

The neural correlates of dealing with social exclusion in childhood

Meulen, M. van der; Steinbeis, N.A.J.; Achterberg, M.; Bilo, E.; Bulk, B.G. van den; IJzendoorn, M.H. van; Crone, E.A.M.

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1	The neural correlates of dealing with social exclusion in childhood
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7	Mara van der Meulen ^{1,2,3} , Nikolaus Steinbeis ^{1,2,3} , Michelle Achterberg ^{1,2,3} , Elisabeth
8	Bilo ^{1,4} , Bianca G. van den Bulk ^{1,3,4} , Marinus H. van IJzendoorn ^{1,4} , Eveline A. Crone ^{1,2,3}
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13	¹ Leiden Consortium on Individual Development, Leiden University, The Netherlands
14	² Institute of Psychology, Leiden University, The Netherlands
15	³ Leiden Institute for Brain and Cognition, Leiden University, The Netherlands
16	⁴ Centre for Child and Family Studies, Leiden University, The Netherlands
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	Corresponding author: Mara van der Meulen. Faculty of Social Sciences. Leiden
	University, Wassenaarseweg 52, 2333AK Leiden, The Netherlands.
	Tel: +31 71 527 3510, E-mail: m.van.der.meulen@fsw.leidenuniv.nl
18	

21 Abstract

22 Observing social exclusion can be a distressing experience for children that can be followed by concerns for self-inclusion (self-concerns), as well as prosocial behavior to help others in 23 distress (other-concerns). Indeed, behavioral studies have shown that observed social 24 25 exclusion elicits prosocial compensating behavior in children, but motivations for the compensation of social exclusion are not well understood. To distinguish between self-26 concerns and other-concerns when observing social exclusion in childhood, participants 27 28 (aged 7-10) played a four-player Prosocial Cyberball Game in which they could toss a ball to 29 three other players. When one player was excluded by the two other players, the participant 30 could compensate for this exclusion by tossing the ball more often to the excluded player. 31 Using a three-sample replication (N=18, N=27, and N=26) and meta-analysis design, we demonstrated consistent prosocial compensating behavior in children in response to 32 observing social exclusion. On a neural level, we found activity in reward and salience 33 related areas (striatum and dorsal anterior cingulate cortex (dACC)) when participants 34 35 experienced inclusion, and activity in social perception related areas (orbitofrontal cortex) when participants experienced exclusion. In contrast, no condition specific neural effects 36 37 were observed for prosocial compensating behavior. These findings suggest that in childhood observed social exclusion is associated with stronger neural activity for self-38 39 concern. This study aims to overcome some of the issues of replicability in developmental 40 psychology and neuroscience by using a replication and meta-analysis design, showing 41 consistent prosocial compensating behavior to the excluded player, and replicable neural 42 correlates of experiencing exclusion and inclusion during middle childhood.

43

44 Keywords

45	Social exclusion	Prosocial behavior	fMRI
46	Childhood	Meta-analysis	

48 **1. Introduction**

Observing social exclusion occurs often in school-aged children and can be a distressing 49 50 experience (Saylor et al., 2013). For example, when children observe that others are excluded from a game or social event, children may experience distress because they are 51 concerned about their own inclusion, or they may feel the need to help the other person in 52 distress, also referred to as prosocial behavior (Padilla-Walker & Carlo, 2014). Children show 53 basic prosocial behavior from 18 months of age onwards (Warneken & Tomasello, 2006) and 54 this behavior rapidly develops throughout childhood and adolescence when cognitive 55 56 capacity and perspective taking skills continue to grow (Eisenberg, Fabes, & Spinrad, 2006; 57 Güroğlu, van den Bos, & Crone, 2014). However, the motivations for helping or compensation behavior remain largely unknown, possibly because these motives are difficult 58 to unravel on the basis of behavior only. Neuroimaging may prove helpful to examine the 59 60 different processes that take place when children observe social exclusion.

61 Social exclusion is often studied by using the Cyberball Game (Williams, Cheung, & 62 Choi, 2000): a three player ball game where two virtual players no longer toss a ball to an 63 excluded player, creating a situation of social exclusion. Although Cyberball is a computer game including virtual players, several studies have shown that both children and 64 65 adolescents show more prosocial behavior in subsequent interactions towards individuals 66 who have been excluded in this game, as indicated by helpful emails and money donations (Masten, Eisenberger, Pfeifer, & Dapretto, 2010; Masten, Morelli, & Eisenberger, 2011; Will, 67 van den Bos, Crone, & Güroğlu, 2013). Recently a prosocial version of the paradigm was 68 developed to examine concurrent compensating behavior when an individual is excluded 69 (Riem, Bakermans-Kranenburg, Huffmeijer, & van IJzendoorn, 2013). In the Prosocial 70 Cyberball Game (PCG) participants can compensate for this exclusion by tossing the ball 71 more often to the excluded player. Studies have shown that compensating behavior followed 72 73 observed social exclusion towards the excluded player across childhood, adolescence and adulthood (Riem et al., 2013; van der Meulen, van IJzendoorn, & Crone, 2016; Vrijhof et al., 74

2016). Yet, it remains to be determined if children are most concerned about others when
observing exclusion, or about self-inclusion and exclusion.

77 Neuroimaging research in adults revealed that simply observing another person being excluded is associated with increased activity in areas such as the dorsal anterior cingulate 78 cortex (dACC) and bilateral insula (Masten, Eisenberger, Pfeifer, Colich, & Dapretto, 2013; 79 Meyer et al., 2013; Novembre, Zanon, & Silani, 2015). These regions are thought to play a 80 81 role in social uncertainty and distress, and may be critically involved in experiencing concerns about self-exclusion (Cacioppo et al., 2013). Interestingly, previous studies have 82 shown that the experience of being excluded yourself leads to feelings of decreased self-83 worth (Zadro, Williams, & Richardson, 2004), accompanied by an increase in activation of the 84 dACC and bilateral insula (Cacioppo et al., 2013; Eisenberger, Lieberman, & Williams, 2003; 85 Rotge et al., 2015). Additionally, a recent study has added to this body of literature by 86 postulating that co-activation in the dACC and bilateral insula is a measure of social 87 inclusivity, and that activation in these two areas can therefore be found in both social 88 89 exclusion and social inclusion contexts (Dalgleish et al., 2017).

In contrast, prosocial compensating behavior (i.e. compensating an excluded player) 90 in the Prosocial Cyberball Game resulted in increased activation of the temporo-parietal 91 junction (TPJ), nucleus accumbens (NAcc), and the bilateral insula (van der Meulen et al., 92 93 2016). The TPJ is an area previously associated with perspective taking (Carter & Huettel, 94 2013) whereas the NAcc is part of the reward network of the brain (Delgado, 2007; Lieberman & Eisenberger, 2009). Possibly, these regions play an important role in prosocial 95 compensating behavior. These patterns of neural activity lead to the hypothesis that the 96 97 Prosocial Cyberball Game might tap into two different processes: the experience or concern 98 for possible self-exclusion and the compensation for exclusion of others. Experience of possible self-exclusion refers to the worry about own participation in the game, whereas 99 compensation for exclusion is thought to reflect prosocial behavior. 100

101 The aim of the current study was to investigate the behavioral and neural correlates of 102 reactions to observed social exclusion in middle childhood. Our target age was children in the

103 age range 7-10 years because this is a critical age for forming intimate friendships and social connections (Buhrmester, 1990), but the neural reactions to observed social exclusion in this 104 105 particular age range have not yet been studied. We used the Prosocial Cyberball Game 106 (Riem et al., 2013) to study possible reactions to observed social exclusion, namely 107 experience of possible self-exclusion and prosocial compensating behavior. Previous studies have called into guestion whether neuroimaging results survive Type I errors and may lead to 108 109 too many false positives (Eklund, Nichols, & Knutsson, 2016). Moreover, recent projects have raised concerns about whether results from psychological experiments can be 110 replicated (Open Science, 2015). Therefore, we used a replication approach including a pilot 111 sample to generate hypotheses, a test sample to test these hypotheses, and a replication 112 sample to confirm these findings. The test and replication sample consisted of co-twins 113 because they are similar in many respects: this will optimize the chance for replication, and 114 115 lack of replication cannot easily be ascribed to confounding or unmeasured differences 116 between the two samples.

117 On a behavioral level we hypothesized that observing social exclusion would lead to prosocial compensating behavior (Riem et al., 2013; van der Meulen et al., 2016; Vrijhof et 118 al., 2016). On a neural level we expected that both experiencing self-exclusion and self-119 120 inclusion would result in activity in dACC and bilateral insula (Cacioppo et al., 2013; Dalgleish 121 et al., 2017; Eisenberger et al., 2003; Rotge et al., 2015). Furthermore, we expected that 122 engaging in prosocial compensating behavior would lead to activity in dACC and bilateral insula (Masten et al., 2013; Masten et al., 2010) and TPJ, and NAcc, similar to what has 123 124 been found in adults (van der Meulen et al., 2016). Although TPJ, dACC and bilateral insula 125 show a sharp increase in cortical thickness during middle childhood (Mills, Lalonde, Clasen, 126 Giedd, & Blakemore, 2014; Pfeifer & Peake, 2012), not much is known about the functional 127 role of these regions in observing social exclusion in middle childhood. The power of our experimental design suggests that the present set of studies is particularly sensitive to 128 detecting brain-behavior relationships of higher socio-affective functions and their 129 development in a developmental sample. 130

132

2. Materials and Methods

134 2.1 Participants

Three samples were recruited for this study: a pilot sample, a test sample and a 135 136 replication sample. The pilot sample consisted of 20 children aged 7-10 years (M = 8.13years, SD = .97, 50% male). This sample was composed of 9 opposite sex twin pairs and 2 137 singletons, recruited from an existing database at Leiden University. The test and replication 138 139 sample consisted of 30 same sex twin pairs (M = 8.19 years, SD = .68, 46.7% male). Co-140 twins in the twin pairs were randomly divided over the test and replication sample upon 141 inclusion, such that one child from each pair was placed in the test sample and one child was placed in the replication sample. These participants were recruited for the longitudinal twin 142 study of the Leiden Consortium on Individual Development (L-CID). Families with twin 143 144 children aged 7-8 years at the moment of inclusion were recruited from municipalities in the 145 western region of the Netherlands, by sending invitations to participate to their home addresses (obtained through the municipal registries). 146

Some participants were excluded from analyses due to excessive head motion during 147 148 the MRI session or because they did not finish the scanning session (two children from the pilot sample, three children from the test sample, and four from the replication sample). The 149 final pilot sample consisted of 18 children (M = 8.15 years, SD = 1.06, 55.6% male), the final 150 test sample of 27 children (M = 8.23 years, SD = 0.67, 40.7% male), and the final replication 151 152 sample of 26 children (M = 8.21 years, SD = 0.71, 42.3% male). The three samples did not significantly differ in age (F(2, 68) = .04, p = .96) or gender ($X^2(2) = 1.08, p = .58$). All 153 participants were screened for MRI contra indications, had normal (or corrected to normal) 154 vision, were fluent in Dutch, and had no physical or psychological disorder that disabled their 155 156 performance on the tasks. Written informed consent was obtained from both parents before 157 the start of the study. Parents received €50 for the participation of their children, and all

children received €3.50 and a goodie bag with small presents. The study was approved by
the Dutch Central Committee on Research Involving Human Subjects.

160

161 2.2 Experimental Design

To measure reactions to observed social exclusion we used an experimental fMRI adapted 162 version of the Prosocial Cyberball Game (PCG) (Riem et al., 2013; van der Meulen et al., 163 164 2016; Vrijhof et al., 2016). In this game, participants see four classical Cyberball figures on the screen (Williams et al., 2000). The participant is represented by the figure at the bottom 165 of the screen, and the three other figures are placed at the left, the right, and the top of the 166 167 screen (see Figure 1A). Participants were told that they were going to play a computerized 168 ball tossing game with three other players. No mention was made of exclusion, in order to 169 avoid influencing their behavior. Thus, prosocial compensating is not confounded with 170 varying biases between participants to follow the explicit or implicit experimenter suggestions for desirable behavior. Participants were asked to imagine that they were actually playing the 171 172 game by thinking about the setting and the other players of the game. Previous studies have shown that there were no differences in reduced feelings of belonging and self-esteem 173 between conditions where participants believed that other players were present, or merely 174 175 imagined that other players were present (Zadro et al., 2004). Since imagining playing with 176 others is a strong manipulation in research on gaming (Konijn, Bijvank, & Bushman, 2007) 177 and does not rely on deception, we also used this manipulation for the PCG.

The game consisted of two parts: the Fair Game and the Unfair Game. During the first 178 179 part (the Fair Game), the game was programmed to ensure that all four players received the 180 ball an equal number of times. During the second part (the Unfair Game), either player 1 or 181 player 3 tossed the ball only once to player 2 (at the top of the screen). After this initial toss, 182 player 1 and player 3 no longer tossed the ball to player 2, thereby creating a situation of observed social exclusion for the participant. The participant could therefore choose to 183 184 compensate for the exclusion by tossing more balls to excluded player 2, or to contribute to the exclusion by tossing more balls to players 1 and 3. The location of the excluded player 185

was always the same for all participants (directly across the participant, at the top of the 186 187 screen). In both the Fair Game and the Unfair Game, each trial consisted of a ball toss with a 188 duration of 2000 ms. After each ball toss a jitter was added with a duration ranging from 189 1000-2000 ms in steps of 500 ms. The Fair Game consisted of 120 trials and was played on 190 a laptop outside the MRI scanner. The Unfair Game consisted of 168 trials and was played in 191 the MRI scanner, to enable collection of behavioral and MRI data during the task. During the 192 Unfair Game, participants could indicate their response by pressing a button on a box attached to their right leg. The Unfair Game was presented in two separate parts to provide 193 194 participants with a small rest period in between. During the entire game, the excluding players were referred to as Players 1 and 3 (on the left and right side of the screen 195 196 respectively), the excluded player was referred to as Player 2, and the participant was 197 referred to as "Participant" (see Figure 1A).

198

Figure 1. (A) Screenshot of Prosocial Cyberball Game. (B) Ratio of tosses of the participantto Player 2 in the PCG across the three samples.



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202

203 2.3 Procedure

Participants were given an extensive explanation and practice session in a mock scanner to familiarize them with the procedure of an MRI scan. All participants played the Fair Game of the PCG before the scanning session. Co-twins were then randomly assigned to either start with the scan session (and thus perform the Unfair Game of the PCG) or to start with other behavioral tasks that were part of the larger L-CID study. All twin pairs (from the pilot sample
or from the test/replication sample) were randomly assigned to one of two procedures on the
day of data collection.

211

212 2.4 MRI data acquisition

MRI scans were made with a Philips 3.0 Tesla scanner, using a standard whole-head coil. 213 214 Data for the pilot sample were collected on a Philips Achieva TX MR, whereas data for the test and replication sample were collected on a Philips Ingenia MR. The functional scans 215 were acquired using a T2*-weighted echo-planar imaging (EPI). The first two volumes were 216 discarded to allow for equilibration of T1 saturation effects (TR = 2.2 s; TE = 30 ms; 217 218 sequential acquisition, 37 slices; voxel size = 2.75 x 2.75 x 2.75 mm; Field of View = 220 x 219 220 x 112 mm). For the pilot sample the Field of View was 220 x 220 x 114.68 mm, with a 220 sequential acquisition of 38 slices, and all other parameters were equal. After the functional runs, a high resolution 3D T1-weighted anatomical image was collected (TR = 9.8 ms, TE = 221 222 4.6 ms, 140 slices; voxel size = 1.17 × 1.17 × 1.2 mm, and FOV = 224 × 177 × 168 mm). For the pilot sample the TR was 9.76, the TE was 4.59, the voxel size was 0.875, and all other 223 parameters were equal. Participants could see the stimuli projected on a screen via a mirror 224 225 attached to the head coil. Foam inserts were used within the head coil to restrict head 226 movement.

227

228 2.5 MRI data analyses

All data were analyzed with SPM8 (Wellcome Department of Cognitive Neurology, London).

230 Images were corrected for slice timing acquisition and differences in rigid body motion.

231 Functional volumes were spatially normalized to T1 templates. The normalization algorithm

used a 12-parameter affine transform together with a nonlinear transformation involving

233 cosine basis functions and resampled the volumes to 3 mm cubic voxels. Templates were

based on the MNI305 stereotaxic space (Cocosco, Kollokian, Kwan, & Evans, 1997).

Functional volumes were spatially smoothed with a 6 mm full width at half maximum (FWHM)

isotropic Gaussian kernel. As a final step, the ArtRepair module (Mazaika, Hoeft, Glover, &
Reiss, 2009) was used to address any head motions in the data. The threshold was set at 2
mm, and participants were excluded if more than 20% of the dynamics of the two functional
runs were affected.

240 The start of each ball toss was modeled separately with a zero duration event. Since imaging data were collected during the Unfair Game but not during the Fair game, only the 241 242 Unfair game was taken into account for these analyses. To study participant's experience of possible self-exclusion we differentiated between the participant receiving tosses from 243 excluding Players 1 and 3 ("Experienced Inclusion") versus the participant not receiving the 244 245 ball from these players ("Experienced Exclusion"). To study participant's compensation for 246 observed exclusion of Player 2, we differentiated between the participant's tossing to this excluded Player 2 ("Compensating") versus his or her tosses to the excluding Players 1 and 247 248 3 ("Tossing to excluders").

The trial functions were used as covariates in a general linear model; along with a basic set of cosine functions that high-pass filtered the data. The least-squares parameter estimates of height of the best-fitting canonical HRF for each condition were used in pairwise contrasts. Motion regressors were included in the first level analysis. The resulting contrast images were computed on a subject-by-subject basis and then submitted to group analyses.

255

256 2.5.1 Whole brain analyses

We computed two different contrasts to study the various reactions to observed social exclusion. First, to investigate the neural response to being potentially excluded from the game by the other two players, we tested the contrast: *Experienced Inclusion* > *Experienced Exclusion* (and the reversed contrast). In accordance with the programming of the game, over the three samples the percentage of tosses from excluding Players 1 and 3 to the participant (M = 50.08, SD = .74) was comparable to the number of tosses from Players 1 and 3 to each other (M = 49.92, SD = .74). Over the three samples the percentage of tosses

to the excluded player (M = 50.86, SD = 10.20) was comparable to the number of tosses to the two excluding players combined (M = 49.14, SD = 10.20). Second, to investigate the neural response to prosocial compensating behavior, we tested the contrast: *Compensating Tossing to excluders* (and the reversed contrast). Significant task-related responses exceeded a cluster-corrected threshold of p < .05 FDR-corrected, with a primary threshold of p < .005 (Woo, Krishnan, & Wager, 2014).

270

271 2.5.2 Region of interest analyses to test for replication effects

To further specify the effects of the whole brain analyses and to test for replication 272 effects, functional ROIs were defined. We extracted functional clusters of activation from the 273 274 whole brain contrasts in the pilot sample with the use of the MarsBar toolbox (Brett, Anton, Valabregue, & Poline, 2002). Functional clusters that encompassed multiple anatomical 275 276 regions were masked with anatomical templates from the MarsBar-AAL (Tzourio-Mazoyer et 277 al., 2002) to separate the different anatomical regions. We then used the ROIs from the pilot 278 sample to extract parameter estimates from the test sample. The same approach was used for the analysis of the results from the test sample to the replication sample. 279

Next, one-sided paired sample t-tests were used to test whether the activation in the first sample was significantly different between the conditions in the second sample. We corrected for multiple testing with a Bonferroni correction of alpha = .10, dependent on the number of extracted ROIs, because we were looking for replication of previously found results. Outlier scores (z-value < -3.29 or > 3.29) were winsorized (Tabachnick & Fidell, 2013).

To specifically explore the neural response during prosocial behavior across all three samples and to align our activation patterns with those found in adults, we used additional independent ROIs that were used in a study on prosocial neural responses in adults (see van der Meulen et al. (2016)). In the adult study, Neurosynth templates were used to create masks of the dorsal anterior cingulate cortex (dACC), bilateral insula, medial prefrontal cortex (mPFC), temporo-parietal junction (TPJ), and nucleus accumbens (NAcc). We used these

- 292 masks to extract parameter estimates for the conditions "Compensating" and "Tossing" in all
- three samples. Combined effect sizes were computed with the Comprehensive Meta-
- Analysis (CMA) program (Borenstein, Rothstein, & Cohen, 2005).
- 295

296 2.5.3 Meta-analysis

- 297 We used an activation likelihood estimate (ALE) meta-analysis of whole brain results to test
- for commonalities across the three samples, for those contrasts that resulted in replicable
- 299 effects. Given that the purpose of this meta-analysis was to test for commonalities among
- three samples that may not be observed in single studies, we used a less conservative
- 301 threshold, which was then analyzed with a more stringent threshold at a meta-analytic level
- 302 Coordinates from whole brain analyses conducted at a threshold of p < .001 uncorrected, 10
- 303 contiguous voxels, were entered in the Gingerale program (version 2.3.6,
- 304 <u>http://www.brainmap.org/ale/</u>). We used a cluster correction of p < .05, with 1000
- permutations and an initial primary voxel-wise threshold of p < .001.
- 306
- 307

308 **3. Results**

309 3.1 Behavioral results

The main behavioral outcome from the PCG is prosocial compensating behavior to Player 2, defined as an increase in ratio of tosses to Player 2 from the Fair game to the Unfair game. We calculated this ratio by dividing the number of tosses to Player 2 by the total number of tosses to all players (van der Meulen et al., 2016; Vrijhof et al., 2016). Paired t-tests were performed to study prosocial compensating behavior. Analyses that compare the first and second part of the Unfair Game (as these were presented as separate runs during the scan session) can be found in Supplement A.

First, in the pilot sample we found a significant difference in ratio of tosses to Player 2 in the Fair Game compared to the Unfair Game (t(17) = -5.68, p = < .001, d = 2.20). This

319	finding was replicated in the test sample ($t(26) = -5.27$, $p < .001$, $d = 1.11$), and in the
320	replication sample ($t(25) = -4.04$, $p < .001$, $d = 1.10$; see Table 1 for descriptives). Second,
321	because children differed in their percentage of tosses to Player 2 in the Fair Game (see
322	Figure 1B), we took these base-line differences into account by calculating a difference score
323	between percentage of tosses to Player 2 in the Unfair Game minus the percentage of tosses
324	to Player 2 in the Fair Game. Thus, for each participant a compensating score was
325	calculated. We used an ANOVA to test whether there was a difference in compensating
326	scores for the three samples, and found no significant difference ($F(2, 68) = .15, p = .86$).
327	This shows that levels of prosocial compensating behavior were the same across the three
328	samples during middle childhood.

Table 1. Descriptives of percentage of tosses of participant in Prosocial Cyberball Game.

331	Data represents means (with standard deviations in parentheses).

		PILOT	TEST	REPLICATION	
	To player 1	30.47 (5.84)	30.52 (7.08)	31.21 (6.15)	•
Fair Game	To player 2	39.03 (5.34)	41.05 (8.14)	37.84 (9.03)	
	To player 3	30.49 (5.51)	28.43 (6.37)	30.95 (6.57)	
	To player 1	36.64 (6.22)	25.12 (7.66)	26.40 (7.10)	
Unfair Game	To player 2	51.74 (6.19)	51.76 (10.75)	49.31 (11.87)	
	To player 3	24.62 (6.92)	23.12 (6.58)	24.29 (8.54)	

332

333 **3.2 Neural reactions to Playing with Others**

334 3.2.1 Experienced Inclusion > Experienced Exclusion

First, we tested the contrast Experienced Inclusion > Experienced Exclusion in the pilot sample with a whole brain analysis. The contrast was defined as receiving the ball from excluding Players 1 and 3 ("Experienced Inclusion") versus not receiving the ball from excluding Players 1 and 3 ("Experienced Exclusion"). The Experienced Inclusion > Experienced Exclusion analysis resulted in significant activation in several clusters that spanned medial prefrontal cortex (PFC; including pre-supplementary motor area (SMA), 341 ACC), bilateral insula, bilateral striatum (including caudate, pallidum, putamen) and left preand postcentral gyrus (See Table 2 and Figure 2A). These were separated in 18 anatomically 342 343 defined subclusters from which parameter estimates were extracted. When no significant differences were found between hemispheres, results were collapsed across left and right 344 345 hemispheres. This resulted in a total of 12 regions that were analyzed in the test sample (see Figure 2B). Out of these 12 regions, bilateral caudate, insula, pallidum, and putamen, 346 347 anterior and mid cingulum, left pre- and postcentral gyrus, and SMA, had significantly more activation for Experienced Inclusion than for Experienced Exclusion (all p < .008) in the test 348 sample (see Figure 2C). 349

Next, we examined the contrast Experienced Inclusion > Experienced Exclusion in the 350 351 test sample. This analysis resulted again in activation in several clusters that spanned medial 352 PFC (including pre-SMA, ACC), bilateral insula, bilateral striatum (including caudate, pallidum, putamen) and left pre- and postcentral gyrus (See Table 2 and Figure 2D). These 353 354 were separated in 14 anatomically defined subclusters from which parameter estimates were 355 extracted. After collapsing results over hemispheres there were 10 regions included in the 356 analysis for replication in the replication sample (see Figure 2E). Out of these 10 regions, 357 bilateral insula and putamen, mid cingulum, left pre- and postcentral gyrus, and SMA had 358 significantly more activation for Experienced Inclusion than for Experienced Exclusion (all p < p359 .01) in the replication sample (see Figure 2F). For completeness the results of the contrast 360 Experienced Inclusion > Experienced Exclusion in the replication sample are also reported in Table 2. 361

362

363 Table 2. Whole brain table for neural activation in the contrast "Experienced Inclusion >

Experienced Exclusion" for the pilot and test sample, with a cluster corrected threshold of p < 1

365 .05 FDR-corrected, at an initial threshold of p < .005

			MNI	nates	
Name	Voxels	T-Value	Х	Y	Z
PILOT		• •		•	

Experienced Inclusion > Experienced Exclusion					
R Cerebellum	495	12.78	27	-55	-26
R Precuneus		9.75	15	-52	20
Cerebellar Vermis		7.54	5	-55	-11
L Thalamus	2740	11.94	-12	-16	7
		8.12	-12	-7	-2
L IFG		7.77	-51	8	7
L Postcentral Gyrus	2006	10.26	-36	-22	49
		8.22	-48	-22	49
L Anterior Cingulate Cortex		9.19	-12	23	31
TEST					
Experienced Inclusion > Experienced Exclusion					
L Postcentral Gyrus	2714	9.54	-45	-37	58
		8.58	-51	-25	58
L Precentral Gyrus		9.51	-39	-25	58
R Insula	393	5.97	33	23	7
		4.18	35	17	-8
R Putamen		3.53	21	8	-5
L Insula	877	5.56	-30	14	13
		4.52	-39	-7	22
L Pallidum		5.21	-21	2	-2
L Middle Frontal Gyrus	223	4.12	-33	47	28
		3.95	-35	47	37
		3.79	-45	41	31
REPLICATION					
Experienced Inclusion > Experienced Exclusion					
R SMA	1456	8.46	6	2	55
L Precentral Gyrus		7.46	-36	-28	61
L SMA		6.69	-6	2	49

367

Figure 2. (A) Whole brain results for the contrast "Experienced Inclusion > Experienced

369 Exclusion" in the pilot sample. **(B)** Representation of anatomically separated ROI subclusters

based on whole brain results: bilateral caudate (1), anterior cingulum (2), mid cingulum (3),

bilateral insula (4), bilateral pallidum (5), left postcentral gyrus (6), left precentral gyrus (7), 371 bilateral putamen (8), SMA (9), bilateral hippocampus (10), bilateral thalamus (11) and 372 cerebellum (12). (C) Difference scores of activity in ROI subclusters in test sample. (D) 373 Whole brain results for the contrast "Experienced Inclusion > Experienced Exclusion" in the 374 375 test sample. (E) Representation of anatomically separated ROI subclusters based on whole brain results: bilateral caudate (1), anterior cingulum (2), mid cingulum (3), bilateral insula (4), 376 377 bilateral pallidum (5), left postcentral gyrus (6), left precentral gyrus (7), bilateral putamen (8), SMA (9), and left middle frontal gyrus (10). (F) Difference scores of activity in ROI 378

379 subclusters in replication sample.



380

P.E. = parameter estimates. Error bars represent standard errors of the mean. Green bars and asterisks (*)
 indicate replicated results.

383

384 3.2.2 Experienced Exclusion > Experienced Inclusion

Next, we tested the reversed contrast: Experienced Exclusion > Experienced Inclusion. In the pilot sample, this analysis resulted in two regions, a cluster in the left orbitofrontal lobe and a cluster in the occipital lobe (see Table 3 and Figure 3A). Two participants in the test sample had neural masks that did not completely cover these specific regions. Therefore one participant was excluded from analysis of activity in the left orbitofrontal lobe and one participant was excluded from analysis of activity in the left calcarine gyrus.

391	The analysis of parameter estimates extracted from the ROIs from this contrast and
392	tested in the test sample showed that both regions were replicated in the test sample as
393	showing greater activation for Experienced Exclusion than Experienced Inclusion (all $p <$
394	.005; see Table 3 and Figure 3D). As a next step, the same whole brain analysis was
395	performed in the test sample, which resulted in four regions: a cluster in the right paracentral
396	lobe, two clusters in the occipital lobe, and a cluster in the left middle orbital gyrus. ROI
397	values were extracted to test for replication in the replication sample. All four regions were
398	replicated in the replication sample as showing greater activation for Experienced Exclusion
399	than Experienced Inclusion (all $p < .001$). For completeness the results of the contrast
400	Experienced Inclusion > Experienced Exclusion in the replication sample are also reported in
401	Table 3.

403 **Table 3.** Whole brain table for neural activation in the contrasts "Experienced Exclusion > 404 Experienced Inclusion" for the pilot and test sample, with a cluster corrected threshold of p <

.05 FDR-corrected, at an initial threshold of p < .005

	-		MNI Coordinates		
Name	Voxels	T-Value	Х	Y	Ζ
PILOT	-			-	-
Experienced Exclusion > Experienced Inclusion					
L Calcarine Gyrus	1422	6.79	-9	-91	-5
L Superior Occipital Gyrus		5.42	-18	-85	34
R Cuneus		5.41	9	-91	25
L Inferior Frontal Gyrus	264	6.75	-39	26	-17
		5.05	-18	17	-23
		4.77	-51	38	-8
TEST					
Experienced Exclusion > Experienced Inclusion					
R Cuneus	467	8.12	21	-91	10
R Lingual Gyrus		5.14	15	-97	-11
R Calcarine Gyrus		5.10	18	-97	-2

L Middle Occipital Gyrus	373	7.58	-18	-94	7
		4.80	-48	-79	-17
L Inferior Occipital Gyrus		4.47	-33	-94	-11
L Inferior Frontal Gyrus	326	5.96	-57	41	1
		5.21	-57	23	-11
		4.73	-51	41	-14
R Paracentral Lobe	543	4.85	-3	-58	76
		4.59	0	-25	73
R Precuneus		4.58	3	-73	54
REPLICATION					
Experienced Exclusion > Experienced Inclusion					
R Superior Occipital Gyrus	2758	7.34	24	-91	10
L Superior Occipital Gyrus		6.62	-15	-91	4
L Middle Occipital Gyrus		6.44	-27	-91	13
R Superior Frontal Gyrus	1721	7.23	21	32	64
L Superior Frontal Gyrus		6.87	-12	38	61
R Superior Frontal Gyrus		6.82	15	44	58
L Temporal Pole	1052	7.09	-57	17	-23
L Inferior Frontal Gyrus		6.63	-54	35	-17
		6.46	-57	26	-11
R Inferior Frontal Gyrus	387	5.06	33	29	-23
		5.03	30	38	-17
		4.70	42	29	-23

407 Figure 3. (A) Whole brain results for the contrast "Experienced Exclusion > Experienced 408 Inclusion" in the pilot sample. (B) Representation of anatomically separated ROI subclusters 409 based on whole brain results: left IFG (1), and calcarine gyrus (2). (C) Difference scores of 410 activity in ROI subclusters in the test sample. (D) Whole brain results for the contrast "Experienced Exclusion > Experienced Inclusion" in the test sample. (E) Representation of 411 412 anatomically separated ROI subclusters based on whole brain results: right paracentral 413 lobule (1), right cuneus (2), left middle occipital gyrus (3), and left middle orbital gyrus (4). (F) Difference scores of activity in ROI subclusters in the replication sample. 414



415

P.E. = parameter estimates. Error bars represent standard errors of the mean. Green bars and asterisks (*)
indicate replicated results.

419 **3.3 Whole brain ALE meta-analysis**

To investigate common activation in the contrast Experienced Inclusion > Experienced 420 421 Exclusion and its reversal, we performed a meta-analysis across the three samples. We found common activation in the contrast Experienced Inclusion > Experienced Exclusion in 422 three clusters, namely the SMA/anterior cingulate, putamen/pallidum, and pre/postcentral 423 424 gyrus (see Figure 4A, for coordinates see Table 3). For the reversed contrast, Experienced 425 Exclusion > Experienced Inclusion, we found common activation in three clusters, including 426 clusters in the occipital lobe and left orbitofrontal cortex (OFC; see Figure 4B, for coordinates 427 see Table 4).

- 428
- Table 4. Whole brain table for common activation across the three samples for the contrasts
 "Experienced Inclusion > Experienced Exclusion" and "Experienced Exclusion > Experienced
 Inclusion".

	-	MNI	MNI Coordinates			
Name	Voxels	Х	Y	Z		
Experienced Inclusion > Experienced Exclusion						
L SMA	3736	-6	6	50		

		-8	10	44
		-6	-10	60
		-12	-10	60
R SMA		8	8	50
L Anterior Cingulate Cortex		-10	24	31
R Middle Cingulate Cortex		8	16	44
L Middle Cingulate Cortex		-8	16	38
L Putamen	1680	-22	4	-2
		-18	10	12
		-18	10	0
		-24	-6	10
L Pallidum		-18	-4	4
L Caudate		-16	16	4
L Precentral Gyrus	1064	-40	-24	58
L Postcentral Gyrus		-50	-24	58
		-48	-22	50
Experienced Exclusion > Experienced Inclusion				
R Cuneus	1176	18	-91	8
R Calcarine Gyrus		16	-80	10
L Orbitofrontal Cortex	1136	-50	42	-14
L Superior Occipital Gyrus	880	-16	-92	6

433 Figure 4. Results from the whole brain ALE meta-analysis for the contrasts (A) Experienced

434 Inclusion > Experienced Exclusion and **(B)** Experienced Exclusion > Experienced Inclusion



3.4 Neural reactions to Prosocial Compensating Behavior 437

- 3.4.1 Compensating versus Tossing to excluders 438
- In the pilot sample, the contrast Compensating > Tossing to excluders resulted in one cluster 439 in the occipital lobe (see Table 5). The reversed contrast resulted in another single cluster in 440 the occipital lobe. ROIs were extracted for replication, but these regions were not replicated 441 in the test sample. In the test sample, the contrast Compensating > Tossing to excluders and 442 443 the reversed contrast did not result in significant activations. Because we found no significant 444 activations in the test sample, we did not test this contrast in the replication sample. 445
- **Table 5.** Whole brain table for neural activation in the contrast Compensating > Tossing to 446 excluders (and reversed), with a cluster corrected threshold of p < .05 FDR-corrected, at an 447 448 initial threshold of p < .005

			MNI Coordinates		
Name	Voxels	T-Value	Х	Y	Z

Compensating > Tossing to excluders

L Cuneus	149	5.42	-6	-94	16
		4.35	-5	-91	25
L Calcarine Gyrus		5.08	3	-94	13
Tossing to excluders > Compensating					
R Calcarine Gyrus	195	6.22	12	-76	7
R Lingual Gyrus		3.28	9	-58	1
		3.89	15	-54	-5

450 3.4.2 Meta-analytic results for independent ROIs

The absence of neural effects for prosocial compensating behavior was unexpected considering the behavioral results and the results of previous studies on neural correlates of Cyberball (van der Meulen et al., 2016). Therefore, we performed a meta-analysis on predefined ROIs from an adult study (van der Meulen et al., 2016): the bilateral insula, left and right TPJ, and bilateral NAcc. Parameter estimates from these ROIs were extracted and combined in a meta-analysis. However, we found no significant pattern of activation during prosocial behavior across the three samples (see supplementary table S1).

458

459 **3.5 Relation with prosocial compensating behavior**

460 Lastly, we were interested in whether activity in areas that were observed in the metaanalyses was related to prosocial compensating behavior. Therefore, we created spheres 461 462 based on the coordinates of the clusters found in the meta-analyses. We chose coordinates for the ACC, putamen, pre-/postcentral gyrus, SMA in the "Experienced Inclusion > 463 Experienced Exclusion" contrast, and coordinates for the OFC in the "Experienced Exclusion 464 > Experienced Inclusion" contrast (see Table 3). Spheres were created with a diameter of 5 465 466 mm. The resulting spheres were then submitted to ROI analyses for each of the three 467 samples, and resulting parameter estimates were correlated with prosocial compensating 468 behavior (defined as the compensating score obtained in the PCG). In all three samples no significant associations were found between prosocial compensating behavior and parameter 469 estimates from any of the ROIs. 470

472

473 **4. Discussion**

This study examined the neural correlates of observing social exclusion in a four-player 474 Prosocial Cyberball Game during middle childhood. As expected, the exclusion of a fourth 475 player by two others resulted in increased ball tossing by the participant to the excluded 476 player. This is consistent with earlier findings of helping or compensating behavior in children 477 who observed social exclusion of others (Vrijhof et al., 2016; Will et al., 2013). The behavior 478 was robust across three samples. Furthermore, in a meta-analysis across the three samples 479 480 there was increased activity in striatum and dACC when participants experienced inclusion 481 themselves, and increased activity in orbitofrontal cortex when participants experienced 482 exclusion, consistent with prior studies showing that these are important areas for the 483 feelings of inclusion and exclusion in traditional Cyberball games (Lieberman & Eisenberger, 484 2009). However, contrary to our expectations, there were no neural regions that 485 distinguished between compensating an excluded player and tossing the ball to the non-486 excluded players. The pattern of increased activity in social-affective brain regions as 487 previously found in adults (van der Meulen et al., 2016) could not be confirmed in 7-10-year-488 old children, even when we used specific regions of interest in the social brain network or in a 489 meta-analysis.

490 The strongest and most consistent findings were observed for the contrast experienced self-inclusion versus experienced self-exclusion. That is to say, experienced 491 self-inclusion (receiving the ball from the two excluding players) was associated with 492 increased activity in the striatum and the dACC in each of the three samples, and this was 493 confirmed in a meta-analysis. These neural regions have also been consistently implicated in 494 reward processing (Bhanji & Delgado, 2014; Delgado, 2007), and dACC activity specifically 495 496 has been argued to signal evaluation and appraisal of an upcoming event (Shenhav, Cohen, & Botvinick, 2016). These findings may indicate that self-inclusion is important for children in 497

ball tossing games. Indeed, prior studies showed that children who were not included by their
peers reported feeling less happy and more angry (Saylor et al., 2013), and showed higher
levels of cortisol, an indication of increased levels of stress (Gunnar, Sebanc, Tout, Donzella,
& van Dulmen, 2003).

The reversed contrast, experienced self-exclusion (not receiving the ball from the two 502 excluding players) was associated with activation in the orbitofrontal cortex. This region was 503 504 previously observed in adults in a meta-analysis on social exclusion (Cacioppo et al., 2013), possibly indicating that this region is generally observed across children and adults when not 505 being included. The orbitofrontal cortex is thought to play a role in managing social 506 507 perceptions (Hughes & Beer, 2012). It should be noted that prior studies, including meta-508 analyses (Cacioppo et al., 2013), also pointed to the dACC and bilateral insula as important 509 regions for exclusion, whereas in the current study the dACC was observed for inclusion. 510 However, the role of the dACC and insula in exclusion has been debated, and possibly it is signaling the salience of an event (Menon & Uddin, 2010; Seeley et al., 2007) rather than 511 512 specific activation for social events. Taken together, across three samples and confirmed by a meta-analysis, we observed consistent neural activation patterns for experienced self-513 inclusion and self-exclusion in 7-10-year-old children, validating this as a paradigm to 514 515 investigate responses to a situation of social exclusion.

516 We found no evidence in the current study for neural regions that correlate with 517 prosocial compensating behavior, that is to say, ball tossing to the excluded player versus ball tossing to the other players. This is surprising, because behaviorally there was a strong 518 519 and consistent compensating pattern in all three samples. We previously observed in adults 520 that bilateral insula, TPJ and NAcc were activated when tossing to an excluded player versus 521 tossing to the other players (van der Meulen et al., 2016). However, previous studies that 522 examined giving behavior in children and adolescents observed that children do not yet differentiate between intentions for giving (Güroğlu, van den Bos, & Crone, 2009) and that 523 activity in TPJ associated with intention understanding develops during adolescence 524 (Güroğlu, van den Bos, van Dijk, Rombouts, & Crone, 2011). Even though children as young 525

526 as four years old understand the norms for fair distributions of goods, they only behave in accordance with those norms when they reach the age of eight (Smith, Blake, & Harris, 527 528 2013). Furthermore, it is unclear when children's motivations for fair behavior shift from a desire to follow the norms to the understanding of someone else's needs. The current study 529 cannot give a conclusive answer to this question because there was no comparison group 530 with older participants. However, earlier research has indicated that activity in TPJ increases 531 532 with age, especially for situations where perspective taking is required (Crone, 2013). Therefore, it would be interesting for future studies to test whether this developmental 533 increase extends to other social brain regions, and whether this increase in activity can be 534 535 related to changing motives for prosocial compensating behavior.

This study has significant strengths, such as the replication design that was used to 536 test and replicate results from one sample to two other samples. The addition of a meta-537 538 analytic approach further confirmed our results. Furthermore, the current study is one of the first to investigate behavioral and neural correlates of prosocial compensating behavior in 539 540 middle childhood. Nevertheless, there also were some limitations that should be addressed in future studies. First, the two processes studied (prosocial compensating behavior and 541 experience of possible self-exclusion) are dependent on each other, as the participant first 542 543 has to receive the ball from the excluders before they are able to engage in prosocial 544 compensating behavior. This might provide a bias for the analysis used in this study although 545 the number of tosses in each contrast was comparable. Second, the contrast used to study 546 neural findings for prosocial compensating behavior (tossing to excluded player vs tossing to 547 other players in the unfair situation) might not be the optimal situation to study these 548 reactions. Ideally, a comparison similar to the difference score in the behavioral results would 549 be made: a comparison in tossing to player 2 during the unfair situations versus tossing to 550 player 2 during the fair situation. However, given that imaging data was not collected during 551 the fair situation, we believe that we have chosen the best possible contrast to measure prosocial behavior, as it only includes behavior from the participant (tossing to excluded or to 552 other players) and is therefore comparable in for example motion and time-one-task 553

554 confounds. Third, the test and replication sample were not completely independent from each 555 other. For these two samples same-sex co-twins were randomly assigned to the test or 556 replication sample. Therefore, the results could be more similar for the test and replication sample than for the pilot sample. In fact, the replication step from test to replication sample 557 was optimized in that the two samples were perfectly matched on age, gender, family 558 background, and in about half of the cases even on genetic make-up. A randomized co-twin 559 560 design leaves much less room for alternative interpretations in case of non-replication. Finally, the sample size of our three samples was too small to examine individual differences 561 in motives for prosocial compensating behavior. This would be an important step in 562 investigating the underlying reasons for children to engage in prosocial behavior in the 563 564 Prosocial Cyberball Game, and therefore this question should be addressed in a larger sample. 565

566 In conclusion, the current study confirmed the hypothesis that children ages 7-10years show prosocial compensating behavior in a relatively new paradigm in children: the 567 568 Prosocial Cyberball Game. Interestingly, we found no strong evidence for specific neural activity related to prosocial compensating behavior towards the excluded player, but robust 569 570 evidence was found for neural contributions to feelings of self-inclusion and -exclusion. The 571 relation between prosocial compensating behavior and neural activity during self-inclusion 572 and -exclusion is not yet clear, but possibly these findings highlight the switch from self to 573 other motivations to engage in prosocial compensating behavior in late childhood and emerging adolescence. Alternatively, there may be important individual differences between 574 575 children that emerge in larger samples. These hypotheses will be tested in a future 576 longitudinal design, as these children will be followed over several years. Here, we presented 577 a new approach to the hotly debated issue of replicability in behavioral and neuroscience 578 showing that answers might be dependent on specific contrasts and underlying neural 579 mechanisms even within the same paradigm.

580

581

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586

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