



Universiteit
Leiden
The Netherlands

Upconverting nanovesicles for the activation of ruthenium anti-cancer prodrugs with red light

Askes, S.H.C.

Citation

Askes, S. H. C. (2016, November 24). *Upconverting nanovesicles for the activation of ruthenium anti-cancer prodrugs with red light*. Retrieved from <https://hdl.handle.net/1887/44378>

Version: Not Applicable (or Unknown)

License: [Licence agreement concerning inclusion of doctoral thesis in the Institutional Repository of the University of Leiden](#)

Downloaded from: <https://hdl.handle.net/1887/44378>

Note: To cite this publication please use the final published version (if applicable).

Cover Page



Universiteit Leiden



The handle <http://hdl.handle.net/1887/44378> holds various files of this Leiden University dissertation.

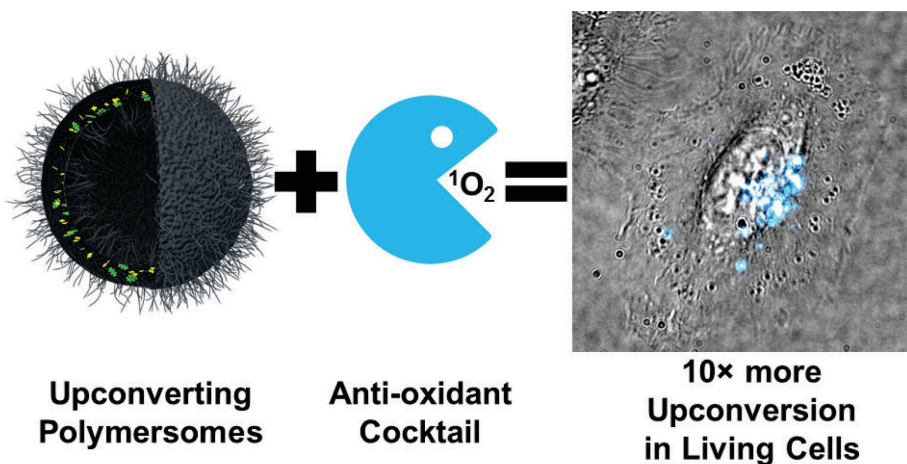
Author: Askes, S.H.C.

Title: Converting nanovesicles for the activation of ruthenium anti-cancer prodrugs with red light

Issue Date: 2016-11-24

CHAPTER 9

Imaging upconverting polymersomes in cancer cells:
biocompatible anti-oxidants brighten triplet-triplet
annihilation upconversion



Light upconversion is a powerful tool in bio-imaging as it can abolish autofluorescence, increase imaging contrast, reduce irradiation damage, and increase excitation penetration depth in vivo. Among the various principles of light upconversion, triplet-triplet annihilation upconversion (TTA-UC) in nanoparticles holds great promise, due to the high efficiency at low excitation power. However, the TTA UC mechanism is inherently obstructed by molecular oxygen, leading to drug delivery systems and nano devices that do not function in air. In this work, we demonstrate that sacrificial anti-oxidants can be used to protect TTA-UC in polymersomes by photochemically depleting the local oxygen concentration. Red-to-blue upconverting polymersomes were prepared, which did not upconvert significantly in air, but did produce bright upconversion upon addition of 10 mM of L-ascorbate, glutathionate, L-histidine, sulfite, or trolox. Most importantly, this strategy also succeeded in living cells: A549 lung cancer cells were co-treated with upconverting polymersomes and 5 mM L-ascorbate and glutathionate, resulting in an order of magnitude brighter upconversion than without anti-oxidants. These results demonstrate a simple chemical solution to the issue of oxygen sensitivity of TTA-UC, which is of paramount importance for the technological advancement of this technique in biology.

This chapter was published as a full article: Sven H.C. Askes, Wim Pomp, Samantha L. Hopkins, Alexander Kros, Si Wu, Thomas Schmidt, and Sylvestre Bonnet, *Small*, **2016**

9.1 Introduction

Upconversion of light is the generation of high-energy photons from low-energy photons, for example the conversion of red light to blue light. In biological systems, upconversion imaging is characterized by negligible auto-fluorescence, increased imaging contrast, reduced irradiation damage, and increased excitation penetration depth *in vivo*. Because of these advantages, lanthanoid-based upconverting nanoparticles (UCNPs), for example, have attracted extensive interest.^[1] However, UCNPs suffer from several disadvantages, such as the need for high excitation intensities or the low upconversion efficiencies observed in aqueous solution (typically $\leq 0.5\%$), which results from the low absorption cross section of lanthanoid ions and luminescence quenching by water at their surface.^[2] In contrast, triplet-triplet annihilation upconversion (TTA-UC) requires low excitation intensity (down to 1 mW.cm^{-2}), employs sensitizers having high molar absorptivity in the phototherapeutic window, resulting in upconversion quantum yields up to 14% in aqueous solution.^[2-3] TTA-UC is based on the photophysical interplay of photosensitizer and annihilator chromophores (see Chapter 2, Figure 2.1).^[1b, 4] The photosensitizer absorbs low energy light, after which intersystem crossing leads to a long-lived triplet state. This triplet state is transferred to the annihilator upon diffusional collision by means of triplet-triplet energy transfer (TTET); a succession of TTET leads to a concentration buildup of long-lived triplet state annihilators molecules. Two triplet state annihilator molecules interact resulting in triplet-triplet annihilation upconversion, in which one of them departs with all the energy of the pair, thus reaching a high-energy singlet excited state. Finally, this singlet excited state returns to the ground state by fluorescent emission of a high-energy photon, thereby realizing upconversion. TTA-UC has been demonstrated in an extensive assortment of organic, inorganic, and/or supramolecular materials,^[3b, 5] as well as in nano- or micro-sized particles.^[6] It has been used for applications in photocatalysis,^[7] solar energy harvesting,^[8] drug delivery and drug activation,^[9] or bio-imaging. In particular bio-imaging using TTA-UC has been demonstrated, often in fixed cells, using silica-coated micelles,^[2, 10] dye-modified cellulose templates,^[11] PMMA nanocapsules,^[12] or soybean oil or oleic acid core nanocapsules.^[13]

Although many published studies focusing on biological application of TTA-UC avoid discussing the sensitivity of their system to oxygen, TTA-UC inherently suffers from physical quenching of the sensitizer and/or annihilator triplet

excited states by O₂. Such quenching leads to the formation of undesirable, cytotoxic singlet oxygen and concomitant loss of upconversion in the nano-devices. For example, the TTA-UC liposome system initially described by our group for the activation of a blue-light sensitive prodrug^[9] functioned only under inert atmosphere. Other groups showed that TTA-UC bio-imaging of PMMA nanocapsules in HeLa cells also suffered from oxygen sensitivity; upconversion was shown to be enhanced upon addition of valinomycin, which stimulates mitochondrial oxygen consumption.^[12a] Here we argue that addressing the issue of oxygen sensitivity is of paramount importance for the technological advancement of TTA-UC in biology. Using TTA-UC polymersomes we demonstrate that it is possible to dramatically reduce the oxygen sensitivity of TTA-UC nano-sized systems by the addition of antioxidants thereby creating a locally oxygen-depleted environment. Interestingly, this strategy can be applied to cell cultures as exemplified by the imaging of TTA-UC polymersomes inside living cancer cells.

The polymersomes used in this study belong to a large family of vesicles that have attracted significant attention in the fields of drug delivery and bio-imaging research.^[14] Polymersomes are typically composed of synthetic amphiphilic block copolymers that, similar to liposomes, self-assemble into spherical bilayer membranes surrounding an aqueous interior. Analogous to liposomes, the hydrophobic membrane of polymersomes can be doped with hydrophobic dyes such as palladium(II) tetraphenyl tetrabenzoporphyrin (**1**) and 2,5,8,11-tetra(*tert*-butyl)perylene (**2**, see Figure 9.1). When combined these dyes form a TTA-UC couple that is capable of upconverting red light into blue light. Polymersomes have many advantages compared to lipid-based liposomes. Notably, the membrane thickness, rigidity, fluidity, plasticity, permeability, and surface functionalization, can be tuned by choosing the appropriate copolymer. In addition, polymersomes typically feature high retention of encapsulates, high stability in aqueous media, and can be very cheap to make.^[14a-d] In this study poly-isobutylene (PiB, $M_w \sim 1.0 \text{ kg.mol}^{-1}$) and poly-ethylene glycol (PEG, $M_w \sim 0.35$ or 0.75 kg.mol^{-1}) were chosen here as the hydrophobic and hydrophilic polymer blocks respectively.^[15] PiB is a well-known polymer with low permeability to small molecules such as dioxygen; it has a high chemical and thermal resistance and a high biocompatibility.^[16] PEG is a biocompatible polymer that has become an established standard for the surface functionalization of drug delivery and bio-imaging systems. In this article we describe the synthesis and

Chapter 9

characterization of upconverting PiB-PEG polymersomes, study the stability of red-to-blue TTA-UC in aqueous solution in presence of a range of bio-compatible antioxidants, and demonstrate the enhanced TTA-UC imaging of these vesicles in living human cancer cells in presence of biocompatible antioxidants.

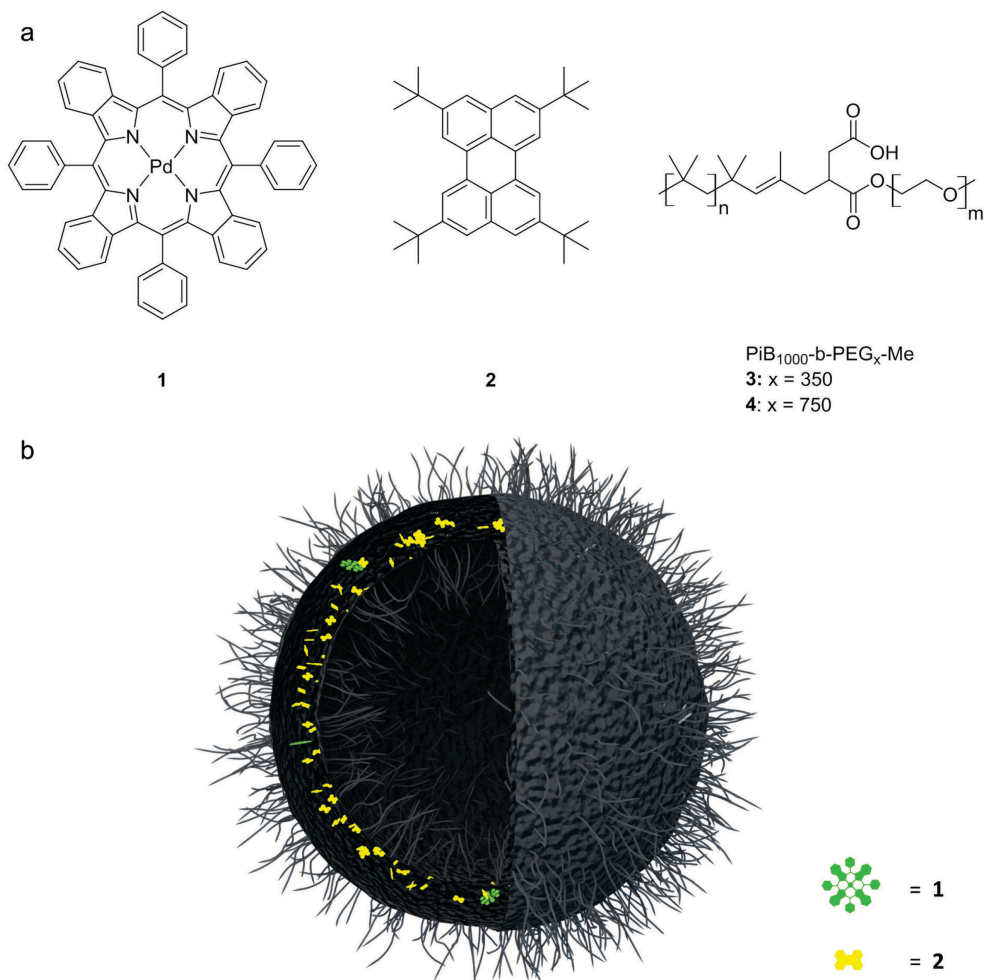


Figure 9.1. a) Chemical structures of the red photosensitizer palladium(II) tetrabenzoporphyrin (**1**), of the blue emitter 2,5,8,11-tetra(tert-butyl)perylene (**2**), and of the polyisobutylene-block-monomethyl polyethylene glycol (PiB₁₀₀₀-b-PEG_x-Me, x = 350 or 750) amphiphilic block copolymers used in this study, with PiB molecular weight of 1.0 kg.mol⁻¹ and PEG block molecular weights of 0.35 kg.mol⁻¹ (**3**) and 0.75 kg.mol⁻¹ (**4**). b) Schematic illustration of a polymersome composed of **3** or **4**, and doped with compounds **1** and **2**.

9.2 Results and discussion

9.2.1 Synthesis and characterization of TTA-upconverting polymersomes

In order to acquire a vesicle morphology, an amphiphilic block copolymer needs to have a hydrophilic block volume fraction of 0.25 to 0.45.^[14b] Hence, two amphiphilic block copolymers (compounds **3** and **4**) were synthesized by condensation of polyisobutylene succinic anhydride (PiB-SA, $M_w \sim 1.0$ kg.mol⁻¹) and mono-methoxy polyethylene glycol ($M_w \sim 0.35$ kg.mol⁻¹ for **3** and 0.75 kg.mol⁻¹ for **4**).^[17] The products were characterized using NMR spectroscopy, IR spectroscopy, and gel-permeation chromatography (see experimental section and Appendix VIII). Nanoparticle dispersions called **P3** and **P4** were produced with polymers **3** and **4**, respectively, using a freeze-thaw-extrusion protocol in phosphate buffered saline at a concentration of 10 mg/mL polymer. **P3** and **P4** were clear solutions that exhibited a typical nanoparticle scatter (Figure S.VIII.10). Sample **P3** was more opaque than **P4**, indicating a larger particle size. The hydrodynamic diameter (z-average) and polydispersity index (PDI) of the particles was measured using dynamic light scattering (DLS), revealing typical particle diameters of ~ 150 and 80 nm for **P3** and **P4**, respectively, and PDI's ranging from 0.1 to 0.3 (Table 9.1). The nanoparticle dispersions were stable over time and the hydrodynamic radius did not change over a period of at least two months. The ζ -potentials were found to be -42.0 and -24.0 mV for **P3** and **P4**, respectively. The negative surface charge originates from the carboxylic acid groups in the polymer junction, which are deprotonated at neutral *pH*. The less negative charge of **P4** can be explained by the larger PEG-brush on its surface, which is known to decrease the observed surface charge due to an increased hydrodynamic drag.^[18]

To examine the particle morphology and measure the particle diameter distribution, the samples were examined with transmission electron microscopy (TEM, see Figure 9.2). The micrographs show that **P3** and **P4** consisted of particles of 156 ± 90 nm (bimodal distribution) and 95 ± 49 nm (unimodal distribution), respectively. The size populations as determined with TEM were in good agreement with the DLS values. For both **P3** and **P4**, upon high-intensity exposure to the electron beam of the TEM microscope, the particle shell collapsed, liquid visibly leaked from the interior, and the particles became more and more translucent for electrons. After this transformation was complete, only an empty collapsed shell remained (Figure

Chapter 9

S.VIII.7). Surprisingly, the particles did not burst at low electron beam exposures, indicating that the shell successfully tolerated the high vacuum in the TEM chamber. Overall, these observations are consistent with vesicular nanoparticles composed of rubbery membranes surrounding an aqueous interior, *i.e.* polymersomes.

Table 9.1. Sample composition of all studied polymersome samples, and their typical particle sizes and surface charges; hydrodynamic particle diameters (z-average), polydispersity index (PDI), ζ -potential, and particle diameters from transmission electron microscopy (TEM). ζ -potentials were measured in 1:9 PBS:H₂O at pH 7.1. All measurements were done at 20 °C.

Sample	[3] (mg/mL)	[4] (mg/mL)	[1] (μ M)	[2] (μ M)	z-average (nm)	PDI	ζ -potential (mV)	TEM size (nm)
P3	10	-	-	-	149	0.120	-42.0 ± 7.5	156 ± 90
P3-1	10	-	10	-	152	0.136		
P3-2	10	-	-	200	154	0.154		
P3-1-2	10	-	10	200	146	0.189		
P4	-	10	-	-	83	0.263	-24.0 ± 10.7	95 ± 49
P4-1	-	10	10	-	83	0.277		
P4-2	-	10	-	200	86	0.267		
P4-1-2	-	10	10	200	77	0.263		

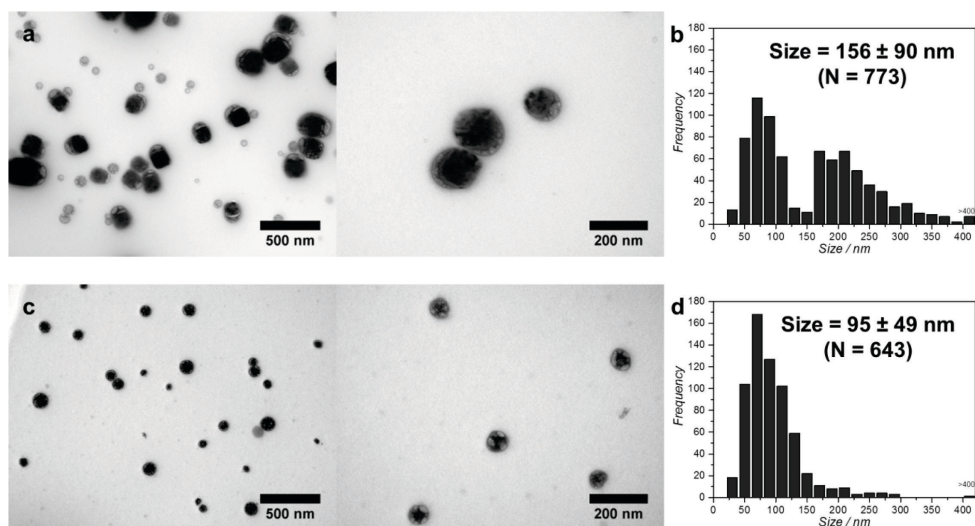


Figure 9.2. Transmission electron micrographs of P3 (a) and P4 (c) vesicles and their respective measured particle diameter distributions (b and d). The mean diameters and standard deviations were determined from a population (N) of 773 and 643 individual particles for P3 and P4, respectively.

The TTA-UC dyes that were selected for incorporation in the polymersome membrane were palladium(II) tetraphenyl tetrabenzoporphyrin (**1**) as the red light-absorbing photosensitizer, and 2,5,8,11-tetra(*tert*-butyl)perylene (**2**) as

the blue light-emitting annihilator. Instead of using perylene, the benchmark annihilator in many TTA-UC systems,^[1b] four-fold *tert*-butylated perylene was used to prevent aromatic stacking and thereby enhance solubility of the molecule in the hydrophobic environment of the membrane.^[19] Preliminary experiments indeed indicated that the greater lipophilicity of **2** prevented the molecule from partitioning with the water phase in amphiphilic dispersions, whereas unsubstituted perylene shuttles between different membranes (data not shown).^[20] With respect to perylene, the fluorescence maximum of **2** is reported to be bathochromically shifted by only about 15 nm while the fluorescence lifetime and quantum yield are very similar.^[19, 21] Indeed this tetrasubstitution of perylene did not significantly alter the ability of this blue emitter to serve as an annihilator for red-to-blue TTA-UC. Bright red-to-blue TTA-UC was achieved in air by dissolving **1** and **2** in a 3:1 mixture of chloroform and oleic acid and illuminating with 50 mW 630 nm excitation (0.4 W.cm⁻²), without deoxygenation (Figure S.VIII.8). Next, **1** and/or **2** were incorporated in polymersomes **P3** and **P4** resulting in dye-loaded polymersomes, denoted as **P3-1**, **P3-2**, **P3-1-2**, **P4-1**, **P4-2**, and **P4-1-2** (see Table 9.1 for the membrane composition, and Figure 9.3 and Figure S.VIII.10 for photographs of the samples). Both dyes were incorporated quantitatively in the vesicle membrane, and dye doping had no effect on particle size or stability (Table 9.1 and Figure 9.2). UV-Vis absorption and emission spectroscopy on **P3-1**, **P3-2**, **P4-1**, and **P4-2** confirmed that both dyes were incorporated, with the dye absorbance and emission spectra being identical to those of the isotropic chloroform solutions (compare Figure S.VIII.9 with Figure S.VIII.10).

To demonstrate TTA-UC, polymersomes **P3-1-2** and **P4-1-2** were first examined using UV-Vis absorption and emission spectroscopy under aerobic conditions in the presence of 50 to 75 mM sodium sulfite (Figure 9.3). Sulfite is a known scavenger of ground-state molecular oxygen.^[9a, 22] The UV-Vis absorption spectrum shows the characteristic absorption bands of **1** (around 630 nm) and **2** (350 – 450 nm). At 20 °C and under red light excitation (at 630 nm, 50 mW, 0.4 W.cm⁻²) the emission spectrum of both samples showed the typical phosphorescence band of **1** at 800 nm and the structured emission band of **2** at 460 nm (Figure 9.3c). These results represent the first example of TTA-UC in polymersomes. The upconversion emission was intense and could easily be viewed by the naked eye when the red excitation source was blocked with a 575 nm short-pass filter (Figure 9.3b). To study the location of TTA-UC,

giant polymersomes **GP3-1-2** with a diameter of 5 – 10 μm diameter were assembled using the same constituents as in **P3-1-2**. Imaging using an optical microscope setup with 635 nm excitation and visualized from 450 to 575 nm confirmed that TTA-UC was indeed located in the polymer membrane (Figure S.VIII.12). The absolute quantum yield of upconversion (Φ_{UC}) in the polymersomes, measured using an integrating sphere setup (see experimental section), amounted to 0.002 at 20 °C for both **P3-1-2** and **P4-1-2** (see Table 9.2).

To investigate TTA-UC at human body temperature (37 °C), upconversion and phosphorescence were measured as a function of temperature between 5 and 50 °C (Figure S.VIII.13). Upon elevating the temperature, the upconversion emission gradually intensified (Φ_{UC} at 37 °C = 0.005) while the phosphorescence intensity decreased. This trend is beneficial for bio-imaging at 37 °C. We attribute the higher TTA-UC efficiency at higher temperatures to the higher mobility of **1** and **2** in the PiB membrane, as the translational diffusion rate of polyaromatic hydrocarbons in polyisobutylene materials is usually positively correlated to temperature.^[23] The upconversion efficiency of **P3-1-2** and **P4-1-2** are in the same order of magnitude compared to red-to-blue TTA-UC in phospholipid-based liposomes measured in similar conditions (Φ_{UC} at 37 °C = 0.015% using perylene as annihilator).^[9a] Finally, the intensity threshold (I_{th}) at which the power dependency of upconversion changes from quadratic to linear was determined, as it is regarded as a benchmark parameter for the efficiency of TTA-UC.^[3b, 24] The red laser excitation power was varied between 16 and 510 mW.cm^{-2} while measuring the upconversion intensity at both 20 and 37 °C (Figure S.VIII.14). From the double logarithmic plot of upconversion intensity (I_{UC}) vs. excitation intensity (P), a value of *ca.* 200 mW.cm^{-2} was determined for I_{th} (Table 9.2). At 37 °C, I_{th} decreased down to 20 – 50 mW.cm^{-2} , owing to the greater TTA-UC efficiency at this temperature. Note that excitation intensities above 200 mW.cm^{-2} can easily be reached in common laser microscopy setups. In summary, TTA-UC in polymersomes was established for the first time, and the photophysical characteristics were found compatible with biological imaging applications.

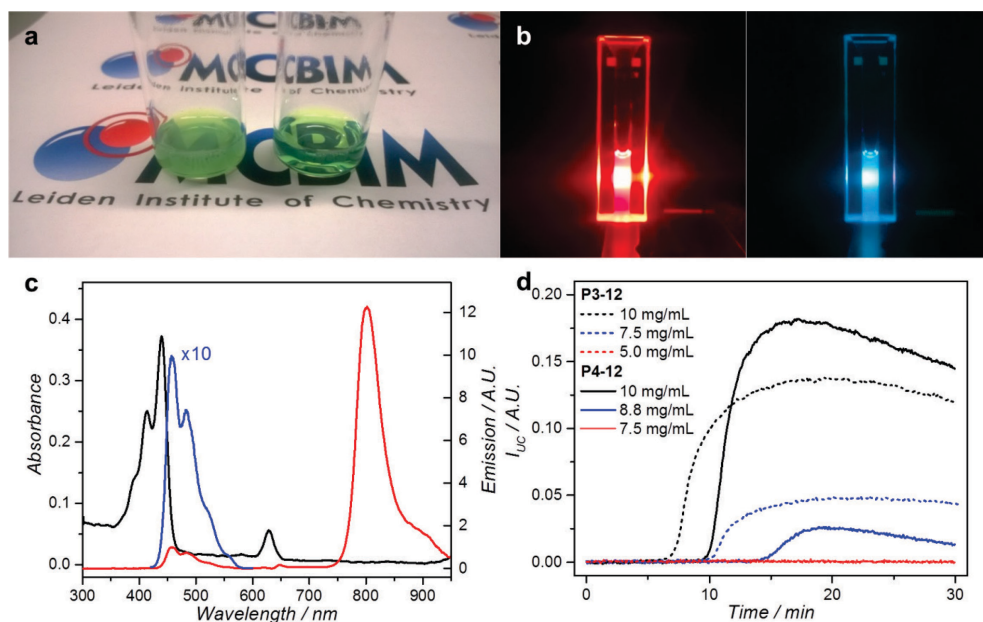


Figure 9.3. Visual and photophysical characterization of TTA-UC in **P3-1-2** and **P4-1-2**. a) Photographs of 10 mg/mL dispersions of **P3-1-2** (left) and **P4-1-2** (right). b) Photographs of a 7.5 mg/mL **P3-1-2** dispersion irradiated with a 50 mW 4 mm diameter red laser beam from the left side in presence of 75 mM sodium sulfite. In the right picture, the excitation source is blocked with a 575 nm short pass filter. c) UV-Vis absorbance (black) and emission (red/blue) spectroscopy of **P4-1-2** vesicles (0.5 mg/mL compound 4) at 20 °C. Emission spectrum taken under red light irradiation (630 nm, 50 mW, 0.4 W.cm⁻²) in presence of 50 mM sodium sulfite. For clarity, the blue curve is the red curve multiplied by 10. d) I_{uc} at 486 nm under red light irradiation (630 nm, 50 mW, 0.4 W.cm⁻², 4 mm excitation path length) of samples **P3-1-2** and **P4-1-2**. [3] = 10, 7.5, and 5.0 mg/mL (dashed black, blue, and red, respectively), and [4] = 10, 8.8, and 7.5 mg/mL (solid black, blue, and red, respectively). Conditions: 600 µL sample in a non-stirred semi-micro cuvette at 20 °C. No oxygen scavenger was added here.

Table 9.2. Photophysical characteristics of **P3-1-2** and **P4-1-2** in presence of 50 mM sodium sulfite: absolute quantum yield of upconversion (Φ_{uc}) at 20 °C, ratio of upconversion emission intensity at 37 °C and 20 °C, estimation of Φ_{uc} at 37 °C calculated from multiplying the intensity ratio $I_{uc,37^\circ C}/I_{uc,20^\circ C}$ with Φ_{uc} at 20 °C, and the intensity threshold (I_{th}) for efficient TTA-UC at 20 °C and 37 °C.

Sample	Φ_{uc} at 20 °C (%)	$\frac{I_{uc,37^\circ C}}{I_{uc,20^\circ C}}$	Est. Φ_{uc} at 37 °C (%)	I_{th} at 20 °C (mW.cm ⁻²)	I_{th} at 37 °C (mW.cm ⁻²)
P3-1-2	0.20	2.7	0.54	256	204
P4-1-2	0.21	2.4	0.50	220	197

9.2.2 Do these polymersome dispersions produce upconversion in air?

In phospholipid-based liposomes TTA-UC is inhibited by the presence of molecular oxygen, which physically quenches triplet excited states and results in the photocatalytic production of singlet oxygen. To investigate whether **P3-1-2** and **P4-1-2** were capable of producing upconversion under aerobic

conditions polymersome samples were prepared without the oxygen scavenger sulfite at different copolymer bulk concentrations, and irradiated for 30 minutes while monitoring I_{UC} (Figure 9.3d, see Figure S.VIII.15 and Figure S.VIII.17 for full datasets). At a concentration of 10 mg/mL polymer **3**, no upconversion was observed at $t = 0$, but after 7 minutes of red light irradiation the band of upconverted blue light appeared and I_{UC} reached a maximum after ~ 15 minutes irradiation. Comparison of the UV-Vis absorbance spectra before and after irradiation showed significant bleaching of both dyes **1** and **2** (Figure S.VIII.15). No difference in DLS values were found before and after the experiment, indicating that red light irradiation did not damage the polymersomes' integrity. At a concentration of 7.5 mg/mL polymer **3**, qualitatively identical observations were made but I_{UC} maximized at a lower value, whereas at 5 mg/mL no upconversion was observed at all after 30 min irradiation. As a control, a 7.5 mg/mL **P3-1-2** sample prepared in presence of 75 mM sodium sulfite exhibited a 1000-fold more intense upconversion band that was very stable over 30 min (Figure S.VIII.16). The results with **P4-1-2** vesicles were very similar: upconversion did not occur at polymer concentrations lower than 8.8 mg/mL. These results clearly indicated that in air TTA-UC in polymersomes is concentration-dependent. We interpret this result by the fact that the block-copolymers contain a C=C double bond that is known to be able to chemically quench singlet oxygen *via* a perepoxide mechanism.^[25] We hypothesize that such chemical quenching results in the local consumption of oxygen during initial red light irradiation, up to the point where the oxygen concentration is low enough to allow TTA-UC to occur. Similar observations have been reported by Kim *et al.*, who have used polyisobutylene as the liquid core in TTA-UC nanocapsules.^[3a, 26] At lower polymer concentrations, oxygen diffusion outcompeted its photochemical consumption, so that no upconversion was observed. To confirm this hypothesis, we repeated the experiment in a stirred macro cuvette with an oxygen sensor probing the oxygen concentration in solution (Figure S.VIII.18; **[3]** = **[4]** = 10 mg/mL). During red light irradiation a gradual decrease in dissolved oxygen was indeed observed while upconversion first appeared after 15 min for **P3-1-2** and after 60 min for **P4-1-2**, *i.e.*, when the bulk oxygen concentration was below 1–2 ppm. Overall, these results show that upconversion in polymersomes can indeed occur in air and in absence of sulfite, most likely due to singlet oxygen scavenging by the unsaturated polymer itself. However, under diluted conditions and thus reduced singlet

oxygen scavenging capacity, upconversion in air does not occur anymore unless sulfite is added.

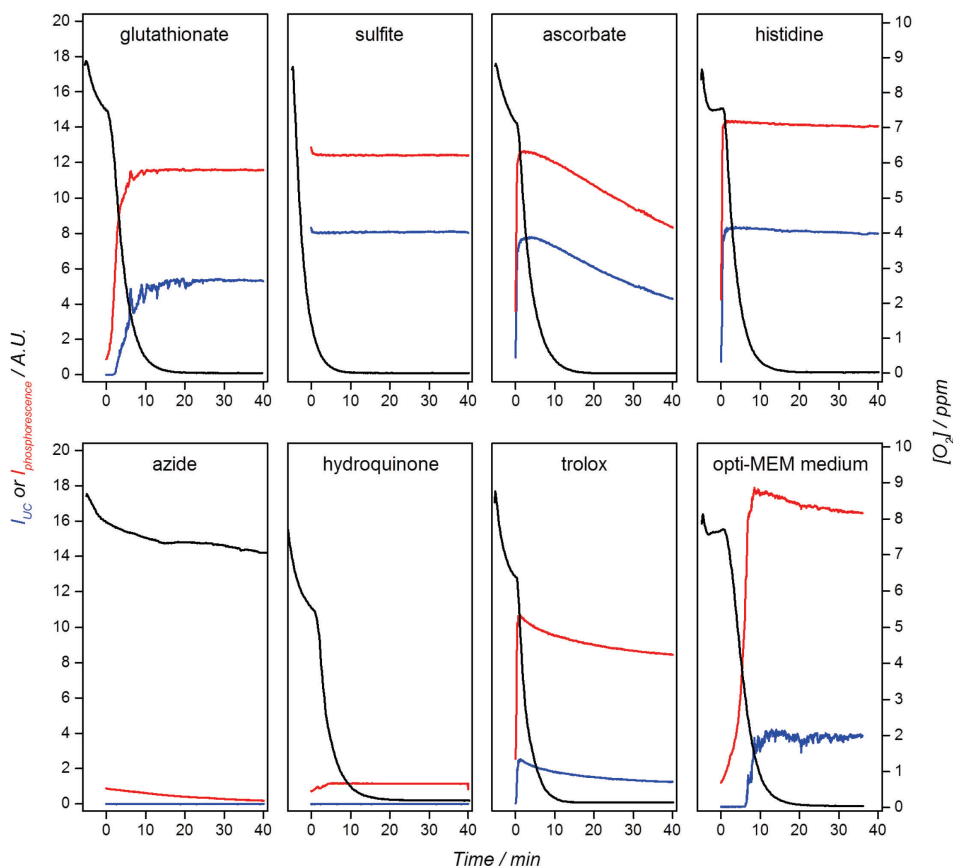


Figure 9.4. Emission (red/blue) and oxygen concentration (black) time traces of polymersome **P4-1-2** samples in air under red light irradiation (630 nm, 50 mW, 0.4 W.cm⁻²) irradiation with addition of 10 mM sodium glutathionate, sodium sulfite, sodium L-ascorbate, L-histidine, sodium azide, hydroquinone, trolox, or 1:19 v/v mixed with opti-MEM cell culture medium (see formulation in exp. section). Red and blue line represent $I_{phosphorescence}$ (at 800 nm) and I_{UC} (at 486 nm, multiplied by 10 for clarity), respectively. Conditions: $[4] = 0.5$ mg/mL, $[1] = 0.5$ μ M, $[2] = 10$ μ M, $T = 20$ °C, pH = 7.0-7.3 (pH for the trolox experiment was 7.6 to dissolve the compound completely), with a 2 mL sample volume in a stirred macro cuvette. Laser was turned on at $t = 0$.

9.2.3 Addition of other water-soluble oxygen scavengers to P4-1-2

Encouraged by these results, and realizing that TTA-UC in air can occur by chemical scavenging of ground state oxygen (sulfite) or singlet oxygen (copolymer alkene function), **P4-1-2** vesicles were mixed with a selection of known anti-oxidants and irradiated with red light while continuously measuring oxygen concentration, the phosphorescence intensity $I_{phosphorescence}$

(at 800 nm), and the upconversion intensity I_{UC} (at 486 nm, see Figure 9.4). The bulk copolymer concentration was fixed at 0.5 mg.ml⁻¹, so that there would be no TTA-UC in air without anti-oxidants (see previous section). The anti-oxidants chosen were sodium sulfite, sodium L-ascorbate, sodium glutathionate, L-histidine, hydroquinone, and trolox, *i.e.*, the water-soluble derivative of vitamin E. The anti-oxidant concentration was kept constant (10 mM at pH 7.0 – 7.6) to mimic cellular concentrations of glutathione (0.5 – 10 mM)^[27] and so that there was an excess of the anti-oxidants with respect to the dissolved oxygen concentration in an air-saturated aqueous solution at room temperature (~9 ppm; 2.5 mM). In each of these experiments, as soon as the laser was switched on, a clear consumption of oxygen was observed, which decreased from ~8 to 0 ppm in 15 – 25 min. In all cases, except for L-histidine, oxygen was already consumed in the dark, but illumination clearly accelerated the process, probably due to the higher redox potential of the $^1O_2/O_2^{\bullet-}$ couple ($E^0 = +0.65$ V at pH 7) compared to the $^3O_2/O_2^{\bullet-}$ couple ($E^0 = -0.33$ V at pH 7).^[28] More importantly, the time evolution of emission showed a steep rise both for I_{UC} and $I_{phosphorescence}$, signifying the stabilization of the triplet excited states at sufficiently low oxygen concentrations. Strong TTA-UC was observed instantaneously (within 30 seconds) for sodium sulfite, sodium L-ascorbate, L-histidine, and trolox. For sodium glutathionate, upconversion was first observed after 2 min. Upconversion was not observed in mixtures with hydroquinone, even at 0 ppm oxygen concentrations. We explain this result by the fact that the reaction of hydroquinone and oxygen produces benzoquinone, which is known to quench the triplet excited states of aromatic hydrocarbons due to charge-transfer interactions.^[29] As control experiments, irradiation at identical conditions was repeated without oxygen scavengers, and without oxygen scavengers in the dark (Figure S.VIII.19). As expected, no oxygen consumption or upconversion were observed. Additionally, a physical quencher of singlet oxygen, *i.e.*, sodium azide,^[30] was tested as well. In presence of 10 mM NaN₃ however (Figure 9.4), no UC and only weak phosphorescence were observed, which confirmed that chemical quenching is required for obtaining TTA-UC, rather than physical quenching. Finally, upconversion in **P4-1-2** vesicles was also tested in a 1:19 v/v mixture of vesicles and opti-MEM cell medium, which also contains biocompatible anti-oxidants (see formulation in experimental section). Upconversion was first detected after 6 min irradiation and dissolved oxygen was depleted within 20 min irradiation, which confirmed the presence of chemical quenchers of singlet oxygen in the medium (probably sodium pyruvate and bovine serum

albumin from fetal calf serum).^[31] Overall, these results clearly demonstrate that the addition of a biologically realistic concentration of anti-oxidants is a potent strategy to obtain TTA-UC in air. The local O₂ concentration is depleted by chemically consuming either ground-state oxygen (sulfite) or the photocatalytically generated singlet oxygen (histidine, *etc.*), until an oxygen concentration threshold is reached where TTA-UC becomes possible.

9.2.4 Anti-oxidants brighten TTA-UC in cancer cell cultures

To see whether our concept is also valid in cell culture conditions using live cells, human lung carcinoma A549 cells were incubated with **P4-1-2** for 4 h, in the absence or presence of a mixture of 5 mM sodium L-ascorbate and sodium glutathionate as anti-oxidant “cocktail”. After refreshing the medium and staining the nuclei with Hoechst 33342, the cells were visualized with optical microscopy at 37 °C, 7% CO₂, and 1% O₂ (Figure 9.5). An atmosphere with a low oxygen concentration was chosen to mimic median tumor oxygen partial pressures, which generally range from 0.5% to 4% (pO₂ = 5 – 30 mm Hg).^[32] In absence of anti-oxidants and under 405 nm excitation, fluorescent spots were detected in the cytosol that correspond to singlet emission of **2** in the polymersomes. Under the hypothesis that nanoparticles are usually endocytosed,^[33] we tentatively assigned these spots to be endosomes, lysosomes, and/or multi-vesicular bodies containing the polymersomes. Attempts were undertaken to demonstrate the co-localization of these spots and endo- or lysosomes using LysoTracker Red, but the rapid motion of these fluorescent spots during imaging prevented a conclusive outcome. Under 635 nm excitation, upconverted emission was detected in locations that closely matched the emission detected under 405 nm irradiation (Figure S.VIII.20). Considering that upconversion only occurs when **1** and **2** are co-located in the same membrane, this observation indicates that the polymersomes were still intact and located where upconversion was detected. However, the upconversion emission intensity was rather weak and sometimes difficult to detect at all.

In contrast, when the cells were incubated with the anti-oxidants cocktail described above, very similar images for the bright field and 405 nm excitation were obtained. However, a much brighter image was obtained upon 635 nm excitation. Imaging was also performed at 19% O₂ and 7% CO₂ (*i.e.* pO₂ far exceeding any *in vivo* tissue oxygenation level), but no upconversion emission was detected at all under these conditions (data not shown). To quantify the emission at 1% O₂, 30 individual image sets with 40x

magnification were acquired in the presence and absence of anti-oxidants (10 – 20 cells per image, see experimental section and Figure S.VIII.21), and the emission was quantified by calculating the mean pixel value of each image (Figure 9.6). While the emission intensity with $\lambda_{exc} = 405$ nm, and thus the uptake of polymersomes, was not influenced by the addition of anti-oxidants, the upconversion emission ($\lambda_{exc} = 635$ nm) was found to be an order of magnitude more intense in presence of the anti-oxidant cocktail. The mean signal to background ratio (S/B, see experimental section for definition) increased from 0.2 (without anti-oxidant) to 2.6 (with antioxidant, see Figure 9.6b). By contrast, the S/B ratio for $\lambda_{exc} = 405$ nm remained low (~ 1) due to substantial autofluorescence of the cells, and it was not influenced by the presence of the antioxidant cocktail (Figure 9.6a). These exciting results demonstrate the potential of TTA-UC polymersomes in bio-imaging applications. Indeed, the *in vitro* data mirror the data obtained in homogeneous solution, demonstrating that co-treatment with cell-compatible anti-oxidants, at oxygen concentration that are realistic for tumor environments, brighten TTA-UC in living human cancer cells.

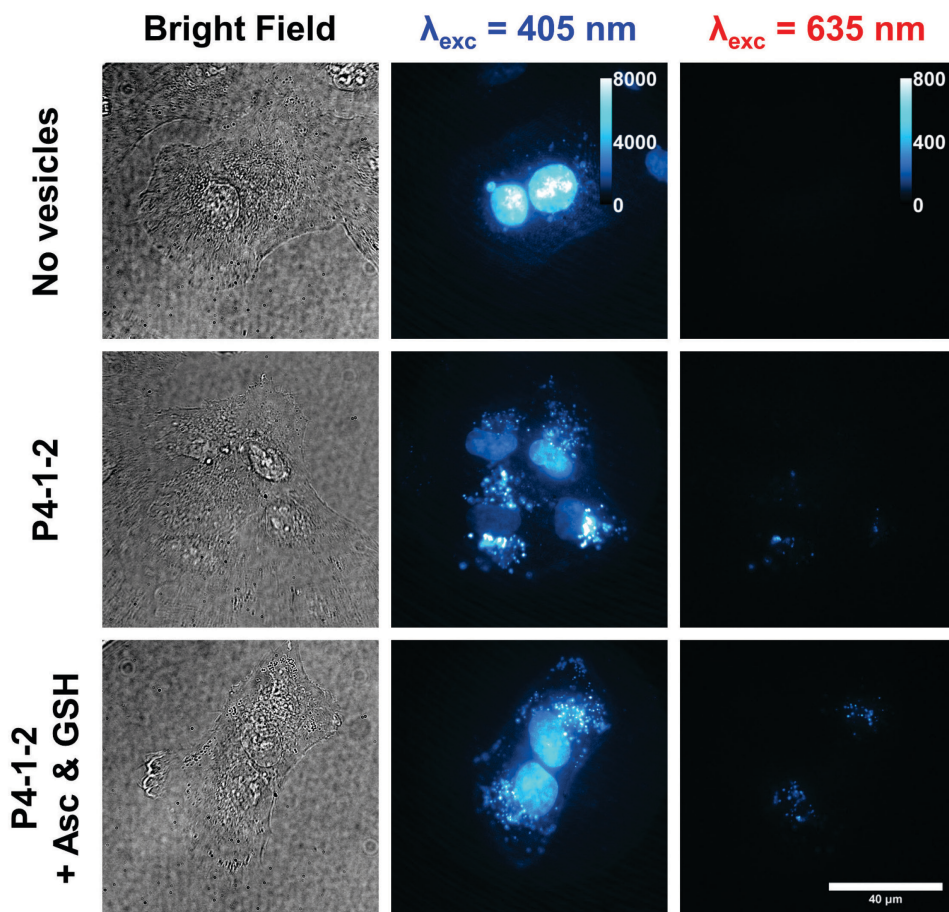


Figure 9.5. In vitro upconversion imaging of **P4-1-2** upconverting polymersomes in living A549 lung carcinoma cells in bright field mode (left column), with $\lambda_{\text{exc}} = 405 \text{ nm}$ (middle column), and with $\lambda_{\text{exc}} = 635 \text{ nm}$ (right column) with 100x magnification. Cells were incubated for 4 h with Opti-MEM only (top row), with 1:1 v/v mixture of Opti-MEM and **P4-1-2** vesicles (middle row, $[4] = 0.5 \text{ mg/mL}$), or with 1:1 v/v mixture of Opti-MEM and **P4-1-2** vesicles ($[4] = 0.5 \text{ mg/mL}$) and addition of 5 mM sodium L-ascorbate and 5 mM sodium glutathionate (bottom row). The cell nuclei were stained with Hoechst 33342 prior to imaging. Imaging conditions: $T = 37^\circ \text{C}$, 7.0% CO_2 , 1.0% O_2 , 62 μW 405 nm laser power (60 μm spot diameter, 2.2 W.cm^{-2} intensity), 13 mW 635 nm laser power (50 μm spot diameter, 640 W.cm^{-2} intensity). For comparison, the image histograms for $\lambda_{\text{exc}} = 405 \text{ nm}$ are scaled from 0 – 8000 pixel values, and for $\lambda_{\text{exc}} = 635 \text{ nm}$ are scaled from 0 – 800 pixel values, as indicated by the calibration bars in the top row.

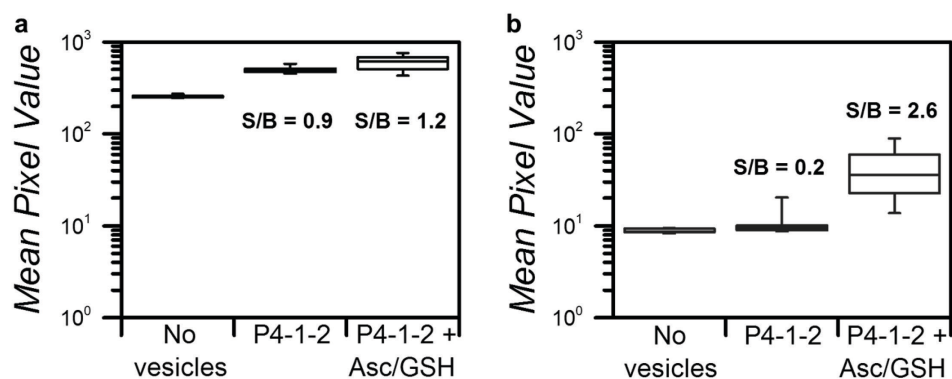


Figure 9.6. Quantified fluorescence emission under 405 nm (a) and 635 nm (b) excitation. The emission was quantified as the mean pixel value, based on 30 individual images at 40x magnification for each experiment, without nuclear stain, see experimental section and Figure S.VIII.21. Mean signal to background ratios (S/B) are given for both 405 and 635 nm excitation as the ratio of the mean luminescence intensity and the mean background intensity (i.e. the “No vesicles” dataset).

9.3 Conclusion

TTA-UC polymersomes were constructed in aqueous buffers by self-assembly of polyisobutylene-*b*-monomethoxy polyethylene glycol block-copolymers (PiB-*b*-PEG-Me, **3** or **4**), a red-light absorbing porphyrin photosensitizer **1**, and a blue-light emitting tert-butylated perylene annihilator **2**. Only weak red-to-blue upconversion was observed in concentrated dispersions in air, and dilution completely abolished upconversion emission. However, upon the addition of chemical antioxidants such as sulfite, L-ascorbate, glutathionate, L-histidine, or trolox, intense and stable upconversion was observed in air (21% O₂). Scavenging of reactive oxygen species by the sacrificial anti-oxidant led to an oxygen-depleted environment in the illuminated area where TTA-UC can occur efficiently. The biocompatibility of this strategy was demonstrated by incubating these polymersomes *in vitro* in the absence or presence of a mixture of L-ascorbate and glutathionate. The upconversion luminescence was an order of magnitude more intense when the cells were co-treated with the anti-oxidants cocktail. These results clearly demonstrate that biocompatible anti-oxidants brighten TTA-UC in aqueous solution but also in living cancer cells. These results reinforce the applicability of TTA-UC nanoparticles in bio-imaging and may open new routes towards the application of TTA-UC for phototherapy.

9.4 Experimental

9.4.1 General

Polyisobutylene succinic anhydride (PiB₁₀₀₀-SA, Dovermulse H1000) with a saponification number of 58.1 mg KOH/g was kindly provided by DoverChem (Dover, OH, USA) and was purified by silica flash chromatography in pure DCM before use. Palladium tetraphenyltetrabenzoporphyrin (**1**) was purchased from Bio-Connect (Huissen, The Netherlands). Dulbecco's phosphate buffered saline (DPBS) was purchased from Sigma Aldrich and had a formulation of 8 g.L⁻¹ NaCl, 0.2 g.L⁻¹ KCl, 0.2 g.L⁻¹ KH₂PO₄, and 1.15 g.L⁻¹ K₂HPO₄ with a pH of 7.1 – 7.5. All other chemicals were purchased from major chemical suppliers and used as received.

The average polymersome diameter, polydispersity index, and zeta-potential were measured using a Malvern Instruments Zetasizer Nano-S machine, operating with a wavelength of 632 nm. The zeta-potential measurement was carried out in a DTS1070 folded capillary cell. Transmission electron microscopy was done on a Jeol 1010 with an acceleration voltage of 80 kV. Images were collected with an Olympus Megaview G2 camera, and Olympus iTEM software. Samples were loaded on Formvar/Carbon film on Copper 400 mesh TEM grids (FC400Cu100; van Loenen Instruments, Zaandam, The Netherlands). Oxygen measurements were done with an Ocean Optics NeoFox Foxy oxygen probe that was calibrated with 1 M Na₂SO₃ as the zero-oxygen point. Images and data were processed using Fiji ImageJ,^[34] Origin Pro, and/or Microsoft Excel software.

9.4.2 Synthesis of 2,5,8,11-tetra(*tert*-butyl)perylene (compound 2)

Adapted from literature procedures.^[35] Perylene (0.50 g, 1.98 mmol) was added to 50 mL dry *tert*-butyl chloride under Schlenk conditions. Anhydrous aluminium trichloride (1.0 g, 7.5 mmol) was added and the mixture was refluxed for 6 h, after which an additional 30 mL *tert*-butyl chloride was added and the mixture was further refluxed overnight. Then, 20 mL *tert*-butyl chloride and 1.0 g anhydrous aluminium trichloride were added and reflux was continued for another 24 h. The mixture was allowed to cool to room temperature and extracted with 100 mL brine in a separatory funnel. The aqueous layer was separated and extracted with three 50 mL portions of DCM. The DCM fractions were combined with the previously obtained organic fraction and dried with anhydrous sodium sulfate. The dried organic layer was filtered and rotary-evaporated at 80 °C until a concentrate remained, which was baked in a petri-dish on a hot plate at 170 °C for 30 h, at which point smoke ceased to evolve. The remaining dark brown solid was dissolved in a 2:1 mixture of petroleum ether and chloroform and purified with silica column chromatography (gradient of pure PE to 2:1 PE:CHCl₃ mixture, R_f = 0.93 in PE:CHCl₃) to afford 0.72 g of orange crystalline product (1.51 mmol, 76%). An aliquot of the product was recrystallized from 50:50 DCM:MeOH for use in photophysical experiments. ¹H NMR (300 MHz, CDCl₃) δ (ppm) 8.24 (d, *J* = 1.7 Hz, 4 H), 7.63 (d, *J* = 1.6 Hz, 4 H), 1.50 (s, 36 H). ¹³C NMR (75 MHz, CDCl₃) δ (ppm) 148.8, 135.0, 130.9, 125.9, 123.4, 117.8, 35.0, 31.5. NMR spectra match literature data.^[36]

9.4.3 Synthesis of PiB₁₀₀₀-b-PEG₃₅₀-Me (compound 3)

Adapted from literature procedure, see Scheme S.VIII.1.^[17] 3.66 g PiB₁₀₀₀-SA (3.79 mmol) and 1.32 g mono-methoxy PEG₃₅₀ (3.77 mmol) were heated to 80 °C, blanketed with argon by three cycles of evacuation and argon purging, and then stirred overnight at 110 – 120 °C. The mixture

Chapter 9

was allowed to cool to room temperature, after which it was purified by silica column chromatography (DCM:MeOH gradient from 99:1 to 95:5; $R_f = 0.37$ for 95:5 DCM:MeOH) to yield 2.89 g of product (2.08 mmol, 55%). ^1H NMR (400 MHz, CDCl_3) δ (ppm) 4.9 – 4.8 (1 H, C=C of PiB), 4.3 – 4.1 (2 H, alpha protons of the ester), 3.8 – 3.5 (30 H, PEG) 3.4 (3 H, O-CH₃ of PEG-Me), 3.1 – 2.9; 2.8 – 2.4; 2.3 – 2.1; 2.1 – 0.8 (111 H, methyl and methylene of PiB). IR spectroscopy (cm^{-1}): 3467 (OH), 2949, 2883 (C-H), 1734 (C=O), 1638 (C=C), 1470, 1389, 1366, 1231 (PiB skeleton), and 1104 (C-O of PEG). NMR and IR spectra given in Figure S.VIII.3 and Figure S.VIII.5, respectively, both corresponding to literature data.^[17] Gel permeation chromatogram given in Figure S.VIII.1. MALDI-TOF mass spectrometry did not yield a usable spectrum.

9.4.4 Synthesis of PiB₁₀₀₀-b-PEG₇₅₀-Me (compound 4)

Identical procedure as for PiB₁₀₀₀-PEG₃₅₀-Me. Used 1.87 g PiB₁₀₀₀-SA (1.81 mmol) and 1.29 g mono-methoxy PEG₇₅₀ (1.72 mmol). 1.79 g product obtained (1.00 mmol, 59%). $R_f = 0.29$ for 95:5 DCM:MeOH. ^1H NMR (400 MHz, CDCl_3) δ (ppm) 4.9 – 4.8 (1 H, C=C of PiB), 4.3 – 4.1 (2 H, alpha protons of the ester), 3.8 – 3.5 (71 H, PEG) 3.4 (3 H, O-CH₃ of PEG-Me), 3.1 – 2.9; 2.8 – 2.4; 2.3 – 2.1; 2.1 – 0.8 (169 H, methyl and methylene of PiB). IR spectroscopy (cm^{-1}): 3487 (OH), 2949, 2878 (C-H), 1737 (C=O), 1636 (C=C), 1470, 1388, 1366, 1230 (PiB skeleton), and 1107 (C-O of PEG). NMR and IR spectra given in Figure S.VIII.4 and Figure S.VIII.6, respectively, both corresponding to literature data.^[17] Gel permeation chromatogram given in Figure S.VIII.2. MALDI-TOF mass spectrometry did not yield a usable spectrum.

9.4.5 Preparation of upconverting polymersomes

Polymersomes and dye-doped polymersomes were prepared according to a hydration-extrusion protocol. As an example, the preparation of **P4-1-2** is described here. Aliquots of chloroform stock solutions containing the polymersome constituents were added together in a glass tube to obtain a solution with 10 mg PiB₁₀₀₀-PEG₇₅₀-Me, 10 nmol palladium tetraphenyltetrabenzoporphyrin (**1**), and 200 nmol 2,5,8,11-tetra(*tert*-butyl)perylene (**2**). The organic solvent was removed by rotary evaporation and subsequently under high vacuum for at least 15 minutes to create a polymer film. 1.0 mL DPBS buffer was added and the polymer film was hydrated by 3 cycles of freezing the flask in liquid nitrogen and thawing in warm water (50 °C). The resulting dispersion was extruded through a Whatman Nuclepore 0.1 μm polycarbonate filter at room temperature at least 11 times using a mini-extruder from Avanti Polar Lipids, Inc. (Alabaster, Alabama, USA). The number of extrusions was always odd to prevent any unextruded material ending up in the final liposome sample. The extrusion filter remained completely colorless after extrusion, suggesting full inclusion of the chromophoric compounds in the polymer membrane. Polymersomes were stored at room temperature and were typically used for further experiments within 24 h. The polymersomes were characterized with dynamic light scattering (DLS), zeta potentiometry, and (cryo) transmission electron microscopy.

9.4.6 Preparation of giant polymersomes

All giant polymersomes were prepared by lipid film re-hydration on dextran chemically cross-linked hydrogel substrates by a method described elsewhere.^[22a, 37] The preparation of **GP3-1-2** is described here as an example. Glass microscopy slides were first incubated with 1:1 vol MeOH:HCl (37%) for 30 min, then with 98% H₂SO₄ for 30 min, and then thiol-functionalized by incubating them for 1 h in a 2 wt% solution of (3-mercaptopropyl)triethoxysilane in dry toluene under a nitrogen atmosphere, and washing them three times with toluene. Directly

after, a homogeneous film of Dex-PEG hydrogel was formed on this surface by drop-casting 600 μL of a 1:1 volume mixture of 2 wt.% maleimide-functionalized dextran, with a substitution degree of 3 maleimide groups per 100 glucopyranose residues of dextran (synthesis and characterization detailed in ref. 2), in water and 2 wt.% α,ω -PEG dithiol ($1500\text{ g}\cdot\text{mol}^{-1}$) in water at room temperature. A homogenous hydrogel film was formed after 30 – 45 min at 40 °C. Then, 10 μL of polymer mixture stock solution in chloroform, containing 10 mg/mL **3**, 0.8 mM DSPE-PEG-2K, 0.20 mM **2**, and 10 μM **1**, was deposited on the hydrogel surface. The organic solvent dried within 1 min, after which the slide was dried further for at least 20 min under vacuum at room temperature. The polymer film was then hydrated with 400 μL 0.2 M sucrose in phosphate buffered saline for 1 h at 50 °C, creating a buffered solution containing free-floating vesicles. For optical microscopy imaging, 300 μL of this solution was transferred to an Eppendorf tube containing 700 μL 0.2 M glucose in PBS to allow the sucrose-loaded giant vesicles to sink to the bottom of the tube. After one hour, 300 μL of this GUV sediment was transferred to a visualization microscopy chamber, and the rest of the chamber was filled with 100 μL 0.2 M glucose PBS. Finally, to chemically deoxygenate the chamber, 100 μL 0.5 M sodium sulfite in PBS was added. The vesicles were imaged within 24 hours with a modified epifluorescence microscope setup, see below.

9.4.7 Emission spectroscopy

Emission spectroscopy was conducted in a custom-built setup (Figure S.VIII.22). All optical parts were connected with FC-UVxxx-2 (xxx = 200, 400, 600) optical fibers from Avantes (Apeldoorn, The Netherlands), with a diameter of 200 – 600 μm , respectively, and that were suitable for the UV-Vis range (200 – 800 nm). Typically, 2.0 mL of sample was placed in a 111-OS macro fluorescence cuvette from Hellma in a CUV-UV/VIS-TC temperature-controlled cuvette holder with stirring from Avantes. The cuvette holder temperature was controlled with a TC-125 controller and T-app computer software from Quantum Northwest (Liberty Lake, WA, USA), while the sample temperature was measured with an Omega RDXL4SD thermometer with a K-type probe submerged in the sample. The sample was excited with a collimated 630 nm laser light beam (4 mm beam diameter) from a clinical grade Diomed 630 nm PDT laser. The 630 nm light was filtered through a FB630-10, 630 nm band pass filter (Thorlabs, Dachau/Munich, Germany) put between the laser and the sample. The excitation power was controlled using the laser control in combination with a NDL-25C-4 variable neutral density filter (Thorlabs), and measured using a S310C thermal sensor connected to a PM100USB power meter (Thorlabs). For regular measurements, the excitation power was set at a power of 50 mW ($0.4\text{ W}\cdot\text{cm}^{-2}$). UV-Vis absorption spectra were measured using an Avalight-DHc halogen-deuterium lamp (Avantes) as light source and a 2048L StarLine spectrometer (Avantes) as detector, both connected to the cuvette holder at a 180° angle and both at a 90° angle with respect to the red laser irradiation direction. The filter holder between cuvette holder and detector was in a position without a filter (Figure S.VIII.22, item 8). Luminescence emission spectra were measured using the same detector but with the UV-Vis light source switched off. To visualize the spectrum from 550 nm to 900 nm, while blocking the red excitation light, a Thorlabs NF-633 notch filter was used in the variable filter holder. To visualize the spectrum from 400 nm to 550 nm, an OD4 575 nm short pass filter (Edmund Optics, York, United Kingdom, part no. 84-709) was used. All spectra were recorded with Avasoft software from Avantes and further processed with Microsoft Office Excel 2010 and Origin Pro software. The emission spectra obtained from the two filters were stitched together

Chapter 9

at 550 nm to obtain a continuous spectrum from 400 to 900 nm. No correction was needed to seamlessly connect the spectra.

9.4.8 Determination of the quantum yield of upconversion

The quantum yield of upconversion was determined absolutely by means of an integrating sphere setup. The setup and measurement procedure are discussed in depth in Appendix I.

9.4.9 General cell culturing

A549 human lung carcinoma cells were cultured in 25 cm² flasks in 8 mL Dulbecco's Modified Eagle Medium with phenol red (DMEM; Sigma Life Science, USA), supplemented with 8.2% v/v fetal calf serum (FCS; Hyclone), 200 mg.L⁻¹ penicillin and streptomycin (P/S; Duchefa), and 1.8 mM glutamine-S (GM; Gibco, USA), under standard culturing conditions (humidified, 37 °C atmosphere containing 7.0% CO₂). The cells were split approximately once per week upon reaching 70 – 80% confluency, using seeding densities of 2×10^5 cells, and the medium was refreshed once per week. Cells were passaged for 4 – 8 weeks.

9.4.10 Cell imaging preparation

After cell splitting, the cells were suspended in OptiMEM (Life Technologies, USA), supplemented with 2.5% FCS, 200 mg/L P/S, and 1.8 mM GM at 3×10^5 cells per mL. For imaging at 100× magnification, 100 µL of this suspension was placed in a droplet on round 25 mm diameter microscopy coverslips (VWR, thickness no. 1) in a 6-well plate. After 5 min of sedimentation, 3 mL OptiMEM was carefully added to each well, and the cells were incubated for 24 h. For imaging at 40× magnification, cells were seeded in a glass-bottom 24-well plate (Greiner Bio-One International, Germany, item no. 662892) at 50k cells per well and incubated for 24 h. Meanwhile, **P4-1-2** polymersome samples were prepared as before ([**4**] = 10 mg/mL, 1 mL volume), and then purified by size exclusion chromatography (NAP-25 columns from GE healthcare, PBS as eluents) by collecting only the green eluting band (~ 2 mL), and diluting this elute further to a volume of 10.0 mL with PBS. Optionally, this final PBS solution contained 20 mM sodium L-ascorbate and 20 mM sodium glutathionate. Then, the solution was sterilized with a 0.2 µm filter and diluted with 10 mL Opti-MEM ([**4**] = 0.5 mg/mL). 3 mL of this solution was added to each well of the 6-well plate, and the cells were incubated for 4 h. Then, the cells were washed once with PBS, and resupplied with 1 mL Opti-MEM before imaging. Optionally, the cells were incubated with 1 µg/mL Hoechst 33342 in PBS for 20 min at 37 °C to stain the cell nuclei.

9.4.11 Cell and giant polymersome imaging

Bright field and (upconversion) emission imaging was performed with a customized Zeiss Axiovert S100 Inverted Microscope setup, fitted with a Zeiss 100× Plan Apochromat 1.4 NA oil objective or a Zeiss 40× EC Plan Neofluar 1.3 NA oil objective, and an Orca Flash 4.0 V2 sCMOS camera from Hamamatsu, which together produced 4.2 megapixel images with pixel size of 69 nm (for 100x) or 173 nm (for 40x). The typical camera exposure time was 1000 ms. Samples were loaded in a temperature and atmosphere controlled stage-top mini-incubator (Tokai Hit, Japan) set at 37 °C with 1% O₂ and 7% CO₂ in which samples were incubated for 30 min before imaging. For imaging at 100× magnification, a custom-made sample holder for round 25 mm cover slips was used. For direct excitation and fluorescence imaging of **2**, a CrystaLaser DL-405-050 405 nm solid state laser was used, combined with a ZT405/514/561rpc dichroic beam splitter (Chroma Technology Corporation) and ZET442/514/568m emission filter

(Chroma Technology Corporation). The output power of the 405 nm laser at the sample was typically 62 μW at 100 $\times$ magnification (60 μm spot diameter, intensity 2.2 W.cm^{-2}) and 76 μW at 40 $\times$ magnification (150 μm spot diameter, intensity 0.44 W.cm^{-2}). For upconversion emission microscopy, a LRD-0635-PFR-00200-01 LabSpec 635 nm Collimated Diode Laser (Laserglow Technologies, Toronto, Canada) was used as excitation source, combined with a Chroma ZT405/532/635rpc dichroic beam splitter. To block everything except upconversion emission, a 575 nm short pass filter (Edmund Optics, part no. #84-709) was placed between the sample and the camera, resulting in $\text{OD} > 5$ at 635 nm and 800 nm (*i.e.* the excitation source and the phosphorescence of **1** were completely blocked). The output power of the 635 nm laser at the sample was typically 12.6 mW at 100 $\times$ magnification (50 μm spot diameter, intensity 640 W.cm^{-2}) and 13.1 mW at 40 $\times$ magnification (131 μm spot diameter, intensity 97 W.cm^{-2}). All laser beam spots had a Gaussian intensity profile; spot diameters are reported as Full Width at Half Maximum (FWHM) values.

9.4.12 Quantification of luminescence

The mean total signal (S_T) of the images was defined as

$$S_T = BG + L \quad \text{Equation 9.1}$$

where BG is the mean background signal and L is the mean luminescence signal. BG was measured in absence of **P4-12** (*i.e.* $L = 0$). S_T was calculated in mean pixel value by taking the sum of all pixel values (V) in the region of interest (ROI), containing a certain amount of pixels (px), and dividing by the ROI area (A_{ROI} , in px):

$$S_T = \frac{\sum_{px \in ROI} V_{px}}{A_{ROI}} \quad \text{Equation 9.2}$$

The same ROI was used for all images with a 1200 px diameter, closely encircling the illumination spot, see Figure S.VIII.21. The cell confluency in the illumination spot was always 70 – 100%, amounting to 10 – 20 cells located in the ROI. S_T was calculated for 30 individual images of each experiment (300 to 600 individual cells) by measuring the mean pixel value within the ROI with Fiji ImageJ software.^[34] The mean signal to background ratio (S/B) was then calculated from the S_T and BG values:

$$S/B = \frac{L}{BG} = \frac{S_T - BG}{BG} \quad \text{Equation 9.3}$$

9.5 Acknowledgements

Prof. Dr. Elisabeth Bouwman is kindly acknowledged for the support and scientific discussion. Bart Jan van Kolck is kindly acknowledged for the supply of hydrogel slides for the giant vesicle

experiments. NWO (The Netherlands Organization for Scientific Research) is acknowledged for a VIDI grant to S.B. The European Research Council is acknowledged for an ERC starting grant to S.B.

9.6 References

- [1] a) J. Shen, L. Zhao, G. Han, *Adv. Drug Delivery Rev.* **2012**; b) J. Zhou, Q. Liu, W. Feng, Y. Sun, F. Li, *Chem. Rev.* **2014**, *115*, 395-465; c) Z. Chen, W. Sun, H.-J. Butt, S. Wu, *Chem. Eur. J.* **2015**, *21*, 9165-9170; d) K. Liu, Y. Wang, X. Kong, X. Liu, Y. Zhang, L. Tu, Y. Ding, M. C. G. Aalders, W. J. Buma, H. Zhang, *Nanoscale* **2014**, *6*, 9257-9263; e) H. Shi, T. Fang, Y. Tian, H. Huang, Y. Liu, *J. Mater. Chem. B* **2016**.
- [2] Q. Liu, W. Feng, T. Yang, T. Yi, F. Li, *Nat. Protocols* **2013**, *8*, 2033-2044.
- [3] a) J.-H. Kim, J.-H. Kim, *J. Am. Chem. Soc.* **2012**, *134*, 17478-17481; b) P. Mahato, A. Monguzzi, N. Yanai, T. Yamada, N. Kimizuka, *Nat. Mater.* **2015**, *14*, 924-930.
- [4] T. N. Singh-Rachford, F. N. Castellano, *Coord. Chem. Rev.* **2010**, *254*, 2560-2573.
- [5] a) S. Hisamitsu, N. Yanai, N. Kimizuka, *Angew. Chem. Int. Ed.* **2015**, *54*, 11550-11554; b) S. H. Lee, D. C. Thévenaz, C. Weder, Y. C. Simon, *J. Polym. Sci., Part A: Polym. Chem.* **2015**, *53*, 1629-1639; c) P. Duan, N. Yanai, H. Nagatomi, N. Kimizuka, *J. Am. Chem. Soc.* **2015**, *137*, 1887-1894; d) P. Duan, N. Yanai, N. Kimizuka, *J. Am. Chem. Soc.* **2013**, *135*, 19056-19059; e) A. J. Svagan, D. Busko, Y. Avlasevich, G. Glasser, S. Balushev, K. Landfester, *ACS Nano* **2014**, *8*, 8198-8207.
- [6] a) J.-H. Kim, J.-H. Kim, *ACS Photonics* **2015**, *2*, 633-638; b) Z. Huang, X. Li, M. Mahboub, K. Hanson, V. Nichols, H. Le, M. L. Tang, C. J. Bardeen, *Nano Lett.* **2015**, *15*, 5552-5557.
- [7] a) M. Majek, U. Faltermeier, B. Dick, R. Pérez-Ruiz, A. Jacobi von Wangelin, *Chem. Eur. J.* **2015**, *21*, 15496-15501; b) O. S. Kwon, J. H. Kim, J. K. Cho, J. H. Kim, *ACS Appl. Mater. Interfaces* **2015**, *7*, 318-325.
- [8] a) A. Monguzzi, S. M. Borisov, J. Pedrini, I. Klimant, M. Salvalaggio, P. Biagini, F. Melchiorre, C. Lelii, F. Meinardi, *Adv. Funct. Mater.* **2015**, *25*, 5617-5624; b) A. Nattestad, C. Simpson, T. Clarke, R. W. MacQueen, Y. Y. Cheng, A. Trevitt, A. J. Mozer, P. Wagner, T. W. Schmidt, *Phys. Chem. Chem. Phys.* **2015**, *17*, 24826-24830; c) S. P. Hill, T. Banerjee, T. Dilbeck, K. Hanson, *J. Phys. Chem. Lett.* **2015**, *6*, 4510-4517; d) A. Nattestad, Y. Y. Cheng, R. W. MacQueen, T. F. Schulze, F. W. Thompson, A. J. Mozer, B. Fückel, T. Khoury, M. J. Crossley, K. Lips, G. G. Wallace, T. W. Schmidt, *J. Phys. Chem. Lett.* **2013**, *4*, 2073-2078.
- [9] a) S. H. C. Askes, M. Klotz, G. Bruylants, J. T. Kennis, S. Bonnet, *Phys. Chem. Chem. Phys.* **2015**, *17*, 27380-27390; b) S. H. C. Askes, A. Bahreman, S. Bonnet, *Angew. Chem., Int. Ed.* **2014**, *53*, 1029-1033.
- [10] Q. Liu, T. Yang, W. Feng, F. Li, *J. Am. Chem. Soc.* **2012**, *134*, 5390-5397.
- [11] A. Nagai, J. B. Miller, P. Kos, S. Elkassih, H. Xiong, D. J. Siegwart, *ACS Biomater. Sci. Eng.* **2015**, *1*, 1206-1210.
- [12] a) C. Wohnhaas, V. Mailänder, M. Dröge, M. A. Filatov, D. Busko, Y. Avlasevich, S. Balushev, T. Miteva, K. Landfester, A. Turshatov, *Macromol. Biosci.* **2013**, *13*, 1422-1430; b) C. Wohnhaas, A. Turshatov, V. Mailänder, S. Lorenz, S. Balushev, T. Miteva, K. Landfester, *Macromol. Biosci.* **2011**, *11*, 772-778.
- [13] a) Q. Liu, B. Yin, T. Yang, Y. Yang, Z. Shen, P. Yao, F. Li, *J. Am. Chem. Soc.* **2013**, *135*, 5029-5037; b) O. S. Kwon, H. S. Song, J. Conde, H.-i. Kim, N. Artzi, J.-H. Kim, *ACS Nano* **2016**, *10*, 1512-1521.
- [14] a) C. LoPresti, H. Lomas, M. Massignani, T. Smart, G. Battaglia, *J. Mater. Chem.* **2009**, *19*, 3576-3590; b) D. E. Discher, A. Eisenberg, *Science* **2002**, *297*, 967-973; c) J. P. Jain, W. Y. Ayen, N. Kumar, *Curr. Pharm. Des.* **2011**, *17*, 65-79; d) D. E. Discher, F. Ahmed, *Annu. Rev. Biomed. Eng.* **2006**, *8*, 323-341; e) R. J. R. W. Peters, M. Marguet, S. Marais, M. W. Fraaije, J. C. M. van Hest, S. Lecommandoux, *Angew. Chem., Int. Ed.* **2014**, *53*, 146-150; f) S. Cavalli, F. Albericio, A. Kros, *Chem. Soc. Rev.* **2010**, *39*, 241-263; g) J. V. Georgieva,

- R. P. Brinkhuis, K. Stojanov, C. A. G. M. Weijers, H. Zuilhof, F. P. J. T. Rutjes, D. Hoekstra, J. C. M. van Hest, I. S. Zuhorn, *Angew. Chem., Int. Ed.* **2012**, *51*, 8339-8342.
- [15] a) W. H. Binder, R. Sachsenhofer, *Macromol. Rapid Commun.* **2008**, *29*, 1097-1103; b) M. Noor, T. Dworeck, A. Schenk, P. Shinde, M. Fioroni, U. Schwaneberg, *J. Biotechnol.* **2012**, *157*, 31-37.
- [16] J. E. Puskas, Y. Chen, Y. Dahman, D. Padavan, *J. Polym. Sci., Part A: Polym. Chem.* **2004**, *42*, 3091-3109.
- [17] U. Karl, C. Sierakowski, M. Darijo, M. Haberer, H. Hartl, Use Of Amphiphilic Block Copolymers For Producing Polymer Blends, **2008**, 20080293886
- [18] a) M. C. Woodle, M. S. Newman, J. A. Cohen, *J. Drug Targeting* **1994**, *2*, 397-403; b) M. C. Woodle, L. R. Collins, E. Sponsler, N. Kossovsky, D. Papahadjopoulos, F. J. Martin, *Biophys. J.* **1992**, *61*, 902-910.
- [19] B. X. Mi, Z. Q. Gao, C. S. Lee, S. T. Lee, H. L. Kwong, N. B. Wong, *Appl. Phys. Lett.* **1999**, *75*, 4055-4057.
- [20] M. Almgren, *J. Am. Chem. Soc.* **1980**, *102*, 7882-7887.
- [21] B. Kalman, N. Clarke, L. B. A. Johansson, *J. Phys. Chem.* **1989**, *93*, 4608-4615.
- [22] a) S. H. C. Askes, N. Lopez Mora, R. Harkes, R. I. Koning, B. Koster, T. Schmidt, A. Kros, S. Bonnet, *Chem. Commun.* **2015**, *51*, 9137-9140; b) M. Penconi, P. L. Gentili, G. Massaro, F. Elisei, F. Ortica, *Photochem. Photobiol. Sci.* **2014**, *13*, 48-61.
- [23] D. Bainbridge, M. Ediger, *Rheol. Acta* **1997**, *36*, 209-216.
- [24] A. Monguzzi, R. Tubino, F. Meinardi, *Phys. Rev. B* **2008**, *77*, 155122.
- [25] a) F. A. Carey, R. J. Sundberg, *Advanced Organic Chemistry*, 5 ed., Springer US, **2007**; b) B. Rånby, J. F. Rabek, *Singlet Oxygen Reactions with Organic Compounds & Polymers*, John Wiley & Sons, Ltd., **1978**.
- [26] J.-H. Kim, F. Deng, F. N. Castellano, J.-H. Kim, *ACS Photonics* **2014**, *1*, 382-388.
- [27] A. Meister, M. E. Anderson, *Annu. Rev. Biochem.* **1983**, *52*, 711-760.
- [28] G. R. Buettner, *Arch. Biochem. Biophys.* **1993**, *300*, 535-543.
- [29] F. Wilkinson, J. Schroeder, *J. Chem. Soc. Faraday Trans. 2* **1979**, *75*, 441-450.
- [30] M. Y. Li, C. S. Cline, E. B. Koker, H. H. Carmichael, C. F. Chignell, P. Bilski, *Photochem. Photobiol.* **2001**, *74*, 760-764.
- [31] M. Roche, P. Rondeau, N. R. Singh, E. Tarnus, E. Bourdon, *FEBS Lett.* **2008**, *582*, 1783-1787.
- [32] a) H. J. Feldmann, M. Molls, P. Vaupel, *Strahlenther. Onkol.* **1999**, *175*, 1-9; b) P. Vaupel, F. Kallinowski, P. Okunieff, *Cancer Res.* **1989**, *49*, 6449-6465; c) E. E. Graves, M. Vilalta, I. K. Cecic, J. T. Erler, P. T. Tran, D. Felsher, L. Sayles, A. Sweet-Cordero, Q.-T. Le, A. J. Giaccia, *Clin. Cancer Res.* **2010**, *16*, 4843-4852.
- [33] a) S. Zhang, H. Gao, G. Bao, *ACS Nano* **2015**, *9*, 8655-8671; b) G. Sahay, D. Y. Alakhova, A. V. Kabanov, *J. Controlled Release* **2010**, *145*, 182-195; c) N. Oh, J.-H. Park, *Int. J. Nanomedicine* **2014**, *9*, 51-63.
- [34] J. Schindelin, I. Arganda-Carreras, E. Frise, V. Kaynig, M. Longair, T. Pietzsch, S. Preibisch, C. Rueden, S. Saalfeld, B. Schmid, J.-Y. Tinevez, D. J. White, V. Hartenstein, K. Eliceiri, P. Tomancak, A. Cardona, *Nat. Methods* **2012**, *9*, 676-682.
- [35] a) L. B. A. Johansson, Y. G. Molotkovskii, L. D. Bergel'son, *J. Am. Chem. Soc.* **1987**, *109*, 7374-7381; b) R. O. Al-Kaysi, T. Sang Ahn, A. M. Muller, C. J. Bardeen, *Phys. Chem. Chem. Phys.* **2006**, *8*, 3453-3459.
- [36] A. Minsky, A. Y. Meyer, M. Rabinovitz, *J. Am. Chem. Soc.* **1982**, *104*, 2475-2482.
- [37] N. Lopez Mora, J. S. Hansen, Y. Gao, A. A. Ronald, R. Kieltyka, N. Malmstadt, A. Kros, *Chem. Commun.* **2014**, *50*, 1953-1955.

