

# **Personalised medicine of fluoropyrimidines using DPYD pharmacogenetics**

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## **CHAPTER 4**

# **Dutch Pharmacogenetics Working Group (DPWG) guideline for the gene-drug interaction of** *DPYD* **and fluoropyrimidines**

*Submitted (under review)*

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### **Abstract**

Despite advances in the field of pharmacogenetics (PGx), clinical acceptance has remained limited. The Dutch Pharmacogenetics Working Group (DPWG) aims to facilitate PGx implementation by developing evidence-based pharmacogenetics guidelines to optimize pharmacotherapy. This guideline describes the starting dose optimization of three anticancer drugs (fluoropyrimidines: 5-fluorouracil, capecitabine and tegafur) to decrease the risk of severe, potentially fatal, toxicity; such as diarrhoea, hand-foot syndrome, mucositis or myelosuppression. Dihydropyrimidine dehydrogenase enzyme (DPD) deficiency (encoded by the *DPYD* gene) increases risk of fluoropyrimidine-induced toxicity. The *DPYD-*gene activity score, determined by four *DPYD* variants, predicts DPD activity and can be used to optimize an individual's starting dose. The gene activity score ranges from 0 (no DPD activity) to 2 (normal DPD activity). Subjects with a gene activity score of 0 are recommended not to initiate fluoropyrimidines. Alternatively, DPD activity may be determined to adjust the dose accordingly. Subjects with a gene activity score of 0.5, 1 or 1.5 are recommended to initiate therapy with 25%, 50% or 75% of the normal dose of 5-fluorouracil or capecitabine, respectively. When initiating tegafur, an alternative chemotherapeutic agent, or a low dose is recommended. Dose may be increased in subsequent cycles in patients experiencing no or clinically tolerable toxicity. Subjects with a gene activity score of 2 (reference) should receive a normal dose. In case it is not possible to calculate the gene activity score based on *DPYD* genotype, we recommend to determine the DPD activity. Based on the DPWG clinical implication score, *DPYD* genotyping is considered "essential", therefore directing *DPYD* testing prior to initiating treatment with fluoropyrimidines.

### **Disclaimer**

The Pharmacogenetics Working Group of the KNMP (DPWG) formulates the optimal recommendations for each phenotype group based on the available evidence. If this optimal recommendation cannot be followed due to practical restrictions, e.g. therapeutic drug monitoring or a lower dose is not available, then the health care professional should consider the next best option.

### **Introduction**

The role of heritable genetic variation on drug response is referred to as pharmacogenetics (PGx). Germline mutations in pharmacogenetic loci can predict phenotypic differences in drug response and can be used to guide dose and drug selection to achieve safer and more (cost)effective pharmacotherapy. PGx guided pharmacotherapy is one of the first clinical applications of genomics in medicine. Despite scientific and clinical advances in the field of PGx, clinical adoption has remained limited. Barriers preventing implementation have been previously reported.<sup>1</sup> Some of these barriers have been overcome in the past years. One of these barriers was the lack of clear guidelines on how to interpret and apply PGx test results.

The Royal Dutch Pharmacists Association (KNMP) established the Dutch Pharmacogenetics Working Group (DPWG) in 2005 to overcome this barrier.<sup>2</sup> The main objectives of the DPWG are 1) to develop PGx informed therapeutic recommendations based on systematic literature review, and 2) to assist physicians and pharmacists by integrating the recommendations into computerized systems for drug prescription, dispensing, and automated medication surveillance. This manuscript thus provides both the content required for enabling local translation of assay results into the predicted phenotype (in this case the gene activity score) and for programming therapeutic recommendations into local clinical decision support systems. With the objective of implementing PGx into routine care, the DPWG has additionally developed the clinical implication score, which is given to every genedrug interaction. The aim of this score is to direct clinicians on whether or not to order relevant PGx genotyping tests before initiating therapy. Recently, the DPWG guidelines were endorsed by the European Association of Clinical Pharmacology and Therapeutics (EACPT) and the European Association of Hospital Pharmacists (EAHP).<sup>3,4</sup>Other initiatives such as the Clinical Pharmacogenetics Implementation Consortium (CPIC) were also established to support clinical implementation.<sup>5,6</sup>

The DPWG is a multidisciplinary group in which (clinical) pharmacists, physicians, clinical pharmacologists, clinical chemists and epidemiologists are represented. From 2005 onwards, the DPWG has systematically executed 90 risk analyses for potential gene-drug interactions resulting in 49 guidelines providing therapeutic recommendations for one or more aberrant phenotypes.7 Available DPWG guidelines and future updates will be published in an effort to provide transparency of their development and to fulfil the public demand for their publication.

This guideline describes the starting dose optimization of three anti-cancer drugs (fluoropyrimidines: 5-fluorouracil, capecitabine and tegafur) to decrease the risk of severe, potentially fatal, toxicity; such as diarrhoea, hand-foot syndrome, mucositis or myelosuppression. Dihydropyrimidine dehydrogenase enzyme (DPD) deficiency (which is encoded by the *DPYD* gene) increases the risk of fluoropyrimidine-induced toxicity. The gene activity score is currently based on the results of four *DPYD* variants, predicts DPD enzyme activity and is used to optimize an individual's starting dose. The gene activity score ranges from 0 (no DPD activity) to 2 (normal DPD activity). This manuscript provides an overview of the guideline development and summarizes the pharmacotherapeutic recommendations. Additionally, a comparison to alternative guidelines is presented. The *gene-drug interaction* section includes background on the pharmacological mechanism of the interaction. In addition it also includes a list of the *DPYD* variants associated with toxicity and the method developed by DPWG for local translation of assay results into the gene activity score. This information may be useful for laboratories to select and design a *DPYD* genotyping assay and subsequently determine the patients' predicted phenotype based on the genotype results. Consequently, the literature review supporting the *DPYD-*fluoropyrimidine interaction is described and the DPWG guideline is presented. A summary of all references identified by the systematic review which were subsequently used to develop this guideline, can be found in Supplementary Tables 1 and 2. The recommendations provided in this manuscript can be used in combination with a patients' predicted phenotype to optimize starting dose of fluoropyrimidines, thereby decreasing the risk of severe and potentially fatal toxicity.

### **Drugs: fluoropyrimidines (5-fluorouracil, capecitabine and tegafur with DPD-inhibitors)**

Fluoropyrimidines are antimetabolite drugs widely used in the treatment of colorectal, breast, stomach and skin cancer. Each year, over two million patients worldwide receive treatment with fluoropyrimidines. This includes 5-FU and its oral pro-drugs capecitabine and tegafur. Up to 30% of patients experience severe toxicity (common terminology criteria for adverse events, CTC-AE, grade ≥3), including diarrhoea, hand-foot syndrome, mucositis and myelosuppression. For  $\sim$ 1% of patients toxicity is fatal.<sup>8,9</sup> Toxicity may occur within the first treatment cycle (early onset), supporting the importance of optimizing the starting dose of fluoropyrimidine pharmacotherapy on a personalized basis, before initiating therapy.<sup>10</sup>

Capecitabine is metabolised into 5-FU in three consecutive steps. Capecitabine is firstly metabolised to 5'-deoxy-5-fluorocytidine (5'-DFCR) by carboxylesterase, subsequently, 5'- DFCR is converted into 5'-deoxy-5-fluorouridine (5'-DFUR) by cytidine deaminase, and to 5-FU by thymidine phosphorylase. 5-FU is metabolised in tissues to 5-fluoro-2'-deoxyuridine and then to 5-fluoro-2'-deoxyuridine-5'-monophosphate, the active metabolite of the drug. The active metabolite inhibits the enzyme thymidylate synthase, resulting in inhibition of DNA synthesis and repair, inducing cell apoptosis and thus, its effect. Additionally, toxic effects resulting from partial incorporation of 5-FU and its metabolites in DNA and RNA contribute to the drug's mechanism of action. $11$ 

Tegafur is metabolised into 5-FU and into the less cytotoxic metabolites 3-hydroxytegafur, 4-hydroxytegafur and dihydrotegafur by *CYP2A6*. The less toxic metabolites are renally cleared. Tegafur was combined with the DPD inhibitor uracil and is now combined with the DPD inhibitor gimeracil and the orotate phoshoribosyltransferase (OPRT) inhibitor oteracil. Oteracil diminishes the activity of 5-FU in normal gastrointestinal mucosa. The DPD inhibitors diminish the formation of functionally inactive metabolites of 5-FU that contribute to adverse events like stomatitis and mucositis. Both uracil and gimeracil inhibit DPD activity reversibly and have a shorter elimination half-life and thus shorter period of action than tegafur. For this reason, genetic variants influencing DPD enzyme activity are clinically relevant for tegafur in combination with DPD inhibitors.

### **Gene: dihydropyrimidine dehydrogenase (***DPYD***)**

The *DPYD* gene encodes the enzyme DPD. *DPYD* is located on chromosome 1p21.3, and transcription variant 1 (NM\_000110.3) has 26 exons, spanning approximately 900 kb. $12$  Over 160 different allele variants in *DPYD* have been identified and described in literature.<sup>13</sup> According to the gnomAD browser, $14$  which contains whole exome data of almost  $140,000$ individuals, *DPYD* contains 2,190 known variants. The prevalence of individual variants is low. The effect of genetic variation on DPD enzyme activity is not fully established for the majority of variants and the size of the effect can differ between variants.

The frequency of the various *DPYD* variants and the associated phenotypes appears to vary significantly between nations and ethnic groups. For example, in the Caucasian population, approximately 3─5% has a partial DPD enzyme deficiency and 0.1─0.2% has a complete DPD enzyme deficiency. On the other hand, approximately 8% of the African American population has a partial DPD enzyme deficiency.<sup>15,16</sup>

### **Gene-drug interaction**

### *Pharmacological mechanism*

A schematic overview of fluoropyrimidine metabolism is shown in Figure 1. The DPD enzyme is mainly found in liver, but also intestinal mucosa, leucocytes, tumour cells and other tissues. Over 80% of 5-FU is inactivated to 5-fluoro-5,6-dihydrouracil (DHFU) by DPD. The decreased metabolic activity of DPD leads to increased intracellular concentrations of active metabolites of 5-FU.<sup>17</sup> The increased intracellular concentration of 5-fluoro-2'-deoxyuridine-5'-monophosphate (FdUMP) increases the risk of toxicity such as diarrhoea, hand-foot syndrome, mucositis and myelosuppression. Variants in the *DPYD* gene can result in reduced or even absent DPD enzyme activity, increasing the risk of severe toxicity. For example, 73% of the patients with *DPYD*\*2A experienced severe toxicity when treated with a full dose, compared to 23% of \*1 allele carriers (wild-type patients) who experienced toxicity.18 Many enzymes are involved in fluoropyrimidine metabolism, however, this guideline is limited to the role of the DPD enzyme in causing toxicity.

Since the genetic variation in *DPYD* only partially determines DPD enzyme activity, these guidelines for dose adjustment based on the predicted phenotype are no more than a tool that can be used to achieve the desired intracellular concentration of the active metabolite, to minimize risk of toxicity. The absence of tested variants does not eliminate the risk of toxicity. Pharmacokinetic dose adjustment (guided by steady-state plasma concentrations or AUC) may also be useful to optimize the dose of 5-FU. This is, however, currently not routinely used for capecitabine and tegafur, as they are mainly converted into 5-FU within tissue.

### *DPYD variants associated with toxicity*

The variants known or suspected to have an effect on DPD enzyme activity, are listed in Table 1. These variants are mapped by the level of evidence for which association with toxicity has been established (columns) and the variant's effect on DPD enzyme activity (rows). Novel variants in *DPYD* will continue to be identified with the introduction of next generation sequencing techniques to clinical practice. However, in order for these variants to be included in Table 1, sufficient evidence regarding the effect on enzyme function or the onset of toxicity must be investigated, possibly by using the *DPYD-*Varifier19 or by phenotyping patients who carry a novel variant. An update of this guideline will be published when a 4

renewed recommendation is given following newly published articles.

### *Translation of genotype to predicted phenotype*

The DPWG has concluded that four variants have sufficient evidence to be implemented into clinical care: *DPYD*\*2A (IVS14+1G>A), *DPYD*\*13 (c.1679T>G), c.2846A>T and c.1236G>A (in linkage disequillibrium with c.1129-5923C>G). The current guideline only reports recommendations for these four variants; no recommendations are provided for other variants in *DPYD* or other genes. The results of this genotyping panel can be used to predict a patient's phenotype, i.e. the DPD enzyme activity. This predicted DPD activity can be expressed as the *DPYD-*gene activity score, which ranges from 0 (no or virtually no DPD enzyme activity) to 2 (normal DPD enzyme activity due to homozygosity for fully functional alleles, both assigned an activity score 1). The gene activity score is a sum of the two activities of protein isoforms expressed from both alleles. The development of the gene activity score is published elsewhere.<sup>20</sup>

The included variants are those for which substantial and sufficient evidence on the relation to severe toxicity has been established. It is a limitation to restrict to these four variants, as other variants may influence DPD activity as well. However, not all variants having a possible effect on DPD enzyme activity may have been identified yet or evidence for identified variants is insufficient. Therefore, this may result in the incorrect prediction of the DPD enzyme activity. Another limitation is that currently used genotyping methods are unable to determine the allelic location of the variants, but only the dichotomous presence or absence of the variant. This becomes a limitation when two or more different genetic variants are identified in a patient. In this case, either both genetic variants may be on the same allele, resulting in a genotype with one fully functional allele and one reduced functionality allele, or alternatively, both genetic variants may reside on different alleles, resulting in two alleles with inactive or reduced functionality. The latter is more likely to occur. The total gene activity score, however, differs between these cases. When the DPD enzyme activity cannot be predicted correctly, an additional phenotyping test is required to determine the DPD enzyme activity. The relationship between genotype result and predicted phenotype in patients carrying no variants or one or more variants leading to decreased DPD enzyme activity are shown in Supplementary Table 3. The frequency of individuals carrying two or more of four variants considered in the current guideline is rare, but can be assigned a gene activity score. A complete genotype to predicted phenotype translation table can be found in Supplementary Table 4, which can be used to program the translation of genotype results into predicted phenotypes in laboratory information systems.



# Figure 1. Schematic overview of fluoropyrimidine metabolism **Figure 1. Schematic overview of fluoropyrimidine metabolism**

In brief: tegafur, 5FU, capecitabine are metabolised into three major metabolites. FdUMP, which inhibits TS and prevents conversion of dUMP to In brief: tegafur, 5FU, capecitabine are metabolised into three major metabolites. FdUMP, which inhibits TS and prevents conversion of dUMP to dTMP, which is necessary for pyrimidine and DNA synthesis. FdUTP is incorporated in DNA, FdUTP is incorporated in RNA, both resulting in cell dTMP, which is necessary for pyrimidine and DNA synthesis. FdUTP is incorporated in DNA, FdUTP is incorporated in RNA, both resulting in cell death.

*Abbreviations:* CES: carboxylesterase; 5'dFCR: 5'-deoxy-5-fluorocytidine; CDA: cytidine deaminase; 5'dFUR: 5'-deoxy-5-fluorouridine; TP: thymidine phosphorylase; 5-FU: 5-fluorouracii; FUMP: fluorouridine monophosphate; FUDP: fluorouridine diphosphate; FUTP: fluorouridine thymidine phosphorylase; 5-FU: 5-fluorouracil; FUMP: fluorouridine monophosphate; FUDP: fluorouridine diphosphate; FUTP: fluorouridine triphosphate; RNA: ribonucleic acid; FUDR: fluorodeoxyuridine; FdUMP: fluorodeoxyuridine monophosphate; FdUDP: fluorodeoxyuridine triphosphate; RNA: ribonucleic acid; FUDR: fluorodeoxyuridine; FdUMP: fluorodeoxyuridine monophosphate; FdUDP: fluorodeoxyuridine diphosphate; FdUTP: fluorodeoxyuridine triphosphate; DNA: deoxyribonucleic acid; TS: thymidylate synthase; *TYMS*: gene encoding TS; dUMP: deoxyuridine monophosphate; dTMP: deoxythymidine monophosphate; DPD: dihydropyrimidine dehydrogenase; *DPYD*: gene encoding DPD; Abbreviations: CES: carboxylesterase; 5'dFCR: 5'-deoxy-5-fluorocytidine; CDA: cytidine deaminase; 5'dFUR: 5'-deoxy-5-fluorouridine; TP: diphosphate: FdUTP: fluorodeoxyuridine triphosphate: DNA: deoxyribonucleic acid: TS: thymidylate synthase: TYMS: gene encoding TS: dUMP: deoxyuridine monophosphate; dTMP: deoxythymidine monophosphate; DPD: dihydropyrimidine dehydrogenase; DPYD: gene encoding DPD; DHFU: 5,6-dihydrofluorouracii; FUPA: fluoro-ß-ureidopropionate; F-ß-AL: fluoro-ß-alanine. DHFU: 5,6-dihydrofluorouracil; FUPA: fluoro-ß-ureidopropionate; F-ß-AL: fluoro-ß-alanine.

### **Table 1. Known** *DPYD* **variants stratified by level of evidence on the association with toxicity and predicted DPD enzyme activity**

The variants in this table were selected based on literature in Supplementary Table 1 and 2. However, high allele frequency variants reported only in case reports with fluoropyrimidine toxicity were excluded. For these variants the association with DPD enzyme activity, and resulting severe fluoropyrimidine-induced toxicity, cannot be determined.



**DPWG has concluded an association between fully functional variants and no resulting toxicity, and 1** an association between reduced functionality variants or fully dysfunctional variants and association with the onset of severe fluoropyrimidine-induced toxicity;

**DPWG has concluded there is insufficient evidence to associate a predicted DPD enzyme activity for** these variants and the onset of severe fluoropyrimidine-induced toxicity;

c These variants are not included in the prospective *DPYD* genotyping panel, as there is no effect on predicted DPD enzyme activity, and therefore there is no association with the onset of severe fluoropyrimidine-induced toxicity;

 $d$  The effect of the variant on the protein sequence suggests that the protein may still be partially functional. Therefore residual metabolic DPD capacity may be present;

e Variant c.1236G>A, which does not lead to an alternative amino acid, is in complete linkage disequilibrium with variant c.1129-5923C>G, which leads to aberrant splicing in mRNA, which leads to a premature stop codon as a result. The resulting DPD enzyme activity is 50% of the normal activity. Both variants are part of haplotype B3;

<sup>f</sup>The effect of the variant on the protein sequence suggests that the protein may be fully dysfunctional; g These variants have decreased *in vitro* enzyme activity.

Variants from the table according to multiple nomenclatures (HGVS: NM\_000110.3, NP\_000101.2 and NC\_000001.10):

(rs67376798, c.2846A>T, p.(Asp949Val), g.97547947T>A), (rs56038477, c.1236G>A, p.(Glu412=), g.98039419C>T, in haplotype B3), (rs75017182, c.1129-5923C>G, g.98045449G>C, in haplotype B3), (rs3918290, \*2A, c.1905+1G>A, IVS14+1G>A, g.97915614C>G), (rs55886062, \*13, c.1679T>G, p.(Ile560Ser), g.97981343A>C), (rs2297595, c.496A>G, p.(Met166Val), g.98165091T>C), (rs56293913, c.1129-15T>C, IVS10-15T>C, g:98039541A>G), (rs1801160, \*6, c.2194G>A, p.(Val732Ile), g.97770920C>T), (rs17376848, c.1896T>C, p.(Phe632=), g.97915624A>G), (rs72549303, \*3, c.1897delC/c.1898delC, p.(Pro633Glnfs), g.97915622delG), (rs72549309, \*7, c.299\_298delTCAT, p.(Phe100Serfs), g.98205971\_98205974delATGA), (rs1801266, \*8, c.703C>T, p.(Arg235Trp), g.98157332G>A), (rs1801265 + rs1801267, \*9B, c.85T>C + c.2657G>A, p.(Cys29Arg) + p.(Arg886His), g.98348885G>A+ g.97564154C>T), (rs1801268, \*10, c.2983G>T, p.(Val995Phe), g.97544627C>A), (rs72549306, \*11, c.1003G>T, p.(Val335Leu), g.98058899C>A), (rs80081766, \*12, c.62G>A, p.(Arg21Gln), g.98348908C>T), (rs78060119, c.1156G>T, p.(Glu386Ter), g.98039499C>A), (rs777425216, c.1651G>A, p.(Ala551Ser), g.97981371C>A), (c.1845G>T, p.(Glu615Asp)), (98205969, c.300C>A, (p.Phe100Leu)), (rs183385770, c.1024G>A, p.Asp342Asn, g.98058878C>T), (rs183385770, c.1025A>G, p.Asp342Asn, g.98058878C>T), (rs72549304, c.1475C>T, p.Ser492Leu, g.98015165G>A), (rs59086055, c.1774C>T, p.(Arg592Trp), g.97915746G>A), (g.(619762\_619763)\_(620801\_620802) dup), (rs1801158, \*4, c.1601G>A, p.(Ser534Asn), g.97981421C>T), (rs1801159, \*5, c.1627A>G, p.(Ile543Val), g.97981395T>C), (rs1801265, \*9A, c.85T>C, p.(Cys29Arg), g.98348885G>A).

### *Additional phenotyping test when genotype is unable to predict phenotype*

In contrast to the *DPYD* genotyping test, which aims to predict DPD enzyme activity, a DPD phenotyping test can be performed to measure the actual DPD enzyme activity. Possible methods to perform phenotyping are to measure the DPD enzyme activity in peripheral blood mononuclear cells (PBMCs) or to measure the uracil concentrations in plasma or urine.<sup>21</sup> The average Caucasian DPD enzyme activity is  $9.9\pm0.95$  nmol/hour per mg protein.<sup>22</sup> Less commonly performed methods include: 1) the 2-<sup>13</sup>C-uracil breath test,<sup>23</sup> where <sup>13</sup>CO<sub>2</sub> is measured, which is a product of 2-C13-uracil degradation by DPD and other enzymes involved in the katabolic route of pyrimidines; 2) the quantification of the uracil/dihydrouracil ratio in plasma, where endogenous substrates uracil and dihydrouracil are measured,<sup>24,25</sup> although recently it was shown that uracil levels were superior to the dihydrouracil/uracil ratio as a predictor of severe toxicity;<sup>26</sup> 3) measurement the metabolism of a single dose of uracil.<sup>27</sup> However, all DPD phenotyping tests have their limitations. Currently, the DPD enzyme activity measurements from PBMCs are considered the best developed DPD phenotyping test in The Netherlands.<sup>27,28</sup>

### **Supporting body of evidence**

A detailed description of the methods used for literature collection, assessment and preparation of the gene-drug monograph has previously been published elsewhere.<sup>2,7</sup> In brief, a systematic review of literature was performed and relevant articles were summarized by a scientist of the Royal Dutch Pharmacists Association (MN). The performed search strategy can be found in Supplementary Material 1. Each article was provided with two scores: 1) quality of evidence and 2) clinical impact. The quality of evidence was scored on a 5-point scale ranging from 0 (lowest; data on file) to 4 (highest; well performed controlled studies or meta-analysis) and the clinical impact of clinical effect was scored on a 7-point scale ranging from AA# (positive effect) to F (highest negative effect). The criteria used to develop these scores have been published in detail previously.<sup>2,7</sup> This clinical impact scale (AA<sup>#</sup>—F) runs parallel to the common terminology criteria for adverse events (CTC-AE); where CTC-AE grade 5 severity is equal to clinical relevance score F (death) and CTC-AE grade 1 severity is equal to clinical relevance score B. The clinical relevance score additionally includes the scores AA# , AA and A, since these do not exist in the CTC-AE. These regard "Positive clinical effect", "No clinical or kinetic effect", and "Significant kinetic effect or not clinically relevant effect", respectively. The summaries of articles, and their respective scores, reviewed to devise this guideline can be found in the Supplementary Table 1 and 2. The summaries of each article and their respective scores were checked by two independent DPWG members.

For 5-FU/capecitabine, the initial literature search was performed on March 24<sup>th</sup> 2009, followed by a second search on July  $9<sup>th</sup>$  2014. To update this guideline to the current date, an additional literature search was performed on October 19th 2017, resulting in eleven additional papers. Case reports concerning systemic 5-FU or capecitabine therapy were excluded in this literature review, due to a large number of case reports and other available publications of greater evidentiary quality. Kinetic studies from 2009 onwards were only included if the kinetic parameters were given per genotype. Clinical studies were only included if the patient numbers exceeded 500 (from 2009 onwards) or 1,000 (from May 2014 onwards) and the patient numbers with partially functional activity were at least ten or if the study investigated a variant for which no studies were as yet included or if the study investigated the effect of dose adjustment. From 2009, articles investigating the effect of a group containing both polymorphisms known to increase the risk of toxicity and polymorphisms not known to increase the risk of toxicity were not included. If more than one article described data of the same patient group and the same polymorphisms, only the article with data from the largest amount of patients was included.

For tegafur, the initial literature search was performed on August  $20<sup>th</sup> 2009$ , followed by a second and third search on October  $2^{nd}$  2012 and July 27<sup>th</sup> 2015. To update this guideline to current date, an additional literature search was performed on October 19<sup>th</sup> 2017, resulting in no additional papers.

### **General conclusion of evidence**

In the systematic review performed for 5-FU/capecitabine, 16 of 18 studies and all three meta-analyses found an increased risk of grade ≥3 toxicity (either overall toxicity or at least one specified type of toxicity) for patients carrying variants resulting in reduced DPD enzyme activity (ranging from gene activity score 0 to 1.5). This increased risk was shown separately for patients assigned *DPYD-*gene activity scores 1 and 1.5, but gene activity scores 0 and 0.5 were only investigated when grouped with patients assigned other gene activity scores. However, the increased risk of toxicity for patients assigned gene activity scores 0 and 0.5 can be concluded based on the confirmed association for gene activity scores 1 and 1.5, where deficiency is less, and is further supported by cases of patients assigned gene activity scores 0 and 0.5 who developed severe toxicity. Only one study investigating clinical outcome concluded there was no effect of variants on risk of toxicity. Based on the systematic review, the DPWG concludes that a gene-drug interaction is present and that DPD enzyme deficiency increases risk of severe toxicity in patients using capecitabine/5-FU. The highest quality of evidence concluding a gene-drug interaction was scored 4.

In the systematic review performed for tegafur with the DPD inhibitor uracil, one case report described four patients who used standard doses and developed severe toxicity. These patients were assigned *DPYD*-gene activity scores 1 and 1.5. Toxicity (CTC-AE grade 4) was similar to that reported in patients treated with 5-FU or capecitabine, both of which are given without a DPD inhibitor. There were no data available for patients assigned *DPYD*gene activity score 0 or 0.5, however the increased risk of toxicity among these patients can be concluded based on the confirmed association with toxicity for gene activity scores 1 and 1.5, where deficiency is less. Based on the systematic review, the DPWG concludes that there is a clinically relevant gene-drug interaction present and that DPD enzyme deficiency increases risk of severe toxicity in patients using tegafur with DPD inhibitors. The highest quality of evidence concluding a gene-drug interaction was scored 2.

### **Pharmacotherapeutic recommendations**

The DPWG therapeutic recommendation using a patient's pre-therapeutic PGx test result to optimize starting dose of 5-FU/capecitabine and tegafur with DPD inhibitors is summarized in Supplementary Table 5 and 6, respectively.

In brief, when initiating 5-FU, capecitabine or tegafur pharmacotherapy, a gene activity score of 0 recommends choosing an alternative chemotherapy or determining the residual DPD enzyme activity and adjusting the fluoropyrimidine starting dose accordingly. When initiating 5-FU or capecitabine, a gene activity score of 0.5, 1 or 1.5 recommends a starting dose of 25%, 50% or 75%, respectively. Further titration of the dose is possible, guided by toxicity. When initiating tegafur, a gene activity score of 0.5, 1 or 1.5 recommends choosing an alternative chemotherapy or starting with a lower dose and titrating dose based upon toxicity. A gene activity score of 2 (reference value) does not result in a recommendation for dose adaptation for 5-FU, capecitabine or tegafur. If genotype results cannot predict the gene activity score correctly, for example due to multiple identified variants, it is advised to determine the DPD enzyme activity to define an initial starting dose.

Where possible, dose adjustments have been calculated based on 5-FU clearance or AUC after administration of 5-FU or capecitabine. Data were also extrapolated to tegafur with DPD inhibitor, as this compound also follows the same catabolic and anabolic routes after conversion to 5-FU after clearance of the DPD inhibitor from the body. Data on 5-FU clearance are only available for patients carrying *DPYD*\*1/*DPYD*\*2A, *DPYD*\*1/c.2846A>T and *DPYD*\*2A/c.2846A>T. There are data from one patient with *DPYD*\*1/*DPYD*\*13 who developed severe toxicity after 5-FU use, from one patient with c.2846A>T/c.2846A>T and from one patient with c.1236G>A/c.2846A>T.

See Supplementary Table 7 and 8 for an overview of suggested pop-up texts for electronic prescribing systems for pharmacists and physicians. These can be used to program alerts into the clinical decision support system (CDSS). Spanish, Greek, Italian, German, Slovenian and Dutch translations of both the guidelines and background information are available on PharmGKB.org.

### **Implications for clinical practice**

There is currently an ongoing debate regarding whether and which single-drug gene pairs should be implemented into routine care. Points of debate include the amount of evidence that is necessary supporting effectiveness of pre-emptive genotyping, the cost-effectiveness of the intervention and reimbursement of PGx testing.<sup>29,30</sup> This inconclusive debate seems to have hampered implementation of drug-gene pairs which seem ready for implementation.<sup>1,31</sup> In an effort to overcome this inconclusiveness and to direct clinicians on whether or not to order relevant PGx genotyping tests before initiating therapy, the DPWG has developed the clinical implication score. The pre-emptive PGx results for a certain drug-gene pair can be scored as: essential, beneficial, potentially beneficial or not required. The development of these categories and the systematic scoring criteria are discussed elsewhere.<sup>32</sup> In brief, the implications for clinical practice are based on a list of four criteria regarding the following: the clinical effect associated with the gene-drug interaction, the level of evidence supporting the clinical effect, the effectiveness of the intervention in preventing the clinical effect (which includes the number needed to genotype) and the PGx information included in the drug-label. The scores provided for each of these criteria by the DPWG can be found in Supplementary Table 9.

As a result, the DPWG has concluded the clinical implication score of *DPYD*fluoropyrimidines to be "essential". This score dictates that *DPYD* genotyping prior to treatment must be performed for all patients initially being prescribed therapy with 5-FU, capecitabine or tegafur with DPD inhibitors, to optimize the initial dose and to prevent potentially fatal toxicity.

### **Differences between available guidelines**

Other guidelines regarding the gene-drug interaction of *DPYD* and fluoropyrimidines have been developed. To the best of our knowledge, guidelines are available from CPIC, $11,33$ French (French Network of Pharmacogenetics, RNPGx)<sup>34</sup> and Italian (Associazione Italiana di Oncologia Medica, AIOM-SIF) [unpublished guidelines, *edited by the AIOM-SIF Working Group*] initiatives. We have compared the DPWG guidelines to other available guidelines published in English. This regards only the CPIC guideline, since the French and Italian guidelines are unpublished or not in English.

### *CPIC*

Differences between CPIC and DPWG methodology, genotype to phenotype conversion and recommendations have previously been described in detail.<sup>6</sup> However, both guidelines have been updated.<sup>33,35</sup> The current DPWG and CPIC guidelines<sup>5</sup> for *DPYD*/fluoropyrimidines differ regarding the therapeutic recommendations. In contrast to CPIC, DPWG distinguishes between 5-FU/capecitabine and tegafur within the therapeutic recommendations for fluoropyrimidines, where the CPIC guideline does not provide any dosing recommendations for tegafur due to the limited available evidence. DPWG also further distinguishes between systemic and cutaneous routes of administration within the 5-FU/capecitabine recommendations. The therapeutic recommendations for 5-FU/capecitabine also differ regarding the following: 1) For patients with gene activity score 0: DPWG recommends phenotyping while CPIC does not when no alternative is available. 2) For patients with gene activity score 0.5: DPWG recommends initiating therapy with 25% of standard dose or an alternative whereas CPIC recommends an alternative or a strongly reduced dose with therapeutic drug monitoring, but does not provide an absolute percentage. 3) For patients with gene activity score 1.5 the DPWG recommends a 75% standard dose whereas CPIC recommends a 50% of standard dose.

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# **SUPPLEMENT CHAPTER 4**

# **Dutch Pharmacogenetics Working Group (DPWG) guideline for the gene-drug interaction of** *DPYD* **and fluoropyrimidines**

 *Submitted (under review)*

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### **Supplementary Material**

Search terms used to perform the literature review of *DPYD*-[5-FU/capecitabine/tegafur] interactions.

### *Search strategy*

Pubmed was used to search English, Dutch, German articles were accepted. Keywords were the drugs of interest (fluorouracil, capecitabine and tegafur/S1), the gene and variations (*DPYD*, DPD, dihydropyrimidine dehydrogenase), and others (e.g. metabolizer, pharmacogenetics, polymorphism). The complete search string was;

### *Fluorouracil and capecitabine*

Search performed in 2009: ("Fluorouracil"[Mesh] OR fluorouracil) AND ("Dihydropyrimidine Dehydrogenase Deficiency"[Mesh] OR metabolizer OR metaboliser OR polymorph\* OR "Polymorphism, Genetic"[MeSH] OR "Pharmacogenetics"[MeSH]) AND (English[lang] OR German[lang] OR Dutch[lang])

("capecitabine "[Substance Name] OR capecitabine) AND ("Dihydropyrimidine Dehydrogenase Deficiency"[Mesh] OR metabolizer OR metaboliser OR polymorph\* OR "Polymorphism, Genetic"[MeSH] OR "Pharmacogenetics"[MeSH]) AND (English[lang] OR German[lang] OR Dutch[lang])

("Fluorouracil"[Mesh] OR fluorouracil OR "capecitabine "[Substance Name] OR capecitabine) AND (("Dihydrouracil Dehydrogenase (NADP)"[Mesh] OR (dihydropyrimidine dehydrogenase)) AND mutation) AND (English[lang] OR German[lang] OR Dutch[lang])

Search performed in 2014: ("Fluorouracil"[Mesh] OR fluorouracil OR "capecitabine" [Supplementary Concept] OR capecitabine) AND ("Dihydropyrimidine Dehydrogenase Deficiency"[Mesh] OR "Dihydropyrimidine Dehydrogenase Deficiency" OR metabolizer OR metaboliser OR polymorph\* OR "Polymorphism, Genetic"[MeSH] OR "Pharmacogenetics"[MeSH]) AND (English[lang] OR German[lang] OR Dutch[lang])

Search performed in 2017: ("Fluorouracil"[Mesh] OR fluorouracil OR "Capecitabine"[Mesh] OR capecitabine OR fluoropyrimidines) AND ("Dihydrouracil Dehydrogenase (NADP)"[Mesh] OR "Dihydropyrimidine Dehydrogenase Deficiency"[Mesh] OR "Dihydropyrimidine Dehydrogenase Deficiency" OR "Dihydropyrimidine Dehydrogenase" OR *DPYD* OR DPD) AND (English[lang] OR German[lang] OR Dutch[lang])

### *Tegafur*

Search performed in 2009 and 2012: ("Tegafur"[Mesh] OR tegafur[Text Word]) AND ("Dihydropyrimidine Dehydrogenase Deficiency"[Mesh] OR metabolizer OR metaboliser OR polymorph\* OR "Polymorphism, Genetic"[MeSH] OR "Pharmacogenetics"[MeSH]) AND (English[lang] OR German[lang] OR Dutch[lang])

Search performed in 2015: ("Tegafur"[Mesh] OR "S 1 (combination)" [Supplementary Concept] OR "tegafur-gimeracil-oteracil" [Supplementary Concept] OR tegafur[Text Word] OR S1 OR S-1 OR Teysuno) AND ("Dihydropyrimidine Dehydrogenase Deficiency"[Mesh] OR Dihydropyrimidine Dehydrogenase OR DPD OR *DPYD*) AND (English[lang] OR German[lang] OR Dutch[lang])

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Search performed in 2017: ("Tegafur"[Mesh] OR "S 1 (combination)" [Supplementary Concept] OR "tegafur-gimeracil-oteracil" [Supplementary Concept] OR tegafur OR S1 OR S-1 OR "S 1" OR Teysuno) AND ("Dihydrouracil Dehydrogenase (NADP)"[Mesh] OR "Dihydropyrimidine Dehydrogenase Deficiency"[Mesh] OR "Dihydropyrimidine OR DINydropyrimidine Dehydrogenase Deficiency [wiesh] OR Dinydropyrimidine<br>Dehydrogenase Deficiency" OR "Dihydropyrimidine Dehydrogenase" OR *DPYD* OR DPD) AND (English[lang] OR German[lang] OR Dutch[lang])



**Supplementary Table 1. Literature review of** *DPYD***-[5-FU/capecitabine] interactions to support the therapeutic dose guidelines to optimize dose**



### Supplement

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c.1129- 5923C>G/ haplotype B3 have partial DPD deficiency and require a dose reduction when treated with fluoropyrimidi nes. Cancer Chemother Pharmacol 2016;78:875- 80. PubMed PMID: 27544765.

peripheral blood mononuclear cells was determined and cDNA was analysed.

### Results:

- A 47-year old female developed leukocytopenia grade 2 (2.3x10 $9$ /L), neutropenia grade 2 (1.3x10 $9$ /L), hand-foot syndrome grade 1, diarrhoea grade 1 and fatigue grade 1 on day 9 of neoadjuvant treatment with standard dose capecitabine (825 mg/m<sup>2</sup> twice daily) and radiotherapy. Because the symptoms intensified, the capecitabine dose was reduced by 40% on day 15. After dose reduction, treatment was well tolerated. Five days after a dose increase by 10%, she again developed leukopenia grade  $2 (2.5x10<sup>9</sup>/L)$ and neutropenia grade 1 (1.5x10<sup>9</sup>/L). Despite this, treatment could be finished at reduced dose. The patient received surgery and was disease-free four years after treatment.

The DPD activity of the patient was 41% of the normal DPD activity.

- A 67-year old male developed fatigue grade 2 on day 7 of treatment with capecitabine 850 mg/m2 on day 1-14 of the three-week cycle, docetaxel, oxaliplatin and bevacizumab. On day 11, the patient was hospitalised with neutropenia grade  $2$  (1.3x10 $9$ /L) and fever grade 1 (38.7°C, without apparent focus). After release from hospital, he refused further treatment. Because of disease progression, capecitabine 800 mg/m2 twice daily (64% of the standard dose) was started four months later as monotherapy. The patient again developed fatigue grade 2 and refused further treatment after cycle 1. The DPD activity of the patient was 55% of the normal DPD activity.

- A 69-year old male tolerated 4 weeks of neoadjuvant treatment with standard dose capecitabine (825 mg/ $m<sup>2</sup>$  twice daily) and radiotherapy well. Treatment was completed without dose reductions or delays, and without adverse events and haematological changes. The patient had a relapse one year after surgery and died as a result of progressive disease before determination of DPD activity could be performed.

cDNA analysis of the first two patients showed that they produced roughly equal amounts of wild type mRNA and aberrantly spliced mRNA with a premature stop codon.

The authors indicate that the starting dose of capecitabine was relatively low in these patients (compared to the monotherapy dose of 1250 mg/m2 twice daily). So, higher doses might have resulted in more pronounced toxicity. Amstutz 2009 describes a clinically relevant, and support an upfront dose reduction of the fluoropyrimidine starting dose in patients carrying c.1129-5923C>G homozygously.'

Tolerated dose versus gene activity  $2:$ gene activity 1: 60%

DPD activity versus gene activity 2: gene activity 1: 48%

patient with genotype c.1236A>G/c.1236A>G, who developed fatal toxicity during the first cycle with full dose 5-FU plus cisplatin.

NOTE: Patients were genotyped for c.1129-5923C>G and checked for the presence of c.1236G>A and c.959-51T>G, which are in complete linkage disequilibrium with c.1129-5923C>G in haplotype B3.

3 and enteritis after dose increase to 80% of the normal dose. Another patient with this genotype developed hand-foot-syndrome grade 2-3 after multiple cycles with the normal dose.

ref. 6 – FU/CAP, mono/comb Lunenburg CA et al. Evaluation of clinical implementati on of prospective *DPYD* genotyping in 5 fluorouracilor capecitabinetreated patients. Pharmacogen omics 2016;17:721- 9. PubMed PMID: 27181275. Level of evidence score: 3 gene act. 1.5:CTC-AE 4(2)# gene act. 1:CTC-AE  $4(2)^{*}$ gene act. 0.5:CTC-AE 4(2)# The results of routine prospective genotyping and genotype-guided dosing were retrospectively evaluated in patients receiving capecitabine or 5 fluorouracil, either as combined chemotherapy (different combinations) or as monotherapy (with or without radiotherapy). Genotyping was originally only for \*2A (275 patients), but from approximately 30% of the total study time genotyping for \*13 and 2846A>T was added (214 patients) and from 65% of the total study time genotyping for c.1236G>A was added (n = 109). Recommended dosing reductions were 50% of the normal dose per \*2A- and \*13 variant and 25% per c.1236A>G-variant. Recommended dosing reduction per c.2846A>Tvariant was 50% (change to a recommendation of 25% reduction was only after the study), but was not applied. 14 patients with gene variants were identified. Due to the low number of patients with DPD variants the study was not powered to formally test the effect of genotype-guided dosing on fluoropyrimidineinduced toxicity and only explorative analyses could be performed. Genotyping: - 8x \*1/c.1236A>G - 5x \*1/\*2A - 1 carrier of both \*2A and c.2846A>T (either \*2A/c.2846A>T (on separate alleles) or \*1/(\*2A+c.2846A>T) (variants on the same allele)) Results: - 8 patients (5x \*1/c.1236A>G and 3x \*1/\*2A) received the recommended initial dose reduction and did not develop toxicity grade 3-4 in cycle 1. The dose of 4 patients was subsequently increased. Two patients (1x \*1/c.1236A>G with a dose increase to 100% of the normal dose and 1x \*1/\*2A with a dose increase to 60% of the normal dose) did not develop toxicity grade 3-4. A patient with genotype \*1/\*2A developed diarrhoea grade

Authors' conclusion: 'Prospective *DPYD* screening can be implemented successfully in a real world clinical setting, is well accepted by physicians and results in low toxicity.'

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The risk of grade ≥ 3 toxicity was higher in combination therapy than in monotherapy and chemo-radiotherapy regimens. Genotyping:

 $-1613x *1/*1$ 

- 18x \*1/\*2A

Results:

Treatment characteristics of \*1/\*2A-patients: - The initial dose varied from 29% to 60% of the full dose (median 46%). The final dose varied from 17% to 91% of the full dose. The median dose per treatment cycle was 48% (range 17% to 91%). All patients were treated with capecitabine. - 5 patients developed toxicity grade ≥ 3 (first cycle 29% to 60% of the normal dose, final cycle 17% to 60% and maximum 29% to 67%) - 2 patients developed toxicity grade 0 (first of the two cycles with 29% and second cycle with 59% of the nor-mal dose and all five cycles 48% of the normal dose, respectively)

- 11 patients developed toxicity grade 1 to 2 (first cycle 30% to 50% of the normal dose, final cycle 24% to 91% and maximum 46% to 91%) - Toxicity was short in duration and well controlled

using standard supportive care.

- For 6 patients, the dose was increased during treatment (dose in first cycle 29% to 47% of the normal dose; maxi-mum dose 46% to 91%). In two of these patients (dose increase from 47% to 53% and from 44% to 67%, respectively), the dose was later reduced to the initial dose again because of toxicity.

- For 3 patients, the initial dose was still too high and had to be reduced further (initial dose 29% to 44% of the normal dose, final dose 17% to 24%). - Of 4 evaluable patients, 2 achieved a partial response and 2 had stable disease. In 4 of 5 patients with rectal cancer treated with chemo-radiotherapy, down staging of the tumour from pT3-4 to ypT0-2 was reached.

Percentage of \*1/\*2A patients with toxicity for reduced dosing compared to full dosing:

any grade ≥ 3 toxicity

value for full dosing x 0.38 (S) 73% In addition, the observed toxicity was short in duration with reduced dosing and usually longlasting with full dosing.

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 $x \in \mathbb{R}^n$  ,  $x \in \mathbb{R}^n$  ,  $x \in \mathbb{R}^n$ 

grade ≥ 3

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except for Loganayagam 2013. After exclusion of Loganayagam 2013, the p-value was 0.0433. The effect of \*13 on risk of severe toxicity seemed similar in studies with long and short timeframes. The sensitivity of  $*13$  in prediction of grade  $\geq 3$ toxicity was 0.3% and the positive predictive value 46%.

Risk of grade  $\geq 3$  toxicity for  $(*1/c.1236A>G +$ c.1236A>G/c.1236A>G) compared to \*1/\*1:



There was no significant heterogeneity between the studies.

There was no indication of publication bias. The results for any toxicity were similar when patients carrying \*2A and/or c.2846A>T were excluded from the meta-analysis. The association remained significant after exclusion of any study from the meta-analysis.

The effect of c.1236A>G on risk of severe toxicity seemed similar in studies with long and short timeframes.

The sensitivity of c.1236A>G in prediction of grade ≥ 3 toxicity was 6.4% and the positive predictive value 41%.

The meta-analysis of the case-control studies did not show a significant result, probably due to the smaller number of patients.

The authors reported to have treated 3 patients with genotype c.1236A>G/c.1236A>G safely with low dose capecitabine (825 mg/m2 twice a day).

Risk of grade ≥ 3 toxicity for \*2A-carriers compared to \*1/\*1:

RRadj (95% CI) incidenc e for \*1/\*1 (% of patients) any toxicity 2.85 (1.75-4.62) (S) 29% The heterogeneity between the studies was significant and strong.

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 $\overline{a}$ 



Risk of grade ≥ 3 toxicity, premature treatment termination and disease free survival for \*c.2846A>T-carriers compared to non-carriers: incide nce for noncarrie rs any toxicity  $OR_{\text{adi}} = 5.43$  (95% CI: 1.52-19.43) (S) 62% any 5-FU toxicity  $OR_{\text{adj}} = 10.24$  (95% CI: 3.57-29.40) (S) 33% diarrhoea  $x 2.8 (S)$  12% neutropenia  $x 4.9 (S)$  11% nausea/vomiting NS 5.0%<br>
fatigue NS 4.8% fatigue NS stomatitis/mucosi tis NS 4.1% dehydration  $x 5.0 (S)$  2.2%  $leukopenia$   $x 8.2 (S)$  1.8% febrile neutropenia NS, trend for an increase, p=0.08 1.6% anorexia NS 1.5% pain MS NS 0.8% thrombocytopeni a x 55.5 (S) 0.2% premature treatment termination NS 26% dose modification NS 74% disease free survival after 3 year NS 73% When restricting the analysis to Caucasians, sex or treatment, the association between c.2846A>T and grade ≥ 3 5-FU toxicity remained significant. The association between c.2846A>T and grade  $\geq$  3 overall toxicity remained significant in the subgroups of Caucasians and males, but not in the subgroups of females, FOLFOX only and FOLFOX + cetuximab. Other results: - Because of its low frequency, a statistically significant association could not be demonstrated between \*13 and either 5-FU or overall grade ≥ 3 toxicity (NS). - The \*2A/c.2846A>T-patient had a grade 5 adverse event. The patient was only able to receive one cycle of FOLFOX + cetuximab.

- The \*1/274C-patient had no grade ≥ 3 adverse events.

*table continues*

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et al. *DPYD*

However, the increase was non-significant in studies including  $\geq$ 40% of patients with toxicity. The results were similar if only prospective studies, only higher quality studies or only studies including  $\geq$ 200 patients were analysed. In prospective studies, the risk also increased as the incidence of grade III-V toxicity decreased in the study. The risk was also increased when only studies investigating 5-FU-based therapy or 5-FU monotherapy were analysed. Increased risk of grade III-V haematological toxicity (OR = 15.77; 95% CI: 6.36-39.06) (S) Increased risk of grade III-V diarrhoea (OR = 5.54; 95% CI: 2.31-13.29) (S) Increased risk of grade III-V mucositis (OR = 7.48; 95% CI: 3.03-18.47) (S) \*2A had a sensitivity of 5.2% (95% CI: 3.0-8.9) and a specificity of 99.2% (95% CI: 98.8-99.4) for predicting grade III-V toxicity (S) The sensitivity was 9.0% for studies that showed less than 40% grade III-V toxicity (95% CI: 5.7-13.9) (S). There was study heterogeneity in the overall group, but not in the group with less than 40% toxicity. \*2A had a sensitivity of 13% (95% CI: 6.6-24.1) for predicting grade III-V haematological toxicity (S) \*2A had a sensitivity of 5.6% (95% CI: 3.2-9.7) for predicting grade III-V diarrhoea (S) \*2A had a sensitivity of 11.5% (95% CI: 6.2-20.5) for predicting grade III-V mucositis (S) c.2846A>T versus (no c.2846A>T): Increased risk of grade III-V toxicity (OR = 8.18; 95% CI: 2.65-25.25; increase in the percentage of patients with grade III-V toxicity from 34% to 71%) (S) Exclusion of each of the studies from the metaanalysis did not lead to substantially different results  $(OR = 6.20 - 12.88(S)).$ The risk was increased in studies in which the percentage of patients with grade III-V toxicity was less than 40% (OR = 16.59; 95% CI: 5.06-54.43) (S). However, the increase was non-significant in studies including  $\geq$ 40% of patients with toxicity. The results were similar if higher only quality studies or only studies including  $\geq 200$  patients were analysed. The risk was also increased when only prospective studies were analysed (OR = 18.14; 95% CI: 6.26- 52.58) (S) or only studies investigating 5-FU-based therapy (OR = 21.38; 95% CI: 6.71-68.15) (S). There was moderate study heterogeneity in the overall group, but not in the low or high toxicity subgroups, among prospective studies or among those investigating 5-fluorouracil-based therapy. There may have been publication bias.



# Chapter 4

















### Chapter 4





# Chapter 4











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# For studies that did not show significant differences for intermediate metabolizers (IM) or poor metabolizers (PM) due to very low numbers of IM or PM in the study (<4), the effect for IM or PM was scored as if this concerned a case. This was indicated by placing the case code (2) behind the score. a SPC Xeloda (capecitabine) 14-12-16 (USA).

*Abbreviations:* 5-FU: 5-fluorouracil; 95% CI: 95% confidence interval; CAP: capecitabine; Cl: clearance; comb: combination therapy ( $\geq 2$  oncolytic drugs), C<sub>ss</sub>: steady-state plasma concentration; DPD: dihydropyrimidine dehydrogenase; gene act.: gene activity score; gene activity score 2: two fully functional alleles (extensive metaboliser); gene activity score 1.5: one fully functional and one partially functional allele; gene activity score 1: one fully functional and one non-functional allele or two partially functional alleles; gene activity score 0.5: one non-functional and one partially functional allele; gene activity score 0: two non-functional alleles; mono: monotherapy (one oncolytic drug); NS: non-significant; RR: relative risk; S: significant; SNP: single nucleotide polymorphism.



**Supplementary Table 2. Literature review of** *DPYD***/[tegafur with DPD inhibitor] interactions to support the therapeutic dose guidelines to optimize dose**



*Abbreviations:* 5-FU: 5-fluorouracil; DPD: dihydropyrimidine dehydrogenase; gene act.: gene activity score; gene activity score 2: two fully functional alleles (extensive metaboliser); gene activity score 1.5: one fully functional and one partially functional allele; gene activity score 1: one fully functional and one non-functional allele or two partially functional alleles; gene activity score 0.5: one non-functional and one partially functional allele; gene activity score 0: two non-functional alleles.

### **Supplementary Table 3. Relationship between genotype result and predicted phenotype in patients carrying no variants or one or more variants leading to decreased DPD enzyme activity**



activity score 1.25.





Homozygous for two different Gene activity score 0 variants with inactive functionality (\*2A+\*13/\*2A+ \*13)

Both alleles have an activity of 0. Therefore the gene activity score is 0.



*Abbreviation:* DPD: dihydropyrimidine dehydrogenase.

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### **Supplementary Table 4. Genotype to predicted phenotype translation to be programmed into laboratory information system**



*table continues*

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# NOTE: In patients with two different gene variants, the gene activity score is dependent on location of the variants on the alleles. The variants can either be located on the same allele (resulting in one affected allele with reduced or absent DPD activity and one fully functional allele) or located on different alleles (resulting in two affected alleles).

**Supplementary Table 5. Dutch Pharmacogenetics Working Group (DPWG) Guideline for** *DPYD* **and 5-FU/capecitabine: the therapeutic recommendation and its rationale, and the kinetic and clinical consequences for each aberrant gene activity score**







A large study found that the \*2A allele only increased the risk of grade ≥ 3 toxicity in men (OR = 41.8) and not in women. Other studies did not find any differences between men and women.

When the dose was guided by toxicity, the average dose in the sixth cycle was 56% of the standard dose in seven patients with genotype \*1/\*2A. Dose reduction down to 40% or 50% of the standard dose was not adequate in two \*1/\*2A patients in another study. There was no difference in grade ≥ 3 toxicity between 18 patients with genotype \*1/\*2A at ≤ 50% of the standard dose and non-selected patients on the standard dose. In another study, four patients with genotype \*1/\*2A did not develop grade ≥ 3 toxicity at 50% of the standard dose. One of them had previously developed grade ≥ 3 toxicity during the first cycle at the standard dose. One of them tolerated a dose increase to 60%, the other two did not tolerate a dose increase to 80% and 100% respectively. Of the three patients with genotype c.1236G>A/c.1236G>A, one tolerated a standard dose. A second patient tolerated the treatment after dose reduction to 60% of the standard dose. Another study found a mean tolerated dose of 55% of the standard dose for 2x c.1236G>A/c.1236G>A, 1x c.1236G>A/ c.2846A>T and 1x c.2846A>T/c.2846A>T, although in this study a strong variation between patients (and genotypes) was found (17-100% of the standard dose).

**Predicted phenotype: Gene activity score 1.5 Ref. 3-5,8-12,14-16,20,21,25-27,33,38-41**


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# **Supplementary Table 6. Dutch Pharmacogenetics Working Group (DPWG) Guideline for** *DPYD* **and tegafur with DPD inhibitors: the therapeutic recommendation and its rationale, and the kinetic and clinical consequences for each aberrant gene activity score**



*table continues*

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*Abbreviations:* Ref.: References; 5-FU: 5-fluorouracil; AUC: Area Under the Curve; DPD: dihydropyrimidine dehydrogenase; OR: Odds Ratio.

# **References**

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- 3. Deenen MJ, Terpstra WE, Cats A, Boot H, Schellens JH. Standard-dose tegafur combined with uracil is not safe treatment after severe toxicity from 5-fluorouracil or capecitabine. *Ann Intern Med.* 2010;153(11):767-768.

# **Supplementary Table 7. Suggested clinical decision support texts for various health care professionals for 5-FU/capecitabine**

#### **DPD gene act. 0: 5-fluorouracil (5-FU)/capecitabine, SYSTEMIC**

#### **Pharmacist text / Hospital text / Prescriber text**

Genetic variation increases the risk of severe, potentially fatal toxicity. A reduced conversion of 5-fluorouracil/ capecitabine to inactive metabolites means that the standard dose is a more than 100-fold overdose.

Recommendation:

Choose an alternative

Tegafur is not an alternative, as this is also metabolised by DPD.

- If an alternative is not possible:
	- o Determine the residual DPD activity in mononuclear cells from peripheral blood and adjust the initial dose accordingly.
		- A patient with 0.5% of the normal DPD activity tolerated 0.8% of the standard dose (150 mg capecitabine every 5 days). A patient with undetectable DPD activity tolerated 0.43% of the standard dose (150 mg capecitabine every 5 days with every third dose skipped) The average Caucasian DPD activity is 9.9 nmol/hour per mg protein.
	- o Adjust the initial dose based on toxicity and efficacy.

NOTE: If a patient has two different genetic variations that lead to a non-functional DPD enzyme (e.g. \*2A and \*13), this recommendation only applies if the variations are on a different allele. If both variations are on the same allele, this patient has gene activity score 1 and the recommendation for that gene activity score should be followed. These two situations can only be distinguished by determining the enzyme activity (phenotyping).

#### **Background information**

#### Mechanism:

5-Fluorouracil and its prodrug capecitabine are mainly converted by dihydropyrimidine dehydrogenase (DPD) to inactive metabolites. Genetic variations result in reduced DPD activity and thereby to reduced conversion of 5-fluorouracil to inactive metabolites. As a result, the intracellular concentration of the active metabolite of 5-fluorouracil can increase, resulting in severe, potentially fatal toxicity.

For more information about the phenotype gene activity score 0: see the general background information about DPD on the KNMP Knowledge Bank or on www.knmp.nl (search for DPD).

#### Clinical consequences:

All patients with gene activity score 0 with known toxicity (n=2, both \*2A/\*2A), had grade III/IV toxicity and 50% died due to toxicity. Moreover, a patient with \*2A/\*2A developed severe toxicity after treatment with cutaneous 5-fluorouracil cream.

#### Kinetic consequences:

For 2 patients with genotype \*2A/\*2A the dose-corrected AUC of 5-fluorouracil increased by a factor 113 and 138 respectively after the first systemic capecitabine dose. Extrapolation of the decrease in clearance by 50% identified for \*1/\*2A would suggest a clearance of 0% for \*2A/\*2A (gene activity score 0). This is equivalent to severe toxicity found in one patient with \*2A/\*2A after using 5-fluorouracil cream on the scalp and the two previously described patients using very low tolerated systemic doses (0.8% and 0.43% of the standard dose).

- 1. Rosmarin D et al. Genetic markers of toxicity from capecitabine and other fluorouracil-based regimens: investigation in the QUASAR2 study, systematic review, and meta-analysis. J Clin Oncol 2014; 32:1031-9.
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- 4. Boisdron-Celle M et al. 5-Fluorouracil-related severe toxicity: a comparison of different methods for the pretherapeutic detection of dihydropyrimidine dehydrogenase deficiency. Cancer Lett 2007;249:271-82.
- 5. Morel A et al. Clinical relevance of different dihydropyrimidine dehydrogenase gene single nucleotide polymorphisms on 5-fluorouracil tolerance. Mol Cancer Ther 2006;5:2895-904.
- 6. Van Kuilenburg AB et al. High prevalence of the IVS14 + 1G>A mutation in the dihydropyrimidine dehydrogenase gene of patients with severe 5-fluorouracil-associated toxicity. Pharmacogenetics 2002;12:555-8.
- 7. Raida M et al. Prevalence of a common point mutation in the dihydropyrimidine dehydrogenase (DPD) gene within the 5'-splice donor site of intron 14 in patients with severe 5-fluorouracil (5-FU)- related toxicity compared with controls. Clin Cancer Res 2001;7:2832-9.
- 8. van Kuilenburg AB et al. Clinical implications of dihydropyrimidine dehydrogenase (DPD) deficiency in patients with severe 5-fluorouracil-associated toxicity: identification of new mutations in the DPD gene. Clin Cancer Res 2000;6:4705-12.
- 9. Johnson MR et al. Life-threatening toxicity in a dihydropyrimidine dehydrogenase-deficient patient after treatment with topical 5-fluorouracil. Clin Cancer Res 1999;5:2006-11.
- 10. SPC Carac cream (VS), Efudix crème, Fluorouracil P and Xeloda.

### **DPD gene act. 0: 5-fluorouracil (5-FU) CUTANEOUS**

#### **Pharmacist text/ Hospital text / Prescriber text**

Genetic variation increases the risk of severe, potentially fatal toxicity. A reduced conversion of 5-fluorouracil/ capecitabine to inactive metabolites means that the normal dose is an overdose.

Recommendation:

- Choose an alternative

NOTE: If a patient has two different genetic variations that lead to a non-functional DPD enzyme (e.g. \*2A and \*13), this recommendation only applies if the variations are on a different allele. If both variations are on the same allele, this patient has gene activity score 1, for which no increased risk of severe, potentially fatal toxicity has been found with cutaneous use. These two situations can only be distinguished by determining the enzyme activity (phenotyping).

#### **Background information**

#### Mechanism:

5-Fluorouracil is mainly converted by dihydropyrimidine dehydrogenase (DPD) to inactive metabolites. Genetic variations result in reduced DPD activity and thereby to reduced conversion of 5-fluorouracil to inactive metabolites. As a result, the intracellular concentration of the active metabolite of 5-fluorouracil can increase, resulting in severe, potentially fatal toxicity.

For more information about the phenotype gene activity score 0: see the general background information about DPD on the KNMP Knowledge Bank or on www.knmp.nl (search for DPD).

#### Clinical consequences:

A patient with \*2A*/*\*2A developed severe toxicity after treatment with cutaneous 5-fluorouracil cream. All patients using systemic 5-fluorouracil with gene activity score 0 with known toxicity (n=2, both \*2A*/\**2A), had grade III/IV toxicity and 50% died due to toxicity.

#### Kinetic consequences:

For 2 patients with genotype \*2A/\*2A the dose-corrected AUC of 5-fluorouracil increased by a factor 113 and 138 respectively after the first systemic capecitabine dose.

Extrapolation of the decrease in clearance by 50% identified for \*1/\*2A would suggest a clearance of 0% for \*2A*/*\*2A (gene activity score 0). This is equivalent to severe toxicity found in one patient with \*2A*/*\*2A after using 5-fluorouracil cream on the scalp and the two previously described patients using very low tolerated systemic doses (0.8% and 0.43% of the standard dose).

#### **Literature**

- 1. Rosmarin D et al. Genetic markers of toxicity from capecitabine and other fluorouracil-based regimens: investigation in the QUASAR2 study, systematic review, and meta-analysis. J Clin Oncol 2014; 32:1031-9.
- 2. Gross E et al. Strong association of a common dihydropyrimidine dehydrogenase gene polymorphism with fluoropyrimidine-related toxicity in cancer patients. PLoS ONE 2008;3:e4003.
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- 4. Morel A et al. Clinical relevance of different dihydropyrimidine dehydrogenase gene single nucleotide polymorphisms on 5-fluorouracil tolerance. Mol Cancer Ther 2006;5:2895-904.
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- 7. van Kuilenburg AB et al. Clinical implications of dihydropyrimidine dehydrogenase (DPD) deficiency in patients with severe 5-fluorouracil-associated toxicity: identification of new mutations in the DPD gene. Clin Cancer Res 2000;6:4705-12.
- 8. Johnson MR et al. Life-threatening toxicity in a dihydropyrimidine dehydrogenase-deficient patient after treatment with topical 5-fluorouracil. Clin Cancer Res 1999;5:2006-11.
- 9. SPC Carac cream (VS) en Efudix crème.

#### **DPD gene act. 0.5: 5-fluorouracil (5-FU)/capecitabine**

#### **Pharmacist text / Hospital text / Prescriber text**

Genetic variation increases the risk of severe, potentially fatal toxicity. A reduced conversion of 5-fluorouracil/ capecitabine to inactive metabolites means that the normal dose is an overdose.

Recommendation:

- Start with 25% of the standard dose or choose an alternative.
	- Adjustment of the initial dose should be guided by toxicity and effectiveness.
	- Tegafur is not an alternative, as this is also metabolised by DPD.

NOTE: This recommendation only applies if the two genetic variations are on a different allele. If both variations are on the same allele, this patient has gene activity score 1 and the recommendation for that gene activity score should be followed. These two situations can only be distinguished by determining the enzyme activity (phenotyping).

#### **Background information**

#### Mechanism:

5-Fluorouracil and its prodrug capecitabine are mainly converted by dihydropyrimidine dehydrogenase (DPD) to inactive metabolites. Genetic variations result in reduced DPD activity and thereby to reduced conversion of 5-fluorouracil to inactive metabolites. As a result, the intracellular concentration of the active metabolite of 5-fluorouracil can increase, resulting in severe, potentially fatal toxicity.

For more information about the phenotype gene activity score 0.5: see the general background information about DPD on the KNMP Knowledge Bank or on www.knmp.nl (search for DPD).

#### Clinical consequences:

Clinical consequences are only known for 3 patients (all genotype \*2A/2846T). The first patient developed grade III/IV toxicity and died due to toxicity. The second patient developed grade V toxicity and tolerated only one cycle of FOLFOX plus cetuximab. The third patient received half the standard dose, but despite this the fluoropyrimidine therapy was stopped after the first cycle due to side effects ( $\leq$  grade 3).

Kinetic consequences:

Clearance decreased by almost 100% in one patient with gene activity score 0.5 (\*2A/2846T). Extrapolation of the dose reductions identified for \*1/\*2A, \*1/2846T and \*1/1236A would, however, lead to a dose reduction by 75%.

# **Literature**

- 1. Deenen MJ et al. Relationship between single nucleotide polymorphisms and haplotypes in *DPYD* and toxicity and efficacy of capecitabine in advanced colorectal cancer. Clin Cancer Res 2011; 17:3455-68.
- 2. Boisdron-Celle M et al. 5-Fluorouracil-related severe toxicity: a comparison of different methods for the pretherapeutic detection of dihydropyrimidine dehydrogenase deficiency. Cancer Lett 2007;249:271-82.
- 3. Morel A et al. Clinical relevance of different dihydropyrimidine dehydrogenase gene single nucleotide polymorphisms on 5-fluorouracil tolerance. Mol Cancer Ther 2006;5:2895-904.
- 4. SPC Efudix crème and Fluorouracil PCH.

# **DPD gene act. 1: 5-fluorouracil (5-FU)/capecitabine**

#### **Pharmacist text / Hospital text / Prescriber text**

Genetic variation increases the risk of severe, potentially fatal toxicity. A reduced conversion of 5-fluorouracil/ capecitabine to inactive metabolites means that the normal dose is an overdose.

Recommendation:

- Start with 50% of the standard dose or choose an alternative.
	- Adjustment of the initial dose should be guided by toxicity and effectiveness.
	- Tegafur is not an alternative, as this is also metabolised by DPD.

NB1: The dose reduction described here is well substantiated for \*1/\*2A and 1236A/1236A. The dose reduction for patients with 2846T (2846T/2846T or 1236A/2846T) is based on, among other factors, the dose reductions identified for \*1/2846T.

NB2: If a patient has two different genetic variations that result in a partially functional DPD enzyme (e.g. 2846T and 1236A), this recommendation applies if the variations are on a different allele. If both variations are on the same allele, the gene activity score is between 1 and 1.5, depending on whether and how the two gene variations influence each other and on other factors that influence the DPD activity. Whether a gene activity score of 1 or 1.5 needs to be assigned in the case of two different genetic variations can only be determined by measuring the enzyme activity (phenotyping).

#### **Background information**

#### Mechanism:

5-Fluorouracil and its prodrug capecitabine are mainly converted by dihydropyrimidine dehydrogenase (DPD) to inactive metabolites. Genetic variations result in reduced DPD activity and thereby to reduced conversion of 5-fluorouracil to inactive metabolites. As a result, the intracellular concentration of the active metabolite of 5-fluorouracil can increase, resulting in severe, potentially fatal toxicity.

For more information about the phenotype gene activity score 1: see the general background information about DPD on the KNMP Knowledge Bank or on www.knmp.nl (search for DPD).

#### Clinical consequences:

7 of the 10 studies and two meta-analyses found an increased risk of grade ≥ 3 toxicity. Increased grade ≥ 3 toxicity: OR = 4.67-24.9; RR = 4.40-9.76. The highest ORs were found for haematological toxicity. There was a 74- 793% increase in the percentage of patients with grade ≥ 3 toxicity. Out of 48 patients with genotype \*1/\*2A in published cohort studies, 73% developed grade ≥ 3 toxicity. The allele frequency of \*2A in a group with grade III/ IV toxicity was 1548-2879% higher. Toxicity generally occurred in the first cycle. Six patients died due to toxicity, including two that had used capecitabine.

No association with grade ≥ 3 toxicity was found for breast cancer patients receiving adjuvant/neoadjuvant therapy with 5-fluorouracil, epirubicin and cyclophosphamide in a phase II study that showed 94% grade ≥ 3 toxicity and in a small study of 21 patients with grade ≥ 3 toxicity. 5-Fluorouracil toxicity is not common in breast cancer patients treated with this combination therapy.

A large study found that the \*2A allele only increased the risk of grade ≥ 3 toxicity in men (OR = 41.8) and not in women. Other studies did not find any differences between men and women.

When the dose was guided by toxicity, the average dose in the sixth cycle was 56% of the standard dose in 7  $*1/*2A$ . Dose reduction down to 40% or 50% of the standard dose was not adequate in two  $*1/*2A$  patients in another study. There was no difference in grade ≥ 3 toxicity between 18 \*1/\*2A at ≤ 50% of the standard

dose and non-selected patients on the standard dose. In another study,  $4 * 1/*2A$  did not develop grade  $\geq 3$ toxicity at 50% of the standard dose. One of them had previously developed grade ≥ 3 toxicity during the first cycle at the standard dose. One of them tolerated a dose increase to 60%, the other two did not tolerate a dose increase to 80% and 100% respectively. Of the 3 patients with genotype 1236A/1236A, one tolerated a standard dose. A second patient tolerated the treatment after dose reduction to 60% of the standard dose. Another study found a mean tolerated dose of 55% of the standard dose for 2x 1236A/1236A, 1x 1236A/2846T and 1x 2846T/2846T, although in this study a strong variation between patients (and genotypes) was found (17-100% of the standard dose).

Kinetic consequences:

Increase in the AUC of 5-fluorouracil by 103% (16x \*1/\*2A), 127% (1x 1236A/2846T) or 766% (1x 2846T/2846T).

52-80% decrease in clearance. 69-109% increase in half-life.

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- 24. SPCs Efudix crème and Eluorouracil PCH

#### **DPD gene act. 1.5: 5-fluorouracil (5-FU)/capecitabine**

#### **Pharmacist text / Hospital text / Prescriber text**

Genetic variation increases the risk of severe, potentially fatal toxicity. A reduced conversion of 5-fluorouracil/ capecitabine to inactive metabolites means that the normal dose is an overdose.

Recommendation:

Start with 75% of the standard dose or choose an alternative. Adjustment of the initial dose should be guided by toxicity and effectiveness. Tegafur is not an alternative, as this is also metabolised by DPD. -

#### **Background information**

#### Mechanism:

5-Fluorouracil and its prodrug capecitabine are mainly converted by dihydropyrimidine dehydrogenase (DPD) to inactive metabolites. Genetic variations result in reduced DPD activity and thereby to reduced conversion of 5-fluorouracil to inactive metabolites. As a result, the intracellular concentration of the active metabolite of 5-fluorouracil can increase, resulting in severe, potentially fatal toxicity.

For more information about the phenotype gene activity score 1.5: see the general background information about DPD on the KNMP Knowledge Bank or on www.knmp.nl (search for DPD).

#### Clinical consequences:

4 of the 5 studies and one meta-analysis found an increased risk of grade ≥ 3 toxicity. Increased grade ≥ 3 toxicity: OR = 4.42-9.35. The percentage of patients with grade ≥ 3 toxicity was 109-1175% higher. One patient (\*1/496G) died due to toxicity.

No association with grade ≥ 3 toxicity was found in one small study of 21 patients with grade ≥ 3 toxicity. When the dose for 8 \*1/2846T was guided by toxicity, the average dose in the sixth cycle was 76% of the standard dose. 5 patients with genotype \*1/1236A did not develop grade ≥ 3 toxicity at 75 % of the standard dose. The two patients for who the dose was then increased tolerated the standard dose. One patient with genotype \*1/1236A, who was started at the standard dose, developed grade 3-4 toxicity in the first cycle.

# Kinetic consequences:

40-58% decrease in clearance.

- 1. Rosmarin D et al. Genetic markers of toxicity from capecitabine and other fluorouracil-based regimens: investigation in the QUASAR2 study, systematic review, and meta-analysis. J Clin Oncol 2014; 32:1031-9.
- 2. Terrazzino S et al. *DPYD* IVS14+1 G>A and 2846A>T genotyping for the prediction of severe fluoropyrimidine-related toxicity: a meta-analysis. Pharmacogenomics2013; 14:1255-72.
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- 8. Cho HJ et al. Thymidylate synthase (TYMS) and dihydropyrimidine dehydrogenase (*DPYD*) polymorphisms in the Korean population for prediction of 5-fluorouracil-associated toxicity. Ther Drug Monit 2007;29:190-6.
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- 12. SPCs Efudix crème and Fluorouracil PCH.

# **Supplementary Table 8. Suggested clinical decision support texts for health care professionals for tegafur with DPD inhibitors**

#### **DPD gene act. 0: tegafur Pharmacist text / Hospital text / Prescriber text**

Genetic variation increases the risk of severe, possibly fatal toxicity. A reduced conversion of tegafur to inactive metabolites means that the normal dose is an overdose.

Recommendation:

- Choose an alternative
	- Do not choose 5-fluorouracil or capecitabine, as these are also metabolised by DPD.
	- If an alternative is not possible: start with a very low dose and adjust the initial dose based on toxicity and efficacy.

A substantiated recommendation for dose reduction cannot be made based on the literature. The recommendation for 5-fluorouracil and capecitabine is to determine the residual DPD activity in mononuclear cells from peripheral blood and to adjust the initial dose accordingly. A patient with 0.5% of the normal DPD activity tolerated 0.8% of the standard capecitabine dose (150 mg every 5 days). A patient with undetectable DPD activity tolerated 0.43% of the standard capecitabine dose (150 mg every 5 days with every third dose skipped)

The average Caucasian DPD activity is 9.9 nmol/hour per mg protein.

NOTE: If a patient has two different gene variations that lead to a non-functional DPD enzyme (e.g. \*2A and \*13), this recommendation only applies if the variations are on a different allele. If both variations are on the same allele, this patient has gene activity score 1 and the recommendation for that gene activity score should be followed. These two situations can only be distinguished by determining the enzyme activity (phenotyping).

### **Background information**

Mechanism:

Tegafur is mainly converted by CYP2A6 to 5-fluorouracil. 5-Fluorouracil is mainly (> 80 %) converted by dihydropyrimidine dehydrogenase (DPD) to inactive metabolites. Genetic variations result in reduced DPD activity and thereby to reduced conversion of 5-fluorouracil to inactive metabolites. As a result, the intracellular concentration of the active metabolite of 5-fluorouracil can increase, resulting in severe, potentially fatal toxicity. Tegafur is used in combination with the DPD inhibitor gimeracil (molar ratio 1:0.4) and was used in combination with the DPD inhibitor uracil (molar ratio 1:4). Both DPD inhibitors exhibit competitive inhibition of DPD. This is why efficacy is achieved at lower concentrations of the metabolites formed by DPD, which seem to contribute to the toxicity. Inhibition by DPD inhibitors is reversible and reduces over time.

For more information about the phenotype gene activity score 0: see the general background information about DPD on the KNMP Knowledge Bank or on www.knmp.nl (search for "DPD").

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Clinical consequences:

There are no studies into the clinical consequences of tegafur in combination with a DPD inhibitor for gene activity score 0. The SmPC states that this combination is contra-indicated in patients with DPD deficiency. This probably refers to gene activity score 0. No safe dose has been found for gene activity score 0 for 5-fluorouracil (the metabolite of tegafur). In addition to this, four patients with a less strongly reduced DPD activity (gene activity score 1 or 1.5) had a comparable toxicity for treatment with tegafur/uracil as found for treatment with 5-fluorouracil or capecitabine.

#### Kinetic consequences:

There are no studies into the kinetic consequences.

#### **Literature**

- 1. Deenen MJ et al. Standard-dose tegafur combined with uracil is not safe treatment after severe toxicity from 5-fluorouracil or capecitabine. Ann Intern Med 2010;153:767-8.
- 2. SPC Teysuno.

#### **DPD gene act. 0.5: tegafur**

#### **Pharmacist text / Hospital text / Prescriber text**

Genetic variation increases the risk of severe, possibly fatal toxicity. A reduced conversion of tegafur to inactive metabolites means that the normal dose is an overdose.

Recommendation:

Choose an alternative or start with a low dose and adjust the initial dose based on toxicity and efficacy 5-fluorouracil and capecitabine are not alternatives, as these are also metabolised by DPD. It is not possible to offer substantiated advice for dose reduction based on the literature. For 5-fluorouracil and capecitabine, starting with 25% of the standard dose is recommended. NOTE: This recommendation only applies if the two gene variations are on a different allele. If both variations are on the same allele, this patient has gene activity score 1 and the recommendation for that gene activity score should be followed. These two situations can only be distinguished by determining the enzyme activity (phenotyping).

#### **Background information**

#### Mechanism:

Tegafur is mainly converted by CYP2A6 to 5-fluorouracil. 5-Fluorouracil is mainly (> 80 %) converted by dihydropyrimidine dehydrogenase (DPD) to inactive metabolites. Genetic variations result in reduced DPD activity and thereby to reduced conversion of 5-fluorouracil to inactive metabolites. As a result, the intracellular concentration of the active metabolite of 5-fluorouracil can increase, resulting in severe, potentially fatal toxicity. Tegafur is used in combination with the DPD inhibitor gimeracil (molar ratio 1:0.4) and was used in combination with the DPD inhibitor uracil (molar ratio 1:4). Both DPD inhibitors exhibit competitive inhibition of DPD. This is why efficacy is achieved at lower concentrations of the metabolites formed by DPD, which seem to contribute to the toxicity. Inhibition by DPD inhibitors is reversible and reduces over time.

For more information about the phenotype gene activity score 0.5: see the general background information about DPD on the KNMP Knowledge Bank or on www.knmp.nl (search for "DPD").

#### Clinical consequences:

There are no studies into the clinical consequences of tegafur in combination with a DPD inhibitor for gene activity score 0.5. However, four patients with a less strongly reduced DPD activity (gene activity score 1 or 1.5) had a comparable toxicity for treatment with tegafur/uracil as found for treatment with 5-fluorouracil or capecitabine. In addition to this, four patients with gene activity score 1 could be treated with 90 % of the standard tegafur/uracil dose without grade 3-4 toxicity occurring.

#### Kinetic consequences:

There are no studies into the kinetic consequences.

#### **Literature**

- 1. Cubero DI et al. Tegafur-uracil is a safe alternative for the treatment of colorectal cancer in patients with partial dihydropyrimidine dehydrogenase deficiency: a proof of principle. Ther Adv Med Oncol 2012;4:167-  $72.$
- 2. Deenen MJ et al. Standard-dose tegafur combined with uracil is not safe treatment after severe toxicity from 5-fluorouracil or capecitabine. Ann Intern Med 2010;153:767-8.
- 3. SPC Teysuno.

#### **DPD gene act. 1.0: tegafur**

#### **Pharmacist text / Hospital text / Prescriber text**

Genetic variation increases the risk of severe, possibly fatal toxicity. A reduced conversion of tegafur into inactive metabolites means that the normal dose is an overdose.

Recommendation:

Choose an alternative or start with a low dose and adjust the initial dose based on toxicity and efficacy 5-Fluorouracil and capecitabine are not alternatives, as these are also metabolised by DPD. It is not possible to offer substantiated advice for dose reduction based on the literature. For 5-fluorouracil and capecitabine, starting with 50 % of the standard dose is recommended. NOTE: If a patient has two different gene variations that result in a partially functional DPD enzyme (e.g. 2846T and 1236A), this recommendation only applies if the variations are on a different allele. If both variations are on the same allele, the gene activity score is between 1 and 1.5, depending on whether and how the two gene variations influence each other and on other factors that influence the DPD activity. Whether a gene activity score of 1 or 1.5 needs to be assigned in the case of two different genetic variations can only be determined by measuring the enzyme activity (phenotyping).

#### **Background information**

#### Mechanism:

Tegafur is mainly converted by CYP2A6 to 5-fluorouracil. 5-Fluorouracil is mainly (> 80 %) converted by dihydropyrimidine dehydrogenase (DPD) to inactive metabolites. Genetic variations result in reduced DPD activity and thereby to reduced conversion of 5-fluorouracil to inactive metabolites. As a result, the intracellular concentration of the active metabolite of 5-fluorouracil can increase, resulting in severe, potentially fatal toxicity. Tegafur is used in combination with the DPD inhibitor gimeracil (molar ratio 1:0.4) and was used in combination with the DPD inhibitor uracil (molar ratio 1:4). Both DPD inhibitors exhibit competitive inhibition of DPD. This is why efficacy is achieved at lower concentrations of the metabolites formed by DPD, which seem to contribute to the toxicity. Inhibition by DPD inhibitors is reversible and reduces over time.

For more information about the phenotype gene activity score 1: see the general background information about DPD on the KNMP Knowledge Bank or on www.knmp.nl (search for "DPD").

Clinical consequences:

In a study, two patients had a comparable toxicity for treatment with tegafur/uracil as found for treatment with 5-fluorouracil or capecitabine. In another study, four patients could be treated with 90 % of the standard tegafur/ uracil dose without grade 3-4 toxicity occurring. All six patients had the genotype \*1/\*2A.

Kinetic consequences:

There are no studies into the kinetic consequences.

- 1. Cubero DI et al. Tegafur-uracil is a safe alternative for the treatment of colorectal cancer in patients with partial dihydropyrimidine dehydrogenase deficiency: a proof of principle. Ther Adv Med Oncol 2012;4:167- 72.
- 2. Deenen MJ et al. Standard-dose tegafur combined with uracil is not safe treatment after severe toxicity from 5-fluorouracil or capecitabine. Ann Intern Med 2010;153:767-8.
- 3. SPC Teysuno.

# **DPD gene act. 1.5: tegafur**

#### **Pharmacist text / Hospital text / Prescriber text**

Genetic variation increases the risk of severe, possibly fatal toxicity. A reduced conversion of tegafur into inactive metabolites means that the normal dose is an overdose.

#### Recommendation:

- Choose an alternative or start with a low dose and adjust the initial dose based on toxicity and efficacy 5-Fluorouracil and capecitabine are not alternatives, as these are also metabolised by DPD. It is not possible to offer substantiated advice for dose reduction based on the literature. For 5-fluorouracil and capecitabine, starting with 75 % of the normal dose is recommended.

#### **Background information**

#### Mechanism:

Tegafur is mainly converted by CYP2A6 to 5-fluorouracil. 5-Fluorouracil is mainly (> 80 %) converted by dihydropyrimidine dehydrogenase (DPD) to inactive metabolites. Genetic variations result in reduced DPD activity and thereby to reduced conversion of 5-fluorouracil to inactive metabolites. As a result, the intracellular concentration of the active metabolite of 5-fluorouracil can increase, resulting in severe, potentially fatal toxicity. Tegafur is used in combination with the DPD inhibitor gimeracil (molar ratio 1:0.4) and was used in combination with the DPD inhibitor uracil (molar ratio 1:4). Both DPD inhibitors exhibit competitive inhibition of DPD. This is why efficacy is achieved at lower concentrations of the metabolites formed by DPD, which seem to contribute to the toxicity. Inhibition by DPD inhibitors is reversible and reduces over time.

For more information about the phenotype gene activity score 1.5: see the general background information about DPD on the KNMP Knowledge Bank or on www.knmp.nl (search for "DPD").

#### Clinical consequences:

Two patients with gene activity score 1.5 had a comparable toxicity for treatment with tegafur/uracil as found for treatment with 5-fluorouracil or capecitabine. Four patients with gene activity score 1 could be treated with 90 % of the standard tegafur/uracil dose without grade 3-4 toxicity occurring.

#### Kinetic consequences:

There are no studies into the kinetic consequences.

- 1. Cubero DI et al. Tegafur-uracil is a safe alternative for the treatment of colorectal cancer in patients with partial dihydropyrimidine dehydrogenase deficiency: a proof of principle. Ther Adv Med Oncol 2012;4:167- 72.
- 2. Deenen MJ et al. Standard-dose tegafur combined with uracil is not safe treatment after severe toxicity from 5-fluorouracil or capecitabine. Ann Intern Med 2010;153:767-8.
- 3. SPC Teysuno.

**Supplementary Table 9. The clinical implication score of** *DPYD***-fluoropyrimidines is "essential", based on the criteria and corresponding scores given by the DPWG**



<sup>a</sup> Patients assigned to be DPD deficient but have received normal doses of fluoropyrimidines been associated with CTCAE grade 5 toxicity;

**bEight studies of sufficient quality have shown an association with CTCAE grade 5 toxicity (references** in Supplementary Table 1: 10, 15, 16, 18, 26, 29, 30 and 33);

 $c$ The NNG was calculated using the "Calculations of the number of adverse events prevented with an effective pre-emptive genotyping program".<sup>1</sup> The pooled odds ratios and relative risks for\*2A, 1236A, 2846T and \*13 was 5.2, extracted from meta-analyses Meulendijks et al., Terrazzino et al., and Rosmarin et al.<sup>2-4</sup> The calculated NNG was 53.9;

 $d$  In the European Union, DPD deficiency is mentioned in the current version of the summary of product characteristics (SPC) of capecitabine in the sections Contraindications and Special Warnings and Precautions for Use.<sup>5</sup> Similar information on DPD deficiency is provided in the United States by the Food and Drug Administration (FDA) for capecitabine.<sup>6</sup> Comparable reports are made in SPCs of 5-FU;7,8

e essential, beneficial, potentially beneficial or not required.

*Abbreviations:* DPD: dihydropyrimidine dehydrogenase; CTCAE: Common terminology criteria for adverse events.

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- 4. Rosmarin D, Palles C, Pagnamenta A, et al. A candidate gene study of capecitabine-related toxicity in colorectal cancer identifies new toxicity variants at *DPYD* and a putative role for ENOSF1 rather than TYMS. *Gut.* 2015;64(1):111-120.
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# **CHAPTER 5**

# *DPYD* **genotype-guided dose individualisation of fluoropyrimidine therapy in patients with cancer: a prospective safety analysis**

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# **Abstract**

Fluoropyrimidine treatment can result in severe toxicity in up to 30% of patients and is often the result of reduced activity of the key metabolic enzyme dihydropyrimidine dehydrogenase (DPD), mostly caused by genetic *DPYD* variants. In a prospective clinical trial, we investigated whether upfront screening for four *DPYD* variants and *DPYD*-guided dose individualization can reduce fluoropyrimidine-induced toxicity.

Prospective genotyping of *DPYD*\*2A, c.2846A>T, c.1679T>G, and c.1236G>A was performed in adult cancer patients for which fluoropyrimidine-based chemotherapy was considered in their best interest. All patients about to start with a fluoropyrimidine regimen (capecitabine or 5-fluorouracil as single agent or in combination with other chemotherapeutic agents and/or radiotherapy) could be included in the study. Heterozygous *DPYD* variant allele carriers received an initial dose reduction of 25% (c.2846A>T, c.1236G>A) or 50% (*DPYD*\*2A, c.1679T>G), *DPYD* wild-type patients were treated according to standard of care. The primary endpoint of the study was the incidence of severe (CTC-AE grade≥3) overall fluoropyrimidine-related toxicity. This toxicity incidence was compared between *DPYD* variant allele carriers and *DPYD* wild-type patients in the study in an intention-to-treat analysis, and relative risks for severe toxicity were compared between the current study and a historical cohort of *DPYD* variant allele carriers treated with full dose fluoropyrimidinebased therapy (derived from a previously published meta-analysis). This trial is registered under clinicaltrials.gov identifier NCT02324452 and is completed.

In total, 1,103 evaluable patients were enrolled, of whom 85 *DPYD* variant carriers (7.7%). Overall grade≥3 toxicity was higher in *DPYD* variant carriers than in wild-type patients (39% vs 23%, p=0.0013). The relative risk (RR) for grade≥3 toxicity was 1.31 (95% confidence interval [95%CI]:0.63–2.73) for genotype-guided dosing vs 2.87(95%CI:2.14–3.86) in the historical cohort for *DPYD*\*2A, no toxicity vs 4.30(95%CI:2.10–8.80) in c.1679T>G, 2.00(95%CI:1.19– 3.34) vs 3.11(95%CI:2.25–4.28) for c.2846A>T, and 1.69(95%CI:1.18–2.42) vs 1.72(95%CI: 1.22–2.42) for c.1236G>A.

Upfront *DPYD* genotyping was feasible in routine clinical practice, and improved patient safety of fluoropyrimidine treatment. For *DPYD*\*2A and c.1679T>G carriers, a 50% initial dose reduction seems adequate. For c.1236G>A and c.2846A>T carriers, a larger dose reduction of 50% (instead of 25%) needs to be investigated. As fluoropyrimidines are among the most commonly used anticancer agents, the findings of this study are of high clinical importance, as they endorse implementing *DPYD* genotype-guided dosing as the new standard of care.

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