is given by

$$a_{\rm drift} = f_{\rm d} \frac{2\Sigma_{\rm d}}{\pi \rho_{\rm s}} \frac{V_{\rm k}^2}{c_{\rm s}^2} \gamma^{-1},\tag{1}$$

where  $f_{\rm d} = 0.55$  (Birnstiel et al. 2012) is an order of unity factor,  $\Sigma_{\rm d}$  is the dust surface density,  $V_{\rm k}$  is the Keplerian velocity for 1  $M_{\odot}$  star,  $\rho_{\rm s} = 1 {\rm g/cm^2}$  is the dust bulk density,  $c_s$  is the gas sound speed, set from the aspect ratio H/R = $0.033 (R/au)^{1/4}$ , and  $\gamma = |{\rm d} \log P/{\rm d} \log r|$  is the local power-law slope of the gas pressure. In the other regime, the maximum

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grain size is given by

$$a_{\rm frag} = f_{\rm f} \frac{2}{3\pi} \frac{\Sigma_{\rm g}}{\rho_{\rm s} \alpha} \frac{u_{\rm f}^2}{c_{\rm s}^2},\tag{2}$$

where  $\Sigma_{\rm g}$  is the local gas surface density,  $f_{\rm f}$  is another dimensionless factor (we fix it to 0.37 following Birnstiel et al. 2012),  $\alpha$  is the Shakura & Sunyaev (1973) parametrization of the viscosity (see later) and  $u_{\rm f}$  is the fragmentation velocity, which we set to 10 m/s.

The two limits of Equation 1 and Equation 2 have a different dependence on radius; in general the inner parts of the disc are dominated by fragmentation and the outer ones by drift. The crucial parameter in setting the transition radius between the two regimes is the viscosity  $\alpha$ ; highly viscous models are everywhere in the fragmentation dominated regime, while reducing  $\alpha$  shifts the transition to lower radii. For practical purposes, models with  $\alpha \leq 10^{-3}$  are drift dominated down to a few au. Models with  $\alpha \sim 0.01$  are initially fragmentation dominated, but become drift dominated after Myr timescales because of the dust surface density reduction.

The second aspect to highlight, because of its observational importance, is the opacity. We compute the opacity as in Tazzari et al. (2016) following Natta & Testi (2004) and Natta et al. (2007), using the Mie theory for compact spherical grains, assuming a composition of 10% silicates, 30% refractory organics, and 60% water ice. We assume that the grain size distribution is a power-law  $n(a) \propto a^{-q}$  with an exponent q = 3.5, but we do not find significant differences if using q = 3. In Figure 1 we plot the resulting opacity as a function of the maximum grain size. The most notable feature is the abrupt change in opacity around the characteristic size of 0.2 mm where the maximum 850  $\mu$ m opacity is attained. We will refer to this sharp drop (roughly a factor of 10) in opacity as the "opacity cliff". In the companion paper we show that, with the typical sensitivities of current surveys, the measured disc radii trace the radius where the grains become smaller than their value at the cliff, rather than the physical extent of the disc. Note that the opacity cliff is not present for "fluffy" rather than compact grains (Kataoka et al. 2014). Our growth model by construction considers compact grains and therefore we do not consider this possibility further.

#### 3.1 Model expectations

#### 3.1.1 Drift dominated regime

Considering in Equation 1 only the dependence on time or radius:

$$a_{\rm drift} \propto \left(\frac{H}{R}\right)^{-2} \Sigma_{\rm d},$$
 (3)

where H/R is the disc aspect ratio. The dust surface density decreases with time due to radial drift and therefore the dust grains become smaller at any given radius. However, a given grain size is always attained at the same surface density (apart from differences due to the radial dependence of the disc aspect ratio). It is of particular interest to consider the grain size  $a_{\text{cliff}}$  corresponding to the maximum opacity (see Figure 1). In the rest of this section we consider a wavelength of 850  $\mu$ m for comparison with the observed correlation, but our theoretical argument holds also at other wavelengths. We elaborate on the consequences of this in section 4.2. The grains have the critical size at a dust surface density  $\Sigma_{d,cliff}$ :

$$\Sigma_{d,\text{cliff}} \propto a_{\text{cliff}} \left(\frac{H}{R}\right)^2 = a_{\text{cliff}} R_{\text{cliff}}^{1/2},$$
 (4)

where we called  $R_{\text{cliff}}$  the radius where  $a = a_{\text{cliff}}$ . Since the flux is dominated by emission at large radii, we can write using the Rayleigh-Jeans approximation and assuming optically thin emission:

$$F_{\nu} \approx \pi B_{\nu}(T) \Sigma_d \kappa_{\nu} R_{\text{cliff}}^2 \propto \Sigma_{d,\text{cliff}} R_{\text{cliff}}^2 T \propto R_{\text{cliff}}^2, \tag{5}$$

i.e. a quadratic relation between the sub-mm flux and the cliff radius, because the radial dependence of the surface density at the cliff radius is cancelled by that of the temperature.

Note that we not only predict a quadratic correlation, but also in Equation 1 there are relatively few parameters that can set the normalisation, predicting relatively little scatter.

This expectation is borne out by the full results of our models, where we do not assume optically thin emission nor the Rayleigh-Jeans limit. The top panel of Figure 2 shows at different times the disc flux (assuming a distance of 140 pc) versus the cliff radius. The circles denote the models with low viscosities ( $\alpha = 10^{-3}$  and  $10^{-4}$ ) and different initial radii (10, 30 and 80 au). The orange line is a quadratic dependence and all the points lie within a factor of two in flux of the same line regardless of the disc parameters, showing that there is excellent agreement with the argument explained above.

#### 3.1.2 Fragmentation dominated regime

In this case it is not straightforward to find a relation between the radius and the flux since the grain size is set by the gas surface density rather than that of the dust. We can however make the simplifying assumption that the dust-to-gas ratio does not evolve significantly in time. This is reasonable in the fragmentation dominated regime because the grains are smaller and radial drift is less efficient in depleting the disc. With this assumption, using equation 2 we find

$$a_{\rm frag} \propto \frac{\Sigma_{\rm g}}{c_{s}^{2}} = \frac{\Sigma_{\rm d}}{\epsilon c_{s}^{2}} \propto \Sigma_{\rm d} R_{\rm cliff}^{1/2} \tag{6}$$

and proceeding as before

$$F_{\nu} \propto \Sigma_{d,\text{cliff}} R_{\text{cliff}}^2 T \propto R_{\text{cliff}}.$$
(7)

The top panel of figure 2 shows with the squares the evolution of discs with  $\alpha = 10^{-2}$  in the fragmentation dominated regime. The green line is a linear dependence; the scaling relation we have presented is broadly correct, although it does not fully account for the evolution. In addition, in this case the points do not all lie on the same line, because the flux at a given radius depends on the absolute value of the dust-to-gas ratio. Note also that here we do not take into account that the fragmentation velocity may depend on the grain size (Windmark et al. 2012), but this does not change our arguments because the opacity cliff is always met at the same maximum grain size (though it will change the correlation normalisation).



Figure 2. Top: 850  $\mu$ m disc flux for a distance of 140 pc versus opacity cliff radius (see text). Symbols are circles for models in the drift dominated regime ( $\alpha = 10^{-3}$  or  $10^{-4}$ ) and squares in the fragmentation dominated regime ( $\alpha = 0.01$ ). For reference we show on the plot a quadratic dependence (orange line) and a linear dependence (green line). Bottom: as the top panel, but using the 68 per cent flux radius. The blue line shows the observational relation from Tripathi et al. (2017) and Andrews et al. (2018a), with the associated scatter as the shaded blue region. We plot their data as triangles for stars fainter than 0.2  $L_{\odot}$  and diamonds for the brighter ones. The yellow diamonds are the discs showing sub-structure in the DSHARP sample (Andrews et al. 2018b) and in the Taurus survey (Long et al. 2018).

#### 3.2 Comparison in observational space

Observationally, we do not expect to be able to measure exactly the disc cliff radius unless data at high resolution and sensitivity are available. For this reason, in the bottom panel of figure 2 we repeat the analysis using the 68 per cent flux radius. The blue solid line shows the best-fit relation to the observations of Tripathi et al. (2017). Models in the drift dominated regime recover correctly both the observed slope and normalisation.

The fragmentation dominated models instead predict a higher flux for the same disc radius, because they retain more dust mass. While this could be partially mitigated by changing the parameters of the model (see discussion in section 4.1), a more fundamental issue is that they do not predict, as suggested by our theoretical arguments, a quadratic correlation; the points do not even lie on a single power-law. There is also another important difference: the 68 per cent flux radius at late times is significantly bigger than the cliff radius. This is because a large fraction of the disc dust mass is in small grains and there is significant flux coming from *outside* the opacity cliff.

While our models correctly capture the overall trend, the observations show a larger scatter than in our models. Assuming that the observed scatter is intrinsic (as will be verified by future, deeper surveys than those currently available), it is possible that some discs are indeed in the fragmentation dominated regime, even if the bulk of the disc population is drift dominated. This would explain discs with average surface brightness that is too high for our models. For what concerns the discs with a low average surface brightness, we note that their host stars are fainter than 0.2 $L_{\odot}$  (grey triangles), possibly signalling a change in regime at late spectral types (maybe because these discs are colder). Our models show that the correlation is much tighter when using the cliff radius - therefore, ultimately the answer to whether discs are truly in the drift dominated regime will come from high-resolution observations. Here an important prediction of the models (see Equation 5) is that the discs should have a similar surface brightness (~  $0.05 \text{ Jy}/\text{arcsec}^2$ ) at the opacity cliff, i.e. where the surface brightness drops (the "disc outer edge").

Finally, in Figure 2 we have marked with yellow diamonds discs with known substructures, while for simplicity here we have considered smooth discs. The figure shows the existing selection biases towards bright and large discs. The fact that discs with resolved substructures lie on a correlation derived for smooth discs suggests that substructures may not play a role in shaping the correlation. We will investigate the precise effect of sub-structure in future works.

Summarising, we have provided an explanation as to why models of grain growth in the drift dominated regime predict (see also Tripathi et al. 2017) a quadratic dependence between disc flux and radius. This slope and the normalisation of the correlation are compatible with the observations. We have also highlighted how in this regime the models predict little scatter around the correlation, which is partially in tension with the moderate scatter in the data.

#### 4 OBSERVATIONAL CONSEQUENCES

#### 4.1 Opacity and mass determination

Since in our models the disc extends beyond the cliff radius, the sub-mm emission does not trace the full inventory of solid materials, but only the solids with a significant submm opacity. Given that sub-mm fluxes are often used to estimate disc dust masses, this could mean that those masses are *underestimated*. For this reason, we plot in figure 3 the disc fluxes versus dust masses in our models.

The plot also shows an often employed linear relation between flux and mass, derived by Beckwith et al. (1990) in the optically thin limit using a constant opacity and a temperature of 20 K. It is worth noting that with our assumptions the opacity at the cliff is one order of magnitude higher than the commonly assumed value of  $\sim 3 \text{ cm}^2/\text{g}$ . In



Figure 3. Disc flux for a distance of 140 pc versus dust mass in our models. Colours are as in figure 2. The blue line shows a commonly assumed linear relation between disc flux and mass (e.g., Beckwith et al. 1990).

fact, the higher opacity is more important than the fact that the outer part of the disc is "invisible", so that in most of our models the standard assumption *overestimates* the dust mass.

The mass overestimate becomes more pronounced with time, since the dust mass decreases faster than the flux. This is because low mass discs, especially in the drift dominated regime, are small and the emission comes from a hotter part of the disc. On the contrary, in the fragmentation dominated regime the flux eventually drops because the grains become small all over the disc, as we highlighted in section 3.1.2, and their opacity decreases.

We stress that our models *require* a large opacity to be compatible with the observed flux radius correlation (see Figure 2). In the evolutionary scenario we present in this letter, such high values of the opacity are therefore the only possible choice to make the models compatible with the observations. The opacities we assume are plausible, but they depend on the (unfortunately unknown) dust composition. If these opacities are correct, the immediate consequence is that the commonly derived dust masses would then be overestimated. The over-estimation makes even more severe the mass budget problem for planet formation (Manara et al. 2018), but possibly reconciles the observed disc fluxes with the significant mass loss due to radial drift. For example, in Taurus the typical dust disc-to-star mass ratio is a few  $10^{-5}$ (Andrews et al. 2013), i.e. the typical 850  $\mu$ m flux of discs around solar mass stars is tens of mJy; our models naturally explain these values after Myrs of evolution. Finally, note that in these models there is no significant mass in optically thick regions of the disc at small radii.

# 4.2 The flux-radius correlation at longer wavelengths

In this section we show that grain growth models in the drift dominated regime predict a flux-radius correlation also at longer wavelengths. As mentioned in section 3.1.1, our arguments applies as long as there is an opacity cliff and there

are grains large enough to be beyond the cliff (i.e., with a size comparable to wavelength). We confirm that qualitatively this is the case also at longer wavelengths (e.g., 3mm; see Figure 1).

The models also predict how the normalisation should change with frequency. Revisiting Equation 4 and 5:

$$F_{\nu} \approx \pi B_{\nu}(T) \Sigma_{\rm d, cliff} \kappa_{\nu} R_{\rm cliff}^2 \propto \kappa_{\nu} a_{\rm cliff} R_{\rm cliff}^2 \nu^2.$$
(8)

Since the opacity cliff is located where the maximum grain size is a fixed fraction of the observing wavelength,  $a_{\rm cliff} \propto \lambda$ . Geometric arguments show that the opacity depends on the area-to-mass ratio of the grains, which suggests the opacity at the cliff radius scales as  $a_{\rm cliff}^{-1}$ . This scaling is upheld by our detailed opacity calculations and can be deduced from Figure 1. Thus we find that

$$F_{\nu}/R_{\rm cliff}^2 \propto \nu^2.$$
 (9)

Remarkably this quadratic scaling with frequency is the same expected for optically thick emission, but the two scenarios can be easily distinguished since in the optically thick scenario the disc radius is not a function of frequency. Note that this prediction concerning the frequency dependence of the normalisation of the flux-radius relation is generic to models in which the maximum grain size is set by radial drift and can be tested through ensembles of disc radius and flux measurements at two frequencies. The spectral index of a particular disc (which measures where each disc is located along the flux radius relation at each frequency) is not a generic prediction of the model as it also depends on the steepness of the dust surface density profile. We thus do not explore spectral index predictions further in this paper, although we note that our models will not differ in this respect from previous studies (Birnstiel et al. 2010) where the predicted spectral indices are in tension with the values derived from spatially unresolved multi-frequency data. It is well known that this problem can be mitigated (Pinilla et al. 2012) by postulating that discs have sub-structure, as now often (but not always; Long et al. 2018 find structure only in 30 per cent of the sample) observed (Andrews et al. 2018b). The resolution of this discrepancy needs to be further explored through future surveys providing spatially resolved spectral index profiles.

#### 5 CONCLUSIONS

In this Letter we have used models of dust evolution to investigate the origin of the recently reported observed correlation between disc flux and radius. Our conclusions are as follows:

• While finite observational sensitivity produces a spurious flux-radius correlation with the observed slope, the observed normalisation is too high to be explained as an observational effect.

• The observed correlation emerges naturally in the drift dominated regime, with the correct slope and normalisation; the latter does not depend on individual disc properties. Conversely, in the fragmentation dominated case the normalisation depends on the dust-to-gas ratio. Therefore, the observed correlation is a sensitive probe of grain growth processes, and suggests that discs are in the drift dominated regime. The model predicts that little scatter around the correlation, which is partially in tension with the observations.

• A consequence of being in the drift dominated regime is that the viscosity in discs is relatively low ( $\alpha = 10^{-3} - 10^{-4}$ ). This is consistent with studies that attempt to directly measure the level of turbulence in the disc outer parts (Flaherty et al. 2018).

• Explaining the observed disc flux-radius correlation requires a significantly higher opacity than commonly assumed. While plausible, this depends on the unknown dust composition. The observationally derived disc solid masses would then be overestimated.

• If discs are in the drift dominated regime, we predict that the correlation is present also at longer wavelengths (e.g., 3mm) and that the normalisation factor scales as the square of observing frequency. This prediction is the same as if discs are optically thick.

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## APPENDIX A: AN EXAMPLE OF FINITE SURFACE BRIGHTNESS SENSITIVITY

Suppose that discs have a power-law surface brightness  $I_{\nu} = Ar^{-p}$ , where A is some normalisation constant. Given a sensitivity  $I_{\nu,cut}$ , the disc can be detected up to the radius  $r_{\rm cut}$  where  $Ar_{\rm cut}^{-p} = I_{\nu,cut}$ . The total disc flux  $F_{\nu}$  is given by  $\int_{0}^{r_{\rm cut}} 2\pi I_{\nu}(r')r' dr' = 2\pi I_{\nu,cut}r_{\rm cut}^2/(2-p)$ , i.e. a quadratic relation between flux and radius. Following Tripathi et al. (2017), the relation can be recast in terms of the radius of the disc  $r_x$  enclosing a fraction x of the total disc flux, noting that  $r_x = x^{1/(p-2)}r_{\rm cut}$ . This leads to the relation

$$F_x = \frac{2\pi}{2-p} x^{p/(2-p)} I_{\nu,cut} r_x^2.$$
(A1)

Therefore the average surface brightness within  $r_x$  is  $\langle I_y \rangle = 2/(2-p)x^{p/(2-p)}I_{\nu,cut}$ , i.e. (besides a factor of order unity) the sensitivity threshold. Note that the average surface brightness does not depend on the normalisation constant A and depends only weakly on the exponent p, so that a correlation is still expected even if these two parameters vary from disc to disc.