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**State monopoly, Chinese style : a case study of the tobacco industry**  
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**Citation**

Cheng, Y. W. (2015, May 28). *State monopoly, Chinese style : a case study of the tobacco industry*. Retrieved from <https://hdl.handle.net/1887/33062>

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Cover Page



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**Issue Date:** 2015-05-28

## Appendices

### Appendix A: The Corruption Case of Chu Shijian

Chu Shijian was famous throughout China in the 1980s, not only as the first figure amongst multiple leaders under the three-in-one system in the tobacco industry, but also for being accused of corruption in 1998. His verdict caused a sensation, as he had enjoyed a great reputation as the “tobacco king” after the Yuxi Cigarette Enterprise grew into the largest Asian cigarette enterprise in the 1990s under his leadership. He was even named the entrepreneur of the year in 1990.

During his 17-year tenure, the Yuxi Cigarette Enterprise contributed at least 800 billion RMB in profits and taxes to the state. But Chu's criminal activities exposed non-plan-specific production and the resulting chaotic situation of the cigarette market in the 1980s. When Yuxi products first became popular, especially in the coastal regions, most tobacco corporations and illicit wholesalers tried to obtain more cigarettes from Yuxi by any means possible. And so, even though Yuxi already produced more cigarettes than permitted under the state plan, its well-known brands could still not meet the market demand and the market prices were much higher than the official production prices as set by the CNTC before price reform was initiated. So even though Yuxi charged more than the official prices for its products so that the surplus poured into a secret coffer—which was used in part as a private source of funds by Chu—the wholesalers could still make huge profits even when they paid in excess of the official prices.

Using personal connections, some relations of high-ranking officials in the Yunnan Province Government thus made a fortune through their involvement in the Yuxi cigarette trades. Their collusion drew the attention of the Central Commission for Discipline Inspection Commission in 1994, which in the following years collected evidence of bribes paid to Chu and his family in exchange for his consent (*pitiao* 批条) to supply Yuxi products. In 1999, Chu was sentenced to *life imprisonment*. He was released in 2002 on medical parole.

## **Appendix B: List of Interviewees**

1. Former Researcher, HT Group, Yunnan, November 6, 2008
2. Researcher, Yunnan University of Finance and Economics, November 7, 2008
3. Employee, HH Group, Yunnan, November 9, 2008
4. Government official, Yunnan Provincial Tobacco Monopoly Bureau, November 11, 2008
5. Researcher, Yunnan University, November 12, 2008
6. Counselor of Promoting New Rural Area, JC County, Yunnan, November 15, 2008
7. Government official, Yunnan Provincial Tobacco Monopoly Bureau, November 17, 2008
8. Employee, HH Group, Yunnan, November 21, 2008
9. Retired employee, HT Group, Yunnan, November 24, 2008
10. Employee, HT Group, Yunnan, November 25, 2008
11. Employee, HT Group, Yunnan, November 25, 2008
12. Government official, Yunnan Provincial Tobacco Monopoly Bureau, November 25, 2008
13. Government official, JC County, Yunnan, November 26, 2008
14. Government official, JC County, Yunnan, November 27, 2008
15. Government official, JC County, Yunnan, November 28, 2008
16. Government official, YX Municipal Tobacco Monopoly Bureau, Yunnan, November 30, 2008
17. Government official, ES County, Yunnan, December 2, 2008
18. Director of Tobacco Procurement Station, ES County, Yunnan, December 2, 2008
19. Government official, ES County, Yunnan, December 2, 2008
20. Employee, HH Group, Yunnan, December 12, 2008
21. Local Correspondent, Yunnan, December 13, 2008
22. Director of Tobacco Procurement Station, ZW County, Liaoning, May 27, 2009
23. Government official, ZW County, Liaoning, May 28, 2009
24. Government official, ZW County Tobacco Monopoly Bureau, Liaoning, May 28, 2009

25. Government official, ZW County Tobacco Monopoly Bureau, Liaoning, May 28, 2009
26. Government official, the STMB/ CNTC, Beijing, May 30, 2009
27. Government official, the STMB/ CNTC, Beijing, May 30, 2009
28. Researcher, *Yunnan* Provincial Academy of Social Sciences Institute, June 30, 2009
29. Researcher, Kunming Institute of Botany, June 30, 2009
30. Government official, YX Municipal Tobacco Monopoly Bureau, Yunnan, July 1, 2009
31. Researcher, Yunnan University, July 6, 2009
32. Government official, the STMB of Yunnan, July 7, 2009
33. Employee, ZY Cigarette Factory, Guizhou, July 15, 2009
34. Employee, ZY Cigarette Factory, Guizhou, July 15, 2009
35. Government official, ZY Municipal Tobacco Monopoly Bureau, Guizhou, July 15, 2009
36. Government official, ZY Municipal Tobacco Monopoly Bureau, Guizhou, July 15, 2009
37. Government official, MT County Tobacco Monopoly Bureau, Guizhou, July 15, 2009
38. Government official, MT County Tobacco Monopoly Bureau, Guizhou, July 16, 2009
39. Government official, MT County Tobacco Monopoly Bureau, Guizhou, July 16, 2009
40. Government official, Guizhou Provincial Tobacco Monopoly Bureau, July 19, 2009
41. Government official, Guizhou Provincial Tobacco Monopoly Bureau, July 19, 2009
42. Government official, Guizhou Provincial Tobacco Monopoly Bureau, July 19, 2009
43. Government official, Yunnan Provincial Tobacco Monopoly Bureau, August 3, 2009
44. Researcher, Anti-smoking Ngo, Yunnan, August 5, 2009
45. Researcher, Consultancy Company, Yunnan, August 5, 2009
46. Former employee, KM Cigarette Factory, Yunnan, August 10, 2009

47. Former Legal Consultant, HT Group, Yunnan, August 14, 2009
48. Tobacco Farmer, LL County, Yunnan, August 21, 2009
49. Tobacco Farmer, LL County, Yunnan, August 22, 2009
50. Tobacco Farmer, LL County, Yunnan, August 22, 2009
51. Tobacco Farmer, LL County, Yunnan, August 22, 2009
52. Tobacco Farmer, LL County, Yunnan, August 22, 2009
53. Tobacco Smuggler, LL County, Yunnan, August 22, 2009
54. Tobacco Farmer, LL County, Yunnan, August 23, 2009
55. Tobacco Farmer, LL County, Yunnan, August 23, 2009
56. Tobacco Farmer, LL County, Yunnan, August 23, 2009
57. Retired Vice-Director, HT Group, Yunnan, September 7, 2009
58. Retired Vice-Director, HT Group, Yunnan, September 8, 2009
59. Retired Vice-Director, HT Group, Yunnan, September 9, 2009
60. Government official, JC County, Yunnan, September 9, 2009
61. Employee, HT Group, Yunnan, September 10, 2009
62. Employee, HT Group, Yunnan, September 10, 2009
63. Employee, HH Group, Yunnan, September 11, 2009
64. Employee, HH Group, Yunnan, September 13, 2009
65. Employee, HH Group, Yunnan, September 13, 2009
66. Retired official, Yunnan Provincial Tobacco Monopoly Bureau, September 15, 2009
67. Former Staff, KM Cigarette Factory, Yunnan, August 10, 2009
68. Staff, HH Cigarette Factory, Yunnan, September 23, 2009
69. Staff, HH Cigarette Factory, Yunnan, September 23, 2009
70. Government official, ML City, Yunnan, September 24, 2009
71. Government official, ML Municipal Tobacco Monopoly Bureau, Yunnan, September 24, 2009
72. Government official, ML County Tobacco Monopoly Bureau, Yunnan, September 24, 2009
73. Government official, ML County Tobacco Monopoly Bureau, Yunnan, September 24, 2009
74. Government official, ML County Tobacco Monopoly Bureau, Yunnan, September 24, 2009
75. Retired researcher, Chinese Academy of Social Science, Beijing, March 4, 2010

76. Former Government official, SX Municipal Tobacco Monopoly Bureau, Zhejiang, January 10, 2011
77. Government official, SX Municipal Tobacco Monopoly Bureau, Zhejiang, January 10, 20

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