Testing of a single grain OSL chronology across the Middle to Upper Palaeolithic transition at Les Cottés (France)

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Abstract

The timing of the Middle Palaeolithic to Upper Palaeolithic transition in France is important to help understand when, where and how Neanderthals have been replaced by Homo sapiens. Radiocarbon dating has been the dating workhorse in constructing the chronological framework pertinent to these questions. In this study, we are testing whether single grain OSL dating has the accuracy and precision to be useful as a complementary dating method. The site of Les Cottés provides an ideal testing ground because of its stratigraphic integrity and reliable radiocarbon chronology. We applied single grain OSL dating of quartz to 19 samples and multi-aliquot MET pIRIR dating of potassium-rich feldspar grains to 5 samples to explicitly test assumptions of pre-depositional resetting of the OSL signal and post-depositional exposure to variable beta dose rates. The good agreement between the single grain OSL and the multi-aliquot MET pIRIR ages suggest that the optical signals of both quartz and feldspar grains were reset prior to deposition and that much of the extra scatter observed in the equivalent dose distribution of quartz grains are likely due to the small-scale differences in beta dose delivered to individual grains. Both the quartz OSL and feldspar MET pIRIR ages show great consistency with the 14C ages on bone collected from the same units. This gives confidence in the measurement and analytical approaches used to derive both the equivalent dose and dose rate, the numerator and denominator, respectively, of the luminescence age equation. These results suggest that a systematic and detailed single grain OSL dating study can have the accuracy and precision that is necessary to play a powerful role in the dating of the Middle and Upper Palaeolithic transition and other questions of importance in this time range and geographical area.

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1. Introduction

Radiocarbon (14C) dating is playing a crucial role is estimating the timing of the transition from the Middle Palaeolithic (MP) to the Upper Palaeolithic (UP) in Europe (e.g., Benazzi et al., 2007; Pinhasi et al., 2011; Douka et al., 2014; Higham et al., 2014; Wood et al., 2013). In doing so, it contributes towards an improved understanding of the nature of the transition, the process of Neanderthal extinction, and the peopling of Europe by early anatomically modern humans. The application of 14C dating plays a pivotal role in addressing key questions that include, amongst many others, whether modern humans have abruptly replaced Neanderthals or not, and whether the two human species may have entirely independent cultural histories, or whether some interaction between the two species may have resulted in cultural diffusion. Although dating itself cannot answer these questions, it is undeniable that a reliable chronological framework for archaeological industries such as the Aurignacian and the Châtelperronian is paramount in our quest to answer these questions.

Over the past two decades significant advances have been made in both 14C dating of charcoal (e.g., Bird et al., 1999), shell (e.g., Douka et al., 2010) and bone (e.g., Higham et al., 2006) and in optically stimulated luminescence (OSL) dating of sedimentary quartz (e.g., Murray and Wintle, 2000; Jacobs and Roberts, 2007) and feldspar mineral grains (Li and Li, 2011; Buylaert et al., 2012). When applying these two methods to Palaeolithic or Stone Age sites, 14C dating is restricted to sites younger than its effective upper limit of ~50 ka, and OSL dating is more commonly applied to sites
older than 50 ka (i.e., where ^14C dating cannot be used). Radiocarbon dating is almost always preferred for sites <50 ka because of the much higher precision that can be obtained, and because the dated materials (i.e., bone, charcoal, shell) are more closely associated with the dating target event (i.e., occupation). Thermoluminescence (TL) dating of burnt flint that also directly date the target event is often applied in European contexts and across this important time period (e.g., Richter et al., 2009). In OSL dating of sediments we have to assume and demonstrate that sediment deposition was pene-contemporaneous with occupation of the site. Radiocarbon dating, therefore, allows construction of more finely resolved chronologies to match the highly resolved archaeological industries, many of relatively short duration, of the European Upper Palaeolithic (UP), in particular.

A major dilemma, however, has been that the events of interest all occurred very close to the upper limit of the ^14C technique. The ^14C ages of older samples are particularly affected by younger contaminants. For example, only 0.5% modern contamination of a sample that dates to 40 ka BP, will result in an underestimate of ~4.4 ka and, thus, a measured age of 35.6 ka BP (Higham, 2011), or 1% modern contamination of a sample >80 ka old, will result in a measured age of ~37 ka BP (Wood et al., 2012). A swath of recent ^14C dating studies have confirmed how earlier ^14C ages produced on charcoal and bone from sites associated with the MP/UP transition have, in many cases, been underestimations because of small-scale post-depositional contamination by younger carbon that was not removed adequately during the laboratory pretreatment of the samples (e.g., Mellars, 2006; Higham et al., 2010, 2011, 2012; Talamo et al., 2012; Wood et al., 2013). Application of new-generation pretreatment techniques, such as acid-base wet oxidation and stepped-combustion (ABOX-SC) procedures for charcoal (Bird et al., 1999), molecular ultrafiltration procedures for bone collagen (Higham et al., 2006) and X-ray diffraction and density separation procedures for shell (Douka et al., 2010), have been pushing back the ages for this critical period within the European Palaeolithic. A remaining common concern, however, is the lack of an internal test that can provide 100% assurance that even the most advanced pretreatment procedures used in ^14C dating are sufficient to decontaminate every sample measured. Although many convincing examples exist that demonstrate the veracity of these new-generation pretreatment techniques (e.g., Wood et al., 2012), it is still common to accept that the oldest ^14C ages among a distribution of ages from the same unit/layer are the closest to the true age of the sample (Higham, 2011), and correspondence with ages produced with independent dating methods is also used (Turney et al., 2001; De Torres et al., 2010; Wood et al., 2012).

In this study, we present the results of a single grain OSL and multiple aliquot multi-elevated-temperature post-IR IRSL (MET-pIRIR) dating study of sediments associated with the MP to UP transition at Les Cottés, France. This site has been previously dated by ^14C dating of bone samples that were pretreated using the ultrafiltration method and measured at two different AMS facilities (Talamo et al., 2012). The aim of this study is, thus, to test the accuracy of the single grain OSL and MET-pIRIR ages against the ^14C ages for that part of the chronology where the ^14C ages are considered to be secure (e.g., the Early and Proto-Aurignacian). If consistency can be demonstrated, then the OSL ages can be used, in turn, to test the ^14C chronology for that part of the sequence where the latter’s accuracy become more ambiguous (e.g., the Mousterian). Les Cottés provides an excellent testing ground since it is one of only a few sites that contain a complete sequence of the cultural phases that make up the MP to UP transition in France. Furthermore, many of the cultural phases are separated by sedimentary layers that contain little or no archaeological remains, so post-depositional mixing between the different cultural phases can be ruled out.

2. Site setting, stratigraphy and archaeological context

Les Cottés (Vienne, France) is located on the northern foot of the plateau separating the Parisian basin and the Aquitaine basin in west-central France (Fig. 1a). The site is positioned at the entrance of a cave cavity inside a Jurassic limestone cliff that is ~9 m high, overlooking the left bank of the Garreme river. The cave itself is 7 m above the level of the river, which is currently ~150 m east of the site.

The deposits inside the cave were excavated in 1881 by de Rochebrune, but all subsequent excavations, including those by Pradel in the 1950s and the new investigations since 2006 by Soressi, have focussed on the deposits at the mouth of the cave and those immediately outside the cave (Fig. 1b) (Roussel and Soressi, 2013).

The archaeological materials are found in a sandy—clay matrix, together with large quantities of centimetre- to decimetre-size limestone clasts of limestone. The sediments are thought to be derived from lacustrine and aeolian deposits that are present on the plateau and slopes surrounding the site. Slope run-off as well as disintegration of the limestone cliff appears to be the most likely process of site formation, but a detailed geological investigation is still underway. The sediments are very similar in all stratigraphic units (see below), with some having slightly higher clay content than others. Some large blocks of limestone can also be found within the deposit. The entire deposit outside the cave dips at ~10° in the direction of the river, with the thickest part of the deposits being those closest to the cave walls. So, the maximum separation of the different archaeological industries can be found in the deposits closest to the cave mouth.

The current excavation team has divided the sedimentary deposits into 8 broad stratigraphic units, termed US01–US08, each also containing smaller sub-divisions. These can be correlated with the different archaeological industries that were first described by Pradel (1961), and further defined by Soressi et al. (2010) and Roussel and Soressi (2013). US08 relates to the Mousterian, for which there are currently too few lithics to be assigned to a particular Mousterian variant (e.g., typical Mousterian, Mousterian of Acheulian Tradition etc.). US06 represents the Châtelperronian (CP) that contains ‘Les Cottés points’ described by Pradel (1963) as characteristic of the ‘evolved’ CP. The lower part of US04 (US04.4) contains the Proto-Aurignacian (PA) and the upper part of US04 (04.1 and 04.2) contains the first expression of the Early Aurignacian (EA) at the site. Further evidence for the EA is also found in US02 and is referred to, at this site, as the Upper EA (UEA). The remaining and inter-stratified units (US 01, 03, 05 and 07) are virtually archaeologically sterile (see Table 2 in Talamo et al., 2012). These archaeologically sterile units clearly separate the major archaeological industries. For example, the Mousterian in US08, at the base of the current excavation, is separated from the CP (US06) by a ~7–15 cm thick sterile layer (US07), and this CP unit is separated from the PA (US04lower) by a ~10 cm thick sterile layer (US05). A ~40 cm thick sterile clay layer (US03) clearly separates the EA (US04upper) from the UEA (US02). Because of the sloping deposits and the sedimentary processes at play, the site is not a complete ‘horizontal layer cake’ and the separation is clearer in some parts than in others. Fig. 1c shows a photograph of all the exposed section walls and Fig. 2 shows a close-up photograph and schematic view of the stratigraphy of the three parts of the section from which OSL samples were collected.

3. Optical dating

Optical dating provides a means of determining burial ages for sediments (Huntley et al., 1985; Aitken, 1998; Jacobs and Roberts,
The estimated active decay of $^{238}\text{U}$, $^{235}\text{U}$, $^{232}\text{Th}$ (and their daughter products) and period of burial; this radiation is mostly derived from the radioactive rate of exposure of these grains to ionising radiation over the entire period and $^{87}\text{Rb}$ make a significant contribution to sand-sized K-feldspar grains. In addition, however, have long been known to suffer from a malign physical and chemical nature of non-homogeneous bleaching (Roberts et al., 1998, 1999; Jacobs et al., 2011) are of concern (Jacobs and Roberts, 2007). The archaeological sediments at Les Cottés show little potential for mixing because of the clear separation between archaeological units and sedimentary units that are archaeologically sterile. Roof spall contamination is also not a major concern. We dissolved numerous chunks of limestone found within our samples and established that the limestone is quite pure with no detectable sand-sized grains of quartz or feldspar. Partial resetting of the OSL signal remains a possibility because of the high site formation process (i.e., slope run-off). A potential weakness of single grain measurements, in a context like that presented at Les Cottés, is the possible complications of beta microdosimetry – that is, the small-scale differences in the beta dose rate delivered to individual grains. Each individual grain is influenced by the radioactivity of any particle present within a surrounding radius of 2–3 mm. As the beta dose rate for a sample is based on an average estimate and not a grain-specific estimate, the ubiquitous occurrence of small and large limestone pieces throughout the deposits may, thus, lead to greater-than-expected scatter in the single grain $D_e$ estimates (Murray and Roberts, 1997).

To check the reliability of our single grain measurements, we also estimated the IRSL $D_e$ values for a sub-set of our samples using multiple aliquots of sand-sized grains of K-feldspar. K-feldspar grains are much less affected by differences in the external beta dose rate as they have a much greater contribution to the dose rate derived from radioactive $^{40}\text{K}$ and $^{87}\text{Rb}$, as well as uranium and thorium, occurring within the mineral grains. This internal component of the dose rate is not affected by the inhomogeneous distribution of radioactivity external to the grains, thus reducing the effect that small-scale variations in the external beta dose rate may have on the spread in $D_e$ values. Additionally, the effect will be averaged due to the use of large numbers of grains on each aliquot. Feldspars, however, have long been known to suffer from a malign physical

In this study, we estimated the OSL $D_e$ values for all our samples using individual sand-sized grains of quartz. One of the most important advantages of single grain measurements of quartz is the ability to identify and eliminate individual grains that exhibit aberrant luminescence characteristics, as these can lead to erroneous $D_e$ estimates when grains are combined on a multi-grain aliquot (Jacobs et al., 2006; Jacobs and Roberts, 2007). In addition to the removal of grains with aberrant luminescence behaviours, analysis of individual grains of quartz has further benefits in archaeological contexts where: 1) post-depositional disturbances (Jacobs et al., 2006, 2008b; Feathers et al., 2006, 2010; David et al., 2007), and 2) the possibility of roof spall contamination and other
property called ‘anomalous’ fading, which is the leakage of electrons from traps at a much faster rate than would be expected from kinetic considerations (Wintle, 1973). If this fading is not corrected, it often leads to a significant underestimation of the age of a sample. Recent progress in understanding anomalous fading in feldspar has raised the prospect of isolating a non-fading IRSL component, or one less prone to fading; see Li et al. (2014) for a current overview of the methods. This can be achieved by first bleaching the feldspar grains using IR photons at 50 °C and then measuring the post-IR IRSL (pIRIR) signal at an elevated temperature (>200 °C) (Thomsen et al., 2008; Buylaert et al., 2009, 2012; Thiel et al., 2011), or by stimulating the feldspar grains with IR photons at successively higher temperatures from 50 to 300 °C using the so-called multiple-elevated-temperature (MET) pIRIR procedure of Li and Li (2011, 2012). We chose to use the MET-pIRIR method (see discussion below). The major drawback of pIRIR signals is that they are less sensitive to sunlight compared to the conventional IRSL signal or the OSL signal in quartz, and a residual signal is often observed even after an extended bleach (e.g., Li et al., 2013). Given the different signal sensitivities to light exposure, should the quartz and feldspar ages agree then adequate bleaching of the grains during sediment transport prior to burial is demonstrated. So, by using this multiple Ds estimation approach, we should be able to satisfy most of our concerns regarding pre-depositional bleaching and post-depositional beta microdosimetry.

### 4. Sample collection

Nineteen sediment samples were collected for optical dating from each of the major stratigraphic units, including the archaeologically sterile layers. All samples were collected at night using a red light torch for illumination and an auger to extract the sediments from the cleaned section walls. Additional sample material was collected during the day from each sample location for laboratory-based measurements of radioactivity and field moisture content. Fig. 2 shows the positions of all 19 samples in their sedimentary, stratigraphic and archaeological contexts and the same data are presented in Table S1.

Samples were collected from three different areas of the excavation, along the north, east and south walls where the different archaeological industries are best represented and most clearly separated. The red squares in Fig. 1c indicate the relative positions of the sampled profiles. Along the north wall, in squares R4 and R5 (Fig. 2A), we collected 6 samples from the thickest part of the CP (US06), the sterile layer overlying the CP (US05), the FA (US04 lower) and the EA (US04 upper). Along the east wall (Fig. 2B), into square X8, we collected 4 samples: one from the EA (US04 upper), two from the third sterile clay-layer (US03) and one sample from the UEA (US02). This profile gave us the clearest, most horizontal representation of the upper part of the archaeological sequence, but the lower part is not yet revealed here. Along the south wall

### Table 1

Dose rate data, equivalent dose (D₀) values, OSL ages (q) and IRSL ages (f) for sediment samples from Les Cottès. The samples shown in grey boxes are those for which single grain quartz and multiple aliquot K-feldspar grains were measured.

<table>
<thead>
<tr>
<th>Sample code</th>
<th>Field moisture content (%)</th>
<th>Beta</th>
<th>External dose rates (Gy/ka)</th>
<th>Cosmic</th>
<th>Total dose rate (Gy/ka)</th>
<th>D₀ (Gy)</th>
<th>Number of grains</th>
<th>Over-dispersion (%)</th>
<th>Optical age (ka)</th>
</tr>
</thead>
<tbody>
<tr>
<td>US01 – Sterile</td>
<td>6</td>
<td>1.00 ± 0.05</td>
<td>0.53 ± 0.02</td>
<td>0.14</td>
<td>1.72 ± 0.06</td>
<td>62.8 ± 1.3</td>
<td>182/1000</td>
<td>22 ± 2</td>
<td>36.6 ± 1.6</td>
</tr>
<tr>
<td>US02 – Upper Early Aurignacian</td>
<td>8</td>
<td>1.25 ± 0.06</td>
<td>1.05 ± 0.04</td>
<td>0.11</td>
<td>2.45 ± 0.08</td>
<td>88.7 ± 1.6</td>
<td>176/1000</td>
<td>23 ± 2</td>
<td>36.2 ± 1.8</td>
</tr>
<tr>
<td>US03 – Sterile</td>
<td>15</td>
<td>1.14 ± 0.07</td>
<td>0.92 ± 0.05</td>
<td>0.16</td>
<td>2.23 ± 0.08</td>
<td>93.3 ± 1.3</td>
<td>411/2000</td>
<td>23 ± 1</td>
<td>41.9 ± 1.9</td>
</tr>
<tr>
<td>US04 upper – Early Aurignacian</td>
<td>20</td>
<td>1.17 ± 0.08</td>
<td>0.94 ± 0.06</td>
<td>0.16</td>
<td>2.27 ± 0.10</td>
<td>89.7 ± 2.4</td>
<td>123/1000</td>
<td>23 ± 1</td>
<td>39.5 ± 2.1</td>
</tr>
<tr>
<td>US05 – Sterile</td>
<td>18</td>
<td>1.15 ± 0.07</td>
<td>0.59 ± 0.03</td>
<td>0.12</td>
<td>1.87 ± 0.08</td>
<td>76.0 ± 1.2</td>
<td>296/2000</td>
<td>25 ± 1</td>
<td>40.7 ± 2.0</td>
</tr>
<tr>
<td>US06 – Proto-Aurignacian</td>
<td>9</td>
<td>0.97 ± 0.05</td>
<td>0.68 ± 0.03</td>
<td>0.12</td>
<td>1.78 ± 0.06</td>
<td>71.1 ± 1.7</td>
<td>125/1000</td>
<td>19 ± 2</td>
<td>39.9 ± 1.8</td>
</tr>
<tr>
<td>US07 – Sterile</td>
<td>8</td>
<td>0.90 ± 0.05</td>
<td>0.62 ± 0.03</td>
<td>0.11</td>
<td>1.71 ± 0.06</td>
<td>72.6 ± 2.1</td>
<td>75/1000</td>
<td>16 ± 3</td>
<td>42.4 ± 2.1</td>
</tr>
<tr>
<td>US08 – Mousterian</td>
<td>11</td>
<td>0.99 ± 0.05</td>
<td>0.60 ± 0.03</td>
<td>0.10</td>
<td>1.72 ± 0.06</td>
<td>65.9 ± 1.4</td>
<td>267/1900</td>
<td>30 ± 2</td>
<td>38.4 ± 1.8</td>
</tr>
<tr>
<td>US09 – Upper Aurignacian</td>
<td>12</td>
<td>1.01 ± 0.06</td>
<td>0.49 ± 0.02</td>
<td>0.08</td>
<td>1.63 ± 0.06</td>
<td>70.5 ± 2.2</td>
<td>70/1000</td>
<td>32 ± 4</td>
<td>43.3 ± 2.3</td>
</tr>
<tr>
<td>US10 – Sterile</td>
<td>11</td>
<td>0.94 ± 0.05</td>
<td>0.71 ± 0.03</td>
<td>0.09</td>
<td>1.77 ± 0.06</td>
<td>76.1 ± 2.0</td>
<td>128/1000</td>
<td>26 ± 2</td>
<td>43.1 ± 2.1</td>
</tr>
<tr>
<td>US11 – Sterile</td>
<td>13</td>
<td>1.01 ± 0.06</td>
<td>0.48 ± 0.02</td>
<td>0.07</td>
<td>1.59 ± 0.06</td>
<td>75.3 ± 1.5</td>
<td>174/1000</td>
<td>21 ± 2</td>
<td>47.3 ± 2.3</td>
</tr>
<tr>
<td>US12 – Lower Aurignacian</td>
<td>8</td>
<td>1.07 ± 0.06</td>
<td>2.10 ± 0.07</td>
<td>90 ± 8</td>
<td>42.9 ± 4.2</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

a All samples were measured using individual grains of quartz (q) for OSL dating, and selected samples were measured using multi-grain aliquots of K-feldspar (f) for MET-pIRIR dating.

b All multi-grain aliquot MET-pIRIR measurements were made on 90–125 μm diameter grains, and all single-grain quartz OSL measurements were made on 180–212 μm diameter grains. The beta dose rates have been adjusted accordingly.

c All feldspar dose rates include a 0.48 ± 0.04 Gy/ka internal dose rate contribution.

d All feldspar D₀ values are based on the mean value obtained for the measurements made at a stimulation temperature of 250 °C.
etched using 10% HF acid for 40 min to clean the surface of the present in the quartz separate and to remove the alpha-irradiated feldspar. The quartz grains were etched using 40% HF acid for 5 min to dissolve any remaining feldspar grains that may be present in the quartz separate and to remove the alpha-irradiated layer around the surface of the grains. and to also reduce the alpha-irradiated layer around the grain surface.

The quartz OSL measurements and K-feldspar IRSL measurements were made on two different automated Risø TL-DA-20 luminescence readers equipped with a single grain laser (532 nm) and IR diodes (870±40 nm) for stimulation of quartz and feldspar, respectively (Bøtter-Jensen et al., 2003). Irradiations were carried out within each luminescence reader using calibrated 90Sr/89Y beta sources. The 90Sr/89Y beta sources were calibrated using a range of known gamma-irradiated quartz standards for both multi-grain aliquots and individual grain positions. Spatial variations in beta dose rate for individual grain positions were taken into account, based on measurements made using the same gamma-irradiated quartz standards (e.g., Ballarini et al., 2006). The ultraviolet OSL emissions were detected by an Electron Tubes Ltd 9235QA photomultiplier tube fitted with Hoya U-340 filters. The IRSL signals were detected using the same type of photomultiplier tube with the stimulated luminescence passing through a filter pack containing Schott BG-39 and Corning 7-59 filters, which provides a UV/blue transmission window (320–480 nm). All single grain quartz measurements were made using standard single grain discs (gold-plated aluminium discs drilled with 100 holes that are each 300 μm in diameter and 300 μm deep) (Bøtter-Jensen et al., 2000). All multi-grain K-feldspar measurements were made using 9.8 mm diameter stainless steel discs onto which a 5 mm diameter spot was sprayed in the centre with “Silkospray” silicone oil. A monolayer of several hundred grains was adhered to the surface of each disc to form an aliquot for measurement.

### 5. Sample preparation and measurement equipment

For OSL and MET-pIRIR dating, quartz and K-feldspar grains were chemically extracted using standard procedures from the bulk sediment samples and separated using three different densities (2.70, 2.62 and 2.58 g/cm3) of sodium polytungstate solution. Grains of 180–212 μm in diameter were selected for dating of quartz, and grains 90–125 μm in diameter were selected for dating of feldspar. The quartz grains were etched using 40% HF acid for 45 min to dissolve any remaining feldspar grains that may be present in the quartz separate and to remove the alpha-irradiated layer around the surface of the grains. The K-feldspar grains were etched using 10% HF acid for 40 min to clean the surface of the grains and to also reduce the alpha-irradiated layer around the grain surface.

### 6. Single grain OSL

#### 6.1. Measurement procedures

All measurements were made using the single aliquot regenerative-dose (SAR) procedure described elsewhere (e.g., Galbraith et al., 1999; Murray and Wintle, 2000; Jacobi et al., 2006). The SAR procedure involves measuring the OSL signals from the natural (burial) dose and from a series of regenerative doses (given in the laboratory by means of the calibrated 90Sr/89Y beta source), each of which was preheated at 260 °C for 10 s prior to optical stimulation by an intense, green (532 nm) laser beam for 2 s at 125 °C. A fixed test dose (~11 Gy, preheated at 220 °C for 5 s) was given after each natural and regenerative dose, and the induced OSL signals were used to correct for any sensitivity changes during the SAR sequence. A duplicate regenerative dose was included in the procedure, to check on the adequacy of this sensitivity correction. As a check on possible contamination of the etched quartz grains by feldspar inclusions, we also applied the OSL IR depletion–ratio test (Duller, 2003) to each grain at the end of the SAR sequence, using an infrared exposure of 40 s at 50 °C.

The Δt values were estimated from the first 0.22 s of OSL decay, with the mean count recorded over the last 0.3 s being subtracted as background (Fig. 2C). The dose–response data were fitted using a saturating exponential function, and the sensitivity-corrected natural OSL signal was projected on to the fitted dose–response curve to obtain the Δt by interpolation (Fig. 3 inset plot). The uncertainty on this estimate (from photon counting statistics, curve fitting uncertainties, the error associated with the calibration of individual positions, and an allowance of 2% per OSL measurement for instrument irreproducibility) was determined by Monte Carlo simulation, using the procedures described by Duller (2007). The final age uncertainty includes a further 2% (added in quadrature) to allow for any bias in the beta source calibration.

Aberrent grains were rejected using the quality-assurance criteria described and tested previously (Jacobs et al., 2008a).
Table S2 provides the details for all samples and the reasons for rejecting particular single grains. Further details about the characteristics of the rejected grains are provided in Supporting Information.

Under the experimental conditions described above, we recovered correct dose estimates for single grains of quartz from three samples, one each from the top (LC10-4), middle (LC10-11) and bottom (LC10-17) of the sedimentary sequence. Grains of each sample were first bleached with natural sunlight for 3 days, and then given a known dose (80 Gy) in the laboratory. The weighted mean ratios of measured to given dose obtained for the three samples (LC10-4: $0.99 \pm 0.02, n = 102$; LC10-11: $0.99 \pm 0.02, n = 79$; LC10-17: $0.97 \pm 0.02, n = 57$) are statistically consistent with unity, which shows that the chosen SAR procedures can accurately recover a known dose under controlled conditions (Fig. S1). Overdispersion (OD) values of 7.8 $\pm$ 1.6, 5.8 $\pm$ 2.5 and 2.4 $\pm$ 3.6% were obtained for the three dose recovery data sets, respectively. Overdispersion refers to the relative spread in the dose distribution above and beyond that associated with the measurement uncertainties of individual grains, and was calculated using the Central Age Model (CAM) (Galbraith et al., 1999; Galbraith and Roberts, 2012). If all of the scatter were due to measurement error alone, then the OD value would be zero, but this is commonly not the case for quartz grains even under ideal circumstances (Jacobs...

Further details about the general characteristics of the OSL signal from individual grains are provided in Supporting Information.

6.2. \( D_e \) distributions and interpretation

The \( D_e \) values for all accepted grains from all samples are displayed, in stratigraphic order, as radial plots in Fig. S9. An example radial plot of a single grain \( D_e \) distribution for sample LC10-07 is presented in Fig. 4. A total of 20,800 grains were measured for all 19 samples, but only 3007 grains (14.5% of the total) passed the rejection criteria (Table S2). The majority of the grains (~71% of the total) were rejected because they emitted no measurable luminescence signal in response to a ~11 Gy beta dose administered in the laboratory (Fig. S2). Table 1 contains information about the number of grains used for \( D_e \) determination, the \( D_e \) overdispersion values and the modelled \( D_e \) estimate used to calculate the age of each sample.

It is apparent from the radial plots (Fig. S9) and overdispersion values (Table 1) that, for all samples, the individual \( D_e \) values are spread more widely than can be accounted for statistically if they were all consistent with a common value. The overdispersion values range between 19 ± 2 (LC11-03) and 32 ± 4% (LC10-15) (Table 1), and, for each sample, the vast majority of \( D_e \) values are spread randomly around a central value (Fig. S9). Such samples are typical of those that are known or are thought to have been well-bleached prior to burial and remained undisturbed since burial (Jacobs and Roberts, 2007; Arnold and Roberts, 2009; Galbraith and Roberts, 2012). None of the samples show the presence of more than one discrete dose component, whereas multiple components would be expected of samples composed of grains that have statistically different ages and that were mixed together after deposition. This finding is in accordance with our stratigraphic observations of archaeologically sterile sedimentary layers clearly separated from the major archaeological deposits (Fig. 2).

Some of the samples show the occasional high or low \( D_e \) outlier (e.g., LC10-15, LC10-19 and LC11-02). Because these outliers are so few, they do not skew the central \( D_e \) value, but the uncertainty on the \( D_e \) value reflects their presence (i.e., the error on the weighted mean is larger). We attribute these broader-than-expected \( D_e \) distributions to small-scale differences in the beta dose received by individual grains, and the homogeneous spread on the radial plot is due to the ubiquitous inclusion of limestone clasts into the otherwise sandy-clayey sediment matrix.

Because only a single discrete \( D_e \) component can be detected in each of our samples, we calculated the weighted mean of the single-grain \( D_e \) values using the CAM to obtain the most accurate estimate of \( D_e \) for age calculation. The CAM assumes that the \( D_e \) values for all grains are centred on some average value of \( D_e \) and has the virtue of taking any overdispersion into account when determining the weighted mean and its standard error.

7. Multiple-aliquot MET-pIRIR results and interpretation

In this study, we also applied the MET-pIRIR procedure to obtain \( D_e \) estimates on the K-feldspar grains. This procedure utilises the IRSL signals measured by progressively increasing the stimulation temperature from 50 to 250 °C in 50 °C steps. It has previously been reported that MET-pIRIR signals obtained at elevated temperatures (>200 °C) show negligible rates of anomalous fading and, hence, require no fading correction, and that the corresponding MET-pIRIR ages are consistent with independent or quartz OSL ages for various sedimentary samples from China deposited in the last ~300 ka (Li and Li, 2011, 2012). In an earlier study, however, we reported that the K-feldspars extracted from samples collected from Les Cottés exhibit a large proportion (~30%) of non-bleachable or residual signal in their MET-pIRIR signals, even after a prolonged sunlight bleach lasting several days (Li et al., 2013). We also demonstrated that the residual signal is strongly dose dependent, which may lead to large uncertainty in \( D_e \) estimation (Li et al., 2013). To overcome this problem in this study, we used a multiple-aliquot method to avoid having to make the residual dose correction (Table S3).

Several groups of natural aliquots (each comprised of 4–6 aliquots) of each sample were bleached using a solar simulator (Dr. Hönle UVACUBE 400) for 2 h, which has been shown to be sufficient to remove most of the bleachable signal (Li et al., 2013). Each group was then given a different regenerative dose and preheated at 300 °C for 60 s, followed by successive MET-pIRIR measurements at 50, 100, 150, 200 and 250 °C to stimulate regenerative MET-pIRIR signals (\( I_r \)). The aliquots were then given a test dose of 44 Gy, followed by the same preheat and series of MET-pIRIR stimulations to measure their respective sensitivities (\( T_r \)). The sensitivity-corrected MET-pIRIR signal (\( I_r/T_r \)) from each group was then plotted against its regenerative dose to construct a dose response curve. A separate group of natural aliquots was measured in the same way to obtain the natural signal (\( I_n \)) and its sensitivity (\( T_n \)). The full procedure is summarised in Table S3. Because different groups of aliquots were bleached for the same period of time, the residual signals are assumed to be the same, so no residual correction is required. The dose response curve for the MET-pIRIR signal of sample LC10-03, measured at a stimulation temperature of 250 °C, is shown in Fig. 5a.

The advantage of using the MET-pIRIR procedure, compared to the two-step pIRIR procedure, is that multiple ages are obtained for a sample (at different stimulation temperatures). The expectation is that the signal measured at the lowest temperature will yield the youngest apparent age, because this signal is still subjected to complications associated with anomalous fading. As the temperature is increased step-wise, the ages should increase until a plateau is reached, if a stable or non-fading component has been measured.

![Fig. 4. Radial plot of the \( D_e \) distribution for the 123 accepted grains for sample LC10-7. The grey band is centred on the weighted mean \( D_e \) determined using the Central Age Model and used in the final age calculation. Radial plots for all 19 samples are presented in Fig. S9.](image-url)
(Li and Li, 2011, 2012). This can also be illustrated as a $D_e$-Temperature ($D_e$-T) plot, where the $D_e$ values are plotted as a function of their stimulation temperature. The $D_e$-T plot for sample LC10-11 is shown in Fig. 5b. The most accurate age will be obtained from the $D_e$ plateau region, indicated as a stippled line. The corresponding ‘age plateau’ plot is shown in Fig. 6c. A plateau was reached for all 5 samples measured in this study and the final ages are based on the $D_e$ values and uncertainties obtained for the MET-pIRIR signal stimulated at 250 °C.

8. Environmental dose rate measurements and results

The total environmental dose rate to etched sand-sized grains of quartz and feldspar consists of contributions from beta, gamma and cosmic radiation external to the grains, plus an internal dose rate due to radioactive inclusions. Both minerals receive a small internal alpha dose from U and Th inclusions, while K-feldspar grains also have a significant internal beta dose rate from the radioactive decay of $^{40}$K and $^{87}$Rb.

The external beta dose rates for all 19 samples were measured directly using a GM-25-5 low level beta counter (Better-Jensen and Mejdahl, 1988), and allowance was made for the effect of grain size and HF acid etching on beta dose attenuation. For five of the samples, we made two sets of three measurements several weeks apart, to check the reproducibility of our measurements over time. The average ratio of the first/second set of measurements was $1.007 \pm 0.023$, which is well within the reproducibility error of 3–4% measured for each of the samples.

The gamma dose rates for all 19 samples were measured directly at the point of sampling with a NaI(Tl) detector. This approach takes into account the obvious spatial heterogeneity in the gamma radiation field (a radius of ~40 cm) around each sample. The dose rates were estimated using the “threshold” technique (Mercier and Falguères, 2007), which gives an estimate of the combined gamma dose rate from U and Th chains and from $^{40}$K. The detector was calibrated using the doped concrete blocks at Oxford (Rhodes and Schwenninger, 2007).

By measuring the external dose rates in these ways, we have implicitly assumed that the present state of (dis)equilibrium in the U and Th decay chains has prevailed throughout the period of sample burial. These dose rates were corrected for the measured (field) water content of each sample. For water content measurement, we collected sediment at the back of each sample hole (~20–30 cm into the section wall, and away from the dried out excavated faces; we then immediately sealed the bags tightly to prevent drying of the sediments before measurement in the laboratory. We assume that these field values are representative of the average long-term water contents, but note that, for quartz grains, an increase in water content of 1% will result in a ~1% increase in age. The effect on feldspar grains is less, because the internal dose rate is not affected by water content. We assigned a relative uncertainty of ±25% (at 1σ) to accommodate any likely variations over the burial period.

A small, internal alpha dose rate of $0.03 \pm 0.01$ Gy/ka was assumed for the quartz grains. For the K-feldspars, an internal beta dose rate was calculated by assuming internal $^{40}$K and $^{87}$Rb concentrations of $13 \pm 1$% and $400 \pm 100$ µg/g, respectively (Huntley and Barill, 1997; Huntley and Hancock, 2001; Zhao and Li, 2002; Li et al., 2008). These were converted to dose rates using the conversion factors of Guerin et al. (2012), and corrected for the absorbed dose fraction. For K-feldspar grains of 90–125 µm diameter, this yields an effective internal beta dose rate of $0.48 \pm 0.04$ Gy/ka, which is a significant fraction (between 17 and 24%) of the total dose rate.

The cosmic-ray dose rates were estimated from the equations provided by Prescott and Hutton (1994), taking into account the burial depth of each sample (averaged over the entire period of burial), the density of sediment overburden (1.8 g/cm³), and the altitude (90 m) and geomagnetic latitude (49.3°) of Les Cottés. We also took into consideration the cos² φ-zenith angular distribution of cosmic rays (Smith et al., 1997) to allow for the extent of rock shielding of the deposit by the adjacent limestone cliff into which the cave extends. We assigned a relative uncertainty of ±15% to these dose rates to account for the systematic uncertainty in the primary cosmic-ray intensity (Prescott and Hutton, 1994).

For the quartz samples, the total dose rates range between $1.59 \pm 0.06$ and $2.45 \pm 0.08$ Gy/ka. The feldspar samples have the same relative range, but are consistently ~0.5 Gy/ka higher due to the internal beta dose rate. The majority of the quartz samples fall within a much narrower range of dose rates ($1.59 \pm 0.06$ to $1.96 \pm 0.09$ Gy/ka), and only the few samples collected from US03 and US02 have slightly higher total dose rates ($2.23 \pm 0.08$ to $2.45 \pm 0.08$ Gy/ka). The latter is directly related to the much higher clay content, and much lower limestone rubble content, compared to the over- and under-lying units. For all samples, the uncertainty associated with the total dose rate represents the quadratic sum of all known and estimated sources of random and systematic error.

Fig. 5. a. Multiple-aliquot dose response curve for K-feldspar grains from sample LC10-3, constructed using the MET-pIRIR signals stimulated at 250 °C. Each data point represents the average of 4–6 aliquots. b. Equivalent dose Temperature ($D_e$-T) plot for sample LC10-11. The stippled line indicates the plateau obtained for $D_e$ values at the highest stimulation temperatures.
9. Age estimates and comparisons

9.1. Single grain OSL chronology

The $D_e$ and dose rate information is presented in Table 1, together with the final ages for all samples derived from single grains of quartz. Uncertainties on the ages are given as $\sigma$.

For each of the archaeological units, we have calculated ages for at least two and, in some cases, three samples to allow us to check the reproducibility of our ages within each unit and across excavation space. We used the statistical (homogeneity) test of Galbraith (2003) to determine whether the ages from each unit are self-consistent (i.e., the spread in ages for each layer is statistically compatible with the size of the age uncertainties). The calculated $P$-values are provided in Table 2, alongside the individual ages for each archaeological unit. The calculated $P$-values are all $>0.05$ (except for unit US06), which, by convention, suggests that the OSL ages are self-consistent within each unit (US). We accordingly calculated a weighted mean age for each unit, assuming that the individual ages represent a series of events spread over a time interval that is short compared to the size of the uncertainties associated with each individual age in that unit.

The weighted mean OSL ages presented in Table 2 were then used to construct a chronological framework for sediment deposition at Les Cottés. Using this chronology, ages have been inferred for each of the associated archaeological industries. A noteworthy feature is that the weighted mean ages are all in the correct stratigraphic order. An age of $51 \pm 3$ ka is obtained for the uppermost and exposed levels of the Mousterian (US08). The overlying sterile unit (US07) is dated by a single OSL age to $47 \pm 2$ ka, which, in turn, is overlain by sediments containing the Châtelperronian (CP) industry in US06. One of the US06 ages (LC10-13) is younger and statistically inconsistent with the other two ages (see $P$-value in Table 2), and the age for the overlying sterile unit. This age is, thus, an outlier, and we have excluded LC10-13 from the weighted mean age of $43.1 \pm 2.2$ ka obtained for the CP. This age is very similar to the range of $^{14}$C ages presented for the CP at Grotte du Renne ($39.5 - 45.0$ ka cal BP; Higham et al., 2010; Hublin et al., 2012). The CP is separated from the Proto-Aurignacian (PA) by US05, dated to $42 \pm 2$ ka, which is only slightly older than the three, statistically consistent, weighted mean ages of $40 \pm 2$, $41 \pm 2$ and $41 \pm 2$ ka obtained for the PA (US04 lower), Early Aurignacian (EA) (US04 upper) and the sterile layer capping these two industries (US03), respectively. We are unable to statistically separate the PA from the EA using single grain OSL dating, but note that the $^{14}$C chronology for Les Cottés by Talamo et al. (2012) could also not resolve these age and that our ages of $41 \pm 2$ ka for the PA and EA are also consistent with a range of $^{14}$C ages from sites throughout Europe summarised in Higham et al. (2012) and Banks et al. (2013). The Upper Early Aurignacian (UEA) in Unit US02 is dated to $37.2 \pm 1.5$ ka, which is overlain by a thick sterile unit (US01) dated to $35.5 \pm 1.5$ ka. The entire sedimentary sequence so far exposed at Les Cottés thus spans a relatively brief time interval of seventeen millennia, from $-52$ to $-35$ ka.

9.2. Single grain OSL and multiple aliquot pIRIR comparisons

The $D_e$ and dose rate information for the five samples for which we measured the MET-pIRIR signals from K-feldspar grains are also presented in Table 1. In contrast to the single grain OSL measurements on quartz, these $D_e$ values resulted from measurements of thousands of K-feldspar grains for which an ‘average’ result was then obtained and subsequently used to calculate the final $D_e$ value and age. Age_Temperature ($A_T$) plots for all five samples are presented in Fig. 6a–e, and show the ages calculated for the MET-pIRIR signal at each stimulation temperature. Also shown in Fig. 6, as a grey band, is the corresponding single grain OSL age for each sample and its $1\sigma$ error. The MET-pIRIR ages and their uncertainties are also provided in Table S4. As expected, the low temperature MET-pIRIR signals (50 and $100^\circ$C) significantly underestimate the quartz age, whereas the ages obtained from the plateau region are consistent with the quartz OSL age (i.e., within $1\sigma$). This consistency is also evident from the ratio of the single grain quartz OSL age over the $250^\circ$C pIRIR feldspar age (Fig. 6a–e). This agreement between the single grain quartz OSL and multiple-aliquot MET-pIRIR feldspar ages has two important implications: 1) incomplete bleaching
of the OSL and pIRIR signals is not a problem. The pIRIR 250 °C signal requires a longer sunlight exposure time than the OSL signal to empty the relevant traps and reset the ‘clock’, so the consistency in their ages suggests that both signals were completely reset at the time of deposition. 2) The effect of small-scale differences in the beta dose delivered to individual quartz grains, and the rejection of single grains on the basis of their malign OSL behaviours, has not biased the OSL ages.

We, thus, feel confident that our single grain OSL ‘clock’ is performing accurately and that the single grain OSL chronology is reliable.

9.3. OSL and 14C comparisons

Talamo et al. (2012) reported 14C ages for 27 bone samples, of which 16 samples displayed clear evidence of human activity (i.e., cut marks or retouch). All samples were pretreated using the latest molecular ultrafiltration method and they conducted an interlaboratory measurement procedure where samples with enough collagen were split in three, and measured on two different accelerator mass spectrometry (AMS) instruments. Each of the three sample splits were graphitised in the different laboratories, but the graphitised sample from Max Planck was measured on the same AMS as the samples graphitised in Oxford. Excellent measurement reproducibility was obtained and a weighted mean of the different measurements made on the split samples were used to calculate the final ages. It is important to note that the latter reproducibility check is not a test of sample purity, since all sample splits were pretreated simultaneously in one laboratory (EVA-MPI). All but two of the samples contained the required >1% collagen and had C:N ratios that fell well within the accepted range (2.9–3.6) for modern humans and other animals. Also, the majority of samples had %N values for collagen that indicate good protein quality. In modern samples, this is typically ~16% and anything less than ~11% is thought to be problematic. Only two samples from the Mousterian had %N values less than 11% (see Table 3 in Talamo et al., 2012). All 27 14C ages are summarised in Table S5, together with their 68.2% (1σ) and 95.4% (2σ) probability calibrated age ranges determined using IntCal13 (Reimer et al., 2013).

In general, the 14C ages of each stratigraphic unit agree with their stratigraphic position, with the exception of 8 outliers identified by Talamo et al. (2012) (highlighted in grey in Table S5). These outliers follow no systematic trend: in the EA and CP, the ‘outlier’ ages are older, whereas in the PA and M they are younger. Talamo et al. (2012) were not able to determine the reason for these aberrant ages, but they dismissed mixing on the basis of

Fig. 7. Single grain OSL ages shown as filled squares with their corresponding 1σ error bars, together with the calibrated 95.4% (2σ) probability age ranges for the 14C ages (years cal BP) reported by Talamo et al. (2012) and provided in Table S5 in supplementary information. The data are plotted in stratigraphic order.
stratigraphy. So, in their construction of the final 14C chronology, these outliers were omitted and they obtained start and end dates for each of the cultural industries using a Bayesian model built in OxCal. The exact modelled start and end dates are not provided in Talamo et al. (2012), but it can be read from their Fig. 4. From this, it is evident that the entire excavated archaeological sequence represents only ~10 ka of deposition and occupation, ranging from ~46 ka at the start of the so-far exposed Mousterian levels to ~36 ka at the end of the upper EA; this is ~7 ka shorter than the single grain OSL chronology.

We have summarised in Table 2 all the OSL and 14C age ranges. The 14C age ranges are based on the oldest and youngest calibrated ages at 68.2% probability (1σ) and include all 14C age estimates from any given unit, including those identified by Talamo et al. (2012) as ‘outliers’. We have also provided, in brackets and italicised, the age ranges, excluding those ages identified as ‘outliers’. The OSL ages for all samples from all units and the individual calibrated 14C ages at 95.4% probability are also graphically displayed in Fig. 7. From this figure, it can be seen that ages from both methods are in excellent agreement for all units where comparisons exist, including ages for the CP, PA, EA and UEA. This further confirms the accuracy of our single grain OSL ‘clock’.

The only discrepancy between the two sets of ages is for US08, the Mousterian. The OSL ages for this unit, and the age for the overlying sterile unit (US07), are systematically older than the 14C ages. Since we have treated the Mousterian OSL samples in an identical way to those collected from the rest of the sequence, and the sedimentary context are similar to the upper levels, we do not believe that the problem resides in the OSL dating. We have also tested the sensitivity of our ages to changes in, for example, moisture content. To obtain OSL ages consistent with the 14C ages of US08, we would need to assume that the sediments had been completely dry (0% water content) for the entire period of burial; even then, the OSL ages would only be consistent with the upper end of the 14C age range. We, therefore, do not believe water content to be the problem. Partial bleaching can also be discounted because of the consistency between the quartz and feldspar ages (see Fig. 6); the MET-pIRIR ages are derived from stimulation of harder-to-bleach traps, so should partial bleaching be a problem then one would expect the ages for the feldspars to be overestimated relative to the single grain quartz OSL ages. This is not the case. As a result, we believe that the 14C ages for the Mousterian are likely to be underestimates of the true age of the Mousterian at Les Cottés. This may be due to very small-scale contamination of the bone samples that has not been removed by the ultrafiltration pretreatment procedure and that was not detected by any of the internal tests to validate sample purity. The same issue of age underestimation may also afflict other bone samples that lie at the upper limit of 14C dating, where even the smallest amount of remnant contamination by modern carbon is sufficient to make the measured ages appear too young. For such samples, we recommend routinely checking 14C age determination against an independent chronometer, such as single grain OSL dating or, where the sediments are not mixed after deposition, by single aliquot OSL and MET-pIRIR.

10. Concluding remarks

A common need in archaeology is for events to be arranged in the correct sequence on a standardised timescale. Many techniques are now part of the dating arsenal available to the archaeologist to determine the age of the events of interest. Each method has its own advantages and disadvantages and checking the reliability of results obtained against independently obtained ages is both desirable and a necessity. In this study, we deliberately targeted the site of Les Cottés for single grain OSL dating because: 1) it is one of the rare sites where each of the industries that make up the important Middle to Upper Palaeolithic transition in France is present so that the dating application is addressing an important archaeological question. 2) A recent detailed 14C dating study was undertaken, using the latest state-of-the-art preparation and measurement techniques, namely molecular ultrafiltration of bone that are now commonly applied to similar deposits throughout Europe. 3) Modern excavation and recording techniques are used to demonstrate and assure the stratigraphic integrity of the site (i.e., no significant post-deposition mixing). 4) The site represents a complex depositional and dose rate environment that poses a challenge for single grain OSL dating, so that the study represents a true test of the capabilities of the technique. 5) Potassium-rich (K) feldspar grains are also present to provide the opportunity to measure the luminescence signal from a different mineral with different physical properties to independently test assumptions about pre-depositional resetting of the signal and the effect of small-scale differences in the dose received by individual grains of quartz.

The stratigraphic, within- and between-method consistency of the results suggest that all three dating techniques provide reliable ages using the measurement and analytical approaches described and discussed in this study. Importantly, the results demonstrate that the single grain OSL ‘clock’ works well and that the rejection of grains with aberrant behaviours does not bias the results and neither does the use of the central age model to combine the individual Δα values. It also shows that the direct measurement of the gamma and beta dose rates using our standard laboratory approaches give reliable estimates. Furthermore, if the dating strategy is designed well, good resolution in space and time can be obtained that are not that dissimilar from the distribution of 14C ages from the same unit. Single grain OSL dating can, therefore, be used in sites where 14C is not a possibility or where it is problematic and can play an important role in the debate about the transition from the MP to the UP and in the replacement vs acculturation debate of Neandertals by Homo sapiens.

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Appendix A. Supplementary data

Supplementary data related to this article can be found at http://dx.doi.org/10.1016/j.jas.2014.11.020.

References


