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Linking processes and pattern of land use change

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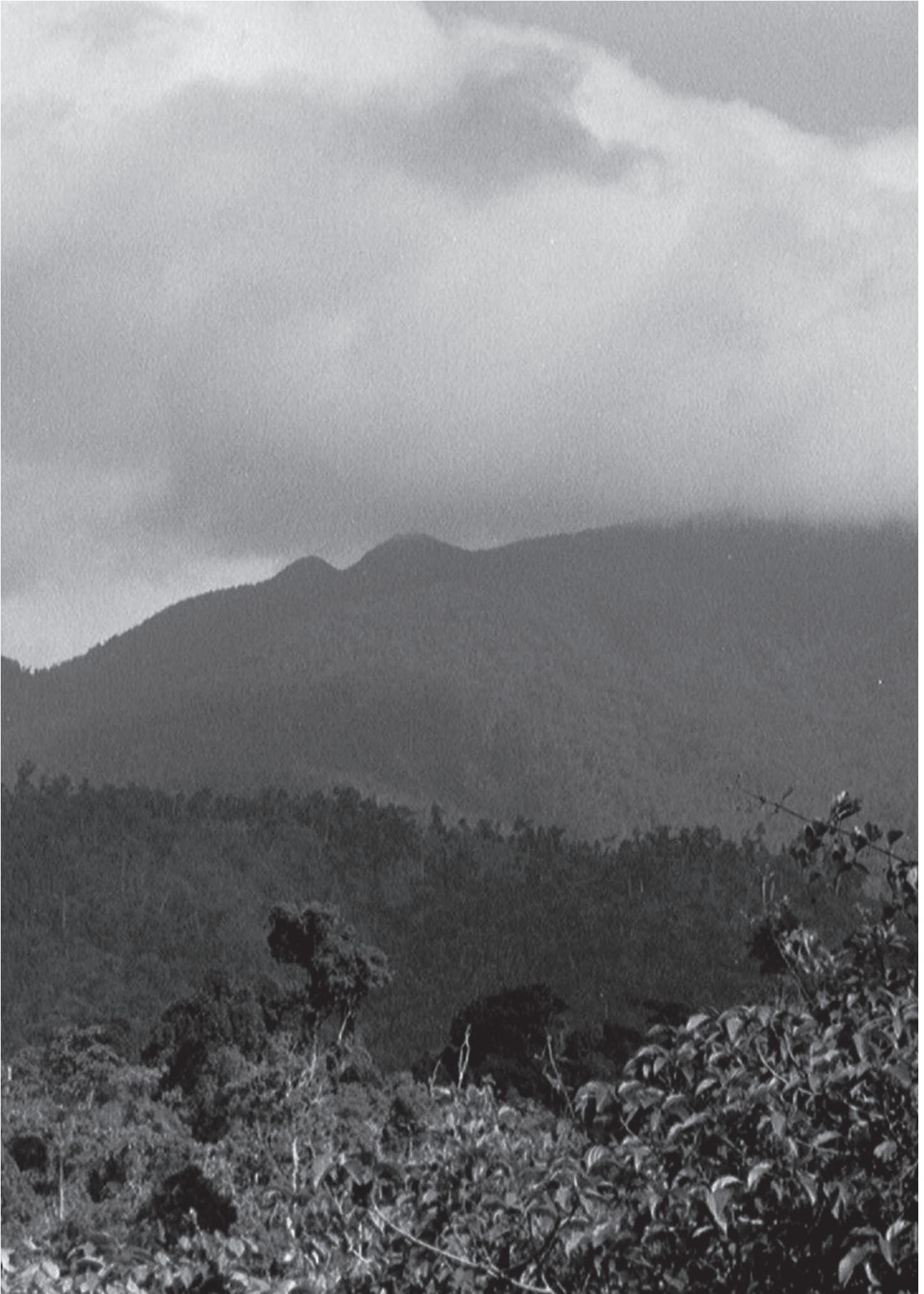
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Summary

Overmars, K.P. 2006. Linking process and pattern of land use change, illustrated with a case study in San Mariano, Isabela, Philippines. Thesis Leiden University, the Netherlands.

The conversion of the earth's land surface by human actions has been extensive in the past century and is still on-going at a fast rate. Land use change does not affect all regions in the world in a similar way. One of the countries that is highly affected by land use changes is the Philippines. In the past century, a large part of the country was deforested as a result of intensive commercial logging activities and expansion of the agricultural area. Land use changes in the Philippines have major consequences for the landscape and the functions it can provide. Land use changes have caused biodiversity to be under threat and slopes have become unstable, which may cause landslides. Unsustainable land use practices restrict the opportunities for people to make a living in the future. These land use changes and their effects also apply for the study area of this research. The study area is a part of the municipality of San Mariano in the northeastern part of the Philippines. This area, which comprises 48,000 ha, transformed from a forested area with few inhabitants in the 1900s to an area that is currently largely cleared and which is home to approximately 4,000 families, which are predominantly dependent on agriculture. The area is situated in the transition zone between the lowlands of the Cagayan valley and the uplands of the Sierra Madre mountain range. At present, the study area has a land use gradient from intensive agriculture near San Mariano, with mainly rice and yellow corn, via a scattered pattern of rice, yellow corn, banana, grasses and trees to residual and primary forest in the eastern part. Large-scale commercial logging stopped in the area. Currently, the main land use changes are agricultural expansion and small-scale (illegal) logging activities.

Land use change forms the interface where the human and the natural system interact. Land change science is therefore a field that involves many disciplines. To study land use change, these various disciplines have developed their own paradigms and methods. However, disciplinary approaches can only cover part of the complex system responsible for land use changes. To understand the dynamics of land use change in a comprehensive way, new, interdisciplinary methodologies that integrate the many aspects of the land use system are necessary.

To position the research approaches of this dissertation in the wide array of methodologies in land use science two broad methodological approaches are identified: 'from pattern to process' and 'from process to pattern'. The pattern-based method can be described as a spatially oriented, GIS (Geographical Information System) based approach, which starts with analysing land use patterns by identifying correlations between land use and its explanatory factors. The process-based approach originates from the social sciences and starts with analysing actors and processes and aims at modelling the land use pattern from these relations. Broadly speaking, the distinction between pattern-based and process-based research coincides with the distinction between inductive and deductive methodologies. The former is strong in describing patterns empirically, but has a weak connection with causal processes. The latter is strong in describing causal structure, but is often less easy to parameterise, calibrate and validate for real world cases.

The main objective of this dissertation is to develop methodologies to identify and integrate factors that are important in the land use system in order to describe and model the complex system.

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plex land use system in a comprehensive manner. To facilitate the integration of human and natural sciences both 'pattern to process' and 'process to pattern' research is carried out. The methodological challenges that are addressed in this study include bridging differences in spatial scales, organisational levels and temporal scales; identification of appropriate units of analysis; combining different disciplinary paradigms and developing new paradigms that unify the disciplines into one concept.

As an exploratory study two datasets were analysed to identify the explanatory factors of land use in the area. First, a statistical analysis was performed on household survey data from interviews. This analysis included field characteristics as well as household variables and aims at explaining the occurrence of corn, banana or wet rice on a particular field. The results from this study were used to inform a second, spatial analysis. The factors that turned out to be important for the allocation of the land use types in the study area can be categorised in three groups: accessibility, origin of the land managers and biophysical constraints. Despite the efforts to integrate the approaches the factors that were selected by the stepwise procedure varied between the household analysis and the spatial analysis as well as the relative importance of the variables. These differences stem from differences in unit of analysis, differences in sample design, differences in the themes included and differences in the methods that were used to collect the data.

The statistical, inductive approach from the exploratory study reveals correlations rather than causal relations. To better understand, structure and describe the processes of land use in the area a theoretical, deductive framework was adopted, which consists of a qualitative model (the Action-in-Context framework) describing causal relations in actor decision-making. This framework was used to construct a quantified deductive model explaining crop choice on fields. This model was tested using the household data and compared with a statistical (inductive) analysis of the same data. The performance of both approaches is similar. A major difference between the two approaches is that the deductive approach tests the full causal structure, which leads to a better grip on causal relations and supports theory building whereas the statistical model is constructed to fit the data as best as possible.

An important way to integrate different disciplines is to integrate the levels of analysis of these disciplinary approaches. A statistical approach to combine different organisational levels and spatial scales is multilevel analysis. This method explicitly addresses the hierarchical levels in the data and shows what proportion of the variance occurs at which level. Aggregating or disaggregating variables to the unit of analysis, which may violate the statistical assumption of the model use, is not necessary with this method. The multilevel model for the case was informed by the results from the analyses above and incorporates the field, household and village level. The case study revealed the importance of the household level in explaining land use at the detailed level of the study area. In some of the constructed multilevel models the village variability could partly be explained by field variables. Generalising this observation, it can be concluded that in land use studies all organisational levels between the resolution and the extent should be examined on their potential importance in explaining land use. The strength of multilevel analysis is that it allows to make a multitude of propositions between higher and lower levels and scales; to test these relations.

Subsequently, the information from all preceding analyses was integrated in a dynamic spatial model, which is used to make projections of land use under different scenarios.

Summary

conditions. The relations of the deductive household model were translated to the spatial level to create suitability maps that are used as input in a modelling exercise using the CLUE-S model (Conversion of Land Use and its Effects at Small regional extent). This approach was compared with a CLUE-S model that incorporates suitability maps derived with the statistical spatial analysis. For a land use projection for 2015 these two modelling approaches are different in 15 % of the cells, which can be contributed to the different specifications of the suitability maps. However, considering only the cells that actually changed the two approaches have only 50 % in common. The two different approaches to specify the land use model each have consequences for the use of the model in policy making. Inductive, statistical approaches are weaker in the description of causality and processes. This restricts models that are based on an inductive analysis to model large changes in processes, for example the introduction of a new land use type. If instead a theoretical, deductive approach is used to derive and describe relations between land use and its explanatory factors the models can be made more flexible and the introduction of new land use types and changes in processes during the modelling period can be facilitated. The CLUE-S model with the deductive approach to specify the land use suitability is more valuable in small study areas where detailed actor research can be carried out. Large-scale studies can best be carried out with an inductive approach and can be used for the rough identification of hotspots of land use change.

In order to use land use models in policy-making effectively the projections of future land use patterns should be translated into normative indicators. The Philippines are a global hotspot of biodiversity and the study area borders the largest contiguous forest area of the country. Therefore, an assessment of the effects of land use change on biodiversity was made. For three land use scenarios land use maps are projected for the year 2015 using the CLUE-S model with the deductive specification mentioned above. The scenarios are different in the level of agricultural expansion and forest conservation management. Furthermore, the relation between landscape characteristics and endemic forest bird species richness was determined. This relation was used to create maps with an indicator for the value of a location for endemic bird conservation for the present situation and for the projected land use maps. The results showed that the pattern of the effects of land use changes can be different from the pattern of land use changes themselves because land changes have off-site effects and land use changes have different effects at different locations. The scenarios clearly show the areas that are under threat. The combination of a state-of-the-art land use model and biodiversity mapping can provide quantitative indicators to project changes in biodiversity due to land use change. The land use model is capable of incorporating the human dimension of land use change and the competition between land use types. This is important to project the effects of policy measures on the land use system. The biodiversity assessments of the projected landscapes can be used to evaluate policy options for conservation management.

The main land use developments in the area are agricultural expansion and small-scale (i.e. non-commercial) logging. Especially under a high growth scenario agricultural expansion poses a threat to the forest. So far, the area that is currently under forest was spared by its natural defence of steep slopes and inaccessibility. In a negative scenario all forest areas will eventually be used for agriculture. If the agricultural system practiced is unsustainable this development would only provide a solution until the area has degraded. As an alternative a large part of the foreseen agricultural expansion could be realized in

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areas that are currently under grassland. Furthermore, productivity of the current land use systems may be improved. The key to a sustainable future in San Mariano is to control agricultural expansion due to population growth (natural and migration), to direct agriculture expansion to appropriate areas, to invest in viable agricultural systems and to conserve natural resources. However, this approach demands strong governance and sufficient investment

In this thesis it is especially the combination of approaches that have led to a greater understanding of the land use system in the study area. Qualitative information was used to describe land use processes in the area. Quantitative data were used to analyse the land system at the household level and in a spatially explicit way for the complete study area. In the analyses both deductive and inductive research methods were used. All methods were aimed at integrating different levels and thematic information that originated from different disciplines. Moving between empirical, inductive methods and theoretical, deductive methods is a useful approach to stimulate theory building. Methods that can deal with multiple levels proved to be valuable for integration of disciplinary approaches, which often greatly differ in their unit of analysis

Some scholars argue that the time is ripe for an overarching theory of land use change. I doubt if it is possible to find a theory that would be acceptable for all disciplines involved in land use science and which can cover all the important phenomena. An all-encompassing theory of land use change is still far off. I would argue that it is currently more fruitful to develop methodological theories of parts of the system that describe interactions and feedbacks between components of the system. This dissertation includes some examples of such theories and methods. The joint understanding from these analyses was combined in a modelling framework that added to the insights in the overall land use system

