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Vu, T.H.K.

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Author: Vu, T.H.K.

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COMMENSAL MICROFLORA-INDUCED T CELL RESPONSES MEDIATE PROGRESSIVE NEURODEGENERATION IN GLAUCOMA

Chen H[†], Cho KS[†], Vu THK[†], Shen CH, Kaur M, Chen G, Mathew R, McHam ML, Fazelat A, Lashkari K, Bennett AU NP, Ka Yu TSE, Li Y, Yu H, Yang L, Stein-Streilein J, Ma CHE, Woolf CJ, Whary MT, Jager MJ, Fox JG, Chen J, Chen DF

[†]These authors contributed equally to the paper.

ABSTRACT

Glaucoma is the most prevalent neurodegenerative disease and a leading cause of blindness worldwide. The mechanisms causing glaucomatous neurodegeneration are not fully understood. Here we show, using mice deficient in T and/or B cells and adoptive cell transfer, that transient elevation of intraocular pressure (IOP) is sufficient to induce T cell infiltration into the retina. This T cell infiltration leads to a prolonged phase of retinal ganglion cell degeneration that persists after IOP returns to a normal level. Heat shock proteins (HSPs) are identified as target antigens of T cell responses in glaucomatous mice and human glaucoma patients. Furthermore, retina-infiltrating T cells cross-react to human and bacterial HSPs; mice raised in the absence of commensal microflora do not develop glaucomatous T cell responses or the associated neurodegeneration. These results provide compelling evidence that glaucomatous neurodegeneration is mediated in part by T cells that are pre-sensitized by exposure to commensal microflora.

INTRODUCTION

Glaucoma affects 70 million people worldwide¹, making it the most prevalent neurodegenerative disease and a leading cause of irreversible blindness. The disease is characterized by progressive degeneration of retinal ganglion cells (RGCs) and axons. The most important risk factor for glaucoma is elevated intraocular pressure (IOP), which is thought to directly cause damage to neurons and the optic nerve. However, glaucomatous RGC and axon loss also occur in individuals with normal IOP, and patients whose IOP is effectively controlled by medical treatment often continue to suffer progressive neuron loss and visual field deterioration^{2,3}, suggesting mechanisms beyond pressure-mediated damage in neurodegeneration. One possibility is that pathophysiological stress, such as that induced by elevated IOP, triggers secondary immune or autoimmune responses, leading to RGC and axon damage after the initial insult is gone. To date, this remains as a hypothesis, as the molecular and cellular events underlying glaucomatous neural damage have not been identified.

Evidence suggests an autoimmune component in glaucoma⁴. Among the most direct evidence, a wide range of serum autoantibodies particularly those against heat shock proteins (HSPs) and retinal deposits of immunoglobulins, were found in glaucoma patients and animal models of glaucoma^{5,6}. Moreover, inoculation of rats with human HSP27 and HSP60 induced an optic neuropathy that resembles glaucomatous neural damage⁷, and elevated IOP has been reported to induce expression of HSPs in the retina, particularly RGCs^{8,9}. Thus, a link among IOP elevation, HSP up-regulation, and induction of anti-HSP autoimmune responses in glaucoma has been suggested; however, the roles of these events in the disease pathogenesis remain unknown.

Because the eye is an immune-privileged site, a critical question is how autoimmune responses, such as those against HSPs, are induced in glaucoma. As HSPs are among the most highly-conserved proteins from bacteria to mice to humans (up to 60% identity)¹⁰, a possibility is that the anti-HSP immune responses are induced originally by bacterial HSPs, and are reactivated by host HSPs during glaucoma. The facts that glaucoma patients exhibit increased titers of antibodies against *Helicobacter pylori* and that immunization with HSPs in rats induces glaucomatous neural damage are in line with this possibility¹¹. Currently, little direct evidence is available to testify this hypothesis.

Here we show that: 1) a transient elevation of IOP is sufficient to induce CD4⁺ T cell infiltration into the retina; 2) T cell responses are essential in the development of progressive glaucomatous neurodegeneration following IOP elevation; 3) both bacterial and human HSPs are target antigens of these T cells; and 4) HSP-specific CD4⁺ T cell responses and glaucomatous neurodegeneration are both abolished in mice raised in the absence of commensal microbial flora (germ-free (GF) mice), supporting a mechanism of bacteria sensitized T cell responses underlying the pathogenesis of glaucoma. These observations identify a sequence of events that contribute to progressive neurodegeneration in glaucoma, and may lead to a paradigm shift for the diagnosis, prevention, and treatment of glaucoma.

MATERIALS AND METHODS

Mice

Adult (10 - 16 weeks old) male and female C57BL/6J (B6), *Rag1*^{-/-}, *TCRβ*^{-/-}, *Igh6*^{-/-}, and GFP transgenic mice (all on a B6 background) and 3 - 12 months old DBA/2J mice were purchased from the Jackson Laboratory. Mice of both sexes were evenly randomized into control and experimental groups. All experimental procedures and use of animals were approved and monitored by the Institute's Animal Care Committee and conform to the standards of the National Institute of Health and the Association for Research in Vision and Ophthalmology. GF and ASF colonized Swiss Webster mice of both sexes (10 - 16 weeks old) were originally purchased from Taconic Biosciences (Germantown, NY) and maintained at MIT in a genotobiotic core. DBA/2J mice were re-derived by embryo transfer into the germfree health status using GF Swiss Webster recipient mothers. These mice were maintained in sterile plastic film isolators in open-top polycarbonate cages on autoclaved hardwood bedding and fed autoclaved water and diet (ProLab 2000, Purina Mills, St. Louis, MO) *ad libitum*. Macroenvironmental conditions included a 14:10 light/dark cycle and temperature maintenance at 68 ± 2°F. The sterile plastic film isolators were confirmed to be maintained free of all aerobic and anaerobic bacteria, and mold by bimonthly microbiologic monitoring of feces from each cage mixed with drinking water, interior swabs of the isolator walls, and a sampling of wet food left exposed to trap molds. These composite samples were screened for contaminants using aerobic and anaerobic culture, PCR, and fecal Gram stains. Control specific-pathogen-free mice (free of all

murine bacterial pathogens, adventitious viruses and parasites) were maintained under barrier conditions in standard microisolator cages under similar environmental conditions, including the same autoclaved diet and reverse osmosis purified water. The ASF was originally developed for colonizing GF rodents with a defined microbiota, consisting of 8 murine bacterial species: 2 aerotolerant lactobacillus strains, ASF360 and ASF361; 2 *Clostridium* sp. strains, ASF356 and ASF502; *Eubacterium* sp. strain ASF492; *Bacteroides* sp. strain ASF519; low-GpC-content Gram-positive bacterial strain ASF500; and strain *Mucispirillum schaedleri* strain ASF457^{22,41}. A cohort of DBA/2J and Swiss-Webster mice was maintained under specific pathogen free (SPF) conditions to serve as controls. Unless specified, 6 to 8 mice were used per group in all experiments.

Induction of IOP elevation in mice

IOP was induced in adult mice (10 - 12 weeks old) as described previously¹². Briefly, mice were anesthetized, and supplemented with topical proparacaine HCl (0.5%; Bausch & Lomb Incorporated, Tampa, FL). Elevation of IOP was induced unilaterally in adult mice by an anterior chamber injection of polystyrene microbeads having a uniform diameter of 15 μm (Invitrogen), which were re-suspended in sterile PBS at a final concentration of 5.0×10^6 MB/ml. The control group received an injection of sterile saline (2 μl) into the anterior chamber. Briefly, the right cornea was gently punctured near the center using a 30-gauge needle to generate an easy entry for injection. Following this entry wound, 2 μl of MB were injected into the right anterior chamber using a glass micropipette connected with a Hamilton syringe. Polystyrene microbeads are widely used in the clinic and have proven to be safe without inducing significant levels of inflammation^{42,43}. No clinical signs of anterior segment inflammation, including corneal ectasia or neovascularization, inflammatory cell infiltration into the anterior chamber, or synechiae and fibrosis in the angle area, were observed. The morphology of the anterior chamber and Schlemm's canal appeared normal in MB-injected mice, consistent with previous reports⁴⁴⁻⁴⁶. In all experimental groups, IOP was measured every other day in both eyes using a TonoLab tonometer (Colonial Medical Supply) as previously described¹². The measurement of IOP was conducted consistently at the same time in the morning. Mice were anesthetized by isoflurane inhalation (2-4%; Webster Veterinary, Sterling, MA) delivered in 100% Oxygen with a precision Vaporizer.

Elevation of IOP in adult GF and ASF mice (16-20 weeks old) was performed in a sterile laminar flow hood under aseptic conditions. All equipment was gas sterilized with ethylene

oxide before entering the hood. Sterile drug vials and bottles were only used once, and discarded after the end of each experiment. IOP was induced unilaterally in the right eye, by anterior chamber injection of MB as described; control animals received an injection of sterile saline. For MB injection and periodic measurement of IOP, GF and ASF mice were exited from their home isolators in a sealed sterile container and transported to a Clidox (Pharmaceutical, Naugatuck, CT) sterilized hood. All manipulations of the mice were performed in the hood using strict aseptic technique. The mice were returned to separate, dedicated sterile isolators that were monitored closely for inadvertent contamination. Since retrieving mice out of the sterile isolators and any intervention on them increases the risk of contamination, IOP was measured shortly before anterior chamber injection, and subsequently on only 1, 2 and 8 weeks post injection. IOPs of GF DBA-2J mice were measured at 3, 8, 10 and 12 months of age.

Immunohistochemistry and quantification of RGC and axon loss

For immunohistochemistry, mice were sacrificed and perfused with saline for 5 min to remove all blood cells from their vessels followed by 4% paraformaldehyde for 15 min, and eyes and optic nerves were dissected. Retinal flat-mounts or transverse sections were incubated with a primary antibody against CD3, CD4, CD11b, Tuj1, Brn3a, and RECA1 (all from Invitrogen) followed by an Alexa Fluor 488-conjugated secondary antibody (Invitrogen). Quantification of RGC axon loss in optic nerve sections was as described⁴⁷, with minor modifications. All of the quantification procedures were routinely conducted under a masked fashion. Briefly, the optic nerve cross sections were traced using NIH Image J software, and the total area of each cross section was measured. For axon counts, 5 rectangular regions (64 μm x 85 μm) covering the entire optic nerve cross areas were photographed at 1000x magnification (Nikon Eclipse E800), and 4 rectangular regions (30 μm x 30 μm) were cropped from each of the 5 rectangular areas. Axons were identified by the microbules and axolemma surrounded by the electron-dense myelin sheath, and all axons in the 20 selected regions, covering approximately 50% of the total optic nerve area, were counted. The percentage of axon loss was determined by dividing the axonal density calculated from the optic nerve with high IOP to that of the contralateral control optic nerve of the same mouse in B6 mice, or to that of 3 month-old (normal IOP) DBA/2J mice. RGC loss was assessed quantitatively in retinal flat-mounts using a standard protocol described previously¹² with minor modifications. In brief, retinal flat-mounts were incubated with a primary antibody against a RGC marker, β -III-tubulin⁴⁸ (Tuj-1; Sigma-Aldrich, St.), followed by

a Cy3-conjugated secondary antibody. Immuno-positive cells in 24 areas from each retina (400x; 12 areas taken from the peripheral retina, 8 from the intermediate regions, and 4 from the central retina), each covering 0.09 mm², were counted¹². The numbers were averaged to calculate the RGC densities, and the percentage of RGC loss was determined by dividing the RGC density calculated from the retina with high IOP by that of the contralateral control retina of the same mouse in B6 mice or by that of 3 month-old (normal IOP) DBA/2J mice.

Isolation and adoptive transfer of CD4⁺ T cells

Single-cell suspensions were prepared from spleens in RPMI-1640 media (Sigma) containing 10% fetal bovine serum (FBS), 1% penicillin/streptomycin, and 1% L-glutamine after lysis of red blood cells with red blood cell lysis buffer (Sigma). CD4⁺ T cells were purified using an auto MACS Separator and a CD4⁺ T Cell Isolation Kit (MiltenyiBiotec) according to the manufacturer's protocol. Briefly, CD4⁺ T cells were negatively selected from splenocytes of Hsp27-immunized mice, or from mice with high IOP, by depletion with a mixture of lineage-specific biotin-conjugated antibodies against CD8 (Ly-2), CD11b (Mac-1), CD45R (B220), CD49b (DX5), Ter-119, and antibiotin microbeads. The procedure yielded purity higher than 90% CD4⁺ T cells, as assessed by flow cytometry. These cells (2 x 10⁸ cells per mouse in 200 µl PBS) were adoptively transferred into recipient mice via tail vein injection. Control animals received the same numbers of CD4⁺ T cells, isolated from mice with normal IOP or from OVA-immunized mice.

ELISA and ELISPOT Assays

Sera were collected from mice injected with MB or saline. Ninety-six-well plates (Nunc) were pre-coated with recombinant human HSP27 or HSP60 protein (1 µg/ml) blocked with 10% normal goat serum, followed by addition of diluted serum samples (1:10) and anti-HSP27 or anti-HSP60 antibody (1:10 as positive controls) and incubation for 2 hours at room temperature. Horseradish peroxidase (HRP)-conjugated anti-mouse (or human) IgG (1:1,000) were added and incubated for 45 min at room temperature. TMP substrate (Sigma) was added and optical density (OD) was measured at excitation wavelength 405 nm using XFlour4 software. Each sample was processed and analyzed in triplicate.

A mouse IFN-γ ELISPOT assay (eBioscience) was used to determine the frequency of IFNγ-producing T cells in response to HSP27 or HSP60. ELISPOT plates (Multiscreen-MAIPS4510), pre-coated with 100 µl/well of capture antibody, were blocked with 200 µl/

well of complete RPMI-1640 medium. Mouse splenocytes (1×10^6 cells/well) were added and incubated with antigens, including HSP27 or HSP60 (Sigma Aldrich), *E. Coli* HSP24 or HSP60 (EnzoLifesciences), IRBP and MBP (Invitrogen), at a final concentration of 10 µg/ml, for 48 hours. Cell cultures incubated alone were used as controls. Results are shown as the mean number of antigen-specific spot forming cells (SFC) after background subtraction from the control wells containing no antigen.

Flow cytometry assays

The mouse cervical lymph nodes, spleen, or retina were freshly isolated. Lymph nodes (LNs) and spleens were dissociated using forceps; the cell mixtures were filtered through a 70 µm nylon mesh. For the neuroretina, 2-3 retinas were combined for each test and dissociated using Papain Dissociation system (Worthington Biochemical Product). Dissociated cells taken from the cervical LNs, spleen, or retina were immunolabeled with fluorescein isothiocyanate- (Biolegend) or PerCP/Cy5.5- (BD Bioscience) conjugated anti-CD4 antibody. The cells were then permeabilized with permeabilizing/washing buffer (Biolegend), followed by immunolabeling for cytokines with AlexaFluor488- (BD Bioscience) or phycoerythrin-conjugated primary antibodies against IFN-γ, IL-4, IL-17 or TGFβ (BioLegend) for 30 min at 4°C in dark. Corresponding isotype antibodies were used as controls. Data were collected with BD LSR II Flow Cytometer as 20,000 – 30,000 events and analyzed by FlowJo (Tree Star).

Preparation of human blood samples and T cell assays

Patients (40 – 60 years) who had been diagnosed with POAG with unambiguous clinical evidence of pathological “cupping” of the optic nerve head and documentation of visual field loss, were recruited for this study. Recruited control subjects showed no evidence of optic nerve or CNS damage from any cause, and were found to have no significant visual or neurological disorder. In addition, patients with retinal detachment as well as those suffering from skin injuries were examined to exclude the possibility that the increase in HSP27- and Hsp60-responsive T cells in POAG was a result of stress responses to inflammation or disease conditions independent of POAG. All participants were provided with informed consent to participate in the relevant research resources. The study was approved by the Institutional Review Board (IRB) of the Massachusetts Eye and Ear. Sixteen milliliters of venous blood was drawn from each volunteer into a vacutainer CPT tube (Becton Dickinson) with sodium citrate and processed according to the manufacturer’s

instructions. The peripheral blood mononuclear cells were resuspended at 1.0×10^7 cells/ml in RPMI containing 20% heat-inactivated fetal bovine serum. ELISPOT and ELISA assays were performed as described above. The measurements were performed in a masked fashion.

Delayed-type hypersensitivity assay

The thickness of the mouse ear was measured using a micrometer prior to antigen stimulation. The dorsal side of the ear was injected intradermally with 10 μ l of human recombinant HSP27 (1 μ g/ μ l; Enzo Life Science) or with a control antigen – either MBP or IRBP (1 μ g/ μ l; Invitrogen). The thickness of the injected ear was measured again after 24 and 48 hours, respectively, and change in thickness was calculated. We did not find significant differences between the results obtained at 24 and 48 hours; thus, only results obtained at 24 hours were reported.

HSP27 inoculation

To immunize mice, 50 μ l of human recombinant HSP27 (50 μ g; Enzo Life Science) was emulsified with 50 μ l incomplete Freund's adjuvant (IFA) emulsion and injected subcutaneously in adult B6 mice. Two to three weeks later, immune responses to HSP27 were analyzed by DTH and ELISPOT assays.

Statistical Analysis

Based on the 90% statistical power analysis done with our previous data in glaucoma studies, 6 mice per group were shown to yield over 95% significance, 2-sided test^{12,49-51}. This is also the group size commonly used in other reports seeking statistical significance in rodent models of experimental glaucoma^{52,53}. Thus, most of our experiments used a group size of 6-8 animals, unless otherwise indicated. Scatter and bar plots are presented as mean $\pm$ s.e.m., Box-and-whisker plots are presented as minimum-maximum, and a paired analysis of variance was used for statistical analysis.

Data availability

The authors declare that the data supporting the findings of this study are available within the article and its supplementary information files, or are available upon reasonable requests to the authors.

RESULTS

Elevation of IOP induces retinal T-cell infiltration

To investigate if a high IOP evokes retinal immune responses, we induced IOP elevation in mice by microbead (MB) injection. As shown previously¹², a single MB injection into the anterior chamber of adult C57BL/6 (B6) mice led to a transient 3-week elevation of IOP (Fig. 1a), whereas injection of saline did not induce any significant change in IOP (Supplementary Fig. 1a). Using immunostaining of retinal flat-mounts for general T-cell marker CD3 and RGC marker Tuj1, we detected T cell infiltration into the ganglion cell layer (GCL) of MB-injected, but not of uninjected or saline-injected mice (Fig. 1b). Infiltrating T cells were noted at 2 weeks after MB-injection (Fig. 1c), scattered throughout the retina without apparent clustering or preference to any specific quadrant. The number of T cells had declined by 4 weeks. To define the subpopulations of infiltrating T cells, we performed triple-immunolabeling with antibodies specific for CD4 or CD8 T cells, RECA1 (for blood vessels) and Tuj1. CD4+, but not CD8+, T cells were detected in the GCL of glaucomatous retina (Supplementary Fig. 1b). To verify T-cell retinal infiltration and define the subsets of CD4+ T cells, we examined T-cell cytokine secretion profiles, including IFN- γ (T_H1), IL-17 (T_H17), IL-4 (T_H2), and TGF- β (Treg). We detected IFN- γ secreting, but no other subsets of, CD4+ T cells in the retina. The number of infiltrating CD4+ T cells was significantly increased in MB-injected retina compared to saline-injected controls (Fig. 1d,e). As with T-cell infiltration, significantly more CD11b+ microglia and macrophages were detected in the retinas of mice 2-4 weeks after MB, but not after saline-injection (Fig. 1f,g). These immune responses were a result of the elevated IOP because injection of fewer MB (2.0×10^6 beads/eye), which did not elevate IOP, induced neither T cell infiltration (Fig. 1h) nor an increase in CD11b+ cells (Supplementary Fig. 1c) in the retina compared to saline-injected group. In contrast, correlating with IOP elevation, injection of more MB (5.0×10^6 beads/eye) induced both T-cell infiltration (Fig. 1h) and an increase in CD11b+ cells (Supplementary Fig. 1c). Induction of immune responses by elevated IOP was also confirmed using DBA/2J mice, which spontaneously develop increased IOP and glaucoma by 6-8 months of age¹³ (Supplementary Fig. 1d,e). T-cell infiltration was detected in the retinas of 8 month-old DBA/2J mice, but not in 3 month-old mice (Fig. 1c). No B cell infiltration was detected in the retinas in either MB-injected B6 mice or 8 month-old DBA/2J mice. Thus, elevation of IOP is followed by T-cell infiltration into the retinas.

To assess retinal neurodegeneration, we quantified RGC and axon loss in mice. As shown by RGC quantification with Tuj1 labeling, percentages of RGC and axon losses gradually increased from ~17% at 2 weeks post MB-injection to ~35% by 8 weeks (Fig. 1i-k). Accordingly, RGC densities decreased significantly from ~3,800/mm² in saline-injected mice to ~3,300/mm² at 2 weeks after MB-injection, and to ~2,500/mm² at 8 weeks after MB-injection. This result was further verified by immunolabeling for Brn3a (Supplementary Fig. 1f,g). Notably, although elevation of IOP lasted only transiently for 3 weeks in the MB-injected mice, RGC and axon loss continued to 8 weeks after MB-injection, the longest time point that the mice were monitored. These results show that a transient elevation of IOP induces T-cell infiltration into the retina and a prolonged period of retinal neurodegeneration, even after the IOP has returned to the normal level.

T cells mediate prolonged retinal neurodegeneration

To determine the role of T cells in elevated IOP-induced retinal neurodegeneration, we used mice deficient in both T and B cells (*Rag1*^{-/-} mice), only T cells (*TCRβ*^{-/-} mice), or only B cells (*Igh6*^{-/-} mice)¹⁴⁻¹⁶. Injection of MB into these immune-deficient mice resulted in a transient elevation of IOP that had the same magnitude and kinetics as that observed in B6 mice (Supplementary Fig. 2a) and a similar loss of RGCs and axons at 2 weeks as seen in B6 mice (Fig. 2a,b). However, glaucomatous *Rag1*^{-/-} mice did not exhibit continued loss of RGCs or axons from 2 to 8 weeks post MB injection when IOP had returned to the normal range, whereas B6 and *Igh6*^{-/-} mice did. In *TCRβ*^{-/-} mice, which lack CD4⁺ αβT-cells but are compensated by expansion of γδ and natural killer T cells and B cells, no significant further loss of RGCs was detected between 2 and 8 weeks after MB injection; whereas, an attenuated albeit significant loss of axons was observed at 8 weeks. The data suggest a primary role for CD4⁺ αβT-cells, with a possible involvement of other immune cells, in late glaucomatous axon damage. These findings support a two-phase neurodegeneration in glaucoma: the initial phase when IOP is elevated and the prolonged (progressive) phase after IOP has returned to the normal range. Neither T- nor B-cell deficiency attenuates the initial phase of neural damage, but T cells are an essential player in the prolonged phase of glaucomatous RGC and axon degeneration.

To further define the subsets of systemic CD4⁺ T cells that were activated under elevated IOP, we examined cytokine secretion profiles of CD4⁺ T cells in the spleens and eye draining (cervical) lymph nodes (LN) by flow cytometry. Unlike that seen in the retina, the frequencies

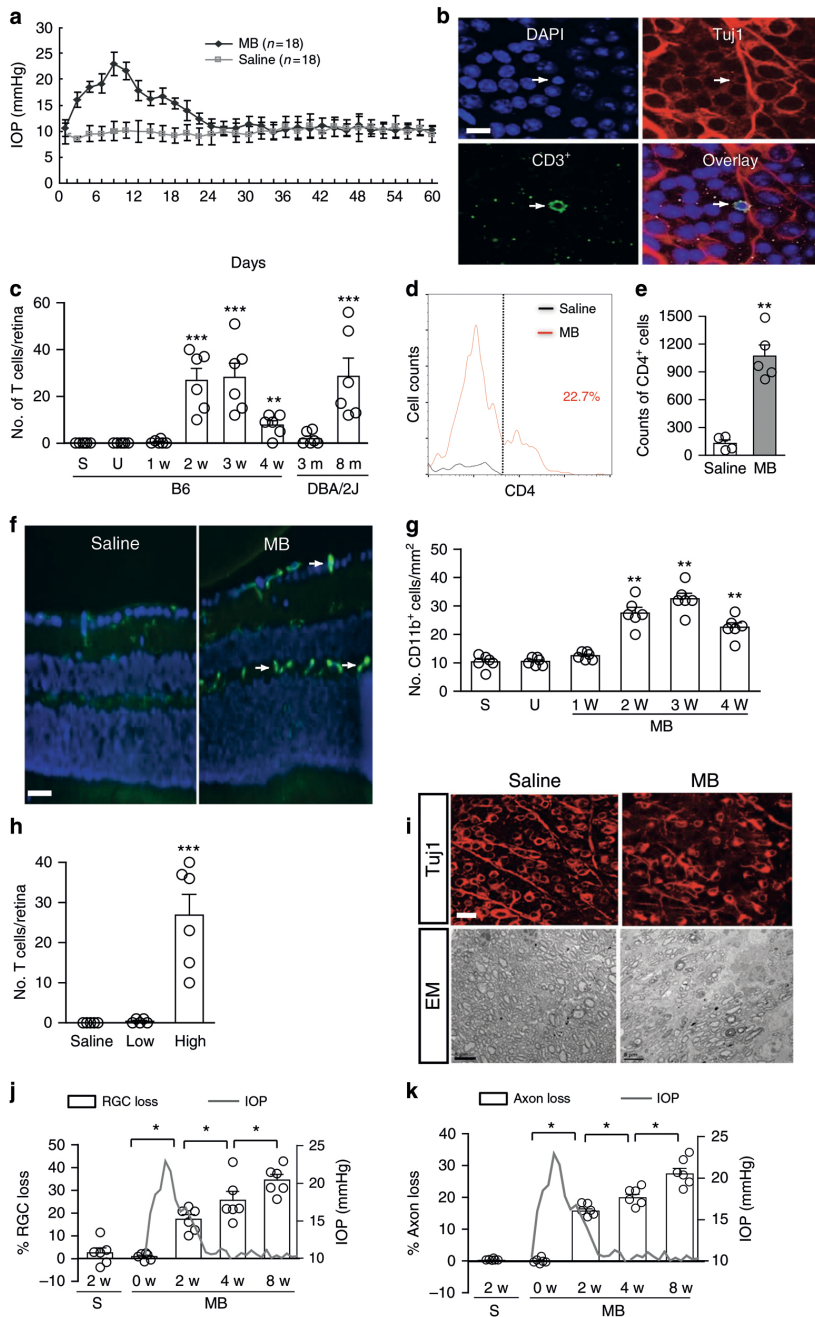


Figure 1. A Transient IOP elevation induces T-cell infiltration and progressive RGC and axon loss. (a) IOP levels in MB- or saline-injected B6 mice (n=18/group). **(b)** Retinal T cell infiltration post-MB injection. Retinal flat-mounts from mice 3 weeks after MB injection were stained with Tuj-1 (red), an anti-CD3 antibody (green);

Figure 1 (continued) arrow) and DAPI (blue). Scale bar: 10µm. **(c)** Quantification of CD3⁺ T cells in retinas of uninjected mice (U), mice 3 weeks after saline-injection (S) or 1-4 weeks (w) after MB-injection (n≥6/group), and DBA/2J mice at 3 (n=6) or 8 (n=8) months (m) old. ***P*<0.01, ****P*<0.001 as compared to saline-injected group or between 3 and 8 months-old DBA/2J mice. **(d)** Flow cytometry plot of retinal cells double-immunolabeled for IFN-γ and CD4; cell count was gated for IFN-γ secreting cells. **(e)** Quantification of CD4⁺ T cells by flow cytometry 2 weeks post saline- or MB-injection (n=5/group). Mice were perfused with saline. CD4⁺ cell counts were obtained by multiplying total cells recovered from the retina with percentage of CD4⁺ cells. ***P*<0.01 by *t*-test (n=6/group). **(f)** Representative photomicrographs of retinal sections labeled with anti-CD11b (green; arrow) and counter-stained with DAPI (blue). Scale bar: 20µm. **(g)** Quantification of CD11b⁺ cells at 1-4 weeks post-MB injection, 3 weeks post-saline (S) injection, and in uninjected (U) mice. ***P*<0.01 as compared to saline-injected group (n=6/group). **(h)** Quantification of infiltrated T cells in the retinas of mice 2 weeks after a saline, low- (Low; 2.0×10⁶ beads/eye) or high- (High; 5.0×10⁶ beads/eye) dose of MB injection. ****P*<0.001 as compared to saline injected group (n=6/group). **(i)** Representative Tuj1-stained epifluorescence photomicrographs of retinal flat-mounts (Tuj1) and electron microscopy (EM) of optic nerve cross-sections from mice 8 weeks after saline or MB injection. Scale bar for Tuj1 and EM: 10 and 50 µm, respectively. **(j,k)** Progressive loss of RGCs and axons in MB-injected mice. RGCs were counted in Tuj1-stained retinal flat-mounts and axons in optic nerve cross sections. Shown are percentage of RGC **(h)** and axon **(i)** loss. The latter is overlaid with changes in IOP levels in MB-injected eyes. **P*<0.05, ***P*<0.01, ****P*<0.001 by ANOVA as compared to saline injected mice (n=6/group).

of CD4⁺ T cells that expressed IFN-γ (T_H1), IL-17 (T_H17), IL-4 (T_H2), or TGF-β (Treg) were all significantly increased in both the spleen and the cervical LN of mice at 2 weeks post MB injection compared to saline-injected mice (Supplementary Fig. 2b,c). Thus, elevated IOP induced enhanced systemic reactivity of T cells, without any bias towards a specific subset of CD4⁺ T cells.

We investigated if CD4⁺ T cells play a causal role in progressive neurodegeneration in glaucoma by adoptively transferring T cells from glaucomatous B6 mice into *Rag1*^{-/-} mice. As all subtypes of CD4⁺ T cells were activated following IOP elevation, total CD4⁺ T cell populations from the spleens of B6 mice, which had received MB (glaucomatous) injection 14 days earlier, were transferred; CD4⁺ T cells isolated from naïve or saline-injected mice served as controls. Cells were injected via the tail vein into *Rag1*^{-/-} mice that had received MB injection 14 days earlier (on the same day when the donor mice had received MB injections) (Fig. 2c). Two weeks after CD4⁺ T-cell transfer (or 4 weeks after MB injection), MB-injected *Rag1*^{-/-} recipients, which had not received any CD4⁺ T cell transfer (U) or that received CD4⁺ T cells from saline-injected (S) normal IOP B6 mice showed no retinal T-cell infiltration (Fig. 2d,f). As expected, these *Rag1*^{-/-} mice exhibited ~15% RGC and axon loss as a result of the elevated IOP-induced initial phase of neural damage (Fig. 2g,h). In contrast, MB-injected *Rag1*^{-/-} recipients that had received a CD4⁺ T-cell transfer from glaucomatous (MB-injected) B6 mice showed retinal T-cell infiltration (Fig. 2f) and a significant further increase in RGC and axon loss compared to the uninjected (U) and saline-injected (S) control mice (Fig. 2d-h). Induction of IOP elevation in recipient mice was required for T-

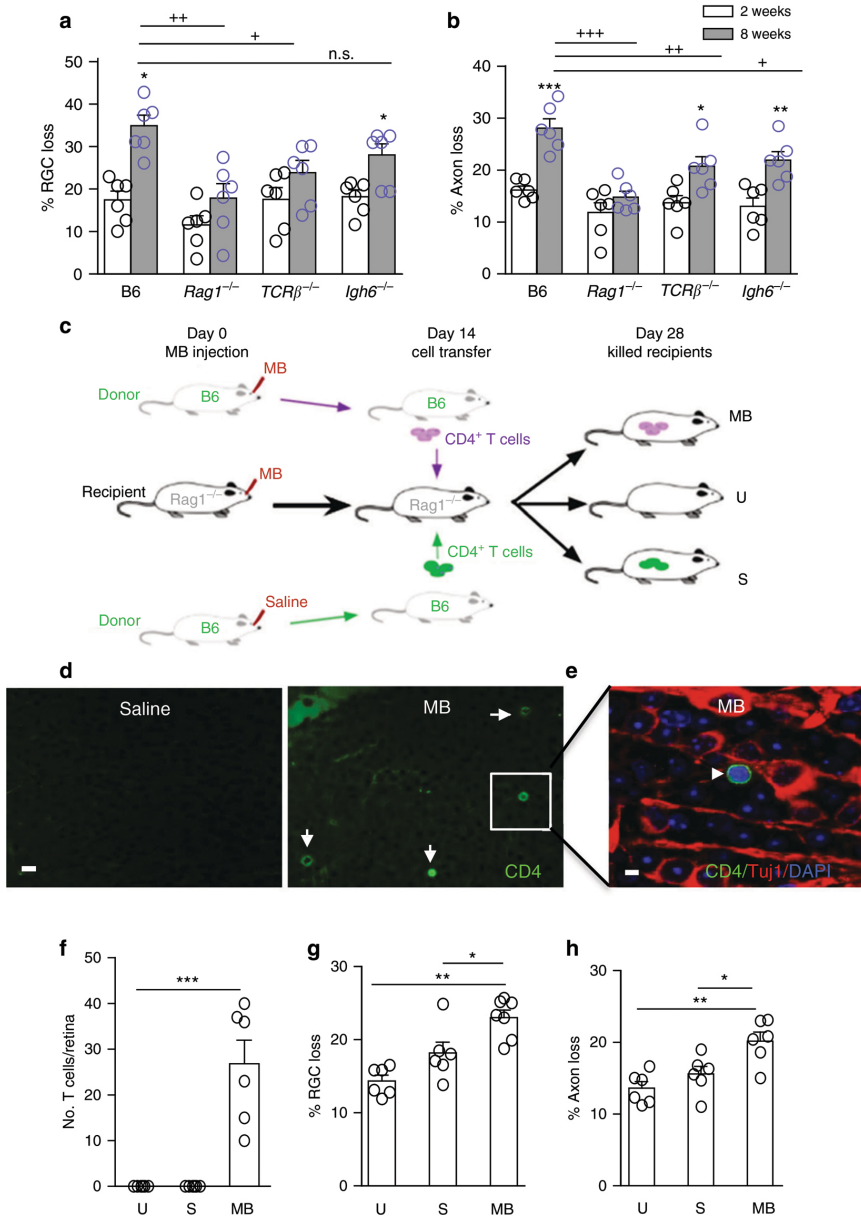


Figure 2. T cells are required for the prolonged retinal neurodegeneration. (a,b) Quantification of RGC (a) and axon (b) loss in B6, *Rag1*^{-/-}, *TCRα*^{-/-}, and *Igh6*^{-/-} mice at 2 (white box) and 8 (grey box) weeks after anterior chamber MB injection. NS, not significant, **P* < 0.05, ***P* < 0.01, ****P* < 0.001 by ANOVA comparing between B6 and indicated mutant mice at 8 weeks post MB injection; **P* < 0.05, ***P* < 0.01, ****P* < 0.001 by ANOVA comparing between 2 and 8 weeks post MB injection of mice with the same genotype (n=8/group). (c) Scheme of adoptive CD4⁺ T cell transfer. Both donor B6 and recipient *Rag1*^{-/-} mice were injected with MB or saline into the anterior chamber. Fourteen days later, CD4⁺ T cells were isolated from the spleens of donor mice and injected via tail vein into *Rag1*^{-/-} mice that had received MB injection 14 days

Figure 2 (continued) earlier. (d-h) Fourteen days post cell transfer, T cell infiltration and RGC and axon loss in *Rag1*^{-/-} mice were analyzed. Retinal flat-mounts were stained with an anti-CD4 antibody (green), Tuj-1 (red) and DAPI (blue). Shown are anti-CD4-stained (d) and overlay (e, from inset of d) images of retinal flat-mounts taken from *Rag1*^{-/-} recipient mice receiving CD4⁺ T cells from saline- or MB-injected B6 mice. Arrows point to CD4⁺ cells. Scale bar: 25 μ m (d) and 10 μ m (e). Quantification of infiltrated T cells (f), and RGC (g) and axon (h) loss in glaucomatous *Rag1*^{-/-} recipient mice that received no CD4⁺ T cells (U - uninjected) or donor CD4⁺ T cells from saline-injected (S or normal IOP donor) and MB-injected (MB or glaucomatous donor) B6 mice two weeks post cell transfer. * $P < 0.05$; ** $P < 0.01$ by ANOVA (n=6/group).

cell transfer-induced neurodegeneration because injection of T cells from glaucomatous mice into *Rag1*^{-/-} mice with normal IOP did not cause further RGC and axon degeneration after week 2 (Supplementary Fig. 2d,e). Injection of total IgG from the MB-injected B6 mice induced no significant increase in RGC and axon loss in MB-injected *Rag1*^{-/-} mice (Supplementary Fig. 2f,g). These results indicate that conditioned CD4⁺ T cells are sufficient to drive the prolonged phase of retinal neurodegeneration in glaucomatous mice.

IOP Elevation activates T-cell responses to HSPs

To define the autoantigens that stimulate T-cell activation in glaucoma, we searched for proteins that are expressed or upregulated in RGCs following IOP elevation. Since autoantibodies to HSPs, particularly HSP27 and HSP60, had previously been detected in patients and animal models of glaucoma^{8,17}, we speculated that these HSPs are involved as pathogenic autoantigens, which may be expressed at a low level under the normal condition but are upregulated in RGCs under glaucoma. The levels of HSP27 and HSP60 proteins were low in the retinas of B6 mice with normal IOP, but were upregulated three-to fourfold 1-8 weeks after MB injection (Fig. 3a; Supplementary Fig. 3a-c). HSP27 upregulation was found primarily in the GCL (Supplementary Fig. 3d), both associated with RGC membranes and the outside of RGC bodies (Fig. 3b). This is consistent with the report that HSP27 is also upregulated in astrocytes following elevated IOP and be released extracellularly under stress^{18,19}. HSP60 signal was seen to be scattered between the GCL and INL in MB-injected retina (Supplementary Fig. 3d). Significantly higher levels of anti-HSPs, particularly anti-HSP27 autoantibody, were detected in the sera of MB-injected B6 mice than in the serum of normal IOP mice (Fig. 3c).

To test if HSP27 acts as an antigen participating in elevated IOP-induced T cell responses, we assessed Delayed-Type Hypersensitivity (DTH) responses in mice. Significant induction of a HSP27-specific DTH was observed 2 and 8 weeks after MB injection in B6 mice when compared to saline-injected mice (Fig. 3d,e). More CD4⁺ T-cell infiltration was detected in the ear sections of MB-injected than in saline-injected B6 mice (Supplementary Fig. 4a).

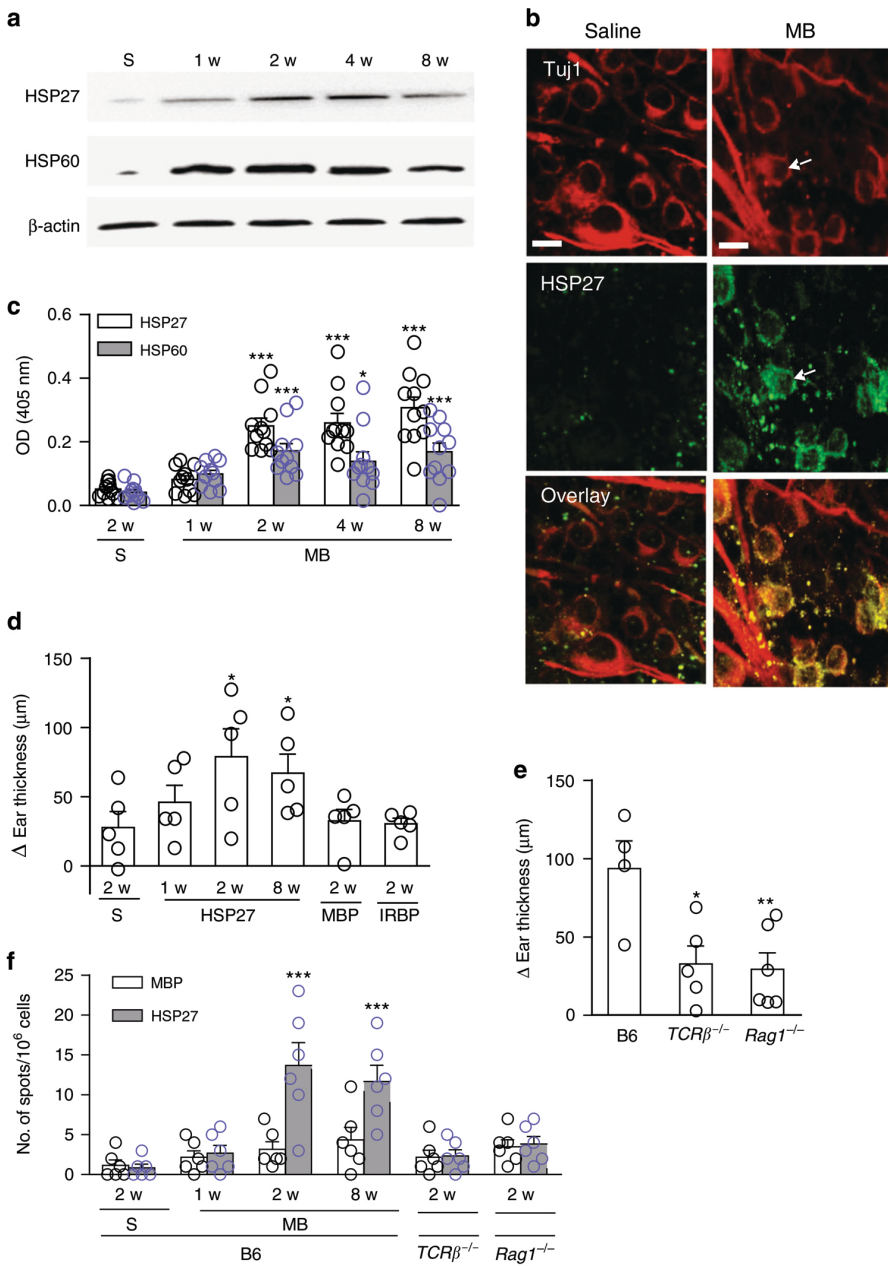


Figure 3. Elevated IOP stimulates T-cell responses to HSPs. (a) Induction of HSP27 and HSP60 expression in the retinas following MB injection. B6 mice were injected with MB or saline and 1, 2, 4 and 8 weeks later retinas were harvested and homogenized. Cell homogenates were fractionated on SDS-PAGE and blotted with anti-HSP27, anti-HSP60 and anti- β -actin. Shown are representative Western blots. (b) Upregulation of HSP27 in the glaucomatous retina. Retinal flat-mounts were stained with Tuj-1 (red) and anti-HSP27 (green). Shown are representative epifluorescence photomicrographs taken from mice 4 weeks post injection of

Figure 3 (continued) saline or MB. Note the extracellular and membrane associated HSP27 signals in the MB-injected retina. Scale bar: 10 μ m. **(c)** ELISA quantification of serum levels of antibodies specific for HSP27 or HSP60 in B6 mice 1, 2, 3 and 4 weeks after MB injection or 2 weeks after saline (S) injection. * P <0.05, *** P <0.001 by ANOVA comparing to saline injected group (n =10/group). **(d,e)** DTH assays for T cell responses to HSP27. Shown are ear thickness among B6 mice under indicated conditions **(d)** or among B6, *Rag1*^{-/-} and *TCR β* ^{-/-} mice 2 weeks after MB injection were compared **(e)**. B6, *Rag1*^{-/-} and *TCR β* ^{-/-} mice were injected with saline or MB in the anterior chamber of the eye. One, 2 and 8 weeks later mice were challenged with saline, HSP27, MBP or IRBP and ear thickness was measured 24 hours later. * P <0.05 by ANOVA compared to saline **(d)** or to HSP27 challenged B6 mice **(e)** (n ≥4/group). **(f)** Frequencies of HSP27-specific T cell responses. B6, *Rag1*^{-/-} and *TCR β* ^{-/-} mice were injected with saline or MB in the anterior chamber of the eye. One, 2 and 8 weeks later splenocytes were stimulated with HSP27 or MBP and the frequencies of IFN- γ -secreting cells were measured by ELISPOT. *** P <0.001 by ANOVA as compared to saline and MB-injected mice (n ≥6/group).

In agreement with the absence of elevated IOP-induced T cell responses in *Rag1*^{-/-} and *TCR α* ^{-/-} mice, HSP27-specific DTH was not evoked in these mice (Fig. 3d). The DTH response was specific to HSP27 because challenge with control antigens, such as human myelin basic protein (MBP) or interphotoreceptor retinoid-binding protein (IRBP), did not induce a DTH in MB-injected B6 mice. Induction of HSP27-specific T cell responses by elevated IOP was also verified using an Enzyme-Linked ImmunoSpot (ELISPOT) assay: HSP27 stimulation of splenocytes from mice at 2 and 8 weeks after MB-injection induced a ~10-times higher frequency of IFN- γ secreting T cells than the splenocytes of saline-injected B6 mice; in contrast, the frequencies of IFN- γ secreting T cells in MB-injected *Rag1*^{-/-} and *TCR β* ^{-/-} mice were comparable with those of saline-injected B6 mice (Fig. 3f). Stimulation with control antigen MBP did not increase the frequency of IFN- γ secreting T cells, further corroborating an induction of HSP27-specific T-cell responses. These T-cell responses were induced by elevated IOP, but not by the presence of MBs, as injection of fewer MB, which did not cause IOP elevation did not lead to an increased frequency in IFN- γ secreting cells (Supplementary Fig. 4b). Moreover, a similar increase in the frequencies of IFN- γ secreting T cells was observed in splenocytes taken from 10 month-old DBA/2J mice compared to those from 3 month-old DBA/2J mice or age-matched (10-month old) control B6 mice (Supplementary Fig. 4c). Thus, elevated IOP-induced T cell responses are specific to HSPs.

HSP-specific T cells augment glaucomatous neurodegeneration

To examine if HSP27-specific IFN- γ secreting T cells infiltrate the retina and contribute to glaucomatous pathogenesis, we performed fluorescence-activated cell sorting analysis (FACS) for HSP27-stimulated retinal cell cultures following a gating strategy shown in Supplementary Fig. 5. At 2 weeks post MB injection, ~1,000 CD4⁺ T cells were noted in a

glaucomatous mouse retina (Fig. 1e). Supporting retinal infiltration of HSP27-specific IFN- γ secreting T cells, HSP27 stimulation induced an approximately threefold higher frequency of IFN- γ ⁺CD4⁺ T cells from the retinal cultures of B6 mice than from saline-injected mice (Fig. 4a,b; Supplementary Fig. 5); whereas, the other three subtypes of CD4⁺ T cells (T_H2, T_H17, and Treg) were not detectable. Results of quantitative PCR also detected the induction of IFN- γ expression, but a lack of TGF- β , IL-17, and IL-4 transcripts, in the glaucomatous retinas at 1, 2 and 4 weeks after MB injection (Fig. 4c). To corroborate the above finding, GFP transgenic mice were immunized with HSP27 or ovalbumin (OVA), and CD4⁺ T cells from immunized mice were adoptively transferred into glaucomatous *Rag1*^{-/-} mice (which had received an MB injection two weeks earlier). Approximately 15-fold more GFP⁺ T cells were detected in the retinas of recipient *Rag1*^{-/-} mice after T-cell transfer from HSP27- than OVA-immunized donors (Fig. 4d), further demonstrating HSP27-specific T cell infiltration into the glaucomatous retinas.

We then tested if HSP27-specific T cells can induce progressive glaucomatous neural damage. B6 mice were immunized with HSP27 or OVA. Successful immunization was confirmed by DTH and ELISPOT assays (Fig. 4e,f). CD4⁺ T cells were then isolated from spleens of the immunized or unimmunized mice and adoptively transferred into glaucomatous B6 mice (injected with MB 2 weeks earlier). Recipient B6 mice that received CD4⁺ T cells from the HSP27-immunized mice exhibited a significantly greater loss of RGCs and axons compared to mice that received T cells from unimmunized or OVA-immunized mice (Fig. 4g). Thus, HSP27-specific CD4⁺ T cells mediate the prolonged phase of RGC and axon degeneration.

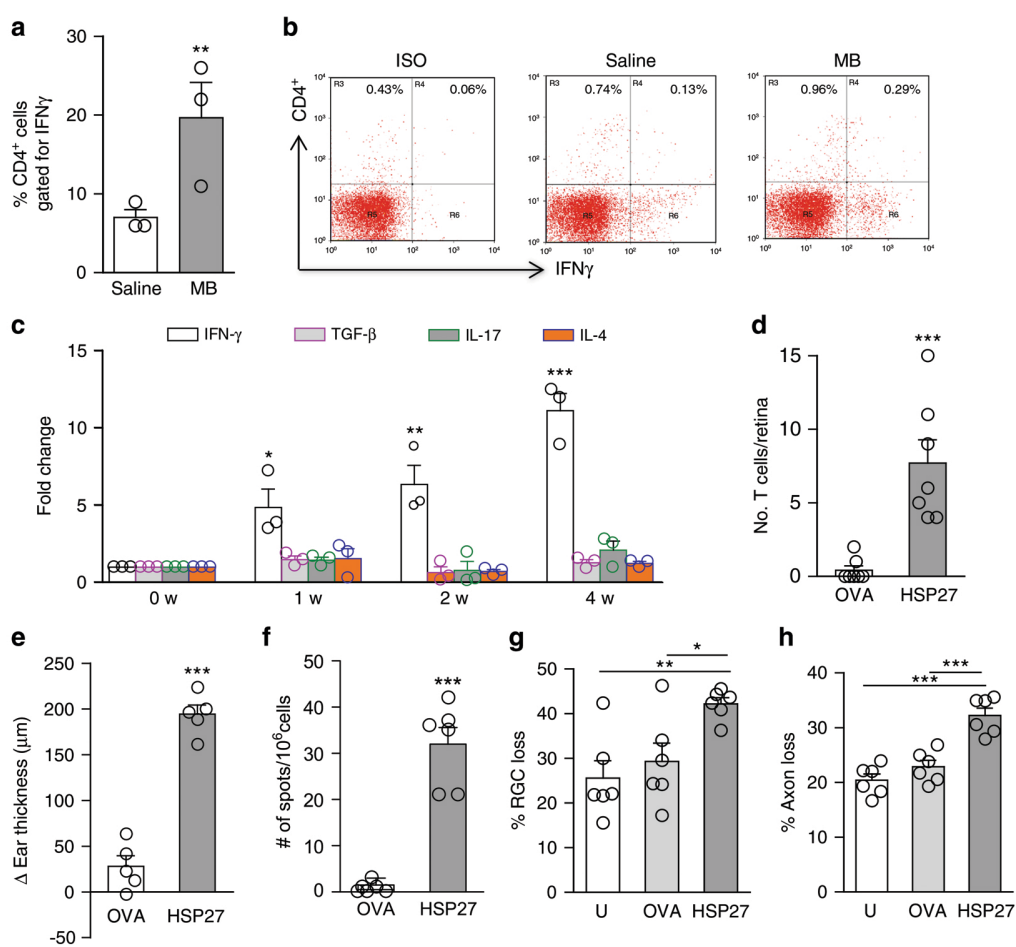


Figure 4. HSP-specific T cells infiltrate the retinas and augment glaucomatous neurodegeneration. (a,b) Infiltration of HSP27-specific T cells into the glaucomatous retina. Retinal cells from mice at 2 weeks post saline- or MB-injection were stimulated by HSP27 in culture, stained for CD4 and IFN-γ, and assayed by flow cytometry. Shown are frequencies of IFN-γ+ cells in CD4+ gated cells (**a**) and representative isotype control (Iso) and anti-CD4 vs. anti-IFN-γ staining profiles (**b**) from the retina of saline- and MB-injected mice. The numbers indicate percentages of cells in the gated regions. Note the increased number of IFN-γ+ cells among CD4+ gated cells in MB-injected retina compared to saline-injected retina. ***P*<0.01 (*n*=3/group; each group was pooled from 5 mice). (**c**) qPCR quantification of IFN-γ, TGF-β, IL-17, and IL-4 transcripts in the mouse retinas before (0) and at 1, 2 and 4 weeks post MB- or saline-injection. ***P*<0.01, ****P*<0.001 by ANOVA (*n*=3/group). (**d**) Quantification of CD4+ T cell numbers in retinal flat-mounts of glaucomatous *Rag1*^{-/-} mice that received an injection of GFP+/CD4+ T cells from HSP27- or OVA-immunized mice. ****P*<0.001 (*n*=6/group). (**e,f**) T cell responses in ovalbumin (OVA)- or HSP27-immunized mice. B6 mice were immunized with HSP27 or OVA, and two weeks later, mice were challenged with HSP27 by intradermal injection in the ear followed by measurement of ear thickness 24 hours later (**e**) or mouse splenocytes were isolated, stimulated with HSP27 and then IFN-γ-secreting cells were detected by ELISPOT (**f**). ****P*<0.001 (*n*=6/group). (**g, h**) Greater loss of RGCs (**g**) and axons (**h**) in WT recipient mice received adoptive T cell transfer from HSP27 immunized mice. B6 mice were immunized with HSP27 or ovalbumin (OVA) and two weeks later CD4+ T cells were isolated from spleens of immunized mice and unimmunized (U) mice, and adoptively transferred into B6 mice that had been injected with MB 2 weeks earlier. Two weeks after cell transfer, retinal flat-mounts of recipient mice were stained with Tuj-1, and RGCs were counted. ***P*<0.01, ****P*<0.001 by ANOVA (*n*=6/group).

Absence of glaucomatous neural damage in germ-free mice

A key remaining question here is how T cell responses to HSPs are induced by elevated IOP, especially given that the retina is an immune-privileged site. HSPs are highly conserved from bacteria to mice to humans^{20,21}; mice and humans are exposed to commensal microflora after birth and occasionally are infected by pathogenic bacteria. We hypothesized that mice harbor memory T cells to bacterial HSPs that can be activated by host HSPs through molecular mimicry when the blood-retinal barrier is compromised by elevated IOP. If this is the case, we expected that T cells from glaucomatous mice would react to both bacterial and human HSPs. Indeed, following stimulation with either human HSP27 and HSP60 or *Escherichia coli* HSP24 and HSP60, we observed similar increases in the frequencies of IFN- γ secreting cells in splenocyte cultures of MB-injected mice as compared to saline-injected mice (Fig. 5a).

We reasoned that mice raised in the absence of microbial colonization would exhibit attenuated or absent HSP-specific T-cell responses and retinal neurodegeneration following IOP elevation. We therefore examined T-cell responses and glaucomatous neurodegeneration in germ-free (GF) Swiss Webster mice, which were readily available from a commercial source. Anterior chamber injection of MB in GF Swiss-Webster mice induced IOP elevation with similar kinetics and magnitude to conventionally-colonized (specific pathogen-free [SPF]) Swiss-Webster mice (Supplementary Fig. 6a). HSP27 upregulation in the GCL of both SPF and GF mice following IOP elevation was verified by immunolabeling, but no apparent differences between the SPF and GF groups, before or after IOP elevation, were noted (Supplementary Fig. 6b). Elevated IOP-induced retinal T-cell infiltration (Fig. 5b) and HSP27- and HSP60-specific T-cell responses (Fig. 5c,d) were detected in MB injected SPF but not GF mice. Correspondingly, glaucomatous RGC and axon damage was observed in SPF but not in GF mice, when examined either 4 or 8 weeks following MB injection (Fig. 5e,f). We then examined “altered Schaedler flora” (ASF) Swiss-Webster mice, which were colonized with eight defined bacterial species²². We noted significant RGC and axon loss following MB-injection in ASF mice, although the magnitude of loss was significantly attenuated compared to MB-injected SPF mice (Fig. 5e,f). These results suggest that induction of a full spectrum of HSP-specific T-cell responses and retinal neurodegeneration requires pre-exposure to diverse microbial flora, rather than a specific bacterial species.

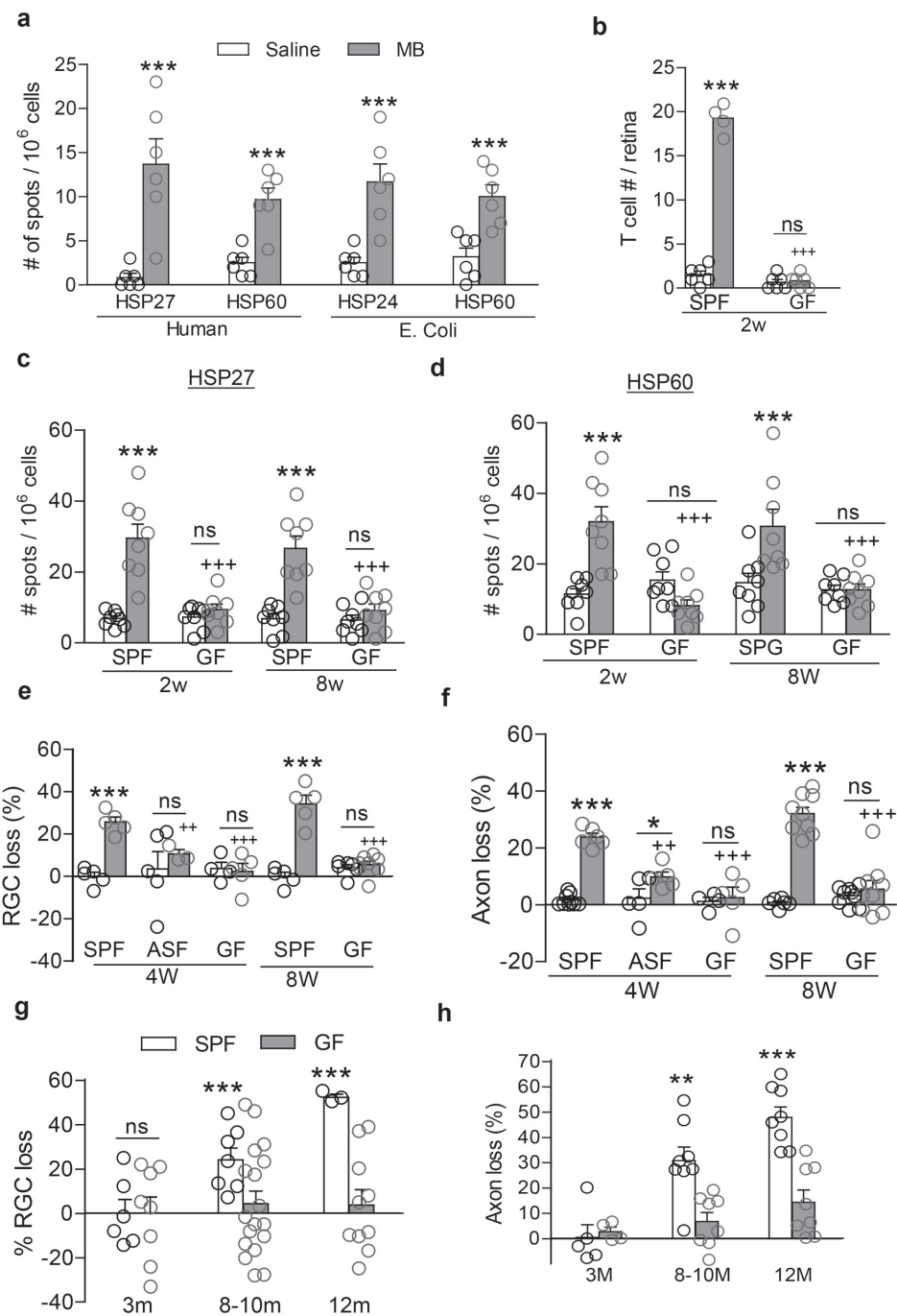


Figure 5. HSP-specific T-cell responses and retinal damage are absent in germ-free mice (a) Frequencies of T cell responses to human and bacterial HSPs. Splenocytes from mice at 2 weeks post saline- or MB-injection were stimulated with human HSP27 and HSP60 or *E. Coli* HSP24 and HSP60, and the frequencies

of IFN- γ -secreting cells were assayed by ELISPOT ($n=6/\text{group}$). **(b)** Quantification of infiltrated T cells in the retinas of SPF and GF SW mice at 2 weeks after saline- or MB-injection ($n = 6/\text{group}$). **(c,d)** Frequencies of HSP27- **(c)** and HSP60- **(d)** specific T cells in GF and SPF Swiss-Webster mice ($n\geq 8/\text{group}$). Splenocytes from GF and SPF Swiss-Webster mice at 2 and 8 weeks post MB- or saline-injection were stimulated with human HSP27 or HSP60, and the frequencies of IFN- γ -secreting cells were assayed by ELISPOT. NS: not significant. **(e,f)** Comparison of RGC **(e)** and axon **(f)** loss at 4 and 8 weeks after MB injection in SPF, ASF and GF Swiss-Webster mice. * $P<0.05$; *** $P<0.001$ over saline-injected group; ++ $P<0.01$; +++ $P<0.001$ over MB-injected SPF mice at the indicated time points. NS: non-significant ($n=8/\text{group}$). **(g,h)** Comparison of RGC **(g)** and axon **(h)** loss in SPF, and GF DBA/2J mice at 3 ($n = 16$), 8-10 ($n=24$) and 12 ($n=14$) months of age. NS: not significant; ** $P<0.01$, *** $P<0.001$ by ANOVA.

To verify the above finding using the inherited mouse model of glaucoma, we derived/created GF DBA/2J mice. DBA/2J mice housed under SPF or GF conditions both naturally developed high IOP with a peak of ~ 22 mmHg by 8 to 10 months of age (Supplementary Fig. 6c), consistent with previous reports²³. The SPF DBA/2J mice underwent the expected progressive RGC and axon loss: $\sim 25\%$ by 8-10 months and $\sim 50\%$ by 12 months of age, whereas no neural loss was detected in GF DBA/2J mice as late as 12 months of age (Supplementary Fig. 6d; Fig. 5g,h). In agreement with the above finding, both RGC and axon counts remained stable from 3 to 12 months of age, showing the absence of neurodegeneration in GF DBA/2J mice. Collectively, these results indicate a need for prior exposure to commensal microbial flora in the induction of both HSP-specific T cell responses as well as RGC and axon loss following IOP elevation.

5

HSP-specific T cells are increased in patients with glaucoma

To investigate the possible involvement of HSP-specific T-cell responses in human glaucoma, we compared the frequencies of HSP27- and HSP60-specific T cells and the levels of autoantibodies between normal subjects and patients with primary open angle glaucoma (POAG) or normal tension glaucoma (NTG). The frequencies of HSP27- and HSP60-responsive T cells were over fivefold higher in both POAG and NTG patients than in age-matched healthy individuals (Fig. 6a,b). Patients with retinal detachment or traumatic skin injuries did not have any significant increase in HSP27- or HSP60-reactive T cells. In addition, the titers of HSP27- and HSP60-specific IgGs were approximately twofold higher in both POAG and NTG patients than in healthy individuals (Fig. 6c,d), which was consistent with a previous report²⁴. Thus, the levels of HSP27- and HSP60-reactive T cells and antibodies are also elevated in patients with POAG and NTG.

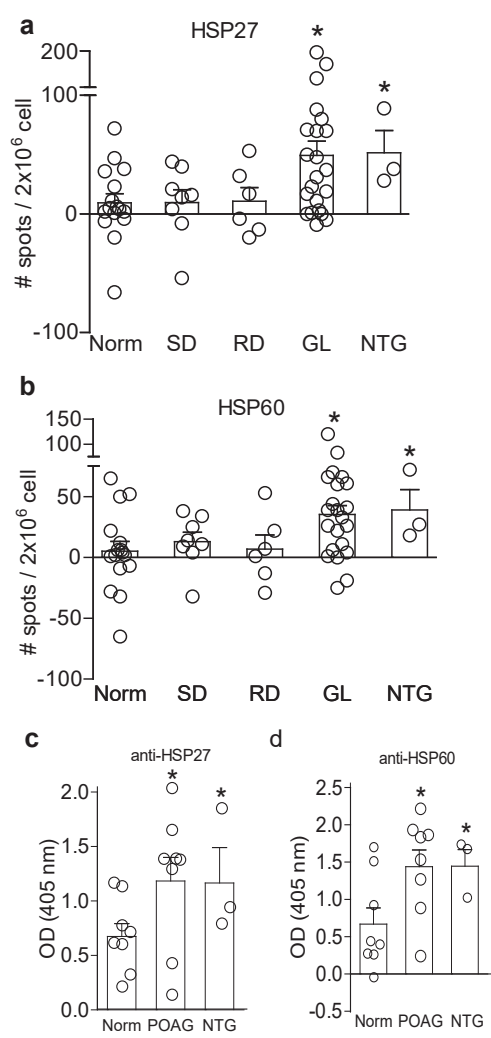


Figure 6. T cells are involved in retinal neurodegeneration in human glaucoma patients. (a,b) Comparison of frequencies of HSP27- and HSP60-specific T cells among healthy individuals and patients with POAG or other diseases. Peripheral blood mononuclear cells from patients with POAG (n=18), NTG (n=3), retinal detachment (RD; n=6), skin injuries (SD; n=8), and age-matched healthy controls (Norm; n=16) were stimulated with HSP27 (a) or HSP60 (b). Two days later, the frequencies of IFN- γ -secreting cells were determined by ELISPOT. * $P < 0.05$ by ANOVA. (c,d) Comparison of serum levels of HSP27- and HSP60-specific IgGs between healthy individuals and patients with POAG or NTG. Sera from POAG (n=18), NTG (n=3), and age-matched healthy individuals (Norm, n=16) were assayed for HSP27- and HSP60-specific IgG by ELISA. * $P < 0.05$ by ANOVA.

DISCUSSION

This is the first report that, to our knowledge, describes an unexpected link and the sequential roles of elevated IOP, intact commensal microflora, and activation of T-cell responses in the pathogenesis of glaucoma. Our comprehensive studies employed both inducible and genetic mouse models of glaucoma, immunodeficient mice, GF mice, clinical samples, and adoptive T-cell transfer, and assessed T-cell infiltration and retinal neurodegeneration. We showed that 1) a transient elevation of IOP by MB injection is sufficient to induce T-cell infiltration into the retina and a prolonged phase of retinal neurodegeneration, 2) T cells are required for mediating the prolonged retinal neurodegeneration and are specific for HSPs, and 3) induction of HSP-specific T cells and glaucomatous retinal neurodegeneration by elevated IOP require exposure to commensal microflora. Together, these findings are compelling as they suggest the essential involvement of a T cell-mediated mechanism in the pathogenesis of glaucoma. A similar mechanism likely operates during the development of glaucoma in humans as HSP-specific T cells are more than fivefold higher in POAG and NTG patients than age-matched healthy individuals.

Our study is the first demonstration of direct induction of HSP-specific T-cell responses by an elevation of IOP. HSP-specific T-cell responses have been proposed to contribute to NTG as HSP immunization elicits glaucomatous RGC loss in rats⁷. Here we show that elevated IOP induces two phases of retinal damage: the acute phase correlates with the IOP elevation, likely mediated by physical stress; the prolonged retinal degeneration, which continues even after IOP has returned to normal, is mediated by T cells. Supporting this notion, mice deficient in T cells, but not B cells, displayed a dramatically attenuated RGC and axon damage. Adoptive transfer of CD4⁺ T cells, but not total IgG antibodies, from diseased mice restored the progressive retinal neurodegeneration in *Rag1*^{-/-} recipients. Adoptive transfer of CD4⁺ T cells from HSP27- but not OVA-immunized mice augmented the progressive retinal neurodegeneration in MB-injected B6 recipients. Similarly, adoptive transfer of CD4⁺ T cells from HSP27-immunized mice into MB-injected *Rag1*^{-/-} recipient mice induced $16 \pm 2.1\%$ ($n = 5/\text{group}$) more RGC loss 2 weeks after cell transfer as compared to those receiving T cells from OVA-immunized mice. The kinetics of T cell infiltration into the retinas and their specificity for HSPs lends further support for their involvement in the progressive retinal degeneration. Furthermore, accumulating evidence indicates an essential role for commensal microflora in T cell activation. Lack of HSP-specific T-cell responses and neural

damage in GF mice offers the first and compelling evidence supporting that mere elevation of IOP does not directly attribute to progressive neurodegeneration. It is the subsequent event, involving T-cell responses, which have been pre-sensitized by commensal microflora, that mediates progressive glaucomatous neurodegeneration. Finally, we show that HSP-specific T-cell responses occur not only in glaucomatous mice but also in patients with glaucoma. The induction of HSP-specific T cells is not a result of general stress responses as patients with retinal detachment or traumatic skin diseases did not induce HSP-specific T-cell responses. These findings show that IOP elevation triggers secondary anti-HSP CD4⁺ T cell responses that mediate a prolonged retinal neurodegeneration, providing an explanation for continued neurodegeneration in patients with normal or perfectly controlled IOP.

Previous studies have speculated a connection between glaucoma and bacterial infections, such as by *Helicobacter pylori*²⁵. The notion that a process of microbiota-dependent activation of T cells may precede the clinical onset of a disease in the eye is supported by a recent study in a uveitis animal model²⁶. Using transgenic mice expressing a T cell receptor (TCR) specific to IRBP in the retina, the authors showed that commensal microbiota is required for the activation of transgenic T cells to cause uveitis. Although both our and the previous studies demonstrated the requirement of commensal microflora and autoreactive T cells in inflammatory diseases in the eye, the two studies differ in several aspects. The previous study examined how autoreactive transgenic T cells were activated to cause uveitis – a model of experimental encephalomyelitis; whereas, our study examined how endogenous T cells could be activated to perpetuate glaucomatous retinal neurodegeneration. Furthermore, our study identified both bacterial and host HSPs as likely key natural antigens, and showed that commensal microflora induces HSP-specific memory T cells, which are then activated by host HSPs induced in the retina after IOP elevation. Our study also showed that elevated IOP-induced degeneration of RGCs and axons was partially attenuated in ASF mice with eight defined bacteria, suggesting that induction of CD4⁺ T-cell responses by HSPs requires a diverse flora rather than a specific bacterium. Thus, our study shows how physical stress, HSPs, microbial flora, and T cells interact in the pathogenesis of glaucomatous neurodegeneration.

Induction of HSP expression has been documented to associate with various pathological conditions in the retina and brain^{27,28}, and similar mechanisms may underly other causes

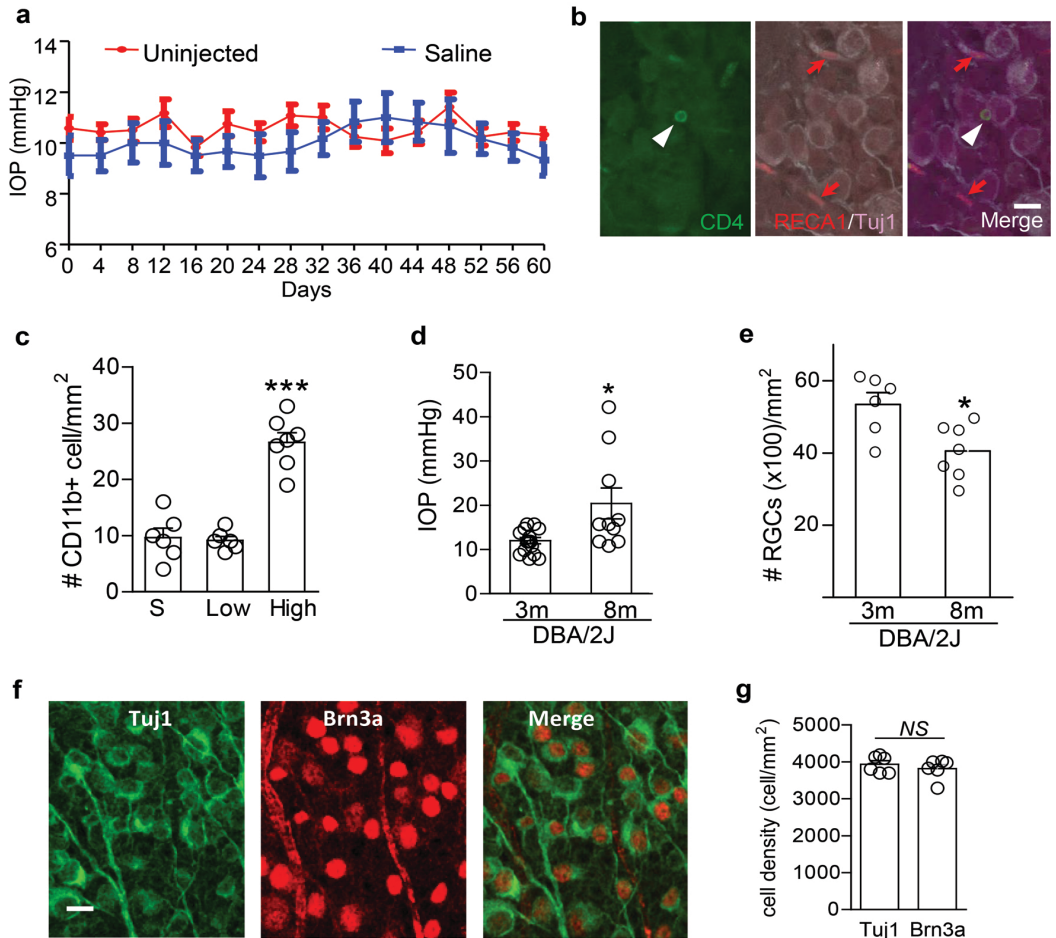
of neurodegeneration. Intracellular expression of HSPs is thought to protect neurons and axons from stress-induced damage^{29,30} and promotes nerve regeneration³¹. However, it has been reported that membrane-bound and extracellular HSPs, which are likely to be “seen” by antigen-presenting cells and T cells, elicit immune responses of the adaptive or innate immune system³². Our results show that elevation of IOP upregulates membrane-bound and extracellular HSPs in the GCL, subsequently leading to immune-mediated neural damage through activating HSP-specific CD4⁺ T cells, which are originally induced by microbial HSPs. As microbes and humans share significant homologies in many other proteins, it is likely that HSPs are not the only cross-reactive antigens involved. The same mechanism of molecular mimicry may activate T cells which are originally induced by other microbial proteins. Consequently, this may lead to induction of autoimmune responses under stress conditions. T-cell sensitization and progressive neurodegeneration were also noted in other conditions involving HSP upregulation, including retinal ischemia and optic nerve trauma (unpublished data). Identification of CD4⁺ T cell responses in glaucoma opens the possibility of targeting T cells in the retina as a treatment to halt the progressive RGC and axon degeneration and vision loss.

Induction of retinal damage by adoptive transfer of CD4⁺ T cells is associated with local injury/inflammation, such as that induced by elevated IOP. We noted that neurodegeneration was observed following T-cell transfer only into high IOP mice, but not those with a normal IOP, suggesting that local inflammation is required for T-cell infiltration into the retina. This offers an explanation for why glaucomatous neural damage is limited to the retina and optic nerve as elevated IOP selectively causes inflammation in these areas. This notion is supported by the observation that peripheral administration of lipopolysaccharide (LPS) exacerbated neuron loss in glaucoma³³. Another report, however, showed that neurodegeneration could be induced in mice even with a normal IOP 8 weeks or longer after adoptive transfer of T cells isolated from genetic mouse models of glaucoma³⁴. It suggests that activated T cells of glaucomatous mice are also capable of entering the retina with an intact blood-retinal barrier, although probably at a much slower rate or under certain conditions.

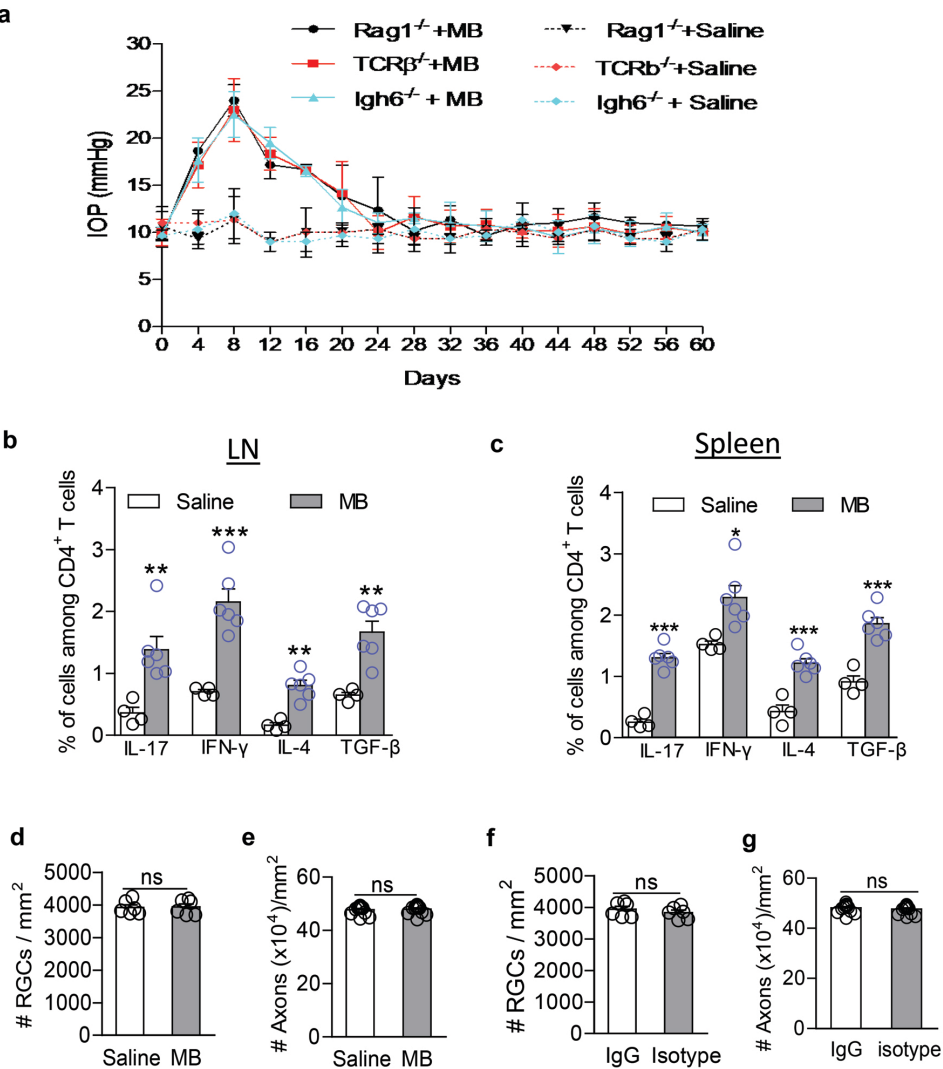
Presently, it is unclear which subsets of T cells serve as effector cells or act as initiators of glaucomatous neurodegeneration. T_H cells are characterized by different cytokine profiles, which are key contributors to immune diseases and commonly used to define the

functional subsets of T-cells³⁵. Thus, in the present study, we investigated cytokine secretion profiles of CD4⁺ T cells, including IFN- γ (T_{H1}), IL-4 (T_{H2}), IL-17 (T_{H17}) and TGF- β (T cell subsets with regulatory functions). We found that T cells from glaucomatous retina secreted IFN- γ , suggesting they are T_{H1} cells, which are known to mediate inflammation and DTH reactions. T_{H2} cells predominantly secrete IL-4 and stimulate the proliferation of B cells and production of antibodies; T_{H17} cells produce proinflammatory cytokine IL-17 and play a major role in autoimmunity; Treg and those with a regulatory function, in contrast, are important for the control of immune responses to self-antigens, preventing autoimmunity and maintaining self-tolerance. While our data suggest that T_{H1} cells are the predominant subset infiltrating the glaucomatous retina, it is increasingly recognized that “one pathogenic T_H cell” and “one cytokine” does not fit the bill of autoimmune pathology anymore. Involvement of multiple different effector T-cell subsets in experimental autoimmune encephalomyelitis, via overlapping or distinct mechanisms, has been documented. Similar mechanisms can also be involved in glaucomatous neurodegeneration considering that IOP elevation induced activation of all four subsets of CD4⁺ T cells in the spleen and draining LN. Autoimmune responses in the retina usually start with the activation of microglia, which can function as antigen-presenting cells to capture and present antigens^{36,37}, such as HSPs. This in turn triggers HSP-specific T-cell responses in the draining LN and retinal production of inflammatory cytokines, such as TNF- α and IL-1 β , that can continue after the IOP has returned to a normal range. These cytokines are known to weaken the blood-retinal barrier, allowing or facilitating T cell infiltration into the retina^{38,39}. Disruption of the blood-retina barrier has been reported in patients with POAG and in DBA/2J mice, correlating with the IOP elevation⁴⁰. While we did not detect apparent vessel leakage in microbead-induced glaucomatous mice using fluorescein angiography, we did observe T-cell infiltration in the retina of MB-injected eyes. Activated CD4⁺ T cells can cause neuronal damage directly as seen in multiple sclerosis or by activating other immune cell types, such as microglia, through secreted cytokines such as IFN- γ . Localized cytokine induction and microglia activation have likely limited the neural damage to the eye rather than spreading the damage to other sites of the central nervous system. Future examination of cytokine production and T-cell behavior in the retina of GF mice with elevated IOP will further help elucidate the cellular and molecular pathways underlying T cell-mediated neurodegeneration to develop therapies. Although these questions remain to be addressed, our current study provides compelling evidence for commensal microbial flora-induced HSP-specific CD4⁺ T cells in the pathogenesis of chronic neurodegeneration in the eye.

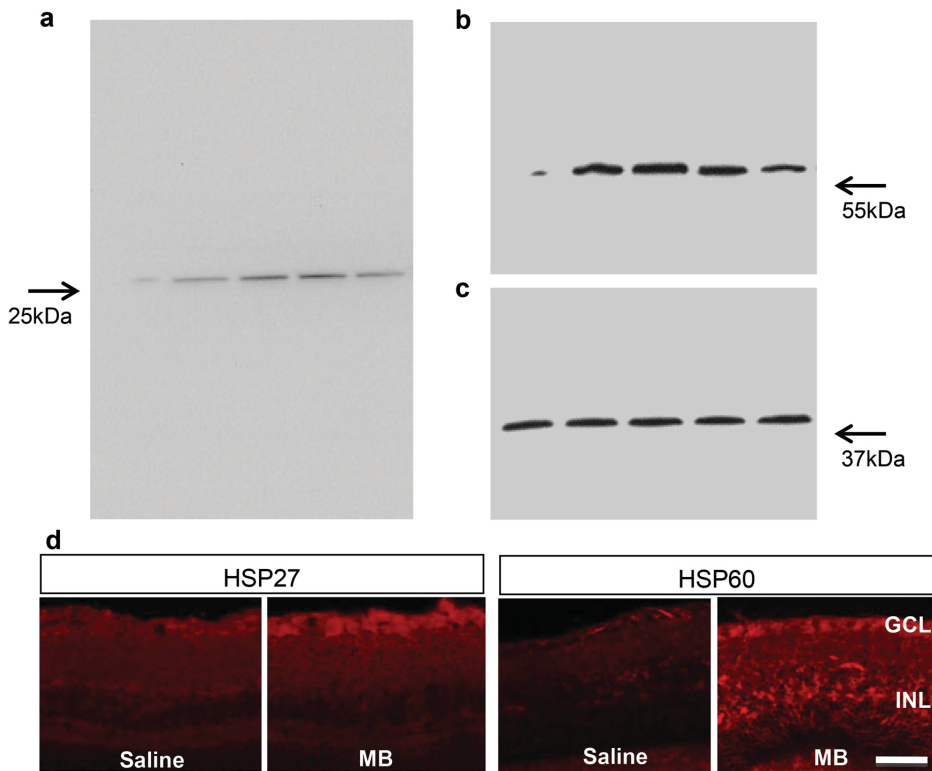
SUPPLEMENTARY INFORMATION



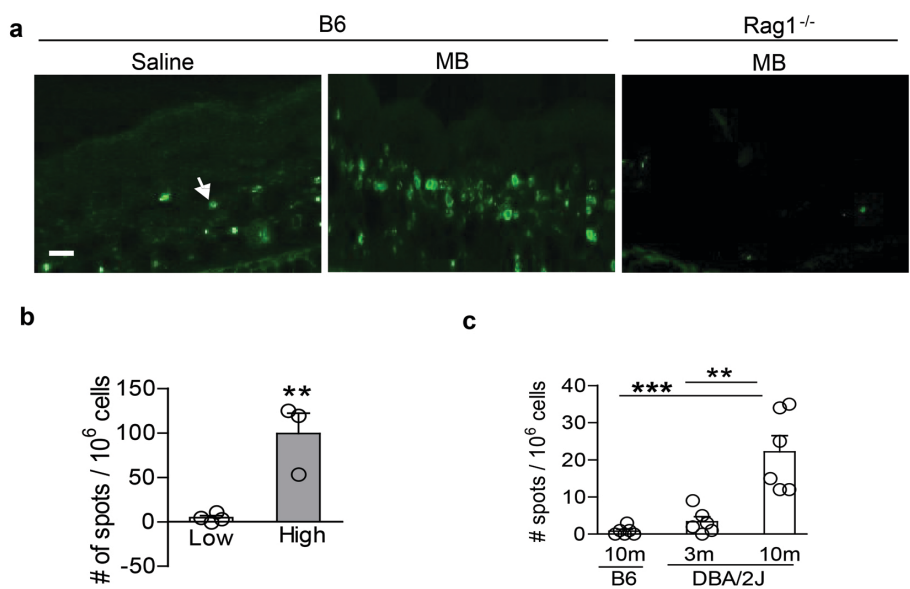
Supplementary Fig. 1. Comparison of IOP kinetics and quantification of CD11b⁺ cells and infiltrated T cells in saline- and MB-injected mice. (a) IOP levels in saline-injected (blue) or un-injected (red) mouse eyes. (b) Representative images taken from a retina of a MB-injected eye, which was triple-stained with antibodies for CD4 (green), RECA1 (red) and Tuj1 (purple). Arrowhead points to a CD4⁺ T cell; arrows point to RECA1⁺ blood vessel cells. Scale bar: 10µm. (c) Quantification of CD11b⁺ cells in the retinas of mice 2 weeks after saline, low- (Low; 2.0×10⁶ beads/eye) or high- (High; 5.0×10⁶ beads/eye) dose injection of MB. ****P*<0.001 as compared to saline injected group (n=6/group). (d,e) Quantification of IOP levels (d) and RGC densities (e) in 3 (n=6) and 8 (n=7) month old DBA/2J mice. **P*<0.05 by ANOVA. (f,g) Representative images of retinal flat-mount double-immunolabeled with Tuj1 (green) and anti-Brn3a (red) (f) and quantification of Tuj1⁺ and Brn3a⁺ cell density in B6 mice (g). Scale bar: 10µm. NS: *P*>0.05 by ANOVA (n=6/group).



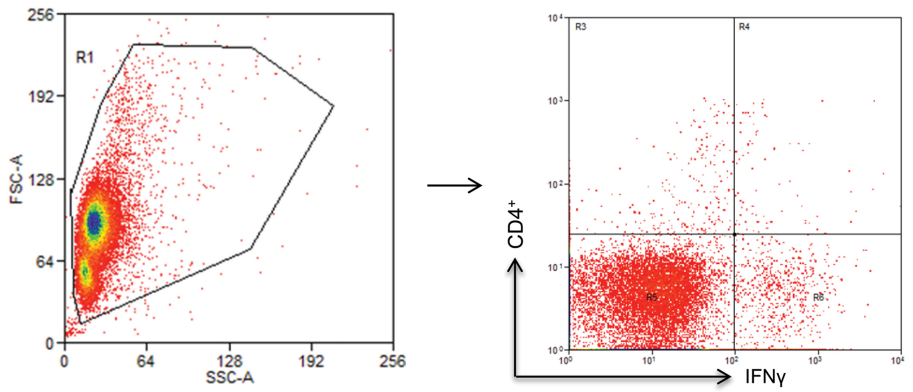
Supplementary Fig. 2. IOP kinetics in genetic mouse lines, systemic T-cell profiling, and RGC and axon counts in retinas of control *Rag1*^{-/-} mice. (a) IOP levels over time in *Rag1*^{-/-}, *TCRβ*^{-/-} and *Igh6*^{-/-} mice following anterior chamber injection of saline or MB (n≥6/group). (b,c) Flow cytometry analysis of systemic CD4⁺ T cell profile in the cervical LN (b) and spleen (c) of saline- (white bars) and MB-injected (black bars) mice. Mice were sacrificed 2 weeks post injection (n≥4/group). Note the significant increase in frequencies of all 4 subtypes of CD4⁺ T cells expressing IFN-γ, IL-17, IL-4, or TGF-β, in MB injected mice when were compared with control mice. **P*<0.05, ***P*<0.01, ****P*<0.001 by ANOVA. (d,e) Quantification of RGC (d) and axon (e) density in the retinas of *Rag1*^{-/-} mice 2 weeks following adoptive transfer of CD4⁺ T cells isolated from saline- (Saline) or MB- (MB) injected B6 mice. *P*>0.05 by ANOVA (n=6/group). (f,g) Quantification of RGC (f) and axon (g) density in *Rag1*^{-/-} mice that received intravitreal injection of isotype (Isotype) or total IgGs isolated from MB-injected B6 mice (IgG). *P*>0.05 by ANOVA (n=6/group).



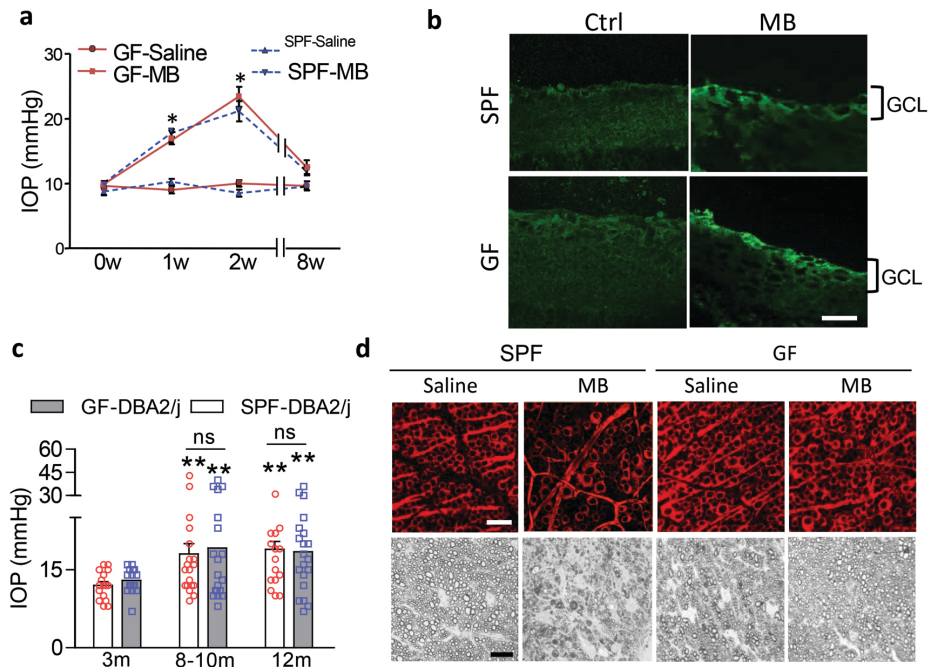
Supplementary Fig. 3. Western blot analysis and immunofluorescence detection of HSP induction following elevated IOP. (a-c) Representative images of Western blots detecting HSP27 and HSP60 expression in the retinas of saline and MB injected mice (original uncropped scans of Fig. 3a). The position of the molecular size marker is indicated. (d) Photomicrographs of HSP27 and HSP60 immunolabeled retinal sections taken from saline- or MB-injected mice. Note the GCL localization of HSP27 and the wider distribution of HSP60 labeling in MB-injected retinas. Bar: 40 μ m.



Supplementary Fig. 4. Elevated IOP-induced T-cell responses to HSPs. (a) Induction of HSP27-specific T cell responses in MB-injected B6 mice, but not in *Rag1*^{-/-} mice. B6 and *Rag1*^{-/-} mice were injected with saline or MB. Two weeks later, mice were challenged with Hsp27 in the ears and sacrificed 24 hours later. Ear sections were immunolabeled with anti-CD4 (green) and representative epifluorescence photomicrographs are shown. (b) Comparison of frequencies of HSP27-specific T cells in mice received a low- or high-dose MB injection: Adult B6 mice were injected with a low- (Low; 2.0×10⁶) or high- (High; 5.0×10⁶) dose of MB in the anterior chamber of the eye. Two weeks later splenocytes were isolated and stimulated with HSP27 in culture; IFN-γ-secreting cells were detected by ELISPOT after 3 days of incubation. ***P*<0.01 by ANOVA (n≥3/group). (c) Frequencies of HSP27-specific T cell responses in DBA/2J mice. Splenocytes were harvested from naïve B6 (n=8) and 3 months (n=8) and 8 months old (n=6) DBA/2J mice and assayed for frequencies of IFN-g-secreting cells by ELISPOT following Hsp27 stimulation. Bar: 10μm. ****P*<0.001 by ANOVA.



Supplementary Fig. 5. Gating strategy for CD4⁺ T-cell quantification. Representative flow cytometry dot plots and the employed gating strategy for the quantification of CD4⁺/IFNγ⁺ T cells in HSP27-stimulated retinal cells after culturing.



Supplementary Fig. 6. IOP kinetics, T-cell infiltration, and RGC degeneration in SPF and GF mice. (a) IOP levels in SPF and GF Swiss-Webster mice before (0w) and 1, 2, and 8 weeks after anterior chamber injection of MB or saline. * $P < 0.001$ as compared to saline injected eyes ($n \geq 10$ /group). (b) Up-regulation of HSP27 in the retinas of SPF and GF SW mice at 1 week after MB injection. Retinal sections were stained with anti-HSP27 (green) ($n = 5$ /group). Scale bar: 15 μm . (c) IOP levels in SPF and GF DBA/2J mice at 3, 8-10, and 12 months of age. ** $P < 0.01$ as compared to 3 months old mice by ANOVA ($n \geq 10$ /group). (d) Retinal flat-mounts immunolabeled with Tuj-1 (red) and electron microscopy of optic nerve cross sections from SPF and GF Swiss-Webster mice at 8 weeks after saline or MB injection. Bar: 50 μm .

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