

State monopoly, Chinese style: a case study of the tobacco industry Cheng, Y.W.

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Appendices

Appendix A: The Corruption Case of Chu Shijian

Chu Shijian was famous throughout China in the 1980s, not only as the first figure amongst multiple leaders under the three-in-one system in the tobacco industry, but also for being accused of corruption in 1998. His verdict caused a sensation, as he had enjoyed a great reputation as the "tobacco king" after the Yuxi Cigarette Enterprise grew into the largest Asian cigarette enterprise in the 1990s under his leadership. He was even named the entrepreneur of the year in 1990.

During his 17-year tenure, the Yuxi Cigarette Enterprise contributed at least 800 billion RMB in profits and taxes to the state. But Chu's criminal activities exposed non-plan-specific production and the resulting chaotic situation of the cigarette market in the 1980s. When Yuxi products first became popular, especially in the coastal regions, most tobacco corporations and illicit wholesalers tried to obtain more cigarettes from Yuxi by any means possible. And so, even though Yuxi already produced more cigarettes than permitted under the state plan, its well-known brands could still not meet the market demand and the market prices were much higher than the official production prices as set by the CNTC before price reform was initiated. So even though Yuxi charged more than the official prices for its products so that the surplus poured into a secret coffer—which was used in part as a private source of funds by Chu—the wholesalers could still make huge profits even when they paid in excess of the official prices.

Using personal connections, some relations of high-ranking officials in the Yunnan Province Government thus made a fortune through their involvement in the Yuxi cigarette trades. Their collusion drew the attention of the Central Commission for Discipline Inspection Commission in 1994, which in the following years collected evidence of bribes paid to Chu and his family in exchange for his consent (pitiao 批条) to supply Yuxi products. In 1999, Chu was sentenced to life imprisonment. He was released in 2002 on medical parole.

Appendix B: List of Interviewees

- 1. Former Researcher, HT Group, Yunnan, November 6, 2008
- 2. Researcher, Yunnan University of Finance and Economics, November 7, 2008
- 3. Employee, HH Group, Yunnan, November 9, 2008
- Government official, Yunnan Provincial Tobacco Monopoly Bureau, November 11, 2008
- 5. Researcher, Yunnan University, November 12, 2008
- 6. Counselor of Promoting New Rural Area, JC County, Yunnan, November 15, 2008
- Government official, Yunnan Provincial Tobacco Monopoly Bureau, November 17, 2008
- 8. Employee, HH Group, Yunnan, November 21, 2008
- 9. Retired employee, HT Group, Yunnan, November 24, 2008
- 10. Employee, HT Group, Yunnan, November 25, 2008
- 11. Employee, HT Group, Yunnan, November 25, 2008
- Government official, Yunnan Provincial Tobacco Monopoly Bureau, November 25, 2008
- 13. Government official, JC County, Yunnan, November 26, 2008
- 14. Government official, JC County, Yunnan, November 27, 2008
- 15. Government official, JC County, Yunnan, November 28, 2008
- Government official, YX Municipal Tobacco Monopoly Bureau, Yunnan, November 30, 2008
- 17. Government official, ES County, Yunnan, December 2, 2008
- 18. Director of Tobacco Procurement Station, ES County, Yunnan, December 2, 2008
- 19. Government official, ES County, Yunnan, December 2, 2008
- 20. Employee, HH Group, Yunnan, December 12, 2008
- 21. Local Correspondent, Yunnan, December 13, 2008
- 22. Director of Tobacco Procurement Station, ZW County, Liaoning, May 27,2009
- 23. Government official, ZW County, Liaoning, May 28, 2009
- Government official, ZW County Tobacco Monopoly Bureau, Liaoning, May 28, 2009

- Government official, ZW County Tobacco Monopoly Bureau, Liaoning, May 28, 2009
- 26. Government official, the STMB/CNTC, Beijing, May 30, 2009
- 27. Government official, the STMB/CNTC, Beijing, May 30, 2009
- 28. Researcher, *Yunnan* Provincial Academy of Social Sciences Institute, June 30, 2009
- 29. Researcher, Kunming Institute of Botany, June 30, 2009
- 30. Government official, YX Municipal Tobacco Monopoly Bureau, Yunnan, July 1, 2009
- 31. Researcher, Yunnan University, July 6, 2009
- 32. Government official, the STMB of Yunnan, July 7, 2009
- 33. Employee, ZY Cigarette Factory, Guizhou, July 15, 2009
- 34. Employee, ZY Cigarette Factory, Guizhou, July 15, 2009
- 35. Government official, ZY Municipal Tobacco Monopoly Bureau, Guizhou, July 15, 2009
- Government official, ZY Municipal Tobacco Monopoly Bureau, Guizhou, July 15, 2009
- Government official, MT County Tobacco Monopoly Bureau, Guizhou, July 15, 2009
- 38. Government official, MT County Tobacco Monopoly Bureau, Guizhou, July 16, 2009
- Government official, MT County Tobacco Monopoly Bureau, Guizhou, July 16, 2009
- 40. Government official, Guizhou Provincial Tobacco Monopoly Bureau, July 19, 2009
- Government official, Guizhou Provincial Tobacco Monopoly Bureau, July 19, 2009
- 42. Government official, Guizhou Provincial Tobacco Monopoly Bureau, July 19, 2009
- 43. Government official, Yunnan Provincial Tobacco Monopoly Bureau, August 3, 2009
- 44. Researcher, Anti-smoking Ngo, Yunnan, August 5, 2009
- 45. Researcher, Consultancy Company, Yunnan, August 5, 2009
- 46. Former employee, KM Cigarette Factory, Yunnan, August 10, 2009

- 47. Former Legal Consultant, HT Group, Yunnan, August 14, 2009
- 48. Tobacco Farmer, LL County, Yunnan, August 21, 2009
- 49. Tobacco Farmer, LL County, Yunnan, August 22, 2009
- 50. Tobacco Farmer, LL County, Yunnan, August 22, 2009
- 51. Tobacco Farmer, LL County, Yunnan, August 22, 2009
- 52. Tobacco Farmer, LL County, Yunnan, August 22, 2009
- 53. Tobacco Smuggler, LL County, Yunnan, August 22, 2009
- 54. Tobacco Farmer, LL County, Yunnan, August 23, 2009
- 55. Tobacco Farmer, LL County, Yunnan, August 23, 2009
- 56. Tobacco Farmer, LL County, Yunnan, August 23, 2009
- 57. Retired Vice-Director, HT Group, Yunnan, September 7, 2009
- 58. Retired Vice-Director, HT Group, Yunnan, September 8, 2009
- 59. Retired Vice-Director, HT Group, Yunnan, September 9, 2009
- 60. Government official, JC County, Yunnan, September 9, 2009
- 61. Employee, HT Group, Yunnan, September 10, 2009
- 62. Employee, HT Group, Yunnan, September 10, 2009
- 63. Employee, HH Group, Yunnan, September 11, 2009
- 64. Employee, HH Group, Yunnan, September 13, 2009
- 65. Employee, HH Group, Yunnan, September 13, 2009
- 66. Retired official, Yunnan Provincial Tobacco Monopoly Bureau, September 15, 2009
- 67. Former Staff, KM Cigarette Factory, Yunnan, August 10, 2009
- 68. Staff, HH Cigarette Factory, Yunnan, September 23, 2009
- 69. Staff, HH Cigarette Factory, Yunnan, September 23, 2009
- 70. Government official, ML City, Yunnan, September 24, 2009
- 71. Government official, ML Municipal Tobacco Monopoly Bureau, Yunnan, September 24, 2009
- 72. Government official, ML County Tobacco Monopoly Bureau, Yunnan, September 24, 2009
- 73. Government official, ML County Tobacco Monopoly Bureau, Yunnan, September 24, 2009
- 74. Government official, ML County Tobacco Monopoly Bureau, Yunnan, September 24, 2009
- 75. Retired researcher, Chinese Academy of Social Science, Beijing, March 4, 2010

- 76. Former Government official, SX Municipal Tobacco Monopoly Bureau, Zhejiang, January 10, 2011
- 77. Government official, SX Municipal Tobacco Monopoly Bureau, Zhejiang, January 10, 20

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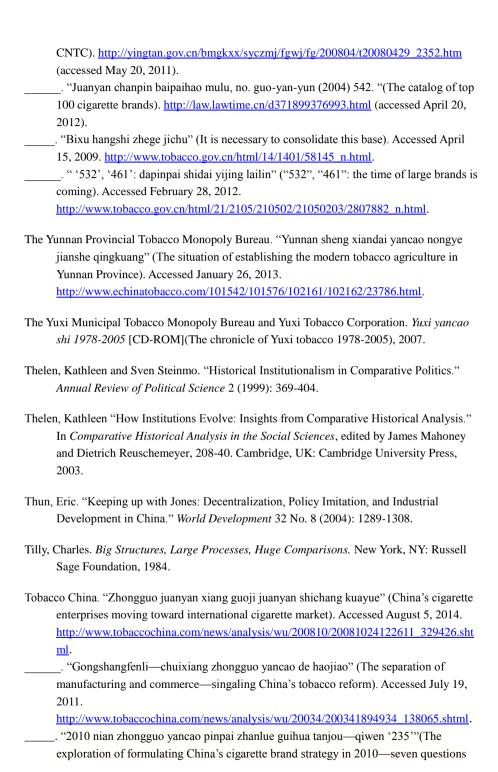
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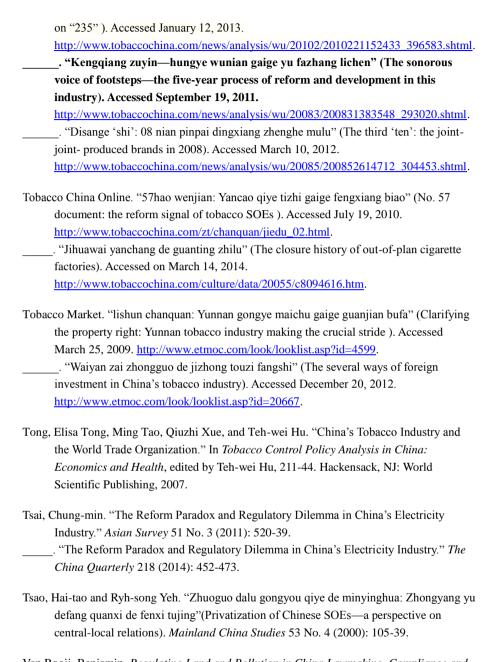
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