

Towards an ab-axis giant proximity effect using ionic liquid gating Atesci, H.

Citation

Atesci, H. (2018, September 12). *Towards an ab-axis giant proximity effect using ionic liquid gating. Casimir PhD Series*. Retrieved from https://hdl.handle.net/1887/65452

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Author: Atesci, H. Title: Towards an ab-axis giant proximity effect using ionic liquid gating Issue Date: 2018-09-12

Chapter 6

On the nature of ionic liquid gating of $La_{2-x}Sr_xCuO_4$

Ionic liquids have recently been used as means of modulating the charge carrier properties of cuprates. The mechanism behind it, however, is still a matter of debate. In this paper we report experiments on ionic liquid gated ultrathin $La_{2-x}Sr_xCuO_4$ films. Our results show that the electrostatic part of gating has limited influence in the conductance of the cuprate in the gate voltage range of 0 V to -2 V. A non-electrostatic mechanism takes over for gate voltages below -2 V. This mechanism most likely changes the oxygen concentration of the film. The results presented are in line with previous X-ray based studies on ionic liquid gating induced oxygenation of the cuprate materials $YBa_2Cu_3O_{7-x}$ and $La_{2-x}Sr_xCuO_4$. 1

6.1 Introduction

Changing the charge carrier density by means of gating is the crux of today's technology, where fields of up to 10 MV/cm are used in transistors to change semiconductor properties. Recently, ionic liquids (ILs) have been used in oxide semiconductors for the application of fields of up to two orders of magnitude higher than that for their conventional solid-state counterparts. ILs consist entirely of ions and form Helmholtz electric double layers at the interfaces with the electrodes

¹The work in this chapter was published as: On the Nature of Ionic Liquid Gating of $La_{2-x}Sr_xCuO_4$, Hasan Atesci, Wouter Gelling, Francesco Coneri, Hans Hilgenkamp and Jan M. van Ruitenbeek, *Int. J. Mol. Sci.* **19**, 566, 2018.

when a voltage is applied across the IL. One layer consist of anions or cations of the IL, while the other layer comprises the induced charge carriers of the solid. With a ~ 1 nm separation within the double layer charge carrier densities of up to 8×10^{14} cm⁻² are achievable [109], making it possible to induce insulator-to-superconductor [8, 59, 213] and metal-to-insulator transitions [11, 67, 228].

This technique is driven by an electrostatic mechanism, and holds for many materials [71, 229]. However, when applied to correlated oxide systems [67, 70] there is substantial evidence that electrochemical processes related to interstitial oxygen is crucial in the gating process [64]. As for cuprate high temperature superconductors such as YBaCu₃O_{7-x}, several reports suggested that the superconducting transition can be induced by (de)oxygenation of these materials by means of IL gating [62, 63], while others suggested an electrostatic mechanism [60]. Dubuis *et al.* [64] argued that the electric field of the electric double layer drives a redistribution of the oxygen atoms in the basal planes of the cuprate in an electrostatic fashion, while others have suggested an electrochemical process leading to oxygenation of the cuprate [62, 63]. In this work, we attempt to address the mechanism of the IL gating of the cuprate material of La_{2-x}Sr_xCuO₄.

6.2 Experimental details

The La_{2-x}Sr_xCuO₄ films are grown on single crystalline LaSrAlO₄(001) or $(LaAlO_3)_{0.3}$ (Sr₂AlTaO₆)_{0.7}(001) substrates (0.05-0.3° miscut), for these have a small mismatch in the lattice constants with respect to La_{2-x}Sr_xCuO₄ (0.5 %). The substrates were annealed at a temperature of 1050 °C for 10 or 12 hours under a flow of O₂ of 50 mL/min to remove any organic materials on the surface of the substrate and to allow reconstruction of surface defects. When scanned with atomic force microscopy (AFM), the substrate surface shows clear steps of half a unit cell (UC) height (Fig. 6.1a), which is expected for a double termination of the substrate lattice, and has a root mean square (rms) roughness of 0.23 nm.

We use Pulsed Laser Deposition combined with Reflection High Energy Electron Diffraction (RHEED) to grow the cuprate and monitor the growth in-situ. During growth the substrates are kept at a deposition temperature of 740 C and O₂ pressure of 0.13 mbar. The target is ablated using a laser fluence of ~ 1.65 J/cm⁻² and repe-



Figure 6.1: (a) Example of an AFM image of an annealed LaSrAlO₄ (001) substrate (1050 C, 10 hours) showing clear steps. The inset shows the height profile of one step, indicating the step height is half a UC. This is due to the double termination of the substrate UC. The rms roughness is 0.23 nm. (b) Graph of RHEED intensity vs. time which shows the oscillations of the specular reflected beam for the growth of a 10 UC thick La_{1.95}Sr_{0.05}CuO₄ (Sr doping is 0.05) on LaSrAlO₄. The oscillations are typically preserved up to the 20^{th} RHEED oscillation and are indicative of layer-by-layer growth of the cuprate. The arrows indicate the start and end of the deposition process.

tition rate of 4 Hz. The RHEED oscillations, as seen in Fig. 6.1b, indicate layer-by-layer growth, where two RHEED oscillation periods indicate the growth of 1 UC [60, 143]. The thickness of the grown $La_{2-x}Sr_xCuO_4$ film is between 10 and 30 UCs, while x varies from 0.05 to 0.09. When Au for the electrodes is deposited ex-situ by a photolithographic process the adhesion of the Au electrodes is poor and the Au layers detach with the lift-off step. To prevent this we have attempted to introduce a thin Ti adhesion layer before depositing Au. However, this introduces high contact resistances, about 100-1000 times the sheet resistance and further diverging at low temperatures, rendering measurements at low temperatures problematic. We suspect that the deposition of Ti leads to oxidization, inducing oxygen diffusion from the $La_{2-x}Sr_xCuO_4$ layer to the Ti layer. In turn, this leads to a decrease of charge carriers, which is detrimental for the conductive properties. Another reason may be the difference in work function of Ti (4.33 eV) and $La_{2-x}Sr_{x}CuO_{4}$ (5.02-5.23 eV [230]), leading to a Schottky-like barrier. The problem was resolved by *in-situ* deposition of a full Au layer with a typical thicknesses of 50 - 90 nm immediately following $La_{2-x}Sr_xCuO_4$ deposition, at an Ar pressure of 2 \times 10⁻² mbar, using a laser fluence of \sim 4 - 4.5 J/cm² and a repetition rate of 4 Hz, see Fig. 6.2a(I). The second process involves a photolithographic step in which areas are defined separating the



Figure 6.2: (a) Schematics of the sample preparation. I) After in-situ pulsed laser deposition of 10 to 30 UC of $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ (LSCO) on a LaSrAlO_4 (LSAO) substrate, followed by Au deposition. II) After dry etching of the areas defined by photolithography. III) After wet etching of the excess Au between the source and drain electrodes using a $\text{KI}/\text{I}_2/\text{H}_2\text{O}$ solution. IV) Schematic representation of the electronic circuit of the IL/La_{2-x}Sr_xCuO₄ system. (b) Schematic representation of the used electrodes and channel alyout (60×300 μm^2). Here, the voltage probe separation at the channel area is 60 μm . The dashed circle represents the approximate cross sectional area of the IL droplet at the sample surface. (c) An example of an AFM image of the surface of the La_{2-x}Sr_xCuO₄ channel (10 UC) after fabrication, before adding the IL. The film rms roughness is 0.49 nm, and clear steps of the film are visible.

gate from the active device area, followed by an dry etching step in an Ar^+ ion beam at 500 kV, see Fig. 6.2a(II). During this step, the areas for the Au electrodes needed for measurement and wire bonding, together with the $La_{2-x}Sr_xCuO_4$ channel area are protected by the photoresist. Exposing the channel area requires a third process, illustrated in Fig. 6.2a(III), in which the Au on top of the channel is etched away selectively using a KI/I₂/H₂O solution (mass ratio 4:1:40). We find that this wet etching process preserves the quality of the $La_{2-x}Sr_xCuO_4$ film and surface.

In most cases, processes I, II and III leave behind photoresist residuals on the $La_{2-x}Sr_xCuO_4$ surface. To remove these residuals, we have attempted to etch the samples in an oxygen plasma (13-16 W, 100 mTorr). However, we find that this process modifies the properties of the cuprate film, inducing an n-type FET transistor-like behavior upon IL gating. In other words, the conduction increases at positive gate voltages and decreases at negative gate voltages, while the opposite, p-type transistor behavior is expected for $La_{2-x}Sr_xCuO_4$. This problem can be circumvented by gently cleaning the surface using an ethanol wetted lens tissue, resulting in an atomically clean surface of the $La_{2-x}Sr_xCuO_4$, as is shown in Fig. 6.2c.

A typical layout of the $IL/La_{2-x}Sr_xCuO_4$ sample, which is electrically wire bonded to a sample holder of the cryogenic insert, is shown in Fig. 6.2a(IV). We have used two Keithley 2450 SourceMeters for the experiments, one of which is used to apply a gate voltage V_q between the gate and drain electrodes, while simultaneously measuring the gate current I_q used for cyclic voltammetry, i.e. measuring the gate current I_{g} as a function of V_{g} in order to check for the presence of Faradeic processes characterized by charge transfer peaks. The gate voltages used in the experiment described in this work lies between -5.5 V and 0 V. The other Keithley SourceMeter is used for setting up an excitation current of 1 μ A between the source and drain electrodes, while measuring the four-terminal resistance over the voltage leads. The $La_{2-x}Sr_xCuO_4$ channel has dimensions of $60\times 300 \ \mu m^2$ and the voltage lead separation is identical to the channel width (see Fig. 6.2b), leading to a simple conversion factor for the sheet resistance. It is to be noted that the gate electrode surface area exposed to the IL is approximately $100 \times$ the combined area exposed to the IL of the channel and electrodes (voltage probes, source and drain), in order for the entire gate voltage to fall over the IL/channel interface.

Before any usage of the IL for experiments, the bottle containing the liquid, i.e. N,N-diethyl-N-(2-methoxyethyl)-N-methylammonium bis(trifluoromethylsulphonyl)-imide (DEME-TFSI, IoLiTech, 99 %), is heated at 60 C overnight and then permanently stored in a N_2 glovebox (≤ 0.1 ppm O₂/H₂O). In terms of electrochemistry, we observe that a mere exposure of the IL to pressures of a mere 10^{-1} mbar for 15 minutes is enough to completely remove the Faradeic peaks within the electrochemical window seen in ambient circumstances. Similarly, the same order of magnitude of pressure and the same IL used for FET experiments in the IL/ZnO system revealed no breakdown of the IL [109]. Still, the experimental atmosphere is pumped until a pressure of 1×10^{-4} to 10^{-6} mbar [231] to ensure that electrochemical processes due to water or oxygen present in the IL are minimized even further. To accommodate for the high, negative values of V_q used, we have lowered the temperature at which the gating is performed (charging temperature), based on the Ref. 109, where it was found that this effectively widened the electrochemical window of the IL. In our experiments, the charging temperature is between 210 - 225 K, as low as possible, but above the melting point of 183 K of the IL. At these temperatures, we can apply a V_g as negative as -5.5 V without device degradation and dielectric breakdown, linked to the decreased electrochemical activity of the IL. The typical polarization times used in this work are 15 minutes.

6.3 Experimental results

We first present $R(T, V_g)$ curves for films grown on LaSrAlO₄. We observe that IL gating induces superconductivity in $La_{1.95}Sr_{0.05}CuO_4$, even when the film has a thickness of 30 UC (Fig. 6.3a). At 0 V, the curve shows the expected characteristic for a Sr doping of 0.05, for which the sample at 1.5 K is at the verge of superconductivity. At gate voltages down to -3.5 V, there is no substantial change with respect to the reference curve. However, when the gate voltage is -4.0V or below, a superconducting state emerges, with the $T_{\rm c}$ extendable up to 12.0 K (onset) or 19.5 K (midpoint) at $V_{\rm g} = -5$ V. The inset of Fig. 6.3a shows an I(V) curve $(T = 1.6 \text{ K}, V_g = -5.0 \text{ V})$ having a critical current of approximately 0.3 mA. Thinner samples (10) UC) demonstrate an initial critical temperature $T_{\rm c}$ already before gating which we attribute to the compressive stress exerted on the film (Fig. 6.3b). As is the case for 30 UC films, the reference midpoint $T_{\rm c}$ of 13.5 K is barely altered at gate voltages down to -3 V. The $T_{\rm c}$ can only be increased with sufficiently large negative gate voltages of -4V or lower, where the gate lowest gate voltage of -5 V results in a superconducting midpoint temperature of 30.4 K.

A first step towards investigation of the gating mechanism involves measuring the sheet resistance $R(V_g)$ characteristics, as is shown in Fig. 6.4 for a typical 30 UC film of La_{1.91}Sr_{0.09}CuO₄ grown on the substrate (LaAlO₃)_{0.3}(Sr₂AlTaO₆)_{0.7}. We make two main observations on the charging loop, namely the absence of hysteresis (apart from a small instrumental time delay) and a linear response of the resistance of the film as a function of gate voltage. The first observation is characteristic of an electrostatic mechanism, as has been observed before, e.g. in IL-gated indium tin oxide films [64]. This is also consistent with the linear response of the resistance. We can quantify the effects of gating by assuming that the sample can be thought of as having a top and bottom layer. The latter is not affected by gating and has a fixed charge carrier density n_0 . The top layer is in contact with the IL and has a charge carrier density n_t which is V_g dependent,



Figure 6.3: (a) Sheet resistance R_S plotted against temperature T for various V_g for a 30 UC thick $\text{La}_{1.95}\text{Sr}_{0.05}\text{CuO}_4$ sample. At $V_g=0$ V, the R(T) characteristic shows that the sample is nearing superconductivity at the lowest T of 1.6 K. This pattern persists for V_g down to $V_g=-3.5$ V. Applying a lower V_g leads to the emergence of superconductivity with T_c growing to 12.0 K when $V_g=-5$ V is applied. The inset shows an I(V) curve at T=1.6 K and $V_g=-5$ V. (b) Similar data for a thinner sample of 10 UCs, where the superconducting state is extended in T_c only for V_g below -3 V.

and we expect this dependence to be linear, $n_t(V_g) = n_0 - \beta V_g$. This relationship has a negative slope due to the fact that $La_{2-x}Sr_{x}CuO_{4}$ is a hole-doped material. The proportionality constant β is positive and is proportional to the gate efficiency between the IL and the top layer. Here, $\beta = \eta \frac{C_{\text{EDL}}}{ed_t}$, where η is the electrostatic gate efficiency, while C_{EDL} is the specific capacitance of the interface to DEME-TFSI, e is the electron charge and d_t is the top layer thickness. Under ideal circumstances, $\eta = 1$. Since the observed resistance change is small we take the resistance to be proportional to the charge density and linearize around $V_{\rm g} = 0$, which results in $R(V_{\rm g}) = R_0 \left(1 + \frac{\beta d_t}{n_0 d} V_{\rm g}\right)$. Specifically, R_0 is the value of the resistance of the La_{1.91}Sr_{0.09}CuO₄ channel without applying a gate voltage. Using the slope of the curve, $\frac{\Delta R}{\Delta V_{\rm g}} \simeq 2.4 \Omega/{\rm V}$, we obtain an indication of the gate efficiency η , defined as $\eta = \frac{\Delta R}{\Delta V_g} \frac{en_0 d}{R_0 C_{EDL}}$. The sample thickness corresponding to the curve in Fig. 6.4 is 30 UCs (50 nm), while the carrier density $n_0 = 9.6 \times 10^{20}$ cm⁻³ [232] and $C_{\rm EDL} = 13 \ \mu {\rm Fcm}^{-2}$ [222], resulting in $\eta = 0.15$. In other words, while the IL gating is electrostatic in this range, the efficiency of gating is only at about one seventh of its optimal efficiency. This means that a large part of the charge build-up in the electric



Figure 6.4: Typical sheet resistance R_S plotted against gate voltage V_g for a 30 UC La_{1.91}Sr_{0.09}CuO₄ film grown on (LaAlO₃)_{0.3}(Sr₂AlTaO₆)_{0.7}. In the given V_g range, hysteresis is absent (apart from a small instrumental time delay) and we observe a linear relationship having a positive slope, coinciding with hole (electron) doping for negative (positive) gate voltages. The sweep rate is 50 mV/s, while the polarization temperature is 225 K.

double layer does not translate to conductivity. We suspect that this is due to the finer details of crystalline quality of the $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ film, such as the roughness and presence of grain boundaries. This is supported by reports of IL gating on different crystalline qualities of FeSe films in Ref.233. Here, the authors state that an improved crystallinity and an atomically flat surface can lead to a more optimal charge transfer during the gating process. In a preliminary series of experiments we found that the smoothness of the film surface can be improved following the recipe by Bollinger *et al.* [10]. By introducing a 1 UC buffer layer of nominally metallic $\text{La}_{1.70}\text{Sr}_{0.30}\text{CuO}_4$ $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ films of thicknesses of up to 7 UC show an improved smoothness of the surface in the AFM images, and a substantially higher gating efficiency up to $\eta = 0.65$.

The gating mechanism was investigated further by altering the gate voltage in steps, while measuring the sheet resistance of a 30 UC La_{1.91}Sr_{0.09}CuO₄ film grown on (LaAlO₃)_{0.3}(Sr₂AlTaO₆)_{0.7} as a function of polarization time, typical results of which are illustrated in Fig. 6.5a. Two processes are observed upon switching the gate voltage in a step-wise fashion to higher negative values: 1) a nearly instantaneous process which results in the drop of the sheet resistance of the film, and 2) a relatively slow process, requiring several hours to

stabilize, becoming most pronounced in the gate voltage regime of -3 V and lower. The first process requires less than 1 second and only produces a limited drop in sheet resistance of ~ 2 Ω /V for the gate voltages used, which agrees with the rate found on the basis of Fig. 6.4. The second process only becomes prominent at gate voltages below -3 V, and produces a very pronounced effect in the sheet resistance of the film of up to hundreds of Ω .

Both processes are nearly reversible. After returning to zero gate potential the sheet resistance relaxes to within a percent of the initial value. However, a new cycle of increasing steps of negative gate potential shows that the slow process has become more active. The enhancement of the slow process continues in subsequent cycles, but the changes become smaller and the lowest attained resistance saturates. Fig. 6.5b shows that the slow decrease of the resistance is associated with a gradual shift of the superconducting transition temperature, confirming that it involves a process of hole doping of the copper oxide layer. For higher negative gate potentials at longer polarization times the resistance starts to increase, leading to an irreversible deterioration of the film.

6.4 Discussion

At the polarization temperature at which these measurements were performed (225 K) the build-up time of the electric double layer on the channel should occur within an RC time determined as $R_{\rm IL}C_{\rm EDL}$. Here, $R_{\rm IL}$ is the electrical resistance of the IL, which is of the order of $10^8 \Omega$ [98]. The electric double layer capacitance $C_{\rm EDL}$ is determined by the specific capacitance of the IL (13 μ Fcm⁻²) and area of the La_{2-x}Sr_xCuO₄ channel (300 × 50 μ m²), giving $C_{\rm EDL} \simeq 2$ nF. The estimated RC time becomes of the order of 1 second. Hence, we conclude that the relatively quick process in the resistance behavior is the contribution of the electrostatic mechanism of gating. The second, much slower process does not behave according to this electrostatic RC time.

Some processes which can be capacitive or Faradaic in nature tend to be considerably slower compared to the EDL charging time. These are often related to the reconstruction phenomena and ordering effects of the ions of the EDL [234, 235]. Furthermore, the processes of this origin tend to become extremely slow at the used charging tempera-



Figure 6.5: (a) Evolution of the sheet resistance R_S of a 30 UC La_{1.91}Sr_{0.09}CuO₄ film grown on (LaAlO₃)_{0.3}(Sr₂AlTaO₆)_{0.7} as a function of time, while the gate voltage is switched to increasing negative values at the points indicated. (b) Temperature dependence of the sheet resistance R_S for different polarization times and for the first and second polarization at $V_g = -4$ V. The midpoint T_c increases from 5 K to 17 K for polarization times of 5 and 26 minutes, respectively.

tures [190], many orders of magnitude slower than what is observed in our experiments. We therefore conclude that the observed slow process involves another, non-electrostatic mechanism.

Since the Sr doping of the film is constant, the change in T_c could be caused by an increased interstitial oxygen doping of the film, as interstitial oxygen is well-known for its role in changing the charge carrier density in the CuO₂ planes and hence superconductive properties of La_{2-x}Sr_xCuO₄ [6, 147]. This behavior has been reported before in the IL-gating of YBa₂Cu₃O_{7-x} films [62, 236], where it was interpreted as an electric field driven slow redistribution process of the oxygen atoms in the copper oxide planes of the film [63, 236].

We observe a crossover at around -2 V, where both components have the same contribution in resistance change. For more negative gate voltages the non-electrostatic component starts to dominate. The non-electrostatic component can lead to a substantial change of the onset of T_c (13.7 K to 25.0 K) as a function of the polarization time at a constant gate voltage of -4 V (Fig. 6.5b). In case only electrostatics would be at play in the gating of $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$, no change in T_c is expected, as the polarization time for both curves is beyond the RCtime of the system.

The interpretation of the slow process as an ionic process is fur-

ther supported by $I_{g}(t)$ measurements, a typical example of which is shown in Fig. 6.6, taken at $V_{\rm g} \leq -3$ V. In the case of a diffusionlimited charge transfer processes it is known that the gate current under potentiostatic conditions varies according to the Cottrell equation $I_{\rm g}(t) = F c A \sqrt{D} / \sqrt{\pi t}$. Here, F is Faraday's constant, while c is the concentration of the electroactive species, A is the electrode area and D is the diffusion constant of the particles. As observed in Fig. 6.6, after an initial fast process the gate current eventually follows the $t^{-\frac{1}{2}}$ dependence of the Cottrell equation, the slope of which is 5.2×10^{-9} As^{-1/2}. Let us assume that the added oxygen is provided from the lower layers of the film. The concentration in the active top layer after a given time can be estimated from the observed optimal $T_{\rm c} = 25$ K (onset) at this gate potential of $V_{\rm g} = -4$ V. Using the known variation of T_c with hole doping for this compound the oxygen content is estimated as $\delta \approx 0.10$ [147]. Using the density of the cuprate material, c then becomes approximately 1.7×10^{-3} mol cm⁻³. Accordingly, through the Cottrell equation we obtain a number for the diffusion constant, $D = 1.6 \times 10^{-14} \text{ cm}^2 \text{s}$, which is similar to values known in the literature for electrochemical oxidation of La_2CuO_4 at room temperature [237, 238]. Alternatively, when assuming that the added oxygen is added from outside the film, i.e. from the ionic liquid, c becomes very small, $c \sim 1 \times 10^{-14}$ mol cm⁻³, since the partial pressure of oxygen in the IL is limited by high vacuum conditions. As a result the diffusion constant becomes anomalously high, $D\sim 1\times 10^2$ cm²s, inconsistent with the viscous state at the used polarization temperatures near the glass transition.

Other electrochemical processes can cause a change in the doping of a material. For example, hydrogen doping is known in La₂CuO₄, YBa₂Cu₃O₇ [239], and other oxides such as VO₂ [69]. Protonation is also reported in TiO₂[225] and WO₃ [97]. Although trace amounts of both hydrogen and protons can be present in the IL, both processes are unlikely to happen. Protonation would diminish the hole density of the film and thus decrease the T_c , which is not observed, whereas hydrogenation would also decrease the T_c for the Sr doping used in this study [239].



Figure 6.6: Plot of the gate current $I_{\rm g}$ against against $t^{-\frac{1}{2}}$. The behavior follows the Cottrell relationship for diffusion-limited electrochemistry. Inset: $-I_{\rm g}$ vs. t. The polarization temperature is 210 K at $V_{\rm g} = -4$ V.

6.5 Conclusions

In summary, our results emphasize the role of electrostatic and nonelectrostatic processes in different gate voltage regimes and different crystalline qualities of $La_{2-x}Sr_xCuO_4$ films. Compared to the ILgating of the band insulator $SrTiO_3$, for example, the electrostatic mechanism has a negligible effect in the total charge induction in the films, amounting to less than 15 %. We suspect that this small electrostatic contribution is primarily controlled by the surface crystalline quality parameters such as surface roughness and presence of grain boundaries. The non-electrostatic process is significantly slower than the expected RC times of the system. From this we conclude that this process most likely involves oxygen doping of the film. The diffusion constants extracted for this process support an interpretation in terms of the oxygen being provided from the lower layers of the film, rather than from the outside. These results are in line with previous IL gating and x-ray absorption measurements [63, 64], and will be important in forming a proper understanding for further exploration of this rapidly developing field.