

## Electrocortical measures of social anxiety disorder

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1 **Electrocortical measures of information processing biases in social anxiety disorder: A**  
2 **review**

3 Anita Harrewijn<sup>\*a,b,d</sup>, Louis A. Schmidt<sup>c,e</sup>, P. Michiel Westenberg<sup>a,b,f</sup>, Alva Tang<sup>c,g</sup>, & Melle  
4 J.W. van der Molen<sup>a,b,h</sup>

5

6 a. Developmental and Educational Psychology, Leiden University, Wassenaarseweg 52, 2333  
7 AK Leiden, The Netherlands

8 b. Leiden Institute for Brain and Cognition, Leiden University, P.O. Box 9600, 2300 RC,  
9 Leiden, The Netherlands

10 c. Department of Psychology, Neuroscience & Behaviour, McMaster University, 1280 Main  
11 Street West, Hamilton, Ontario L8S 4K1, Canada

12 d. anitaharrewijn@gmail.com

13 e. schmidtl@mcmaster.ca

14 f. westenberg@fsw.leidenuniv.nl

15 g. tanga6@mcmaster.ca

16 h. m.j.w.van.der.molen@fsw.leidenuniv.nl

17

18 \*Corresponding author:

19 Anita Harrewijn, MSc

20 Phone: +1 240 750 4038

1 Abstract

2 Social anxiety disorder (SAD) is characterized by information processing biases, however,  
3 their underlying neural mechanisms remain poorly understood. The goal of this review was to  
4 give a comprehensive overview of the most frequently studied EEG spectral and event-related  
5 potential (ERP) measures in social anxiety during rest, anticipation, stimulus processing, and  
6 recovery. A Web of Science search yielded 35 studies reporting on electrocortical measures in  
7 individuals with social anxiety or related constructs. Social anxiety was related to increased  
8 delta-beta cross-frequency correlation during anticipation and recovery, and information  
9 processing biases during early processing of faces (P1) and errors (error-related negativity).  
10 These electrocortical measures are discussed in relation to the persistent cycle of information  
11 processing biases maintaining SAD. Future research should further investigate the  
12 mechanisms of this persistent cycle and study the utility of electrocortical measures in early  
13 detection, prevention, treatment and endophenotype research.

14

15 Key words:

16 Delta-beta correlation, EEG, ERN, event-related potentials, information processing biases,

17 P1, P2, social anxiety disorder, spectral measures

1 **1. Introduction**

2 Social anxiety disorder (SAD) is a highly prevalent and debilitating disorder  
3 characterized by fear and avoidance of social or performance situations that might lead to  
4 scrutiny and/or negative evaluation by others (Rapee & Spence, 2004; Spence & Rapee,  
5 2016). It is posited that social anxiety is expressed along a severity continuum (Rapee &  
6 Spence, 2004). That is, many people experience symptoms of social anxiety without meeting  
7 the clinical diagnostic criteria for SAD. When social anxiety symptoms hinder someone's  
8 daily-life functioning to such an extent that they avoid social situations, these people often  
9 meet the diagnostic criteria for SAD (APA, 2013). SAD is among the most prevalent  
10 psychiatric disorders, with a life-time prevalence ranging from 5.0% to 12.1% in the United  
11 States (Grant et al., 2005; Kessler, Berglund, Demler, Jin, & Walters, 2005). Patients with  
12 SAD have an increased risk for developing comorbid disorders, such as other anxiety  
13 disorders, depression, and substance abuse (Grant et al., 2005; Rapee & Spence, 2004; Spence  
14 & Rapee, 2016). Therefore, the identification of mechanisms underlying and maintaining  
15 SAD is of critical importance to improve (preventive) interventions for SAD.

16 Many cognitive-behavioral studies have demonstrated that information processing  
17 biases play an important role in the development and maintenance of SAD (Bögels &  
18 Mansell, 2004; Clark & McManus, 2002; Heinrichs & Hofmann, 2001; Hirsch & Clark, 2004;  
19 Morrison & Heimberg, 2013; Wong & Rapee, 2016). Information processing biases might be  
20 displayed as biases in attention (e.g., hypervigilance, or self-focused attention) (Bögels &  
21 Mansell, 2004), interpretation (e.g., evaluating own behavior very critically, or interpreting  
22 social situations in a negative way), memory (e.g., selectively retrieving negative  
23 information), and imagery (e.g., experiencing images of oneself performing poorly in social  
24 situations) (Heinrichs & Hofmann, 2001; Hirsch & Clark, 2004; Morrison & Heimberg,  
25 2013). Cognitive models posit that patients with SAD exhibit a persistent cycle of information

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1 processing biases, which perpetuate different stages of processing (i.e., automatic and  
2 controlled) and reinforce socially anxious behaviors over time. These information processing  
3 biases are triggered when the person is confronted with a socially stressful situation, repeated  
4 while in the situation, and carried forward in time when anticipating similar future events  
5 (Clark & McManus, 2002; Morrison & Heimberg, 2013). Electrocortical measures that are  
6 related to social anxiety could provide more insight in these information processing biases.  
7 So, to delineate electrocortical measures underlying the different stages of this persistent  
8 cycle of information processing biases, we reviewed EEG measures during rest, anticipation  
9 of, and recovery from socially stressful situations, as well as event-related potential (ERP)  
10 measures during the processing of socially threatening stimuli.

11 We reviewed electrocortical measures of SAD, because EEG/ERP offers an online,  
12 objective and direct measure of brain activity. Of note, the future utility of potential  
13 electrocortical measures is highlighted by the relative ease of application and cost-  
14 effectiveness (Amodio, Bartholow, & Ito, 2014; Luck, 2005). Most importantly, the high  
15 temporal precision of ERPs is very useful for capturing the precise timing of information  
16 processing biases during stimulus processing (Amodio et al., 2014; M. X. Cohen, 2011;  
17 Ibanez et al., 2012; Luck, 2005). The goal of this review was to provide a comprehensive  
18 overview of the most frequently studied EEG and ERP measures during rest, anticipation,  
19 stimulus processing, and recovery. These electrocortical measures may give insight into the  
20 mechanisms underlying and maintaining the persistent cycle of information processing biases  
21 in SAD, and might eventually be used in early detection, prevention, treatment and  
22 endophenotype research.

23

24 *1.1 Focus*

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1           To delineate electrocortical measures related to the information processing biases in  
2 SAD, we reviewed studies that have reported on EEG spectral characteristics during rest,  
3 anticipation and recovery from a socially stressful situation, as well as ERPs during stimulus  
4 processing. Given that the social anxiety literature on EEG spectral characteristics has largely  
5 focused on power of the alpha frequency band and the correlation between the power of delta  
6 and beta frequency bands, these two EEG metrics were included in our review (Table 1).  
7 These EEG metrics were studied during resting state, in which participants sat still for a  
8 certain period of time, or during impromptu speech preparation tasks.

9           With respect to ERPs, studies on social anxiety have primarily investigated stimulus  
10 processing in face processing and in cognitive conflict paradigms. ERPs give precise insight  
11 in the timing of biases in processing of faces and errors/feedback. To put the ERPs into  
12 context and to show that differences in ERPs are not caused by differences in behavior, we  
13 also reported on behavioral findings in the tasks. Studies using face-processing paradigms  
14 typically include negative emotional faces as socially threatening stimuli because they  
15 communicate social dominance (Öhman, 1986) or disapproval for violated social rules or  
16 expectations (Averill, 1982, as discussed in Kolassa and Miltner, 2006). In this review, we  
17 further distinguished between explicit and implicit face processing paradigms (Table 2) to  
18 examine the effects of task-relevant (explicit) versus task-irrelevant (implicit) faces on the  
19 modulation of early and late ERP components (Schulz, Mothes-Lasch, & Straube, 2013). In  
20 explicit paradigms, participants are required to direct their attention to the emotional valence  
21 of stimuli. In implicit paradigms, participants are presented with emotional faces, but are  
22 required to direct their attention to different aspects of stimuli (e.g., indicating the gender of  
23 stimuli, or responding to a target replacing the faces). Our review focused on the early P1,

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1 N170, and P2 components, and the late P3 and late positive potential (LPP) components,  
2 since studies on social anxiety have examined these ERP components<sup>1</sup>.

3 A recent and very relevant line of ERP research in social anxiety has focused on ERP  
4 components of feedback processing and performance monitoring in cognitive conflict  
5 paradigms. We reviewed ERP studies that have focused on the N2, feedback-related  
6 negativity (FRN), error-related negativity (ERN), correct response negativity (CRN), and  
7 positive error (Pe) components in these cognitive conflict paradigms (Table 3)<sup>2</sup>.

8 We included studies reporting on patients diagnosed with SAD, as well as high  
9 socially anxious individuals, because both are expressions of social anxiety at the more severe  
10 end of the continuum (Rapee & Spence, 2004). We also reviewed studies examining  
11 constructs related to SAD, such as fear of negative evaluation, social withdrawal, shyness,  
12 and behavioral inhibition, since these constructs share common symptoms of SAD (Stein,  
13 Ono, Tajima, & Muller, 2004). Fear of negative evaluation is considered as a hallmark  
14 cognitive feature of SAD, whereas social anxiety is a more complete measure encompassing  
15 behavioral and affective symptoms (Carleton, McCreary, Norton, & Asmundson, 2006).  
16 Social withdrawal is a behavioral style commonly observed in childhood that is characterized  
17 by a lack of engagement in social situations or solitary behavior, such as playing alone (Rubin  
18 & Burgess, 2001). Shyness is a personality dimension defined as self-preoccupation and  
19 inhibition in social situations (Cheek & Buss, 1981). Behavioral inhibition is a temperament  
20 observed in infancy as negative reactivity to novel social and nonsocial stimuli (Hirshfeld-  
21 Becker et al., 2008). While these constructs are different, they are related to each other and to

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<sup>1</sup> For studies using face processing paradigms, we did not report on the C1, N1, P150, N250, FN400, correct-response negativity (CRN), vertex positive potential (VPP), early posterior negativity (EPN), contralateral delay activity (CDA), and stimulus-preceding negativity (SPN) components, because very few (only 1 to 3) studies have investigated these components in relation to social anxiety.

<sup>2</sup> For studies using cognitive conflict paradigms, we excluded results on the N1, P150, P2, P3, LPP, CDA, and SPN components, because very few (only 1 to 2 studies) have reported on these components in social anxiety.

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1 a greater risk of developing SAD (Clauss & Blackford, 2012; Hirshfeld-Becker et al., 2008;  
2 Stein et al., 2004).

3 We focused our review on studies of adults, due to several factors that hinder a  
4 comprehensive comparison between adult and child studies. For instance, brain development  
5 should be taken into account when comparing spectral EEG measures and ERPs between  
6 adults and children. Brain development is associated with a decline in total EEG power, as  
7 well as a shift from dominant slow wave (theta) activity to the dominant alpha rhythm as seen  
8 in adults (Marcuse et al., 2008; Segalowitz, Santesso, & Jetha, 2010). Such age-related  
9 differences in spontaneous EEG activity question the similarity in the functional significance  
10 of electrocortical measures when compared between age groups. Also, different  
11 methodological approaches might be required in quantifying these spectral measures (e.g.,  
12 spectral band-width of alpha power should be different between young children and adults),  
13 which does not happen often in the literature. With regard to the ERP technique, comparing  
14 data between child and adult samples might be complicated by other factors, such as  
15 information processing efficiency, strategies used to allocate attention, and even task  
16 instructions (Segalowitz et al., 2010). Therefore, we focused mainly on electrocortical studies  
17 in adults, but we included a paragraph on developmental studies at the end of the review  
18 (Table 4 and 5).

19 This review is organized as follows: First, we describe briefly the information  
20 processing biases in social anxiety as recognized in the cognitive-behavioral literature. These  
21 cognitive-behavioral findings (e.g., attention biases, hypervigilance/avoidance tendencies) can  
22 be used as an information processing framework (Clark & McManus, 2002) for interpreting  
23 the electrocortical measures of SAD. Second, we give an introduction to EEG spectral  
24 characteristics and then review studies on spectral EEG analyses at rest, during anticipation of  
25 and recovery from socially stressful situations. Third, we introduce the ERP method, and

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1 review studies that report on early and late ERP components in response to facial stimuli and  
2 ERP components in cognitive conflict paradigms as potential indices of information  
3 processing biases in social anxiety. Lastly, we conclude by relating our findings to the  
4 persistent cycle of information processing biases that maintains SAD, and discussing the  
5 utility of electrocortical measures of SAD. We also describe current methodological  
6 challenges in electrocortical studies, and developmental studies involving these EEG and ERP  
7 measures of SAD.

8

### 9 *1.2 Search strategy*

10 We searched Web of Science for electrocortical studies in socially anxious individuals,  
11 using the key terms *EEG or ERP or oscillation\** and *social anxiety\* or social anxiety disorder*  
12 *or fear of negative evaluation or social withdrawal or shy\* or behavioral inhibition,*  
13 *combined with resting state, anticipation, recovery, face, stimulus processing, emotion, error,*  
14 *or performance monitoring.* We also searched the reference list of the articles for additional  
15 studies, and searched for other publications of the authors of the articles. The data search was  
16 conducted before February 16th, 2017. The inclusion criteria for studies were including  
17 participants older than 18 years, who displayed SAD, high social anxiety, fear of negative  
18 evaluation, social withdrawal, shyness, or behavioral inhibition (as determined by  
19 standardized, validated measures). We included all published papers that were written in  
20 English. The data search resulted in a total of 35 studies.

21

## 22 **2. Information processing biases in social anxiety**

23 Cognitive-behavioral studies have repeatedly shown that socially anxious individuals  
24 display information processing biases in attention, interpretation, memory, and imagery (for  
25 extensive reviews, see Bögels and Mansell, 2004; Clark and McManus, 2002; Heinrich and

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1 Hofmann, 2001; Hirsh and Clark, 2004). These information processing biases can occur  
2 before, during, and after social situations (Hirsch & Clark, 2004).

3         Prior to a social situation, socially anxious individuals may exhibit information  
4 processing biases because they anticipate that negative events might result from the social  
5 encounter (Clark & McManus, 2002; Heinrichs & Hofmann, 2001; Hirsch & Clark, 2004).  
6 An example of a socially stressful situation is public speaking. Research has shown that  
7 feelings of anxiety can be evoked in anticipation of performing a public speech (Westenberg  
8 et al., 2009). This anticipatory anxiety enhances perceptual processing and directs attention to  
9 socially threatening stimuli such as emotional faces (Wieser, Pauli, Reicherts, & Muhlberger,  
10 2010). During the anticipation of a socially stressful situation, socially anxious individuals  
11 display memory biases. For example, high socially anxious individuals selectively retrieved  
12 negative impressions about oneself, and patients with SAD selectively retrieved past social  
13 failures (Clark & McManus, 2002). Patients with SAD estimated the chance of negative  
14 social events higher than controls or patients with other anxiety disorders (Heinrichs &  
15 Hofmann, 2001; Hirsch & Clark, 2004). Furthermore, patients with SAD estimated the  
16 consequences of negative social events and evaluation by others as more severe than controls  
17 or patients with other anxiety disorders (Hirsch & Clark, 2004).

18         Cognitive models posit that information processing biases during anticipation might  
19 steer attentional focus towards potentially threatening social cues (Bögels & Mansell, 2004;  
20 Clark & McManus, 2002; Heinrichs & Hofmann, 2001; Hirsch & Clark, 2004; Morrison &  
21 Heimberg, 2013). This notion is in line with the hypervigilance-avoidance theory of  
22 attentional function in anxiety disorders (Mogg et al., 1997). This theory states that socially  
23 anxious individuals process socially threatening stimuli in two stages: initial vigilance (i.e.,  
24 allocating attention to threatening stimuli), followed by avoidance of these stimuli (after 500-  
25 1000 ms) (Bögels & Mansell, 2004; Mogg, Bradley, DeBono, & Painter, 1997).

1           These information processing biases impact the thoughts and beliefs in socially  
2 anxious individuals after such socially stressful situations, triggering post-event rumination.  
3 For example, shortly after a social situation, patients with SAD interpreted ambiguous social  
4 situations in a negative way, and mildly negative situations in a catastrophic way (Brozovich  
5 & Heimberg, 2008; Clark & McManus, 2002). Socially anxious individuals displayed a recall  
6 bias, they were more likely to remember past negative social situations (Brozovich &  
7 Heimberg, 2008; Clark & McManus, 2002). Further, socially anxious individuals displayed  
8 prolonged and more perseverative self-focused thoughts and negative interpretations of  
9 themselves after a socially stressful situation (Brozovich & Heimberg, 2008).

10           Although these information processing biases seem to be triggered by a socially  
11 stressful situation, there is also evidence suggesting that information processing biases occur  
12 spontaneously, and hence are not restricted to a specific social situation. However, because  
13 there is no overt behavioral response linked to spontaneous information processing biases,  
14 much of this research stems from studies of “intrinsic” measures of brain functioning during  
15 rest, which are thought to reflect a history of brain activation in goal-directed, purposeful  
16 processing states (Sylvester et al., 2012). Indeed, resting-state functional MRI (fMRI) studies  
17 have shown that social anxiety was related to an imbalance between the amygdala and  
18 prefrontal cortex, which is linked to emotion dysregulation (Miskovic & Schmidt, 2012).  
19 Moreover, some EEG studies have shown social anxiety is related to differential resting brain  
20 activity linked to negative emotion and withdrawal-related social behaviors (Miskovic et al.,  
21 2011; Schmidt, 1999).

22           Together, there is accumulating evidence from cognitive-behavioral studies suggesting  
23 that socially anxious individuals display information processing biases during various  
24 contexts. Although these studies have offered important insights into the characteristics of  
25 information processing biases, they were not able to delineate the exact nature and time-

1 course of these biases. This is mainly due to constraints of subjective dependent variables  
2 (e.g., self-report data), as well as a limitation in isolating specific processes (e.g., stimulus  
3 detection, categorization, response selection). Electrocortical studies provide a direct and  
4 objective index of information processing with high temporal resolution (Amodio et al., 2014;  
5 M. X. Cohen, 2011; Kotchoubey, 2006; Luck, 2005), and could yield a richer understanding  
6 of how social anxiety is maintained. Such results could provide valuable insight in unraveling  
7 disorder-specific biological measures that in turn could facilitate early diagnosis and  
8 (preventive) intervention.

9

### 10 **3. Spectral EEG measures related to information processing biases in social anxiety**

11 The degree of synchronous firing of pyramidal neurons measured at the scalp with  
12 EEG is reflected in neuronal oscillations of different frequencies (Knyazev, 2007; Von Stein  
13 & Sarnthein, 2000). The range of frequencies in the human EEG that are typically examined  
14 in electrocortical studies include the delta (1 to 3 Hz), theta (4 to 8 Hz), alpha (8 to 13 Hz),  
15 beta (13 to 30 Hz), and gamma (30 to 100 Hz) bands. Rhythmic changes in the strength of  
16 oscillatory activity in a certain frequency band can be induced by various mental operations,  
17 and is reflective of different brain functions (Knyazev, 2007). In addition, the cross-talk  
18 between low and high EEG frequency bands – represented by indices of amplitude-amplitude  
19 or phase-amplitude coupling – have been suggested to reflect the functional communication  
20 between distant brain regions (Bastiaansen, Mazaheri, & Jensen, 2012; Schutter & Knyazev,  
21 2012). In the social anxiety literature, researchers have mainly focused on alpha power, and  
22 the correlation between delta and beta power. Thus, our review is limited to these spectral  
23 EEG measures (Table 1).

24

#### 25 *3.1 Frontal alpha asymmetry*

1           An influential theory on hemispheric asymmetry and emotion suggests that individual  
2 differences in positive and negative affect can be quantified in terms of asymmetry patterns in  
3 frontal alpha power (Davidson, 1992, 1998). More specifically, relatively greater left frontal  
4 cortical activity is related to approach behavior, whereas relatively greater right frontal  
5 cortical activity is related to withdraw behavior (Davidson, 1992, 1998). However, it should  
6 be noted that there is no simple correspondence between positive/negative affect and  
7 approach/avoidance behavior. For example, anger is a negative emotion related to approach  
8 behavior and was also related greater left frontal cortical activity (Harmon-Jones & Allen,  
9 1998; Harmon-Jones, Gable, & Peterson, 2010). Frontal alpha asymmetry is typically  
10 measured by subtracting log-transformed left lateralized frontal alpha power from log-  
11 transformed right lateralized frontal alpha power (Allen, Coan, & Nazarian, 2004). Since  
12 alpha power is inversely related to cortical activity, positive alpha asymmetry scores reflect  
13 relatively greater left frontal cortical activity (i.e., decreased left frontal alpha power), and  
14 negative alpha asymmetry scores reflect relatively greater right frontal cortical activity (i.e.,  
15 decreased right frontal alpha power) (Allen et al., 2004). Frontal alpha asymmetry has been  
16 examined in relation to the behavioral approach and avoidance systems (Carver and White,  
17 1994). Some studies have shown that right frontal alpha asymmetry is related to behavioral  
18 inhibition (Coan & Allen, 2004), whereas other studies have shown that this relation is more  
19 complex and not related to behavioral inhibition alone (Coan & Allen, 2003).

20

### 21 *3.2 Frontal alpha asymmetry in social anxiety*

#### 22 *3.2.1 Rest*

23           Frontal alpha asymmetry has often been studied during resting state EEG  
24 measurements (or baseline), in which participants are asked to sit still during a certain period  
25 of time, with their eyes open or closed. The literature on frontal alpha asymmetry during

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1 resting state in social anxiety appears to be mixed. For example, patients with SAD showed  
2 increased left frontal activity after cognitive-behavioral therapy (Moscovitch et al., 2011).  
3 However, this study did not include a control group nor a treatment control condition, so it  
4 cannot be concluded that SAD patients showed increased right frontal activity compared to  
5 controls before treatment. Frontal alpha asymmetry during resting state has also been  
6 investigated in relation to constructs related to social anxiety, such as shyness in nonclinical  
7 samples. For example, greater right frontal activity has been observed in adults scoring high  
8 on shyness versus those scoring low on shyness (Schmidt, 1999). In contrast, other studies  
9 have found no difference in resting frontal alpha asymmetry between patients with SAD and  
10 controls (Davidson, Marshall, Tomarken, & Henriques, 2000), between high and low socially  
11 anxious individuals (Beaton et al., 2008; Harrewijn, Van der Molen, & Westenberg, 2016),  
12 and between high and low socially withdrawn individuals (Cole, Zapp, Nelson, & Perez-  
13 Edgar, 2012).

14

### 15 *3.2.2 Anticipation*

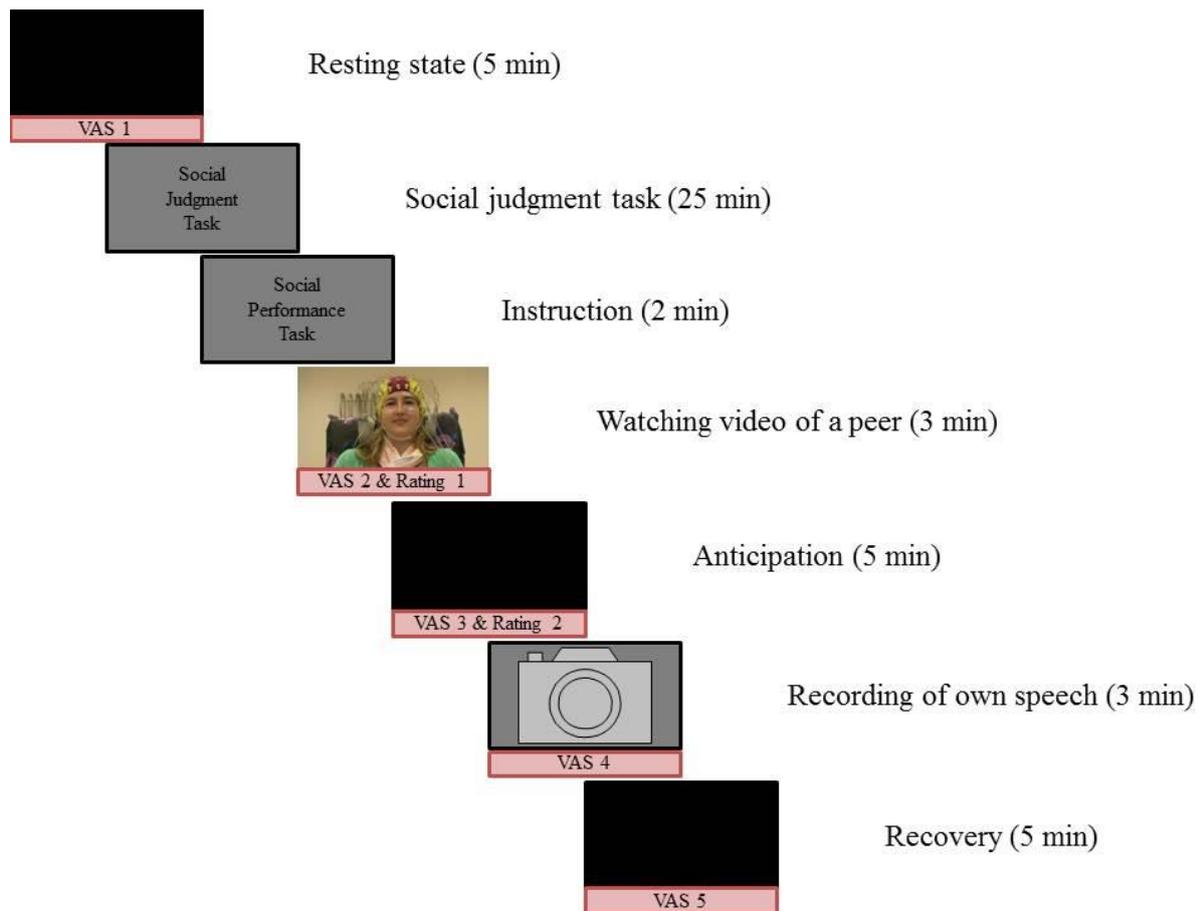
16 Cognitive models have highlighted the importance of information processing biases  
17 when socially anxious individuals anticipate exposure to feared social situations. Patients with  
18 SAD typically anticipate a more negative outcome in social situations and have more negative  
19 expectations about their own performance in social situations. Patients with SAD fear  
20 behaving in an inappropriate way, because it might result in negative evaluation by others  
21 (Clark & McManus, 2002; Heinrichs & Hofmann, 2001; Hirsch & Clark, 2004).

22 Typically, anticipatory anxiety in SAD is examined via impromptu speech preparation  
23 tasks, in which participants are asked to prepare a speech on a general topic or on personal  
24 characteristics. An example of a social performance task is presented in Figure 1. Some  
25 studies have shown that frontal alpha asymmetry is related to social anxiety during

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1 anticipation in such socially stressful situations (Cole et al., 2012; Davidson et al., 2000). For  
2 example, Davidson et al. (2000) examined frontal alpha asymmetry in patients with SAD  
3 while they were anticipating to perform a speech about an unknown topic and while preparing  
4 this speech when they were informed about the topic. Patients with SAD showed increased  
5 right anterior temporal activity during anticipation and planning compared to resting state  
6 (Davidson et al., 2000). Likewise, high socially withdrawn individuals showed increased right  
7 frontal activity during anticipation of performing their own speech, when they watched a  
8 video of a confederate talking in an anxious way, but not when the confederate talked in a  
9 non-anxious way (Cole et al., 2012). Other studies have found no effect of social anxiety  
10 between high versus low socially anxious individuals during anticipation of a speech (Beaton  
11 et al., 2008; Harrewijn et al., 2016), or between high versus low shy individuals during  
12 anticipation of a social interaction (Schmidt & Fox, 1994). Although Beaton et al. (2008) did  
13 not find a difference between high and low socially anxious individuals, shyness was related  
14 to increased right frontal activity in their sample, but only after controlling for depression.

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1

2 Figure 1. Example of a social performance task. This task includes a recovery phase after  
3 giving the speech, which is a novel compared to usual designs that measure only resting state  
4 and anticipation. Reprinted from Cognitive, Affective & Behavioral Neuroscience, Harrewijn,  
5 A., Van der Molen, M.J.W., & Westenberg, P.M., Putative EEG measures of social anxiety:  
6 Comparing frontal alpha asymmetry and delta-beta cross-frequency correlation, Copyright  
7 (2016), with permission.

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9 The mixed findings among these studies can be explained in several ways. First, the  
10 effect of social anxiety might only be measurable at extreme levels of social anxiety. That is,  
11 the effect was significant for patients with SAD (Davidson et al., 2000), who presumably  
12 experience more social anxiety, than high socially anxious individuals. However, the sample  
13 size in the study of Davidson et al. (2000) was rather small (14 patients with SAD), and thus  
14 these results need to be interpreted with caution. Furthermore, Cole et al. (2012) only found

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1 increased right frontal activity in high socially withdrawn individuals in the anxious  
2 condition. Tasks without such an anxiety-inducing condition might not elicit an increase in  
3 frontal alpha asymmetry, such as in Harrewijn et al. (2016). Second, the effect of social  
4 anxiety might only be measurable if the control group shows no anxiety during the task. For  
5 example, control participants in the study of Davidson et al. (2000) showed no increase in  
6 subjective anxiety during anticipation, whereas low socially anxious participants in the study  
7 of Harrewijn et al. (2016) showed an increase in subjective anxiety. An increase in subjective  
8 anxiety in control participants might render the inability to detect significant group  
9 differences in frontal alpha asymmetry. Third, Davidson et al. (2000) focused on the  
10 difference between anticipation and resting state, whereas most studies only focused on  
11 anticipation (Beaton et al., 2008; Cole et al., 2012; Harrewijn et al., 2016; Schmidt & Fox,  
12 1994). However, no effect of social anxiety was found when analyzing the difference between  
13 anticipation and resting state data in the Harrewijn et al. (2016) study. Fourth, the effect of  
14 social anxiety on frontal alpha asymmetry during anticipation might also be related to  
15 differences in the duration of the anticipation period. Studies that did not find frontal alpha  
16 asymmetry effects (Harrewijn et al., 2016; Schmidt & Fox, 1994) used relatively longer  
17 anticipation periods (i.e., 5-6 minutes) compared to studies that used shorter anticipation  
18 periods (Beaton et al., 2008; Cole et al., 2012). Particularly, Davidson et al. (2000) used an  
19 anticipation period of 3 minutes and a planning condition of 2 minutes that presented new  
20 information (topic of the speech), which might have increased participants' anxiety again  
21 during this phase. Overall, null effects in studies that have employed longer anticipation  
22 periods might be due to a habituation effect. That is, if the anticipation period is longer,  
23 participants' anxiety might habituate and less right frontal activity is shown towards the end.  
24 Possible habituation effects should be examined in future studies by comparing frontal alpha  
25 asymmetry of various time-bins during the anticipation period.

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### *3.2.3 Recovery*

Recovery from a socially stressful situation, such as performing a speech, might induce increased post-event processing in socially anxious individuals. According to various cognitive-behavioral studies (Brozovich & Heimberg, 2008; Clark & McManus, 2002), post-event processing in social anxiety is characterized by rumination and perseverative thinking (e.g., negative beliefs about past performance during a social situation). This enhanced retrieval of negative memories and a focus on negative assumptions are believed to maintain social anxiety symptoms (Brozovich & Heimberg, 2008). Potentially, post-event processing during recovery stages of a social performance task might be tracked by frontal alpha asymmetry. Only two studies have measured frontal alpha asymmetry during recovery from giving a speech. These studies failed to detect differences in frontal alpha asymmetry between patients with SAD and controls (Davidson et al., 2000) and between high and low socially anxious individuals (Harrewijn et al., 2016). Although the apparent scarcity of studies should be taken into account, these studies suggest that post-event processing in social anxiety is not reflected in patterns of frontal alpha asymmetry.

### *3.3 Delta-beta cross-frequency correlation*

Another EEG metric that has been of interest in examining information processing biases in social anxiety during resting state, anticipation and recovery, is the cross-frequency correlation between the power (i.e., amplitude) of delta and beta oscillations, hereafter referred to as delta-beta correlation. Although different metrics of cross-frequency coupling exist, such as phase-phase or phase-amplitude coupling (M. X. Cohen, 2014), our focus is on the amplitude-amplitude coupling between the delta and beta frequency bands since this is the only metric that has been used in the social anxiety literature. We reviewed studies that have

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1 employed a similar experimental design as reviewed for the frontal alpha asymmetry studies  
2 (e.g., comparing resting state, as well as activity during anticipation of and recovery from a  
3 socially stressful situation).

4       Neural oscillations in the delta frequency range (1 to 3 Hz) are slow-wave oscillations  
5 that are hypothesized to stem from subcortical regions, whereas neural oscillations in the beta  
6 range (13 to 30 Hz) are fast-wave oscillations that are hypothesized to stem from cortical  
7 regions (Miskovic et al., 2011; Putman, Arias-Garcia, Pantazi, & Van Schie, 2012; Schutter &  
8 Knyazev, 2012; Schutter, Leitner, Kenemans, & Van Honk, 2006; Schutter & Van Honk,  
9 2005; Velikova et al., 2010). It is posited that the cross-frequency correlation between slow-  
10 and fast-wave oscillations acts as an electrophysiological signature of the crosstalk between  
11 cortical and subcortical brain regions (Schutter & Knyazev, 2012). This is endorsed by a  
12 source localization analysis revealing that delta-beta correlation is associated with activity in  
13 the orbitofrontal and anterior cingulate cortex (Knyazev, 2011). Several studies have shown  
14 that positive delta-beta correlation is increased in anxious states, and interpreted this as  
15 increased communication between cortical and subcortical brain regions (Schutter &  
16 Knyazev, 2012). Delta-beta correlation was increased in anxiogenic situations in individuals  
17 scoring both high and low on general anxiety (Knyazev, Schutter, & Van Honk, 2006).  
18 Another study showed that participants with the largest increase in positive delta-beta  
19 correlation in an anxiogenic situation, also tended to have higher state anxiety scores  
20 (Knyazev, 2011). In contrast, Putman (2011) found no relation between delta-beta correlation  
21 and behavioral inhibition. So, some caution in interpreting delta-beta correlation is warranted,  
22 because there are some contradicting results, most research comes from one research group,  
23 the functional role of amplitude-amplitude coupling is unclear (Canolty & Knight, 2010), and  
24 it could be debated whether delta power solely reflects subcortical activity (Amzica &  
25 Steriade, 2000; Blaeser, Connors, & Nurmikko, 2017; Harmony, 2013).

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*3.4 Delta-beta cross-frequency correlation in social anxiety*

*3.4.1 Rest*

The findings about delta-beta correlation at rest are mixed. Miskovic et al. (2011) showed that delta-beta correlation before cognitive-behavioral treatment was higher than after treatment in patients with SAD. However, when pretreatment delta-beta correlation of patients with SAD was post hoc compared with controls, there was no difference (Miskovic et al., 2011). Delta-beta correlation was increased in high compared to low behaviorally inhibited males (Van Peer, Roelofs, & Spinhoven, 2008). In contrast, two studies have reported no differences between high and low socially anxious individuals (Harrewijn et al., 2016; Miskovic et al., 2010). Overall, despite the small amount of studies, it seems that delta-beta correlation during resting state is not related to social anxiety.

*3.4.2 Anticipation*

As an electrocortical measure of social anxiety, delta-beta correlation seems more promising when socially anxious individuals are anticipating a socially stressful situation. That is, patients with SAD displayed increased positive delta-beta correlation during anticipation before treatment compared to low socially anxious individuals (post hoc comparison). This increased positive delta-beta correlation during anticipation in patients with SAD decreased after cognitive-behavioral treatment, and there was no difference between patients with SAD after treatment and low socially anxious individuals (Miskovic et al., 2011). High socially anxious individuals also displayed increased positive delta-beta correlation during anticipation compared to low socially anxious individuals (Miskovic et al., 2010). Another study has found increased negative delta-beta correlation in high compared to low socially anxious individuals (Harrewijn et al., 2016). The authors argue that negative

## Electrocortical measures of social anxiety disorder

1 delta-beta correlation could still be interpreted as increased crosstalk between cortical and  
2 subcortical regions, only in a different direction. Negative delta-beta correlation possibly  
3 reflects the known imbalance between subcortical and cortical brain regions in general  
4 anxiety (Bishop, 2007), and more specifically in SAD (Bruhl, Delsignore, Komossa, & Weidt,  
5 2014; Cremers et al., 2015; Miskovic & Schmidt, 2012). Together, these studies highlight the  
6 potential of delta-beta correlation as a sensitive electrocortical measure of SAD when  
7 individuals are anticipating a socially stressful situation.

8

### 9 *3.4.3 Recovery*

10 Despite the importance of post-event processing in social anxiety, only one study has  
11 examined delta-beta correlation during recovery from a socially stressful situation. In this  
12 study, Harrewijn et al. (2016) examined delta-beta correlation during recovery from giving a  
13 presentation about their positive and negative qualities. Results showed that high socially  
14 anxious individuals showed increased negative delta-beta correlation compared to low  
15 socially anxious individuals (Harrewijn et al., 2016). This effect was interpreted as reflecting  
16 the imbalance between cortical and subcortical regions during recovery (Harrewijn et al.,  
17 2016). This is in line with findings from cognitive-behavioral studies suggesting that socially  
18 anxious individuals engage in post-event rumination after a socially stressful situation  
19 (Brozovich & Heimberg, 2008; Clark & McManus, 2002). Thus, the addition of a recovery  
20 phase in social performance paradigms seems valuable, and future studies should validate  
21 whether delta-beta correlation during recovery is a possible electrocortical measure of SAD.

22

### 23 *3.5 Discussion of spectral EEG measures*

24 The studies reviewed above provide insight in the potential of frontal alpha asymmetry  
25 and delta-beta correlation as electrocortical measures of SAD. Based on the available studies,

## Electrocortical measures of social anxiety disorder

1 it seems that delta-beta correlation is more strongly associated with SAD, relative to frontal  
2 alpha asymmetry.

3 Frontal alpha asymmetry during resting state and recovery was not related to social  
4 anxiety. However, frontal alpha asymmetry during anticipation appears to be a possible  
5 electrocortical measure of SAD, but only when the anxiety is extreme. This might suggest that  
6 frontal alpha asymmetry is not a trait-measure of SAD, but might be related to SAD in certain  
7 highly stressful states. Thibodeau, Jorgensen, and Kim (2006) have suggested that the mixed  
8 findings in alpha asymmetry literature could be related to comorbidity with depression.  
9 Unfortunately, only few studies in social anxiety have reported on depression as well. Two  
10 studies with participants with high levels of depression revealed an effect of social anxiety on  
11 frontal alpha asymmetry (Moscovitch et al., 2011; Schmidt et al., 2012). Beaton et al. (2008)  
12 found the relation between frontal alpha asymmetry and shyness when controlling for  
13 concurrent depression. In contrast, there was no effect of social anxiety in a sample with low  
14 levels of depression (Harrewijn et al., 2016).

15 Delta-beta correlation during anticipation and recovery appears to be more promising  
16 as a electrocortical measure of SAD. Functionally, delta-beta correlation is suggested to  
17 reflect the crosstalk between cortical and subcortical regions that is related to anxiety  
18 (Knyazev, 2011; Knyazev et al., 2006; Schutter & Knyazev, 2012). Indeed, source-  
19 localization analyses have shown that delta-beta correlation was associated with activity in the  
20 orbitofrontal and anterior cingulate cortex (Knyazev, 2011). Increased delta-beta correlation  
21 in social anxiety converges with fMRI studies that have found an imbalance between cortical  
22 and subcortical regions in general anxiety (Bishop, 2007), but also more specific in SAD  
23 (Bruhl et al., 2014; Cremers et al., 2015; Miskovic & Schmidt, 2012). This imbalance  
24 between cortical and subcortical regions also concurs with information processing biases that  
25 are found in cognitive-behavioral studies (Bögels & Mansell, 2004; Clark & McManus, 2002;

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1 Heinrichs & Hofmann, 2001; Hirsch & Clark, 2004). For example, increased anticipatory  
2 anxiety could be related to increased amygdala activation (Miskovic & Schmidt, 2012).  
3 However, some caution in this interpretation is warranted because the exact functional role of  
4 amplitude-amplitude correlation remains unclear (Canolty & Knight, 2010), it could be  
5 debated whether delta power solely stems from subcortical regions (Amzica & Steriade, 2000;  
6 Blaeser et al., 2017; Harmony, 2013), and most studies are performed by one research group.  
7 So, research on the exact meaning of delta-beta correlation, and independent replication of  
8 this effect is necessary. The effects were found in anticipation and recovery, which suggests  
9 that a certain level of stress-induction, or an anxious state, is necessary to find electrocortical  
10 measures of SAD.

11

### 12 **4. ERPs related to information processing biases in social anxiety**

13 To delineate electrocortical measures of SAD that are directly related to stimulus  
14 processing in face processing and cognitive conflict paradigms, we focused on ERP studies.  
15 ERPs are electrical potential changes in the brain that are time-locked to a certain stimulus  
16 and offer fine-grained information about the temporal dynamics of information processing  
17 (Koivisto & Revonsuo, 2010; Luck, 2005). ERPs provide objective insights into very early  
18 and late stages of stimulus processing (Luck, 2005). ERPs that are elicited as early as 100 ms  
19 after stimulus presentation are presumably modulated by physical characteristics of the  
20 stimulus rather than cognition (Herrmann & Knight, 2001; Luck, 2005). However, highly  
21 salient stimuli or changes in the order of stimulus presentation have been known to influence  
22 these early ERP components, reflecting stimulus-driven or bottom-up effects on attention  
23 (Knudsen, 2007; Luck, 2005). Early components that have been most frequently studied in  
24 social anxiety are the P1, N170 and P2.

1           In contrast, late ERP components are less influenced by variations in the physical  
2 characteristics of a stimulus, and reflect post-perceptual processing related to stimulus  
3 categorization, response selection/activation, and emotional reactivity evoked by stimuli  
4 (Eimer & Driver, 2001; Hajcak, MacNamara, & Olvet, 2010). These late ERP components  
5 mostly reflect top-down effects on attention (Luck, 2005), a process through which neuronal  
6 sensitivity to specific task-relevant stimuli is increased (Knudsen, 2007). Late components  
7 that have been frequently studied in social anxiety are the P3 and late positive potential (LPP).

8           Due to its ability to distinguish between these early and late processing stages, ERPs  
9 offer objective measures to examine information processing biases in social anxiety. Here we  
10 focused on ERP components that are elicited by explicit or implicit face processing (Table 2)  
11 and cognitive conflict (Table 3) paradigms.

12

#### 13 *4.1 Early ERP components in face processing paradigms*

##### 14 *4.1.1 P1*

15           The P1 is an early positive ERP component that peaks 90-110 ms after stimulus onset.  
16 The P1 was previously seen as a stimulus-driven response that is not influenced by intentions,  
17 goals, and tasks (Eimer & Driver, 2001; Luck, 2005). However, more recent studies show that  
18 attention does influence the P1, as amplitude of the P1 increases to stimuli in an attended  
19 location compared to stimuli in an unattended location (Luck & Kappenman, 2013). The  
20 effect of attention of the P1 is maximal at the lateral occipital lobe and has been associated  
21 with activation in the lateral occipitotemporal cortex (Luck & Kappenman, 2013). Moreover,  
22 P1 amplitudes are enhanced in response to emotional faces compared to neutral faces in  
23 healthy adults. This suggests that enhanced attention is recruited in response to threat-related  
24 stimuli, and might be related to activity in the extrastriate visual cortex as seen in fMRI  
25 studies (Vuilleumier & Pourtois, 2007).

## Electrocortical measures of social anxiety disorder

1           In explicit tasks, in which attention to emotion is required to complete the task,  
2 increased P1 amplitude in response to faces seems to be related to social anxiety (Figure 2).  
3 Patients with SAD showed increased P1 amplitude in response to schematic faces (i.e., line  
4 drawings of faces with different emotional expressions) in an emotion identification task and  
5 in a modified Stroop task (Kolassa et al., 2009; Kolassa, Kolassa, Musial, & Miltner, 2007).  
6 Increased P1 amplitude in response to pictures of faces was found in high versus low socially  
7 anxious participants in a modified Stroop task and in an emotional oddball paradigm  
8 (Peschard, Philippot, Joassin, & Rossignol, 2013; Rossignol, Campanella, et al., 2012). In the  
9 emotional oddball paradigm, P1 amplitude was increased in response to emotional faces  
10 versus neutral faces in high socially anxious individuals, whereas in low socially anxious  
11 individuals P1 amplitude was increased only in response to angry faces (Rossignol,  
12 Campanella, et al., 2012). This result indicates that high socially anxious individuals show a  
13 global hypervigilance towards emotional faces (Rossignol, Campanella, et al., 2012). This  
14 increased P1 amplitude was not related to any behavioral measures.

15           Also, increased P1 amplitudes may not be specifically linked to social anxiety, since  
16 patients with spider phobia also showed increased P1 amplitude when identifying faces  
17 (Kolassa et al., 2009). Furthermore, high socially anxious individuals showed increased P1  
18 amplitude in response to colored rectangles in a modified Stroop task (Peschard et al., 2013),  
19 which suggests that increased P1 amplitudes reflect a more generic novelty response rather  
20 than early allocation of attention towards faces.

21           The effect of group (SAD, spider phobia, healthy controls) on P1 amplitude just failed  
22 to reach significance in one study (Kolassa & Miltner, 2006). That is, P1 amplitude did not  
23 differ between patients with SAD, patients with spider phobia, and healthy controls in a  
24 modified Stroop task. However, scores on the fear survey schedule were positively related to  
25 P1 amplitude only in patients with SAD (Kolassa & Miltner, 2006). This might be a power

1 issue in this study, since only 19 patients with SAD were included. Most studies have shown  
2 that social anxiety is related to increased P1 amplitude in response to emotional faces in  
3 explicit tasks.

4         In implicit tasks, in which attention is directed to stimulus characteristics other than  
5 the emotional valence, increased P1 amplitude also seems to be related to social anxiety  
6 (Figure 2). Patients with SAD showed increased P1 amplitude in response to angry-neutral  
7 face pairs in a dot probe task, which was interpreted as an early hypervigilance to angry faces  
8 (Mueller et al., 2009). Patients with SAD showed an increased P1 amplitude in response to  
9 angry and neutral faces compared to happy faces in a face learning task, whereas controls did  
10 not show this effect of emotion (Hagemann, Straube, & Schulz, 2016). This might have been  
11 an novelty effect, the P1 effect was only present when the faces were shown for the first time,  
12 there was no effect of social anxiety on the P1 if the faces were shown for the second time in  
13 the test phase of this learning task (Hagemann et al., 2016). In the implicit condition of a  
14 modified Stroop task, patients with SAD showed increased P1 amplitude in response to all  
15 faces, compared to patients with spider phobia and healthy controls (Kolassa et al., 2007).  
16 High socially anxious individuals showed increased P1 amplitude in response to all faces in a  
17 dot probe task (Helfinstein, White, Bar-Haim, & Fox, 2008). P1 amplitude was also increased  
18 in the implicit condition of a modified Stroop task in high compared to low socially anxious  
19 individuals (Peschard et al., 2013), and in a spatial cueing task in individuals with high  
20 compared to low fear of negative evaluation (Rossignol, Campanella, Bissot, & Philippot,  
21 2013; Rossignol, Philippot, Bissot, Rigoulot, & Campanella, 2012).

22         In contrast to previous studies, Rossignol, Fisch, Maurage, Joassin, and Philippot  
23 (2013) showed that high socially anxious participants had decreased P1 amplitude in response  
24 to faces in an attention-shifting paradigm. One reason for this contrasting finding might be  
25 that the stimuli are less threatening in this task, because they used faces and bodily postures of

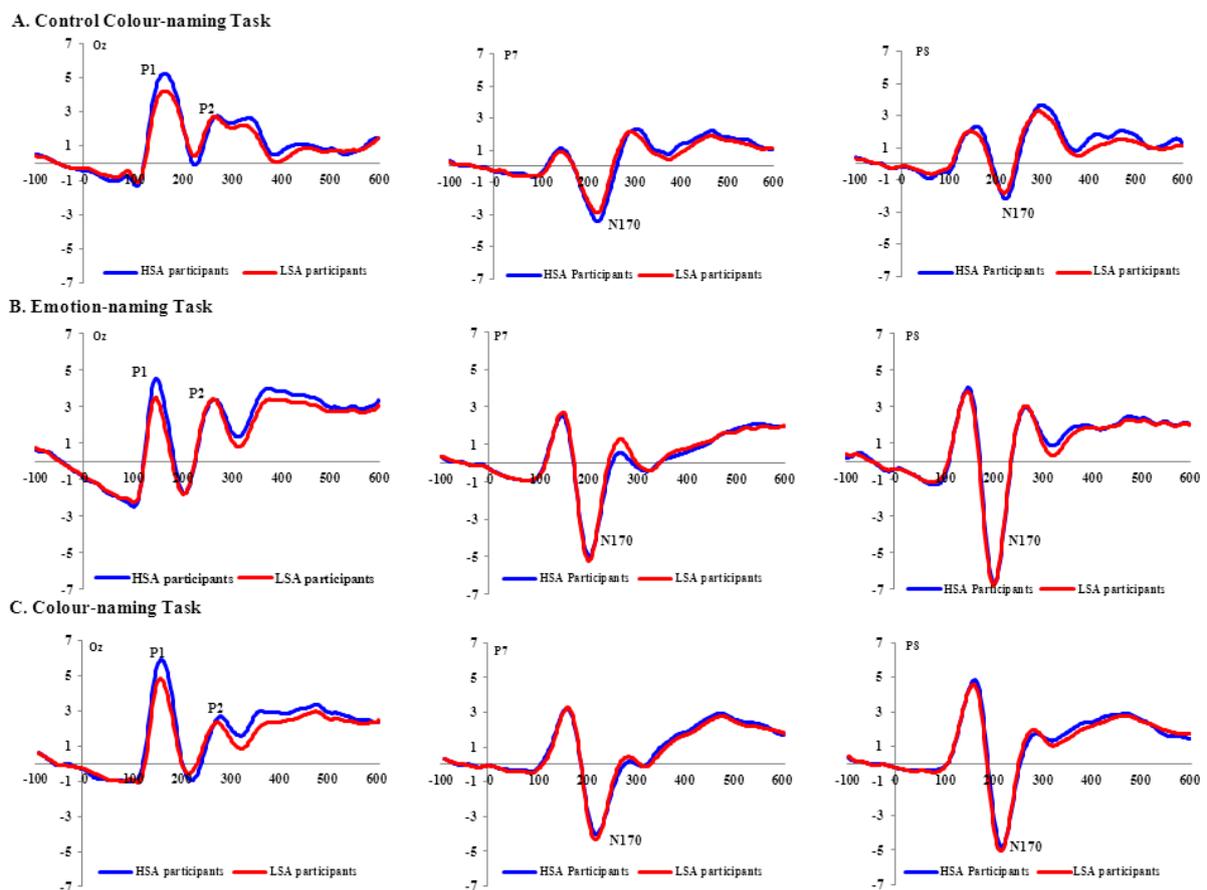
1 artificial humans. Artificial humans might not convey the same social evaluative threat as real  
2 humans. Another reason might be that participants can direct less attention to the face or  
3 bodily posture in the study of Rossignol, Fisch, et al. (2013), because the cue has no function  
4 in the rest of the task. In most other studies, the faces indicated the location of the target in  
5 some trials (Helfinstein et al., 2008; Mueller et al., 2009; Rossignol, Campanella, et al., 2013;  
6 Rossignol, Philippot, et al., 2012). Also, this contradicting finding might be related to the  
7 overall slower response to targets in high socially anxious individuals in this task, since most  
8 other studies did not find behavioral differences between individuals with and without social  
9 anxiety (Hagemann et al., 2016; Kolassa et al., 2007; Mueller et al., 2009; Peschard et al.,  
10 2013; Rossignol, Campanella, et al., 2013; Rossignol, Philippot, et al., 2012). Furthermore,  
11 Kolassa and Miltner (2006) found no difference in P1 amplitude between patients with SAD,  
12 patients with spider phobia and healthy controls in the implicit condition of a modified Stroop  
13 task. However, as discussed above, this might be due to low power. Taken together, the  
14 majority of the reviewed studies provide evidence that social anxiety is related to increased P1  
15 amplitude in implicit tasks.

16         The abovementioned studies all examined the P1 component in response to faces with  
17 a direct gaze. However, averted gazes might also elicit atypical electrocortical responses in  
18 socially anxious individuals due to their ambiguous nature (Schmitz, Scheel, Rigon, Gross, &  
19 Blechert, 2012). High socially anxious individuals showed increased P1 amplitude in  
20 response to viewing averted faces, although this finding did not reach statistical significance  
21 (Schmitz et al., 2012), possibly because the averted gazes were not threatening enough to  
22 elicit responses in high socially anxious individuals.

23         Two studies have focused on the P1 component in response to targets replacing the  
24 facial stimuli to measure whether the initial hypervigilance was maintained or followed by  
25 avoidance. On the one hand, in a dot-probe task, Mueller et al. (2009) showed *decreased* P1

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1 amplitude in response to targets, interpreted as reduced processing of emotionally salient  
2 locations at later stages of stimulus processing. On the other hand, in a spatial cueing task,  
3 Rossignol, Campanella, et al. (2013) showed *increased* P1 amplitude in response to targets,  
4 interpreted as maintained attention to the location of emotional cues. These contradicting  
5 findings could be linked to different processing stages as there were timing differences  
6 between the two tasks. In addition, the task of Mueller et al. (2009) might require more  
7 attention, because participants had to compare the target with the fixation cross, instead of just  
8 responding to the target as in Rossignol, Campanella, et al. (2013). Future research should  
9 clarify the information processing biases in later phases of dot-probe or spatial cueing tasks.



10

11 Figure 2. Social anxiety is related to increased P1 amplitude in response to explicit (emotion-  
12 naming task) and implicit tasks (color-naming task). High and low socially anxious  
13 individuals performed a modified Stroop task (3 conditions: color-naming of rectangles (A),  
14 emotion-naming of emotional faces (B), and color-naming of emotional faces (C)). Reprinted

## Electrocortical measures of social anxiety disorder

1 from *Biological Psychology*, 93, Peschard, V., Philippot, P., Joassin, F., & Rossignol, M., The  
2 impact of the stimulus features and task instructions on facial processing in social anxiety: An  
3 ERP investigation, 88-96, Copyright (2013), with permission from Elsevier.

4  
5 To conclude, most studies have shown that social anxiety is related to increased P1  
6 amplitude. It should be noted that these studies have included relatively few participants (12  
7 to 21 participants in the socially anxious groups), and the effect sizes are medium to high ( $\eta_p^2$   
8 ranging from 0.09 to 0.29). The relation between social anxiety and P1 amplitude is in line  
9 with the reviews of Staugaard (2010) and Schulz et al. (2013). The P1 is an early component  
10 that is mostly seen as a stimulus-driven or bottom-up response (Luck & Kappenman, 2013).  
11 Increased P1 amplitude to emotional faces is suggested to reflect enhanced attention to threat-  
12 related stimuli (Vuilleumier & Pourtois, 2007). Given these functions of the P1, SAD might  
13 be related to information processing biases with underlying mechanisms linked to attention to  
14 threatening social stimuli in early phases of stimulus processing. Indeed, cognitive-behavioral  
15 studies have shown that SAD is related to hypervigilance to threatening stimuli (Bögels &  
16 Mansell, 2004; Clark & McManus, 2002; Heinrichs & Hofmann, 2001; Hirsch & Clark, 2004;  
17 Morrison & Heimberg, 2013), and the P1 component might be the electrocortical measure of  
18 this early hypervigilance.

19 According to Jetha, Zheng, Schmidt, and Segalowitz (2012), the P1 component in  
20 response to emotional faces might be related to amygdala sensitivity to fear-related emotional  
21 faces. That is, the amygdala might have a causal role in fear processing as indexed by the P1  
22 component (Rotshtein et al., 2010). The P1 component in response to fearful versus neutral  
23 faces was decreased in pre-operative patients with medial temporal lobe epilepsy, and patients  
24 with more severe amygdala damage showed lower P1 amplitudes (Rotshtein et al., 2010). In  
25 line with this hypothesis, fMRI studies in socially anxious individuals have shown increased

## Electrocortical measures of social anxiety disorder

1 amygdala activation in response to emotional faces (Miskovic & Schmidt, 2012; Schulz et al.,  
2 2013). So, this increased amygdala activation when viewing emotional faces, might be related  
3 to increased P1 amplitude. On the other hand, Mattavelli, Rosanova, Casali, Papagno, and  
4 Lauro (2016) showed that the medial prefrontal cortex influenced P1 amplitude during  
5 emotional face processing. They applied transcranial magnetic stimulation to the medial  
6 prefrontal cortex and found that P1-N1 amplitude in the right hemisphere decreased in  
7 response to happy and neutral faces (and not in fearful faces) during an explicit task. The  
8 authors suggested an early influence of top-down processing on face processing (Mattavelli et  
9 al., 2016). fMRI studies have also shown activation of the medial prefrontal cortex during  
10 face processing, albeit less substantial than amygdala activity (Miskovic & Schmidt, 2012;  
11 Schulz et al., 2013). Future research should clarify the influence of the amygdala and/or  
12 medial prefrontal cortex on P1 amplitude during face processing.

13

### 14 *4.1.2 N170*

15         The N170 is an early negative deflection in the ERP and is thought to measure early  
16 perceptual encoding and face categorization. This interpretation of the N170 seems  
17 contradictory to the early P1 findings in response to emotional faces. However, Vuilleumier  
18 and Pourtois (2007) interpret the P1 in response to faces as an index of rapid emotional  
19 processing based on crude visual cues, and the N170 as an index of full visual categorization.  
20 The N170 peaks 130-200 ms after stimulus onset and is predominantly distributed at  
21 occipitotemporal electrodes (Luck, 2005; Pratt, 2013; Rossion & Jacques, 2013). Some  
22 studies have found that N170 amplitude is related to emotional expressions, whereas others  
23 have not found this sensitivity to emotion (for a review, see Vuilleumier & Pourtois, 2007).

24         In explicit tasks, the N170 does not seem to be modulated by social anxiety. Patients  
25 with SAD, patients with spider phobia and controls showed no differences in N170 amplitude

## Electrocortical measures of social anxiety disorder

1 in response to schematic faces in an emotion identification task and in a modified Stroop task  
2 (Kolassa et al., 2009; Kolassa et al., 2007). In response to pictures of emotional faces, N170  
3 amplitude did not differ between high and low socially anxious participants in a modified  
4 Stroop task (Peschard et al., 2013) and in an emotional oddball paradigm (Rossignol,  
5 Campanella, et al., 2012). Only one study revealed increased N170 amplitude at right  
6 temporo-parietal electrodes when identifying angry faces in a modified Stroop task in patients  
7 with SAD compared to patients with spider phobia and healthy controls (Kolassa & Miltner,  
8 2006). This contradicting finding could be caused by the use of more personal and  
9 ecologically valid stimuli in the study of Kolassa and Miltner (2006). They presented pictures  
10 of the entire face (Kolassa & Miltner, 2006), whereas other studies presented schematic  
11 (Kolassa et al., 2009; Kolassa et al., 2007) or trimmed faces without ears and hair (Peschard et  
12 al., 2013; Rossignol, Campanella, et al., 2012). However, most explicit tasks showed no  
13 influence of social anxiety on N170 amplitude.

14 N170 amplitude was also not modulated by social anxiety during tasks, in which  
15 participants' attention should be focused on stimulus characteristics other than emotion  
16 (implicit tasks). Patients with SAD showed no difference in N170 amplitude in the learning  
17 and test phases of a face learning task, compared to controls (Hagemann et al., 2016). Patients  
18 with SAD, patients with spider phobia and healthy controls also showed no difference in  
19 N170 amplitude in the implicit condition of a modified Stroop task with faces (Kolassa &  
20 Miltner, 2006), and with schematic faces (Kolassa et al., 2007). Studies reported no difference  
21 in N170 amplitude between high and low socially anxious individuals in an attention-shifting  
22 paradigm (Rossignol, Fisch, et al., 2013), in the implicit condition of a modified Stroop task  
23 (Peschard et al., 2013), and in a viewing task with direct and averted eye gazes (Schmitz et  
24 al., 2012), and between individuals with high and low fear of negative evaluation in a spatial  
25 cueing task (Rossignol, Campanella, et al., 2013). Only one study contradicts this finding, by

1 showing decreased N170 amplitude in patients with SAD in response to emotional faces in a  
2 dot-probe task (Mueller et al., 2009). However, they included only 12 patients with SAD,  
3 which might have been statistically underpowered (although the effect size was large,  $\eta_p^2 =$   
4 0.20). Furthermore, this dot-probe task was probably more difficult than the other dot-probe  
5 tasks, and therefore not comparable. That is, in Mueller et al. (2009), patients with SAD had  
6 to compare the target with the fixation cross, instead of reporting on only one aspect of the  
7 target, such as the location, or direction (Rossignol, Campanella, et al., 2013; Schmitz et al.,  
8 2012). Therefore, we conclude that social anxiety does not influence N170 amplitude in  
9 implicit tasks.

10 In sum, social anxiety is not related to N170 amplitude in both explicit and implicit  
11 face processing paradigms. Social anxiety also had no influence on behavioral performance in  
12 most of these studies. Only one study showed that high socially anxious individuals  
13 responded slower to the target than low socially anxious individuals in an attention-shifting  
14 paradigm (Rossignol, Fisch, et al., 2013). Patients with SAD and patients with spider phobia  
15 rated the angry schematic faces as more arousing, but they did not show differences in  
16 valence ratings, emotional classifications and reaction times (Kolassa et al., 2009). In his  
17 review, Staugaard (2010) concluded that differences between high socially anxious  
18 individuals and controls were mainly visible in the early P1 and N170 component. However,  
19 here we update this conclusion by showing that social anxiety is related to increased P1  
20 amplitude, but not to changes in N170 amplitude, as most of the studies presented in the  
21 previous review of Staugaard (2010) were dated. Given that the N170 component in response  
22 to faces is not different between SAD and healthy controls, this implies that the N170 is not  
23 related to hypervigilance or threat detection strategies in socially anxious individuals.

24

25 *4.1.3 P2*

## Electrocortical measures of social anxiety disorder

1           The P2 is a positive ERP component that peaks 150-250 ms after stimulus onset at  
2 anterior scalp sites (Luck, 2005). The P2 is an early electrocortical index of selective  
3 attention. That is, the P2 is increased in response to targets relative to non-targets or  
4 homogeneous stimuli. The P2 component is responsive to specific stimulus features, and is  
5 often increased in response to an infrequent target stimulus (Hajcak, Weinberg, MacNamara,  
6 & Foti, 2013; Luck, 2013). The P2 component is also associated with affective evaluation: P2  
7 amplitude is typically increased in response to pleasant or unpleasant stimuli compared to  
8 neutral stimuli (Hajcak et al., 2013). Indeed, P2 amplitude was increased in response to  
9 emotional faces, which was interpreted as reflecting the rapid representation of emotional  
10 importance in prefrontal regions (Eimer & Holmes, 2007; Moser, Huppert, Duval, & Simons,  
11 2008).

12           The P2 component seems to be unrelated to social anxiety when participants are asked  
13 to focus their attention on the emotional expression of a face. P2 amplitude did not differ  
14 between patients with SAD, patients with spider phobia and controls for happy, angry, and  
15 neutral faces in a modified Stroop task (Kolassa & Miltner, 2006), nor for schematic faces  
16 that changed from neutral to gradually more angry, happy and sad faces in an emotion  
17 identification task (Kolassa et al., 2009). Furthermore, during a modified Stroop task, high  
18 socially anxious individuals did not differ in P2 amplitude from low socially anxious  
19 individuals (Peschard et al., 2013). Differences between high and low socially anxious  
20 individuals appeared only during a modified version of the Eriksen flanker task. Low socially  
21 anxious individuals displayed increased P2 amplitude in response to flankers consisting of  
22 happy or surprised compared to angry or disgusted faces, which was interpreted as a positive  
23 bias. High socially anxious individuals did not show this positive bias (Moser et al., 2008).  
24 However, it should be noted that this interaction was only significant at trend level ( $\eta_p^2 =$   
25 0.08), and was mainly driven by the effect in controls. In the other tasks, there was also no

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1 effect of emotion of the face in socially anxious individuals (Kolassa et al., 2009; Kolassa &  
2 Miltner, 2006; Peschard et al., 2013). The P2 results were unrelated to behavioral  
3 performance in these explicit tasks.

4         The results of implicit tasks on the relation between social anxiety and P2 amplitude  
5 are mixed. On one hand, in spatial cueing tasks, individuals with high fear of negative  
6 evaluation showed an increased P2 amplitude compared to individuals with low fear of  
7 negative evaluation in response to neutral, angry, disgusted, and happy faces (Rossignol,  
8 Philippot, et al., 2012), and in response to angry-neutral compared to fear-neutral face pairs  
9 (Rossignol, Campanella, et al., 2013). Helfinstein et al. (2008) found a trend towards  
10 increased P2 amplitude in high compared to low socially anxious individuals in a dot-probe  
11 task. On the other hand, patients with SAD and controls showed no difference in P2  
12 amplitude in the learning and testing phases of a face learning task (Hagemann et al., 2016).  
13 There was also no difference in P2 amplitude in the implicit condition of a modified Stroop  
14 task between patients with SAD, patients with spider phobia, and healthy controls (Kolassa &  
15 Miltner, 2006) and high and low socially anxious individuals (Peschard et al., 2013). In an  
16 attention-shifting paradigm with pictures of artificial humans (faces and bodily posture),  
17 Rossignol, Fisch, et al. (2013) found an overall decrease in P2 amplitude in high versus low  
18 socially anxious individuals. However, there was also no difference in P2 amplitude between  
19 high and low socially anxious individuals in a change detection task, though P2 amplitude  
20 was negatively correlated with task performance in self-focus trials in high socially anxious  
21 individuals (Judah, Grant, & Carlisle, 2016). Taken together, social anxiety was related to  
22 increased P2 amplitude in spatial cueing and dot-probe tasks (Helfinstein et al., 2008;  
23 Rossignol, Campanella, et al., 2013; Rossignol, Philippot, et al., 2012), although these studies  
24 included only few participants (12-14 participants) in the socially anxious groups. Social  
25 anxiety was not related to increased P2 amplitude in attention-shifting, face learning, change

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1 detection and Stroop tasks (Hagemann et al., 2016; Judah, Grant, & Carlisle, 2016; Kolassa &  
2 Miltner, 2006; Peschard et al., 2013; Rossignol, Fisch, et al., 2013). Social anxiety is  
3 unrelated to task performance in most of these studies, with the exception that high socially  
4 anxious individuals respond slower to targets in the attention-shifting paradigm (Rossignol,  
5 Fisch, et al., 2013).

6         These findings suggest that the sensitivity of the P2 component as a measure of SAD  
7 seems to depend on explicit vs. implicit task instructions. During explicit tasks, there was no  
8 effect of social anxiety on P2 amplitude, suggesting that all participants mobilized their  
9 attentional resources to the same extent and showed the same level of emotional evaluation.  
10 However, in implicit spatial cueing and dot-probe tasks, individuals with social anxiety  
11 showed increased P2 amplitude, whereas individuals without social anxiety did not process  
12 the emotional faces when they were not required to. Functionally, the P2 component is an  
13 index of selective mobilization of attentional resources to certain stimuli (Hajcak et al., 2013;  
14 Luck, 2013). Thus, in specific implicit tasks, enhanced P2 amplitude might be related to an  
15 early emotional evaluation of affective stimuli. This coincides with information processing  
16 biases reported in cognitive-behavioral studies, which show that SAD is related to a focus on  
17 negative information (Bögels & Mansell, 2004; Clark & McManus, 2002; Heinrichs &  
18 Hofmann, 2001; Hirsch & Clark, 2004). Nevertheless, this effect should first be replicated in  
19 future studies with more participants.

20

### 21 *4.2 Late ERP components in face processing paradigms*

#### 22 *4.2.1 P3*

23         The P3 is a positive deflection in the ERP typically observed 300-500 ms after  
24 stimulus onset and is distributed at frontocentral and centroparietal scalp sites (Hajcak et al.,  
25 2013; Polich, 2007). P3 amplitude is enhanced in response to infrequent targets in classic

1 oddball paradigms, but is also sensitive to the amount of attention given to a stimulus (Luck  
2 & Kappenman, 2013; Polich, 2013). Polich (2007) proposed that the P3 comprises two  
3 subcomponents: the earlier component – P3a – has a frontocentral scalp topography, and is  
4 implicated in novelty detection (Friedman, Cycowicz, & Gaeta, 2001; Herrmann & Knight,  
5 2001); the later component – the P3b – has a centroparietal scalp topography, and reflects the  
6 voluntary shift in attention towards target stimuli (Herrmann & Knight, 2001). According to  
7 Polich (2007), this ‘family’ of P3 components is thought to subserve a neural mechanism  
8 implicated in inhibiting extraneous brain activation to enhance the allocation of sufficient  
9 attentional resources during stimulus detection (P3a), and this process is guided by the  
10 contents of working memory specific to the task at hand (P3b). Emotional stimuli are also  
11 known to modulate the P3 (Hajcak et al., 2013). In the social anxiety literature, the paradigms  
12 employed typically generated the P3b component (hereafter referred to as the P3), but when  
13 appropriate we distinguish between the P3a and P3b.

14       Most studies that have used explicit tasks to measure the P3 component have found no  
15 effect of social anxiety. For instance, there was no difference in P3 amplitude between  
16 patients with SAD, patients with spider phobia and controls in response to schematic faces in  
17 a modified Stroop task (Kolassa et al., 2007). There was also no difference in P3 amplitude  
18 between high and low socially anxious individuals in an emotional oddball task (Rossignol,  
19 Campanella, et al., 2012). These two studies showed no effect of social anxiety on behavioral  
20 performances. In addition, P3 amplitude did not differ between individuals with high and low  
21 fear of negative evaluation in an identification task (Rossignol, Anselme, Vermeulen,  
22 Philippot, & Campanella, 2007), and between high and low behaviorally inhibited males in an  
23 approach-avoidance task (Van Peer et al., 2007). However, social anxiety had an influence on  
24 behavior in these tasks. Individuals with high fear of negative evaluation detected disgusted  
25 faces before angry faces in all conditions, whereas individuals with low fear of negative

1 evaluation did not show this differentiation (Rossignol et al., 2007). Individuals with high  
2 behavioral inhibition showed more state anxiety and tension during the task, but no  
3 differences in task performance (Van Peer et al., 2007). Only one study has found an effect of  
4 social anxiety on P3 amplitude in an emotional oddball task (Sewell, Palermo, Atkinson, &  
5 McArthur, 2008). That is, healthy participants were presented with happy, angry and neutral  
6 faces that were displayed in an upright and inverted position. Self-reported social anxiety was  
7 positively related to P3 amplitude in response to upright-presented, angry faces, suggesting an  
8 attentional bias towards processing threatening faces (Sewell et al., 2008). This contradicting  
9 finding might be related to task instructions to selectively focus on angry or happy faces, and  
10 analysis of only the unattended faces (Rossignol, Campanella, et al., 2012; Sewell et al.,  
11 2008). Taken together, it seems that social anxiety does not modulate the P3 component.

12 For implicit tasks, there seems to be no effect of social anxiety on P3 amplitude. P3  
13 amplitude did not differ between patients with SAD and controls in the implicit condition of a  
14 modified Stroop task with schematic faces (Kolassa et al., 2007), nor between high and low  
15 socially anxious individuals in an attention-shifting paradigm (Rossignol, Fisch, et al., 2013),  
16 and individuals with high and low fear of negative evaluation in a spatial cueing task  
17 (Rossignol, Philippot, et al., 2012). Social anxiety affected task performance in the attention-  
18 shifting paradigm, showing that high socially anxious individuals responded overall slower to  
19 targets than low socially anxious individuals (Rossignol, Fisch, et al., 2013).

20 To conclude, there is no effect of social anxiety on the P3 component in explicit and  
21 implicit tasks, which corroborates prior discussion of the P3 in social anxiety (Staugaard,  
22 2010). The P3 component is an index of the voluntary shift in attention towards target stimuli  
23 (Herrmann & Knight, 2001) and is also related to emotional content (Hajcak et al., 2013). The  
24 findings suggest that social anxiety is not related to an altered voluntary shift in attention, nor  
25 to aberrant processing of emotional content as indexed by the P3 component.

1

2 *4.2.2 LPP*

3         Studies that examined ERPs in response to the emotional content of stimuli have often  
4 found a positive deflection extending the traditional time-window of the P3. This component  
5 is coined the LPP, a sustained positive deflection that could last for seconds (Hajcak et al.,  
6 2013). The LPP is suggested to reflect the encoding and storage of intrinsically motivating  
7 stimuli, as it is larger after pleasant and unpleasant stimuli compared to neutral stimuli  
8 (Hajcak et al., 2010; Hajcak et al., 2013). Additionally, the LPP has been related to emotion  
9 regulation (Hajcak et al., 2010; Hajcak et al., 2013).

10         In explicit tasks, there are contradicting results regarding the LPP. For example, LPP  
11 amplitude was increased in angry or disgusted target faces in a modified version of the  
12 Erikson flanker task in high versus low socially anxious participants (Moser et al., 2008),  
13 whereas no difference in LPP amplitude was found in a modified Stroop task between patients  
14 with SAD, patients with spider phobia and controls in response to schematic faces (Kolassa et  
15 al., 2007). This difference might be related to arousal: Kolassa et al. (2007) used schematic  
16 stimuli that could be less arousing than real pictures, and Moser et al. (2008) showed 3 faces  
17 at the same time (a target face and two flanking faces) which could be more threatening for  
18 participants.

19         In an implicit face learning task, the LPP at a right central scalp site was increased in  
20 patients with SAD in response to learned versus novel faces task, but not in controls.  
21 However, this effect was the same for patients with SAD and controls in the left central or  
22 other parietal scalp sites (Hagemann et al., 2016). The LPP was also increased in response to  
23 faces with averted gaze compared to faces with direct gaze in high versus low socially  
24 anxious individuals (Schmitz et al., 2012). This result was interpreted to show the facilitated

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1 processing of negative stimuli during more detailed and sustained processing stages (Schmitz  
2 et al., 2012).

3 Most of these studies have found that social anxiety is related to an increased LPP, in  
4 absence of behavioral differences. This might suggest that social anxiety is related to  
5 increased processing of intrinsically motivating stimuli, and/or emotion regulation (Hajcak et  
6 al., 2010; Hajcak et al., 2013). However, this suggestion should be confirmed in future studies  
7 since only few studies focused on the LPP in social anxiety and the effect sizes are medium  
8 ( $\eta_p^2$  ranging from 0.07 to 0.13).

### 10 *4.3 ERP components in cognitive conflict paradigms*

11 A recent and very relevant line of ERP research in social anxiety has focused on ERP  
12 components that are related to feedback processing and conflict monitoring. In general, these  
13 studies assume that the socially anxious brain shows aberrant processing of cues that  
14 communicate performance errors or social rejection. Indeed, cognitive-behavioral studies  
15 revealed that socially anxious individuals are sensitive to signs that could convey social threat  
16 (Bögels & Mansell, 2004; Clark & McManus, 2002; Heinrichs & Hofmann, 2001; Hirsch &  
17 Clark, 2004). ERP components of interest are typically a class of medial-frontal negativities  
18 related to cognitive and attentional control, including the N2, FRN, ERN, and CRN, and the  
19 Pe (Gehring, Liu, Orr, & Carp, 2013; Van Noordt, Desjardins, & Segalowitz, 2015; Van  
20 Noordt & Segalowitz, 2012).

#### 22 *4.4.1 N2*

23 The N2 is a negative component that peaks 200-350 ms after stimulus presentation,  
24 and, depending on the task, has a frontocentral or centroparietal scalp distribution. It is  
25 proposed that the N2 component consists of at least three subcomponents: a frontocentral

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1 component associated with cognitive control, a frontocentral component associated with  
2 novelty or mismatch, and a posterior component associated with visual attention (Folstein &  
3 Van Petten, 2008).

4 First, the frontocentral N2 related to cognitive control did not differ between high and  
5 low socially anxious individuals in a modified version of the Eriksen flanker task (Moser et  
6 al., 2008), nor between individuals with high and low behavioral inhibition in a approach-  
7 avoidance task (Van Peer et al., 2007). The latter task showed increased state anxiety and  
8 tension in individuals with high behavioral inhibition, but no differences in task performance  
9 (Van Peer et al., 2007). Second, the frontocentral N2 related to novelty or mismatch was  
10 decreased in individuals with high fear of negative evaluation while detecting change in the  
11 intensity of anger during an emotional oddball task (Rossignol et al., 2007). Individuals with  
12 high fear of negative evaluation detected disgust before anger in all conditions, whereas  
13 individuals with low fear of negative evaluation did not show this pattern. However, it should  
14 be noted that only few individuals with high fear of negative evaluation ( $n = 10$ ) participated  
15 (Rossignol et al., 2007). Third, the more posterior N2 component in response to the target  
16 tone in a standard two-tone oddball paradigm was increased in patients with SAD compared  
17 to controls (Sachs et al., 2004). These few studies suggest that social anxiety is differentially  
18 related to various types of the N2 component, but this should be confirmed in future research.

19

### 20 4.4.2 FRN

21 The FRN is a frontocentral negative deflection peaking around 250-300 ms after a  
22 feedback stimulus (Gehring et al., 2013). The FRN component is increased when feedback is  
23 unexpected or reflects poor performance (Van Noordt & Segalowitz, 2012). However, recent  
24 studies showed that depending on the likelihood of an outcome, the FRN component might be  
25 sensitive to both negative and positive information (Ferdinand, Mecklinger, Kray, & Gehring,

1 2012; Oliveira, McDonald, & Goodman, 2007). Cao, Gu, Bi, Zhu, and Wu (2015) found that  
2 patients with SAD displayed an increased FRN in response to acceptance feedback from  
3 peers. This was interpreted to reflect a violation of negative feedback expectancies, since  
4 socially anxious participants anticipated a larger proportion of negative peer feedback in this  
5 study (Cao et al., 2015). A difficulty with this interpretation is that expectancies were not  
6 recorded during the EEG experiment (on a trial-to-trial basis), but as an overall Likert-scale  
7 measure prior to the task to index general expectancies about the social evaluative outcome.  
8 Van der Molen et al. (2014) did measure participants' expectancy per trial during EEG  
9 recording, but did not find an association between the FRN and social anxiety. The FRN was  
10 only sensitive to feedback that violated participants' expectancies (Van der Molen et al.,  
11 2014). Further, the FRN did not differ in amplitude between high and low socially anxious  
12 individuals in trial-and-error learning task. There was only a marginal difference in FRN  
13 amplitude before learning between high and low socially anxious individuals when  
14 participants received false feedback about increased heart rate (to increase self-focus) (Judah,  
15 Grant, Frosio, et al., 2016). Taken together, studies have found mixed findings on the  
16 influence of social anxiety on the FRN component. A possible FRN effect might be related to  
17 the severity of symptoms, since the effect is significant in patients with SAD (Cao et al.,  
18 2015), marginally significant in high socially anxious individuals (Judah, Grant, Frosio, et al.,  
19 2016), and not significant in healthy participants (Van der Molen et al., 2014).

20

#### 21 4.4.3 ERN

22 The ERN (or error negativity (Ne)) is a frontocentral negative deflection in the ERP  
23 that typically occurs about 50 ms after people make mistakes (Falkenstein, Hoormann, Christ,  
24 & Hohnsbein, 2000). Many studies have linked the ERN to activity in the anterior cingulate  
25 cortex (Holroyd & Coles, 2002; Van Veen & Carter, 2002; Yeung & Cohen, 2006), an

1 important hub in the conflict monitoring network (Yeung & Cohen, 2006). Functionally, the  
2 ERN seems to reflect an error monitoring system, but it remains uncertain whether the ERN  
3 reflects a conscious or unconscious process of error detection (Wessel, 2012). It has been  
4 shown that the ERN is sensitive to motivational relevance of errors and individual differences  
5 in trait affect (Larson, Clayson, & Clawson, 2014). For example, ERN amplitudes are larger  
6 in individuals with perfectionistic or anxious tendencies, a finding that has been interpreted to  
7 reflect chronic conflict detection due to pathological worrying (Moser, Moran, & Jendrusina,  
8 2012; Weinberg, Olvet, & Hajcak, 2010). In addition, the ERN is sensitive to social  
9 motivational factors, when performance is evaluated by others (Hajcak, Moser, Yeung, &  
10 Simons, 2005; Van Meel & Van Heijningen, 2010).

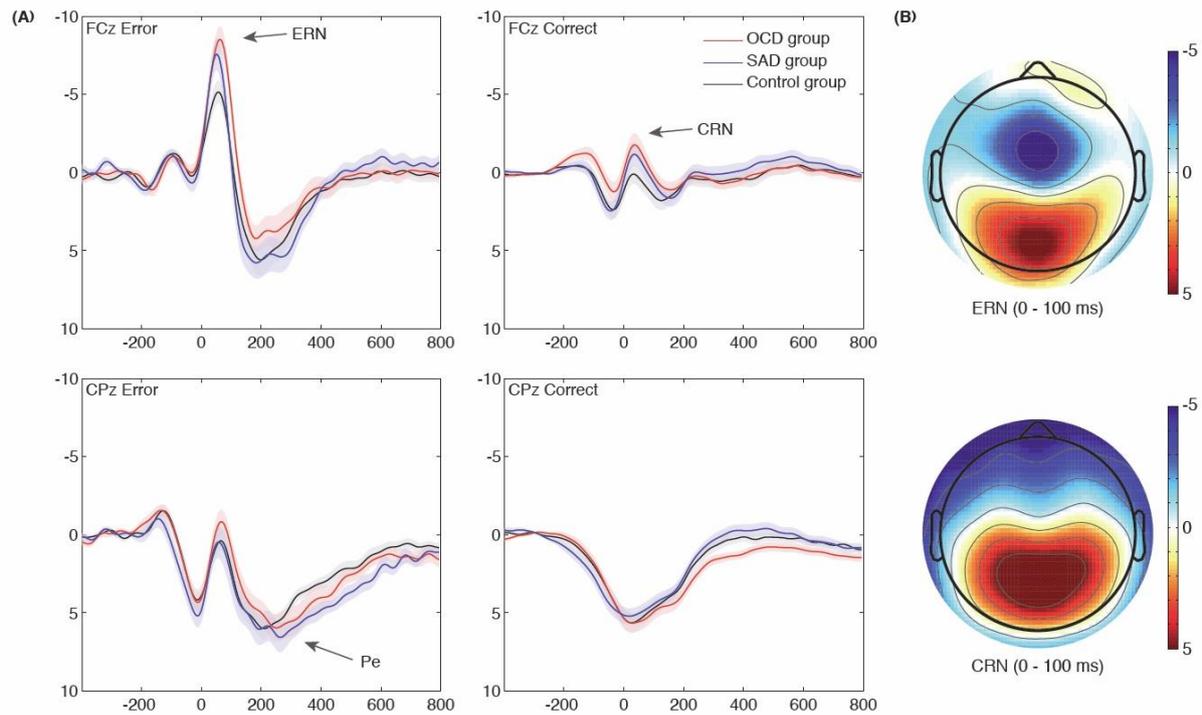
11 Patients with SAD showed an increased ERN compared to controls in a flanker task  
12 (see Figure 3) (Endrass, Riesel, Kathmann, & Buhmann, 2014; Kujawa et al., 2016). An  
13 interesting finding was that the augmented ERN in SAD patients (children and adults) in the  
14 Kujawa et al. (2016) study persisted after SAD patients received treatment (i.e., cognitive-  
15 behavioral therapy or SSRI pharmacological treatment), suggesting these treatment options  
16 have little effect on desensitizing the error-detection mechanism in SAD. The ERN was also  
17 larger in high compared to low socially anxious individuals in a trial-and-error learning task,  
18 in which participants learned stimulus-response mappings (Judah, Grant, Frosio, et al., 2016).  
19 Sensitivity of the ERN to performance evaluation by a peer was recently shown in a study by  
20 Barker, Troller-Renfree, Pine, and Fox (2015). In this study, high and low socially anxious  
21 individuals performed a flanker task in two different conditions: alone or under peer  
22 observation. Results indicated that high socially anxious individuals showed larger ERN  
23 amplitudes when they were observed rather than when they were alone (Barker et al., 2015).

24 Several explanations have been offered for the increased ERN in SAD. For example,  
25 Kujawa et al. (2016) argued that patients with SAD monitor their own behavior more closely

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1 and are more sensitive to errors. This could be related to increased self-focused attention in  
2 social situations (Bögels & Mansell, 2004; Clark & McManus, 2002), but also to  
3 perfectionism as shown by the tendency to uphold high performance standards by patients  
4 with SAD (Clark & Wells, 1995). Alternatively, Moser, Moran, Schroder, Donnellan, and  
5 Yeung (2013) suggested that increased ERN amplitude in anxious apprehension might be  
6 related to processing inefficiency, caused by increased cognitive load, and increased  
7 compensatory mechanisms. Although this interpretation was not specific for SAD, it suggests  
8 that individuals with SAD are more distracted by their errors and need to use compensatory  
9 mechanisms. At the behavioral level, a candidate compensatory mechanism is post-error  
10 slowing – a well-known increase in reaction time observed on the trial following an error  
11 (Danielmeier & Ullsperger, 2011; Gehring & Fencsik, 2001). Surprisingly, however, few  
12 studies have reported on post-error slowing in SAD, but the provisional evidence available  
13 suggests no significant differences in post-error slowing between SAD participants and  
14 controls (Endrass et al., 2014). Additionally, these reviewed ERN studies did not provide  
15 evidence of task performance differences (e.g., number of trials correct or % errors) between  
16 SAD and control participants, an observation that speaks to the notion that the augmented  
17 ERN in SAD might be reflecting a sensitive error-detection process, rather than an error  
18 compensation mechanism. However, examining behavioral measures such as post-error  
19 slowing in future ERN studies on SAD should validate this suggestion. Finally, it should be  
20 noted that only few studies have focused on the ERN component in relation to social anxiety,  
21 though the effect sizes are large for patients with SAD ( $\eta_p^2 = 0.12$  in Kujawa et al. (2016) and  
22  $\eta_p^2 = 0.16$  in Endrass et al. (2014)) and medium for high socially anxious individuals ( $\eta_p^2 =$   
23  $0.08$  in Judah, Grant, Frosio, et al. (2016), and  $\eta_p^2 = 0.11$  in Barker et al. (2015)). Thus,  
24 increased ERN amplitude appears to be a promising electrocortical measure of SAD.

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1

2 Figure 3. Social anxiety is related to increased ERN in patients with SAD and obsessive-

3 compulsive disorder after errors in a flanker task. Note: negative values are plotted upwards.

4 Reprinted from Journal of Abnormal Psychology, 123, Endrass, T., Riesel, A., Kathman, N.,

5 & Buhlmann, U., Performance monitoring in obsessive-compulsive disorder and social

6 anxiety disorder, 705-714, Copyright (2014), with permission from American Psychological

7 Association.

8

### 9 4.4.4 CRN

10 The CRN is often studied concurrently with the ERN. The CRN resembles the ERN

11 (negative deflection 50 ms after feedback), but is measured in response to correct rather than

12 incorrect responses. The CRN component is usually smaller than the ERN component, but has

13 a similar frontocentral scalp distribution (Gehring et al., 2013). Patients with SAD showed

14 increased CRN amplitude in a flanker task (Endrass et al., 2014), and high socially anxious

15 individuals showed increased CRN amplitude in a trial-and-error learning task (Judah, Grant,

16 Frosio, et al., 2016). Moser et al. (2008) found no overall increased CRN amplitude in high

1 socially anxious individuals. Nevertheless, high socially anxious individuals showed no  
2 difference in flanker interference effect in the CRN component between threatening and  
3 reassuring faces, whereas low socially individuals showed no flanker interference effect for  
4 threatening faces. This was interpreted as a positive bias that is lacking in high socially  
5 anxious individuals (Moser et al., 2008). In contrast, there was no difference in CRN  
6 amplitude between high and low socially anxious individuals in a flanker task performed  
7 alone nor when observed by a peer (Barker et al., 2015). Studies measuring both the ERN and  
8 CRN components have found that the effect of social anxiety on the ERN is larger than on the  
9 CRN (Barker et al., 2015; Endrass et al., 2014). Therefore, more studies are needed to draw  
10 conclusions about the possible influence of social anxiety on the CRN.

11

### 12 *4.4.5 Pe*

13         The Pe is also often studied in the same paradigms as the ERN and CRN. The Pe is a  
14 centroparietal, positive deflection 200-400 ms after an error, which might be related to an  
15 affective response, awareness, or adapting response strategies (Gehring et al., 2013). Most  
16 studies have shown no difference in Pe amplitude between patients with SAD and controls  
17 (Endrass et al., 2014) and between high and low socially anxious individuals (Barker et al.,  
18 2015) in flanker tasks. However, high socially anxious individuals showed marginally  
19 increased Pe amplitude compared to low socially individuals in a trial-and-error learning task.  
20 Furthermore, high socially anxious individuals showed a greater increase in Pe amplitude  
21 from trials before to after learning than low socially anxious individuals (Judah, Grant, Frosio,  
22 et al., 2016). The difference in these findings are probably related to the difference in tasks.

23

## 24 **5. Discussion**

1           The goal of this review was to give a comprehensive overview of the most frequently  
2 studied EEG spectral and ERP measures during rest, anticipation, stimulus processing, and  
3 recovery. Studies on EEG spectral characteristics have shown that delta-beta correlation  
4 during anticipation and recovery is a promising electrocortical measure, possibly reflecting  
5 the alleged imbalance between cortical and subcortical brain regions (Bishop, 2007; Bruhl et  
6 al., 2014; Cremers et al., 2015; Miskovic & Schmidt, 2012). The ERP studies have shown  
7 information processing biases during early processing of faces and errors. Increased P1  
8 amplitude in response to emotional faces is associated with social anxiety, reflecting  
9 hypervigilance to threatening stimuli (Bögels & Mansell, 2004; Clark & McManus, 2002;  
10 Heinrichs & Hofmann, 2001; Hirsch & Clark, 2004; Morrison & Heimberg, 2013). Another  
11 electrocortical measure of SAD is increased ERN amplitude, possibly reflecting increased  
12 self-focused attention (Bögels & Mansell, 2004; Clark & McManus, 2002) or perfectionism  
13 (Clark & Wells, 1995). Finally, increased P2 amplitude was related to social anxiety, but only  
14 in implicit spatial cueing and dot-probe tasks. This might be related to a focus on negative  
15 evaluation as reported in cognitive-behavioral studies (Bögels & Mansell, 2004; Clark &  
16 McManus, 2002; Heinrichs & Hofmann, 2001; Hirsch & Clark, 2004). The reviewed studies  
17 did not provide evidence that frontal alpha asymmetry nor the N170, P3, LPP, N2, FRN, CRN  
18 and Pe components are electrocortical measures of SAD.

19           Cognitive-behavioral studies have proposed that SAD is maintained by a persistent  
20 cycle of information processing biases (Clark & McManus, 2002; Morrison & Heimberg,  
21 2013). That is, attention biases are elicited by socially threatening stimuli, repeated while in  
22 the social situation, and carried forward over time by anticipation (Morrison & Heimberg,  
23 2013). Indeed, we have shown that social anxiety is related to hypervigilance to threatening  
24 stimuli, such as faces and errors. Repetition within a social situation has not yet been studied,  
25 since ERPs are an average across multiple trials. The next step of the persistent cycle of

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1 information processing biases – carried forward over time by anticipation – has only partly  
2 been studied. We have found that social anxiety is related to increased delta-beta correlation  
3 during anticipation and recovery, but it is unknown whether this carries the attention biases  
4 forward over time and thus plays a role in the maintenance of SAD. Such a mechanism has  
5 been found in healthy participants, where anticipatory anxiety before giving a speech  
6 enhanced early ERP responses to angry faces (Wieser et al., 2010), but remains to be  
7 established in SAD. Taken together, increased amplitudes of the P1 to faces and the ERN to  
8 errors, and delta-beta correlation during anticipation and recovery might be possible  
9 electrocortical measures underlying the persistent cycle of information processing biases that  
10 maintains SAD. Future studies should investigate how hypervigilance is repeated within the  
11 situation, and whether it is carried forward over time during anticipation and recovery.

12 Another important avenue for future research is to investigate how these information  
13 processing biases are linked to behavior in patients with SAD. One important question is  
14 whether information processing biases during the early stages of stimulus processing (e.g.  
15 hypervigilance) trigger a cascade of biases during further processing stages. Most studies  
16 have focused on the ERPs individually, but it would also be important to know how the early  
17 biases influence later processing of stimuli. Another important question is how these  
18 information processing biases influence behavior. A promising field of research would be to  
19 examine whether ERN activity impacts subsequent decision-making (e.g., post-error  
20 slowing), which has only been scarcely studied in relation to SAD (Endrass et al., 2014).  
21 Future studies should continue this line of research in SAD, since such work would not only  
22 contribute to our understanding of information processing biases in SAD, but also into the  
23 psychological processes indexed by the ERN more generally. Another way of investigating  
24 the link with behavior is by using more ecologically valid paradigms, such as social  
25 performance tasks or social feedback tasks.

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1           Electrocortical measures of SAD could be useful in research on early detection,  
2 prevention and treatment of SAD. Future studies should investigate whether amplitudes of the  
3 P1 and ERN, and delta-beta correlation can be used to identify persons at risk for developing  
4 SAD at a young age. Understanding the factors influencing the development of SAD in  
5 relation to functional brain development might be useful for developing preventive  
6 interventions. In addition, it would be valuable to know how such electrocortical measures  
7 could predict treatment response. For instance, it might be that persons who are sensitive to  
8 errors (those with an increased ERN component) need a different focus in treatment than  
9 persons who are displaying information processing biases during anticipation or recovery  
10 (those with increased delta-beta correlation). Recent studies with facial stimuli have shown  
11 that P1 amplitude might be a predictor of treatment outcome and N2 and LPP amplitudes  
12 might be predictors of treatment response in anxiety disorders (Bunford et al., 2017; Hum,  
13 Manassis, & Lewis, 2013). However, only very few electrocortical studies have focused on  
14 predicting treatment response in anxiety disorders (Lueken et al., 2016). Another interesting  
15 avenue for future research is to examine whether these electrocortical measures could help in  
16 unraveling the genetic basis of SAD. For example, these electrocortical measures can be  
17 tested as possible endophenotypes of SAD (Glahn, Thompson, & Blangero, 2007). This is a  
18 relatively new approach that has yielded promising results in depression and schizophrenia  
19 research (Bramon et al., 2005; Glahn et al., 2012; Glahn et al., 2007), and might be  
20 particularly fruitful in SAD research given the relatively high heritability (Isomura et al.,  
21 2015). Research on electrocortical measures of SAD should take the next step by validating  
22 these measures and studying how they could be used best to reduce social anxiety symptoms.  
23 In the following paragraphs, we discuss methodological and developmental considerations  
24 that should be addressed in future studies.

25

1 *5.1 Methodological considerations*

2           One issue that hampered delineating electrocortical measures of SAD is the diversity  
3 of experimental paradigms that have been used in the social anxiety literature. Furthermore,  
4 even when using similar paradigms, differences between ERP results can emerge due to the  
5 diversity in methodological strategies, such as ERP component scoring, filter and reference  
6 settings, the number of trials required to obtain the ERP of interest, and timing differences (J.  
7 Cohen & Polich, 1997; Hajcak et al., 2013). In addition, there are numerous inconsistencies in  
8 the names and definitions of electrocortical measures. For example, the often-used term  
9 ‘cross-frequency coupling’ could refer to different measures of electrocortical brain activity  
10 (Schutter & Knyazev, 2012). One of the challenges in cognitive electrophysiology is therefore  
11 to use unambiguous and consistent terminology (M. X. Cohen & Gulbinaite, 2014). It should  
12 also be noted that not all studies reported effect sizes, which makes it difficult to interpret and  
13 compare the effects of social anxiety across studies.

14           Future studies should also examine whether these electrocortical measures are specific  
15 to SAD. The studies reviewed above have focused mainly on participants with SAD or  
16 heightened symptoms of social anxiety. A few studies have already compared patients with  
17 SAD with patients with spider phobia as well as healthy controls (Kolassa et al., 2009;  
18 Kolassa et al., 2007; Kolassa & Miltner, 2006). However, specificity should also be studied  
19 by comparing patients with SAD and patients with other disorders that have a high  
20 comorbidity with SAD (such as generalized anxiety disorder or depression). Moreover, it  
21 should be investigated whether the electrocortical measures are specifically related to socially  
22 threatening stimuli (faces in most paradigms). Notably, high socially anxious individuals also  
23 displayed increased P1 amplitude in response to colored rectangles (Peschard et al., 2013),  
24 which questions the specificity of this electrocortical measure.

1           We have focused on constructs related to SAD, such as fear of negative evaluation,  
2 social withdrawal, shyness, and behavioral inhibition, because these constructs share common  
3 symptoms of SAD (Stein et al., 2004). However, some findings were only found in  
4 individuals characterized by these related constructs (e.g. the relation between shyness and  
5 right frontal cortical activity in Beaton et al. (2008)), which questions the generalizability of  
6 these findings to SAD. Given that not all shy and behaviorally inhibited individuals develop  
7 SAD (Spence & Rapee, 2016), future research should investigate which electrocortical  
8 measures are related to developing SAD. In addition, future research should also focus on the  
9 diagnostic utility of these electrocortical measures by investigating their specificity,  
10 sensitivity, and diagnostic value.

11

### 12 *5.2 Developmental considerations*

13           One of the objectives of examining electrocortical measures of SAD is to evaluate  
14 whether they can be used to detect individuals at risk for developing this debilitating disorder.  
15 Therefore, it is important to study these possible electrocortical measures in children. SAD  
16 has a relatively late onset and usually develops during early adolescence (Haller, Kadosh, &  
17 Lau, 2014), and early detection of SAD in younger children typically involves the assessment  
18 of personality/temperamental constructs that have been interpreted as precursors of the  
19 disorder (e.g., behavioral inhibition and shyness). However, the key question is whether the  
20 EEG measures associated with behavioral inhibition or shyness are also related to SAD, since  
21 not all children with these related constructs eventually develop SAD (Spence & Rapee,  
22 2016). In addition, the integration of findings from adult and child studies is complex due to  
23 age related differences in spontaneous EEG activity and the need for different methodological  
24 approaches. While being aware of these concerns, we here shortly describe electrocortical  
25 studies that have included children that might be at risk of developing SAD (Table 4 and 5).

1           With respect to frontal alpha asymmetry studies, the pattern of findings observed in  
2 children mimics the inconsistencies in the adult literature. For example, Fox et al. (2001)  
3 showed that children classified as behaviorally inhibited at 4 months exhibited increased right  
4 frontal activity at 9 and 14 months of age. In healthy children, increased right frontal activity  
5 was related to socially inhibited behavior (Henderson, Fox, & Rubin, 2001; Henderson,  
6 Marshall, Fox, & Rubin, 2004). In contrast, others did not find an association between frontal  
7 alpha asymmetry and SAD-related constructs, such as shyness (Schmidt et al., 1999; Theal-  
8 Honey and Schmidt, 2006) or social withdrawal (Fox et al., 1995; Hannesdottir et al., 2010).

9           Notably, in contrast to the adult studies reviewed earlier, there is no evidence of an  
10 early hypervigilance towards threatening stimuli or novelty in children (as indexed by early  
11 ERPs). For example, studies examining face processing in behaviorally inhibited children  
12 (Thai, Taber-Thomas, & Perez-Edgar, 2016), as well as novelty detection in an auditory  
13 oddball paradigm in behaviorally withdrawn children (Bar-Haim, Marshall, Fox, Schorr, &  
14 Gordon-Salant, 2003) did not find evidence of early hypervigilance as indexed by the early  
15 ERPs.

16           Developmental studies focusing on late ERPs revealed mixed results. Some studies  
17 found an enhanced LPP in children and adolescents with SAD to emotional faces (Kujawa,  
18 MacNamara, Fitzgerald, Monk, & Luan Phan, 2015), and a larger P3 to target and standard  
19 tones in shy children (Tang, Santesso, Segalowitz, & Schmidt, 2016). However, the novelty  
20 P3 was not associated with shyness (Tang et al., 2016), or behavioral inhibition in  
21 adolescence (Reeb-Sutherland et al., 2009). Although, a combination of high behavioral  
22 inhibition and high P3 amplitudes to novel sounds in adolescence, indicative of heightened  
23 attentional orienting, were more likely to have clinical anxiety diagnoses (Reeb-Sutherland et  
24 al., 2009).

1           Developmental studies of ERPs in cognitive conflict paradigms report mixed findings  
2 on the N2 component. Shyness did not affect N2 amplitude in a three-stimulus auditory  
3 oddball task (Tang et al., 2016), nor in a flanker task (Henderson, 2010). However, high  
4 behaviorally inhibited children showed increased N2 amplitude during a flanker task, and a  
5 combination of high behavioral inhibition and increased N2 amplitude predicted more  
6 withdrawal and less assertiveness in a social exclusion task (Lahat, Walker, et al., 2014). In  
7 addition, behavioral inhibition was related to social reticence at age 7 in children who showed  
8 increased N2 amplitude during a Go-NoGo task (Lamm et al., 2014). Shy children with  
9 increased N2 amplitudes reported higher levels of social anxiety (Henderson, 2010). In  
10 behaviorally inhibited children, N2 amplitude predicted a bias away from angry faces in a  
11 dot-probe task (Thai et al., 2016).

12           In terms of the FRN, mixed findings have been reported in developmental studies. For  
13 example, Lackner, Santesso, Dywan, Wade, and Segalowitz (2014) found that shyness was  
14 related to a decreased FRN to monetary feedback (no difference between wins or losses),  
15 whereas Kessel, Kujawa, Proudfit, and Klein (2015) reported an increased difference in FRN  
16 between wins and losses in social anxiety. Kujawa, Arfer, Klein, and Proudfit (2014) found  
17 that a greater difference in FRN between social acceptance and social rejection feedback was  
18 related to social anxiety.

19           ERN amplitude was the only electrocortical measure that was consistently found  
20 across adult and child studies. Behaviorally inhibited children (Lahat, Lamm, et al., 2014) and  
21 adolescents (McDermott et al., 2009) demonstrated a larger ERN in a flanker task, and  
22 increased ERN amplitude in behaviorally inhibited adolescents was related to a higher risk for  
23 anxiety disorders (McDermott et al., 2009). Furthermore, differences between ERN and  
24 correct-response negativity amplitude in 7-year-old children predicted SAD symptoms at age  
25 9 (Lahat, Lamm, et al., 2014). It should be noted however that the ERN is not specific to

1 SAD, but also found in other anxiety disorders in children (Wauthia & Rossignol, 2016). The  
2 CRN and Pe are each studied in only one developmental study and were not related to social  
3 anxiety (Lahat, Lamm, et al., 2014; McDermott et al., 2009).

4 Taken together, only the ERN component has been linked to social anxiety in both  
5 child and adult studies. This might suggest that the ERN could play a role in the early  
6 detection of SAD, although this should be confirmed in longitudinal studies. However, it  
7 should be noted that the studies in children and adults use different paradigms that render  
8 comparisons of the results and any long-term associations difficult. Accordingly, future  
9 studies should address the issues of measurement equivalence and adopt longitudinal designs  
10 to confirm the developmental associations. Nevertheless, these results speak to the importance  
11 of context to provide specificity in uncovering electrocortical measures of SAD. Contexts that  
12 involve social evaluation may be more salient for individuals who are socially anxious,  
13 particularly during adolescence – an important period for the development of SAD (Haller et  
14 al., 2014). Thus, brain functioning during social rejection or exclusion events in socially  
15 anxious individuals across development may provide more specific measures to understand  
16 the electrocortical mechanisms related to SAD.

17

## 18 **6. Conclusion**

19 In sum, social anxiety is related to delta-beta correlation during anticipation of and  
20 recovery from a socially stressful situation, increased P1 amplitude in response to processing  
21 emotional faces, and increased ERN amplitude after making errors. Together, these  
22 electrocortical measures might underlie the persistent cycle of information processing biases  
23 that maintains SAD. However, these electrocortical measures represent only a part of this  
24 persistent cycle, so future research should investigate repetition within the social situation and  
25 whether hypervigilance might be carried forward over time by information processing biases

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1 during anticipation and recovery. The influence of early ERPs on later ERPs and the link  
2 between electrocortical measures and behavior should also be studied to gain more insight in  
3 the psycho(physio)logical mechanisms maintaining SAD. Given the abovementioned  
4 methodological and developmental concerns, we also call for studies that examine these  
5 electrocortical measures in larger samples using longitudinal designs. Such studies should  
6 validate these electrocortical measures and investigate whether these measures could (1) be  
7 identified at young age, (2) be used to prevent the development of SAD, (3) play a role in  
8 treatment of SAD (e.g. if they could predict treatment response), and (4) be seen as  
9 endophenotypes of SAD and thereby give insight in genetic mechanisms.

10

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Table 1

*Overview of studies about frontal alpha asymmetry and delta-beta correlation in social anxiety.*

<b>Author</b>	<b>Participants</b>	<b>Sex ratio of target group (F:M)</b>	<b>Protocol</b>	<b>Behavioral results (socially anxious relative to control)</b>	<b>EEG Results (socially anxious relative to control)</b>
<i>Frontal alpha asymmetry</i>					
<b>A. Resting state</b>					
Moscovitch et al., 2011	Patients with SAD Pre and post CBT	11:12	Resting state	-	Increased left frontal activity after CBT (F3/4; $\eta_p^2 = 0.15$ )
Schmidt, 1999	High-shy/high-social High-shy/low-social Low-shy/high-social Low-shy/low-social (extreme groups)	All 10:0	Resting state	-	Increased right frontal activity (F3/4)
<b>B. Anticipation of and recovery from socially stressful situation</b>					
Schmidt & Fox, 1994	High-shy/high-social High-shy/low-social Low-shy/high-social Low-shy/low-social (extreme groups)	All 10:0	Instruction Anticipation Social interaction	Low-social more socially anxious nonverbal behavior	No difference
<b>C. Combined studies (both resting state and anticipation/recovery of/from socially stressful situation)</b>					
Davidson et al., 2000	Patients with SAD vs controls	14 patients with SAD (ratio unclear)	Resting state Instruction Anticipation Planning Speech Recovery	More anxiety in each condition, increase in anxiety during anticipation	RS: No difference ANT: Increase in right anterior temporal activity from resting state to anticipation and from resting state to planning (T3/4), same for lateral frontal activity (F7/8)* REC: No difference

## Electrocortical measures of social anxiety disorder

Beaton et al., 2008	HSA vs LSA participants (extreme groups)	19:5	Resting state Instruction Anticipation Speech	-	RS: No difference ANT: No difference. After controlling for depression, only shyness was related to increased right frontal activity
Harrewijn et al., 2016	HSA vs LSA participants (extreme groups)	23:0	Resting state Social judgment task Instruction Watch video Anticipation Speech Recovery	More nervous at each time point (except baseline); more avoidance after video	RS: No difference ( $r = -0.12$ ) ANT: No difference ( $r = -0.06$ ) REC: No difference ( $r = -0.03$ )
Cole et al., 2012	High vs low socially withdrawn participants (median split)	12:9	Resting state Instruction Watch video Anticipation Speech	No influence on performance rating	RS: No difference ANT: After watching anxious video, increased right frontal activity during watching video and anticipation ( $F_{3/4}$ ; $d = 0.81$ )
<i>Delta-beta correlation</i>					
A. Resting state					
Van Peer et al., 2008	High vs low behaviorally inhibited participants (extreme groups)	0:20	Resting state Cortisol vs placebo administration	-	Increased positive delta-beta correlation Delta-beta correlation increased after cortisol administration in both groups ( $F_z$ )
B. Combined studies (both resting state and anticipation/recovery of/from socially stressful situation)					
Miskovic et al., 2011	Patients with SAD Pretreatment 1 Pretreatment 2 Midtreatment Posttreatment	12:13	Resting state Anticipation Speech	More anxiety during anticipation than resting state	RS: Decreased positive delta-beta correlation from pretreatment to midtreatment and from pretreatment to posttreatment ( $F_3, F_4, C_4, P_4, O_2$ ) ANT: Decreased positive delta-beta correlation from pretreatment to posttreatment ( $F_3, F_4, C_3, C_4, P_3, P_4, O_1$ )

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Harrewijn et al., 2016	HSA vs LSA participants (extreme groups)	23:0	Resting state Social judgment task Instruction Watch video Anticipation Speech Recovery	More nervous at each time point (except baseline); more avoidance after video	RS: No difference ANT: Increased negative delta-beta correlation (F3/F4/Fz) REC: Increased negative delta-beta correlation (F3/F4/Fz)
Miskovic et al., 2010	HSA vs LSA participants (extreme groups)	24 HSA (ratio unclear)	Resting state Instruction Anticipation Speech	More nervous, less confident, calm and prepared	RS: No difference ANT: Increased positive delta-beta correlation (F4)

\* p-level between 0.05 and 0.1

Effect sizes are displayed when reported.

Note: SAD = social anxiety disorder; CBT = cognitive-behavioral therapy; RS = resting state; ANT = anticipation; REC = recovery; HSA = high socially anxious; LSA = low socially anxious.

Electrocortical measures of social anxiety disorder

Table 2

*Overview of studies about early and late ERPs in face processing paradigms in social anxiety.*

Author	Participants	Sex ratio of target group (F:M)	Task	Stimuli	Behavioral results (socially anxious relative to control)	Early ERPs			Late ERPs	
						P1	N170	P2	P3	LPP
<i>A. Explicit tasks (and studies with both explicit and implicit instructions marked with +) - attention to emotion necessary to complete the task</i>										
Kolassa & Miltner, 2006 +	Patients with SAD vs patients with spider phobia vs controls	9:10	Modified Stroop task (identify gender or expression)	Angry, happy, and neutral faces	No difference	P1 no diff Relation with FSS in patients with SAD	N170 ↑ angry faces, right hemisphere, during emotion identification	P2 no diff Longer latency*		
Kolassa et al., 2007 +	Patients with SAD vs patients with spider phobia vs controls	9:10	Modified Stroop task (identify colour or expression)	Schematic stimuli of angry, happy, and neutral faces	No difference	P1 ↑ overall	N170 no diff		P3 no diff	LPP no diff

## Electrocortical measures of social anxiety disorder

Kolassa et al., 2009	Patients with SAD vs patients with spider phobia vs controls	7:8	Emotion identification task	Schematic faces that morphed into more and more intensely angry, happy or sad faces	Angry faces more arousing (also in spider phobia patients), no difference in valence ratings, emotional classifications, reaction times	P1 ↑ overall (also in spider phobia patients)	N170 no diff	P2 no diff	
Moser et al., 2008	HSA vs LSA participants (extreme groups)	15:6	Modified Eriksen Flanker task	Threatening (anger, disgust), and reassuring (happy, surprise) faces	No difference			P2 no diff threatening - reassuring faces* $\eta_p^2 = 0.08$	P3/LPP ↑ threatening target faces $\eta_p^2 = 0.12$
Peschard et al., 2013 +	HSA vs LSA participants (extreme groups)	9:9	Modified Stroop task (identify colour or expression) Control color-naming task	Angry, happy, and neutral faces (upright and inverted) Red, blue, and green-coloured rectangles	No difference in Stroop task, faster in control colour-naming task	P1 ↑ all tasks $\eta_p^2 = 0.11$	N170 no diff	P2 no diff	

## Electrocortical measures of social anxiety disorder

Rossignol et al., 2012a	HSA vs LSA participants (extreme groups)	8:4	Emotional oddball task	Frequent stimuli: neutral faces Deviant stimuli: angry, disgusted, fearful, and happy faces	No difference	P1 ↑ $\eta_p^2 = 0.29$ all faces, emotional > neutral $\eta_p^2 = 0.12$	N170 no diff	P3b no diff
Rossignol et al., 2007	High vs low FNE (cut off)	10:0	Identifiy deviant stimuli	Morphed faces: mix of angry and disgusted faces Frequent stimuli: 35% angry or disgusted Deviant stimuli: 5% and 65% angry or disgusted)	Disgust detected before anger, independent of conditions (not in controls)			- N2a/P3a: earlier latencies for disgust faces. - P3b: no diff
Sewell et al., 2008	Healthy participants	12:9	Emotional oddball paradigm	Frequent stimuli: neutral faces Deviant stimuli: angry and happy faces	Not reported			Corr P3 with SA: upright > inverted faces

## Electrocortical measures of social anxiety disorder

Van Peer et al., 2007	High vs low BI (extreme groups)	0:20	Approach-avoidance task	Angry and happy faces	More state anxiety and tension, no differences in task performance				P3 ↑ angry > happy, only in avoidant trials after cortisol administration $\eta_p^2 = 0.20$
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### B. Implicit tasks - attention to emotion not necessary to complete the task

Hagemann et al., 2016	Patients with SAD vs controls	16:5	Face learning task	Angry, happy, and neutral faces	No difference Lower accuracy *	<i>Learning</i> P1 ↑ for neutral and angry faces (no effect emotion in controls) $\eta_p^2 = 0.09$ <i>Test</i> P1 no diff	<i>Learning</i> N170 no diff <i>Test</i> N170 no diff	<i>Learning</i> P2 no diff <i>Test</i> P2 no diff	<i>Learning</i> LPP ↑ for angry > neutral & happy (not in controls, not in P4) $\eta_p^2 = 0.13$ <i>Test</i> LPP ↑ for learned > novel faces (not in controls) - only for C4 electrode $\eta_p^2 = 0.07$
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## Electrocortical measures of social anxiety disorder

Mueller et al., 2009	Patients with SAD vs controls	8:4	Modified dot-probe task	Angry-neutral, or happy-neutral face pairs	No difference (but when tested separately SAD showed hypervigilance to anger vs happiness, and controls not)	P1 ↑ angry-neutral pairs $\eta_p^2 = 0.18$ ↓ probes emotionally < neutrally cued trials $\eta_p^2 = 0.20$	N170 ↓ $\eta_p^2 = 0.20$		
Helfinstein et al., 2008	HSA vs LSA participants (extreme groups)	12:0	Dot-probe task with neutral and social threat words as primes	Angry-neutral face pairs	Less accurate on incongruent trials than congruent trials (not in LSA)*	P1 ↑ overall		P2 ↑ overall*	
Judah et al., 2016b	HSA vs LSA participants (extreme groups)	11:9	Change detection task  Cue to elicit self-focus	Disgusted and neutral faces	No difference			P2 no diff Neg corr P2 and task performance in self-focus trials (not in LSA)	
Rossignol et al., 2012b	High vs low FNE (extreme groups)	11:3	Spatial cueing task	Angry, disgusted, happy, and fearful faces	No difference	P1 ↑ overall Longer latency for probe arrow*		P2 ↑ overall	P3 no diff
Rossignol et al., 2013b	HSA vs LSA participants (extreme groups)	8:8	Attention-shifting paradigm with faces/bodily postures as cue	Angry, happy, and neutral faces and bodily postures of	Overall slower response	P1 ↓ overall $\eta_p^2 = 0.13$	N170 no diff	P2 ↓ overall $\eta_p^2 = 0.13$	P3 no diff $\eta_p^2 = 0.00$

## Electrocortical measures of social anxiety disorder

Schmitz et al., 2012	HSA vs LSA participants (median split)	13:13	View eye gaze Report location of white dot	artificial humans Neutral photos of direct or 30° left/right averted gaze	No difference	P1 ↑ averted > direct gazes* $\eta_p^2 = 0.09$	N170 no diff		LPP ↑ averted > direct gazes $\eta_p^2 = 0.13$
Rossignol et al., 2013a	High vs low FNE (extreme groups)	11:2	Spatial cueing task	Neutral-angry, neutral-happy, neutral-disgust, and neutral-fear face pairs	No difference	P1 ↑ overall $\eta_p^2 = 0.17$ ↑ in targets replacing emotional face > neutral face $\eta_p^2 = 0.16$	N170 no diff	P2 ↑ neutral-anger > neutral-fear $\eta_p^2 = 0.10$	

\* p-level between 0.05 and 0.1

Effect sizes are displayed when reported.

Note: SAD = social anxiety disorder; diff = difference; FSS = Fear survey schedule; SPAI = social phobia and anxiety inventory; HSA = high socially anxious; LSA = low socially anxious; FNE = fear of negative evaluation; SA = social anxiety; BI = behavioral inhibition.

Electrocortical measures of social anxiety disorder

Table 3

*Overview of studies about ERPs in cognitive conflict paradigms in social anxiety.*

Author	Participants	Sex ratio of target group (F:M)	Task	Stimuli	Behavioral results (socially anxious relative to control)	N2	FRN	ERN	CRN	Pe
Cao et al., 2015	Patients with SAD vs controls	13:7	Island Getaway task	Neutral faces with feedback indicating social acceptance or rejection	Lower peer-acceptance expectancy in real life and in the task		FRN ↑ positive vs negative feedback $\eta_p^2 = 0.13$ $\Delta$ FRN ↑ (rejection - acceptance)			
Endrass et al., 2014	Patients with SAD vs patients with OCD vs controls	17:7	Flanker task	Arrows	No difference			ERN ↑ $\eta_p^2 = 0.16$	CRN ↑ $\eta_p^2 = 0.16$	Pe no diff $\eta_p^2 = 0.05$
Kujawa et al., 2016	Patients with SAD vs patients with GAD vs controls Pre and posttreatment	13:5	Flanker task	Arrow heads	No increase in reaction time between pre and posttreatment (as in controls)			$\Delta$ ERN (error - correct response) ↑ $\eta_p^2 = 0.12$		
Sachs et al., 2004	Patients with SAD vs controls	12:13	Standard two-tone oddball paradigm	Tones	No difference	N2 ↓				

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Barker et al., 2015	HSA vs LSA participants (extreme groups)	13:12	Flanker task, alone and peer observation condition	Arrow heads	No difference		ERN ↑ peer condition vs alone, not in LSA $\eta_p^2 = 0.11$	CRN no diff	Pe no diff
Judah et al., 2016a	HSA vs LSA participants (extreme groups)	26 HSA (ratio unclear)	Trial-and-error learning task	Contour line drawings as stimuli Faces (neutral, happy, disgusted) provided performance feedback	No difference	FRN ↑ in self-focus trials before learning*	ERN ↑ $\eta_p^2 = 0.08$	CRN ↑ $\eta_p^2 = 0.08$	Pe ↑* Greater increase after learning $\eta_p^2 = 0.14$
Judah et al., 2016b	HSA vs LSA participants (extreme groups)	11:9	Change detection task  Cue to elicit self-focus	Disgusted and neutral faces	No difference	N2pc for disgust faces in standard trials (LSA in self-focus trials) $\eta_p^2 = 0.16$			
Moser et al., 2008	HSA vs LSA participants (extreme groups)	15:6	Modified Eriksen Flanker task	Threatening (anger, disgust), and reassuring (happy, surprise) faces	No difference	N2 no diff		CRN no difference between threatening and reassuring $\eta_p^2 = 0.11$	

## Electrocortical measures of social anxiety disorder

Rossignol et al., 2007	High vs low FNE (median split)	10:0	Identify deviant stimuli	Morphed faces: mix of angry and disgusted faces Frequent stimuli: 35% angry or disgusted Deviant stimuli: 5% and 65% angry or disgusted)	Disgust detected before anger, independent of conditions (not in controls)	N2b ↓ while detecting change in intensity of anger	
Van Peer et al., 2007	High vs low BI (extreme groups)	0:20	Approach-avoidance task  After cortisol and placebo administration	Angry and happy faces	More state anxiety and tension, no differences in task performance	N2 no diff	
Van der Molen et al., 2014	Healthy participants	31:0	Social judgment paradigm	Acceptance or rejection feedback	No correlation FNE and percentage of negative judgments. Positive correlation FNE and RT for predicting acceptance and rejection	FRN no effect	

\* p-level between 0.05 and 0.1

Effect sizes are displayed when reported.

Note: FRN = feedback-related negativity; ERN = error-related negativity; CRN = correct-response negativity; Pe = positive error; SAD = social anxiety disorder; OCD = obsessive-compulsive disorder; diff = difference; GAD = generalized anxiety disorder; HSA = high socially anxious; LSA = low socially anxious; FNE = fear of negative evaluation; BI = behavioral inhibition; RT = reaction time.

## Electrocortical measures of social anxiety disorder

Table 4

*Overview of studies about frontal alpha asymmetry related to social anxiety in children.*

<b>Author</b>	<b>Participants</b>	<b>Sex ratio of target group (F:M)</b>	<b>Protocol</b>	<b>Results</b> (socially anxious relative to control)
Fox et al., 2001	Continuously inhibited, and change children At 9, 14, 24 and 48 months (based on mean scores)	4:8	Resting state	Increased right frontal activity at 9, 14, and 48* months (F3/F4)
Schmidt et al., 1999	High, middle, and low shy groups 7 years (extreme/middle groups)	4:6	Resting state Instruction Anticipation (3 parts)	Behavior: More anxious behaviors in 2nd and 3rd part of anticipation RS: No difference ANT: No difference Increased right frontal activity (F4) from 2nd to 3rd part of anticipation
Theall-Honey & Schmidt, 2006	High vs low shy children 4.5 years (extreme groups)	10:10	Resting state Watch affective videoclips (sad, anger, happy, fear) Speech	Behavior: More behavioral signs of verbal anxiety during speech RS: No difference (F3/F4), increased right central activity (C3/4) Videoclips: Increased right central activity in fear videoclip (C3/4)
Hannesdottir et al., 2010	Healthy children at age 4.5 and 9	8:12	Age 4.5 (EEG) Resting state Cognitive control task Age 9 (HR) Resting state Instruction Anticipation Speech	Behavior: Correlations between child reported internalizing symptoms and anticipatory anxiety before speech (positive), and between anticipation anxiety and HR (positive) and HRV (negative) RS: No effect on behavior at age 9

## Electrocortical measures of social anxiety disorder

Henderson et al., 2001	Healthy children at 9 and 48 months	51:46	Recovery Resting state	Negative reactivity predicted social wariness at age four in infant boys with right frontal activity at 9 months (F3/4)
Henderson et al., 2004	Healthy children at age 4	80-67	Resting state	Solitary-passive and reticent social play groups show increased right frontal activity (F3/4)
Fox et al., 1995	Healthy children at age 4	28:20	Resting state	No effect on inhibition/social reticence, only effect on social competence/sociability (F3/4)

\* *p*-level between 0.05 and 0.1.

Effect sizes are displayed when reported.

Note: RS = resting state; ANT = anticipation; HR = heart rate, HRV = heart rate variability.

## Electrocortical measures of social anxiety disorder

Table 5

*Overview of studies about ERPs related to social anxiety in children.*

<b>Author</b>	<b>Participants</b>	<b>Sex ratio of target group (F:M)</b>	<b>Task</b>	<b>Stimuli</b>	<b>Results</b> (socially anxious relative to control)
<i>A. Explicit tasks - attention to emotion necessary to complete the task</i>					
Kujawa et al., 2015	Children with anxiety disorders and healthy controls 7-19 years	All 53 (ratio unclear)	Emotional face-matching task with shape-matching trials	Angry, fearful, and happy faces	- Behavior: No difference - LPP ↑ for angry and fearful faces ( $\eta_p^2 = 0.12$ and $\eta_p^2 = 0.09$ )
<i>B. Implicit tasks - attention to emotion not necessary to complete the task</i>					
Thai et al., 2016	Community sample, children with BI were oversampled 9-12 years	50:49	Dot-probe task	Angry-neutral and neutral-neutral face pairs	- Behavior: No difference - P1, N170, N2 no effect of social anxiety - P1 to probes replacing angry faces no effect (↑ in BN) ( $\eta_p^2 = 0.05$ ) - ↑ N2 predicted bias away from angry faces in BI
<i>C. Cognitive conflict paradigms</i>					
Bar-Haim et al., 2003	High vs low socially withdrawn children 7-12 years (extreme groups)	11:12	Passive listening	Tones	- No behavior - P1-N1 no difference
Lackner et al., 2014	High vs low shy adolescents 12-14 years (extreme groups)	22 (ratio unclear)	Money game	Feedback indicating win or loss	- Behavior: No difference - FRN ↓

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Lahat et al., 2014a	High vs low BI children 7 years (median split)	28:26	Flanker task	Fish	<ul style="list-style-type: none"> <li>- Behavior: No difference</li> <li>- ERN <math>\uparrow</math> (<math>\eta_p^2 = 0.12</math>)</li> <li>- CRN no difference</li> <li>- BI group was positively related to SAD symptoms, in children with relatively large ERN-CRN</li> </ul>
Lahat et al., 2014b	High vs low BI children 7 years (median split)	40 or 41 (ratio unclear)	Flanker task	Fish	<ul style="list-style-type: none"> <li>- Behavior: No difference</li> <li>- N2 <math>\uparrow</math> (<math>\eta_p^2 = 0.09</math>)</li> <li>- Greater withdrawal and lower assertiveness in high BI children with <math>\uparrow</math> N2</li> </ul>
McDermott et al., 2009	High vs low BI children 15 years (median split)	41 (ratio unclear)	Flanker task	Letters	<ul style="list-style-type: none"> <li>- Behavior: No difference</li> <li>- ERN <math>\uparrow</math></li> <li>- Pe no difference</li> <li>- <math>\uparrow</math> ERN related to higher risk for anxiety disorders in high BI children</li> </ul>
Reeb-Sutherland et al., 2009	Adolescents who were high or low BI as children (latent class analysis) 13-16 years	23:20	3-stimulus auditory oddball	Tones and noises as novel stimuli	<ul style="list-style-type: none"> <li>- No behavior</li> <li>- Novelty P3 no difference</li> <li>- Higher novelty P3 amplitudes = more likely to have anxiety diagnoses</li> </ul>
Henderson, 2010	Healthy children 9-13 years	36 (ratio unclear)	Modified version of Eriksen Flanker task	Arrow heads	<ul style="list-style-type: none"> <li>- Behavior: No difference</li> <li>- N2 no effect</li> <li>- Shyness predicted social anxiety in children with relatively large N2</li> </ul>
Kessel et al., 2015	Community sample 8-10 years	175:215	Monetary reward task	Green arrow indicated win, red arrow indicated loss	<ul style="list-style-type: none"> <li>- No behavior</li> <li>- <math>\uparrow \Delta</math> FRN associated with social anxiety</li> </ul>
Kujawa et al., 2014	Community sample 10-15 years	8:11	Island Getaway task	Feedback indicating social acceptance or rejection	<ul style="list-style-type: none"> <li>- Behavior: Less rejection of co-players</li> <li>- <math>\downarrow</math> (more negative) <math>\Delta</math> FRN associated with social anxiety</li> </ul>

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Lamm et al., 2014	Healthy children 7 years	58:48	Go/No-Go task	Neutral animal pictures	<ul style="list-style-type: none"> <li>- Behavior: Postive relation between BI and accuracy and reaction time</li> <li>- Negative association between BI and N2 amplitude</li> <li>- Early BI was positively associated with social reticence at age 7, if N2 was increased</li> </ul>
Tang et al., 2016	Healthy children 10 years	26:27	3-stimulus auditory oddball	Target, novel, standard tones	<ul style="list-style-type: none"> <li>- Behavior: No difference</li> <li>- N2 no effect</li> <li>- P3 ↑ for target and standard tones, longer latency</li> </ul>

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Effect sizes are displayed when reported.

Note: BI = behavioral inhibition; BN = children without behavioral inhibition; CRN = correct response negativity.