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Chapter 10



**Cost-effectiveness analysis of scalp cooling to reduce
chemotherapy-induced alopecia**

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Abstract

Background

Alopecia is a frequently occurring side effect of chemotherapy that often can be prevented by cooling the scalp during the infusion. This study compared effects and costs of scalp cooling with usual general oncological care, i.e. purchasing a wig or head cover.

Material and methods

Scalp-cooled patients (n=160) were compared with non scalp-cooled patients (n=86) at 15 Dutch hospitals. Patients were enrolled prior to anthracycline and/or taxane-based chemotherapy for several types of cancer between 2007 and 2008. Cost-effectiveness of scalp cooling compared with that of usual care was determined by the ratio of costs to quality adjusted life years (QALYs). Costs for scalp cooling (machines and nursing time), hair dressers, wigs and head covers were estimated from a societal perspective. QALYs were measured using the Short Form-36.

Results

Scalp cooling reduced the use of a wig or head cover by 40%, but wigs were still purchased unnecessarily by 38% of scalp-cooled patients. Average societal costs decreased therefore only by €269 per patient due to scalp cooling ($p=0.02$). Given the eligibility for scalp cooling at the time, the insignificant difference in QALYs resulted from a balance of the benefits for those patients with successful scalp cooling and those without success. For the Dutch, given the generally accepted threshold of willingness to pay for a QALY (between €20,000 and €40,000), scalp cooling was cost-effective, therefore justifying the choice of scalp cooling or purchasing a wig or head cover.

Conclusion

Given the right indication, cost-effectiveness might be improved further by postponing wig and head cover purchases, by improving scalp cooling efficacy, as well as using the scalp cooling capacity more intensively.

Introduction

Chemotherapy frequently induces alopecia, making cancer visible to the outside world. It is often considered an inevitable side effect of chemotherapy, a temporary burden that should be taken for granted. It has however a negative impact on the well-being of many cancer patients¹⁻³, which -according to some- has been underestimated or ignored by both oncology nurses and medical doctors.^{4,5}

During the last 5 years prevention of chemotherapy-induced alopecia (CIA) has become a topic of supportive care. Of the ±91,000 patients newly diagnosed with cancer in the Netherlands in 2009, 27% received chemotherapy as part of their primary treatment (source: Netherlands Cancer Registry, unpublished data). From 2000 to 2008, the proportion of breast cancer patients who received chemotherapy as part of initial treatment increased by 40%⁶; the proportion even doubled for patients with gastro-intestinal and lung cancer (source: Eindhoven Cancer Registry, unpublished data). The administration of chemotherapy is still increasing. Although the incidence of severe CIA is generally lower in the case of targeted therapies and oral chemotherapy, these agents are often combined with regular cytotoxic drugs that do cause CIA.

Scalp cooling is the most effective method to prevent CIA. In currently used chemotherapies scalp cooling equipment prevents severe hair loss in about half of the patients.⁷⁻⁹ Prevention of CIA by pharmaceutical agents is not very promising as a clinical application in the near future¹⁰⁻¹²; neither are new non-pharmaceutical methods such as electrogenesis or laser therapy.^{13,14} The use of scalp cooling has increased world wide and CIA does not seem to be inevitable anymore.

Medical oncologists have to choose whether they want to offer scalp cooling as a service to patients at risk of severe CIA. Effectiveness and safety, but also costs and attribution of costs play a role in this decision. As part of an introduction program for scalp cooling we therefore compared costs and effects of scalp cooling with those of usual care, i.e. in the Netherlands the choice of purchasing a wig or head cover, when cancer patients are faced with CIA.

Material and methods

Patients and setting

In this non randomised prospective study scalp-cooled patients (n=160) were compared with non scalp-cooled patients with the same chemotherapy regimens (n=86). While the effectiveness of scalp cooling has been proven, also in trials^{7,8}, it would be unethical to randomise patients. Patients were eligible if they received a chemotherapy schedule with the potential of inducing severe CIA and therefore scalp cooling was commonly applied. Patient characteristics were well balanced except for the proportion of patients receiving 5-fluorouracil, epirubicine and cyclophosphamide (FEC) (Table 1). From January 2007 to December 2008, patients were included from 15 hospitals, two of which did not offer scalp cooling. Patients in the scalp cooling hospitals who did not choose scalp cooling could participate in the non scalp-cooled group.

Scalp cooling was performed using the Paxman system (type PSC1 or PSC2) with a standardised cooling time: from 30 minutes before the chemotherapy infusion to 90 minutes after stopping the infusion.

Approval for this study was obtained from the Medical Ethics Committees and all participating patients signed forms of informed consent.

Measures

Patients received four sets of questionnaires (see sections below) with return envelopes and were asked to complete them at home before the start of chemotherapy and three weeks, six and twelve months after completing chemotherapy. Patients were eligible for analysis if they completed at least the first and second questionnaire.

Table 1. Socio-demographic and clinical characteristics of patients treated with or without scalp cooling (n=246).

	Scalp-cooled n=160 (%)	Non scalp- cooled n=86 (%)	p-value
Mean age (range) years	52 (29-75)	51 (28-77)	0.4
Gender			0.07
Male	6 (4)	0	
Female	152 (96)	86 (100)	
Missing	2		
Cancer			0.001
Breast	152 (95)	77 (90)	
Ovary	0	8 (9)	
Gastro-intestinal	3 (2)	0	
Lung	3 (2)	1 (1)	
Prostate	2 (1)	0	
Chemotherapy^a			0.0006
FEC	101 (66)	39 (45)	
Paclitaxel combination	4 (3)	7 (8)	
Docetaxel mono/ combination	8 (5)	1 (1)	
AC+Paclitaxel	11 (7)	11 (13)	
FAC	12 (8)	4 (5)	
FEC+Docetaxel	6 (4)	7 (8)	
TAC	5 (3)	14 (16)	
Other	6 (4)	3 (4)	
Missing	7		
Chemotherapy setting			0.3
Adjuvant	131 (86)	78 (91)	
Palliative	22 (14)	8 (9)	
Missing	7		

^a F=5-Fluorouracil, E=Epirubicin, C=Cyclophosphamide, A=Doxorubicine, T=Docetaxel

Costs

CIA-related costs were estimated from the start of chemotherapy until twelve months after completion. At that time, the hair has grown to such an extent, that the majority of patients are satisfied and stop wearing a wig or head cover.¹⁵ In order to estimate from the societal perspective, we took into account all health effects and changes in resource use caused by scalp cooling. Because of the short time line, costs were not discounted. Costs were converted to the 2010 price level, using the general Dutch consumer price index.¹⁶

Patients reported the cost of wigs and head covers from the start of chemotherapy until six months after completing chemotherapy. It was assumed that patients did not buy additional wigs or head covers after that time. Costs of hair dressers were estimated for all patients up to twelve months following chemotherapy. Other (health) care requirements (e.g. informal care) and productivity (e.g. return to work) were assumed to be unaffected by scalp cooling.

Hospital costs included time spent by nurses and equipment needed for scalp cooling. In each hospital a maximum of ten oncology nurses (range 2-10) completed a questionnaire. Nurses reported the time required to provide information about CIA and the performance of scalp cooling, i.e. fitting and cleaning the cap. Nursing time was valued at gross wages.¹⁷ Equipment costs were collected for two years and included the machine, caps, coolant and maintenance costs. The economic lifetime of the machine and caps was assumed to be 10 years¹⁷. Annual costs were divided by the annual number of sessions. The sessions were recorded by a data manager for all chemotherapy patients treated with scalp cooling in day care during the 2-year study period, including use by non study participants. Scalp cooling is currently not used during clinical chemotherapy treatment.

Additional space required for storage of the equipment was negligible. Also electricity, costs of cleaning the cap and use of disposable gauze bandages for hygienic application of the cool cap's chin strap were too minimal to take into account. No extra treatment chairs or beds were required for scalp cooling in the day care units.

Quality of Life

Utilities represent the valuation of quality of life (QoL) of the patients, on a scale from zero (as bad as death) to one (perfect health). Quality Adjusted Life Years (QALYs) takes into account both the quantity and quality of life generated by health care interventions.

Patients reported general health related QoL using the Short Form-36 (SF-36). From the SF-36 we derived SF-6D scores which were used to calculate utilities.¹⁸ Together with the EQ-5D, HUI and QWB the SF-36 derived SF-6D is one of the methods used for economic evaluations from a societal perspective.^{19,20} The utilities provide societal valuation and offer the possibility of comparison of the impact with other medical interventions.

As sensitivity analysis we also obtained valuations by the patients themselves using a Visual Analogue Scale (VAS), ranging from 0 (worst imaginable QoL) to 100 (perfect QoL). The VAS values were transformed to a utility scale, using the power transformation $1 - (1 - \text{VAS}/100)^{1.61}$.²¹ QALYs were calculated from the area under the utility curves for the entire study period.

Statistics

Socio-demographic and clinical characteristics were compared between scalp-cooled and non scalp-cooled patients using the Chi-square test. Cost analyses were performed with Stata 9.2 (StataCorp, College Station, TX, USA). To reduce possible bias in these analyses due to missing data, multiple imputation by chained equations was used²², with 10 iterations for the switching regression model. For each missing utility or cost measure, an imputation regression model was used that included age, gender, chemotherapy type, number of chemotherapy sessions, setting (adjuvant or palliative), number of scalp cooling sessions, SF36 and VAS for QoL and cost measurements at all moments. Differences in QALYs or use of head covering and costs between scalp-cooled and non scalp-cooled patients were analysed using the bootstrap method.

Base case cost-utility analysis was determined comparing societal costs from the start until 12 months after the stop of chemotherapy and QALYs based on the SF-36. Sensitivity analyses were performed using VAS for QoL and costs of the equipment. Costs of equipment were halved, reflecting doubling the number of scalp-cooled patients who use the machine in a hospital.

The societal Willingness To Pay (WTP) for a QALY is an indicator of cost-effectiveness, comparing WTP * QALYs gained versus costs. Then the probability that a strategy is cost-effective is graphed as a function of WTP in an acceptability curve.²³ Cost-effectiveness is plausible when the probability that scalp cooling is effective (y-axis) exceeds 0.5. The Dutch economic threshold for WTP is assumed to be between €20,000 and €40,000 per QALY.^{24,25}

Results

Costs

The average societal costs decreased €269 (95% CI €46 - €493; $p=0.02$) per scalp-cooled patient compared to usual care (Table 2).

Patient's costs associated with wigs, other head covers and hair dressers were missing for respectively 5%, 5% and 10% of them. Non scalp-cooled patients spent significantly more money (mean difference €534, 95%CI €314 - €754; $p<0.001$) on wigs and head covers and less on hair dressers (mean difference €82, 95%CI €46 - €119; $p<0.001$) from the start of chemotherapy to six months after its completion (Table 2). Overall, the mean price for a wig was €616 (range €43 - €3,000), €265 was the standard refund by health insurance companies in 2007 and 2008, being about 45% of wig costs. Purchasing a wig was reported by 52% of scalp-cooled patients versus 77% of non scalp-cooled patients: health insurance companies saved refund for wigs in about 25% of the chemotherapy patients who were at risk of severe CIA. As several patients had more than one wig during the follow-up period, the mean costs per patient could be higher than the mean price for a wig, as is the case in the non scalp-cooled group (mean costs per patient €946).

When addressing only patients who purchased a wig, scalp-cooled patients bought a mean number of 1.8 wigs versus 2.0 for non scalp-cooled patients, mean prices per wig were respectively €541 and €653.

Table 2. Mean cost per scalp-cooled and non-scalp-cooled patients from the start of chemotherapy until 12 months after completion of chemotherapy.

Cost item per patient	Scalp-cooled n= 160		Non Scalp-cooled n= 86		Mean Difference		p-value ^c
	% ^b	Mean costs (sd) (€)	% ^b	Mean costs (sd) (€)	Costs (€)		
Wig^a							
- before start chemotherapy	46	460 (657)	71	946 (844)	-486		<0.001
- during chemotherapy	30	144	50	322	-178		
- 3 wks - 6 mo after chemotherapy	36	219	66	426	-208		
Head cover^{a,d}	19	97	20	198	-100		
- before start chemotherapy	49	49 (87)	79	97 (153)	-48		0.48
- during chemotherapy	25	12	48	27	-14		
- 3 wks - 6 mo after chemotherapy	35	22	64	49	-27		
Total wig and/or head cover	23	14	34	21	-7		
		509 (673)		1043 (895)	-534		0.005
Hair dresser	92	191 (187)	89	109 (102)	82		0.002
- during chemotherapy	42	32	19	12	20		
- 3 wks - 6 mo after chemotherapy	71	66	53	23	44		
- 6 - 12 mo after chemotherapy	83	93	85	72	18		
Total patient		700 (693)		1152 (909)	-452		<0.001
Total scalp cooling hospital	100	183 (135)	0	0	183		<0.001
- equipment costs	100	94	0	0	94		
- nursing costs	100	89	0	0	89		
Total societal cost		883 (678)		1152 (909)	-269		0.02

wk= weeks, mo= months

^a measured until 6 months after chemotherapy^b percentage of patients who reported costs^c bootstrap method, correcting for non-response using multiple imputation^d wig excluded

Eligibility for scalp cooling varied between hospitals regarding types of cancer and chemotherapy. Non scalp-cooled patients represented 56% of those treated in the two hospitals that did not offer scalp cooling.

One hundred and eight nurses completed the questionnaire on time expenditure. Nurses spent a mean of 10 minutes per patient on information about scalp cooling, which amounted to €5 per patient. Mean nursing time was 36 minutes per patient (range 9-81) and was assessed at €18 per scalp cooling session. Time needed to plan the cooling sessions was negligible (mean 10 minutes per week, range 0-35 minutes).

Nurses performed 1862 scalp cooling sessions per year. The 13 hospitals used six 2-person scalp cooling machines and 17 single-person machines. The mean costs of equipment amounted to €21 per cooling session, with a mean of 144 sessions per hospital per year. Patients underwent a mean of 4.2 scalp cooling sessions.

The mean costs of scalp cooling were €183 per patient per hospital, whereby €94 were equipment costs (machine including caps, coolant and maintenance) and €89 nursing costs.

Quality of Life

For the four measurements in time, SF-6D scores were missing for respectively 6%, 4%, 19% and 26% of the patients. VAS scores were missing for 1%, 2%, 15% and 22% of the patients. According to the SF-6D and VAS, there was no significant difference in QALYs between scalp-cooled and non scalp-cooled patients (Table 3).

Cost-effectiveness

The probability that scalp cooling was cost-effective compared to no scalp cooling depended on the WTP (Figure 1). For low values of the maximum WTP for a QALY (up to €34,000), the probability of being cost-effective was in favour of scalp cooling (above 0.5). For higher values of the WTP this probability decreased and chemotherapy without scalp cooling became preferable (below 0.5). Since the turning point of cost-effectiveness was within the acceptable range of the WTP for a QALY in the Netherlands, both strategies are acceptable from the societal point of view.

Sensitivity analyses

When using VAS for QoL valuation, the difference between scalp-cooled and non scalp-cooled patients was somewhat more pronounced in favour of non scalp-cooled patients than for the SF-6D (Table 3). Therefore, the line of the acceptability curve decreases (Figure 1), indicating that scalp cooling is less likely to be cost-effective.

When the number of patients using the scalp cooling equipment in a hospital is doubled, equipment costs would amount to €12 euro per session and mean costs for the hospital would become €136 per scalp-cooled patient. In this sensitivity analysis, the difference in societal costs became €316 for scalp-cooled versus non scalp-cooled patients (95%CI €93 to €540, $p=0.01$). Then the turning point of the probability that scalp cooling is cost-effective (i.e. 0.5) is about €40,000 per QALY. That is the upper value of the Dutch economic threshold for WTP, indicating that scalp cooling could be considered cost-effective (Figure 1).

Table 3. Mean utility and quality adjusted life years (QALYs) in scalp-cooled and non scalp-cooled patients.

Measure	Scalp-cooled n= 160	Non scalp-cooled n= 86	Difference	p-value ^a
SF-6D				
Average utility				
During Chemotherapy	0.59	0.59	-0.003	
3 wk - 6 mo after Chemotherapy	0.58	0.59	-0.006	
6 - 12 mo after Chemotherapy	0.58	0.60	-0.02	
QALYs ^b	0.78 (sd 0.16)	0.79 (sd 0.13)	-0.008	0.68
VAS				
Average utility				
During Chemotherapy	0.86	0.88	-0.02	
3 wk - 6 mo after Chemotherapy	0.84	0.88	-0.04	
6 - 12 mo after Chemotherapy	0.83	0.88	-0.05	
QALYs ^b	1.13 (sd 0.28)	1.17 (sd 0.22)	-0.04	0.40

wk= weeks, mo= months

^a bootstrap method, correcting for non-response using multiple imputation

^b mean duration study period 487 days for scalp cooling group and 484 days for group without scalp cooling

SF-6D= health related quality of life, VAS= visual analogue scale for quality of life, QALYs= quality adjusted life years, sd= standard deviation

Discussion

Given the indications for treatment with chemotherapy and scalp cooling at the time of this study, scalp cooling appeared to be less expensive than usual care, i.e. purchasing a wig or head cover. Societal savings were €269 per scalp-cooled patient, but there was no significant difference in QALYs compared to non scalp-cooled patients. Using scalp cooling saved €452 for patients, but entailed €183 extra costs per patient for hospitals. All in all, it seems justified to offer both options to the patient.

Assuming 24,500 patients a year with chemotherapy as part of their primary treatment (source: Netherlands Cancer Registry), whereby half would be faced with severe CIA, and half of them would choose scalp cooling, then total savings based on about 6,000 patients per year in the Netherlands would amount to €1,500,000.

To our knowledge, cost-effectiveness of scalp cooling has never been investigated. Only one Willingness To Pay study on CIA found that lung cancer patients were willing to pay €83 per 3-weekly chemotherapy cycle to reduce the risk of CIA from 40% to 5%.²⁶ The WTP depended on the perceived impact of CIA and was higher among females with a higher income.

Cost-effectiveness of scalp cooling can easily be improved by decreasing the costs of anticipated head cover purchase.²⁷ Secondly, costs will be reduced when a higher proportion of patients is satisfied with the scalp cooling result and thus do not feel the need to wear head covering, which is now e.g. about 50% among patients with FEC chemotherapy.²⁸ Therefore, scalp cooling should not be offered to patients with chemotherapy schedules in

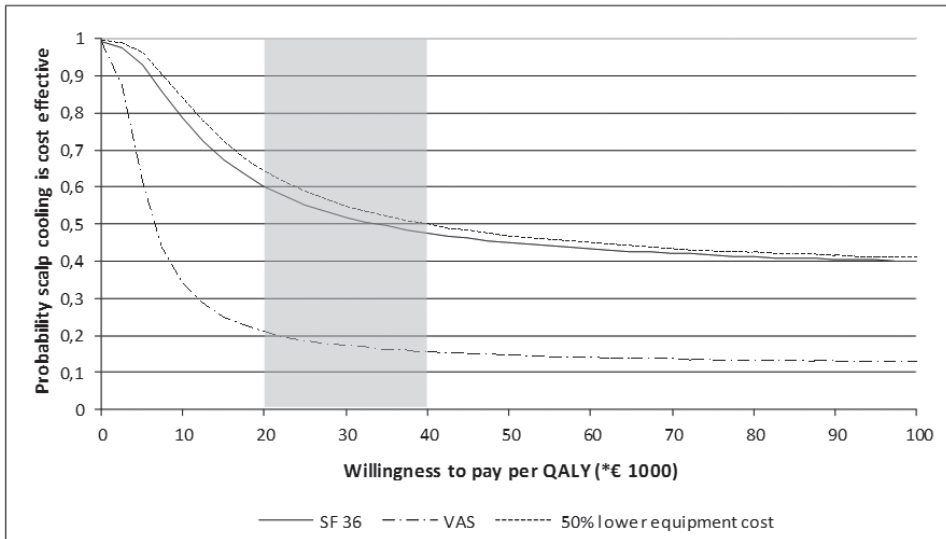


Figure 1. Plausibility of cost-effectiveness of scalp cooling versus no scalp cooling related to societal Willingness To Pay.

which scalp cooling is ineffective, i.e. TAC chemotherapy.²⁸ Furthermore, research should be performed to further improve the efficacy of scalp cooling, e.g. by optimizing scalp cooling times and temperature. Third, savings on nursing staff might be obtained when nursing assistants or volunteers would be trained to apply scalp cooling.

Societal costs may also be reduced if the purchase of machines is aligned to the number of cooling sessions. A hospital that owns one machine can treat at least one patient a day, but in this study on average fewer than three patients a week underwent scalp cooling.

More intense usage of scalp cooling lowered the costs for the machine per session from €24 to €12, but will increase the costs of nursing time. However, while in one hospital nurses spent extra time due to additional methods of fitting the cap -which are not used in any other hospital- nursing time per patient has been somewhat overestimated in this study. The occupation of a bed or chair may become an important additional cost aspect for the cost-effectiveness of scalp cooling, whereas occupation grades of day care units rise. Consequently, cost limits for planning scalp cooling is important, e.g. by shortening the post-infusion cooling time.²⁹

For patients who had purchased a wig, somewhat higher prices per wig were found in the non scalp-cooled patient group, as also a somewhat higher mean number of wigs per patient. This might be explained by scalp-cooled patients who buy the wig as a precaution and therefore might spend somewhat less money, but also do not buy an additional wig when scalp cooling is successful.

Health-related QoL as measured with a generic questionnaire was comparable for scalp-cooled and non scalp-cooled patients. The benefits for successful scalp-cooled patients were

probably balanced by those without success¹, which is 50% of those on FEC chemotherapy. Results can be improved when optimal temperatures and cooling times per chemotherapy type are known.

We used the SF-36 derived SF-6D questionnaire as we expected it to be more sensitive in measuring the effects of scalp cooling than for example the EQ-5D, although no Dutch tariff is available for this questionnaire. We do not expect that using the EQ-5D would have changed our results. On the one hand the EQ-5D does result in lower valuations than the SF-6D³⁰, but on the other hand Dutch valuations are higher than UK valuations³¹, which may offset each other. Furthermore, as both the SF-6D valuations and the VAS results did not differ between both groups, it is not to be expected that the results would had been different when using the EQ-5D or other generic QoL measures.

This non-randomised study has some limitations. Firstly the approach to the supply of wigs and head covering differs between countries. Therefore, our cost-effectiveness model may have to be adapted according to the local situation. Secondly, there are missing data, especially at six and twelve months after completing chemotherapy. Missings were equally distributed among scalp-cooled and non scalp-cooled patients, and we do not expect patients with missing data to have had a worse or better general QoL, because of the fairly homogenous group of patients. Missing imputation was used to account for the missings. Third, differences in wig purchasing between scalp-cooled and non scalp-cooled patients might be somewhat biased by the inclusion of 44% of the non scalp-cooled patients in hospitals that offered scalp cooling. Since these patients did not choose to prevent alopecia by scalp cooling, they might have been less concerned about their appearance. Therefore their QoL might be less influenced by hair loss and they might spend less on head covers and wigs, which might have resulted in an underestimation of the cost-effectiveness of scalp cooling.

This is a first effort to study cost-effectiveness of scalp cooling, which will be subject to change in the near future, while there is certainly much room for improvement. Costs will decrease when scalp-cooled patients delay wig purchase and when patients are more accurately selected for scalp cooling. A high success rate of scalp cooling is needed to attain adequate cost-effectiveness, which will differ per patient group with a certain chemotherapy type and dosage. The rather low costs may be an extra incentive for oncological professionals to offer scalp cooling as a generally highly appreciated service to their patients.

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