### Cover Page



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Author: Smit, Marrit

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# Delayed cord clamping and skin-to-skin influences oximetry values at birth

Marrit Smit Jennifer Dawson Angelina Ganzeboom Stuart Hooper Jos van Roosmalen Arjan te Pas

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#### **ABSTRACT**

#### Objective

To assess whether defined reference ranges of oxygen saturation (SpO<sub>2</sub>) and heart rate (HR) of term infants after birth also apply for infants born after midwifery supervised uncomplicated vaginal birth where delayed cord clamping (DCC) and immediate skin to skin contact (ISSC) is routine management.

#### Design

Prospective observational study

#### **Setting and Patients**

Infants born vaginally after uncomplicated birth, i.e. no augmentation, maternal pain relief or instrumental delivery

#### Interventions

Midwives supervising uncomplicated birth at home or in hospital in the Leiden region (the Netherlands) used an oximeter and recorded SpO<sub>2</sub> and HR in the first 10 minutes after birth.

#### Main outcome measures

SpO<sub>2</sub> and HR values were compared to the international defined reference ranges.

#### Results

In Leiden, values of 109 infants were obtained and are comparable to previously defined reference ranges, except for a higher SpO<sub>2</sub>,p <0.05) combined with a slower increase in the first 3 minutes. The Leiden cohort also had a lower HR (p < 0.05) during the first 10 minutes with a slower increase in the first 3 minutes. In the first minutes after birth, tachycardia (HR > 180 bpm) occurred less often and a bradycardia (<80 bpm) more often (p<0.05).

Conclusions Defined reference ranges can be used in infants born after uncomplicated vaginal birth with DCC and ISSC, but higher SpO<sub>2</sub> and lower HR were observed in the first minutes.



#### INTRODUCTION

Immediately after birth, assessing an infant's condition based on colour is very subjective and not related to oxygen saturation (SpO<sub>2</sub>) levels. <sup>1</sup> In addition, heart rate is often underestimated by auscultation or palpation. <sup>2</sup> The use of pulse oximetry (PO) is recommended in guidelines for evaluating the condition of infants as this offers objective and accurate SpO<sub>2</sub> and HR values. <sup>3,4</sup> Several studies have investigated the normal values of SpO<sub>2</sub> and HR of uncompromised term infants receiving no resuscitation. <sup>5-10</sup> Recently, Dawson et al. combined larger observational studies and defined the reference ranges. <sup>6</sup> The studies used to define the current SpO<sub>2</sub> and HR reference ranges included infants where immediate cord clamping was standard policy. However, recent studies indicate that this markedly influences the hemodynamic transition at birth and could have had an effect on the SpO<sub>2</sub> and HR levels in the first minutes. <sup>6,11</sup> Specifically, compared with cord clamping after ventilation onset, immediate cord clamping causes a reduction in HR and cardiac output. Immediate skin to skin contact (ISSC) was also not performed routinely which could have influenced the values as ISSC has been shown to lower stress responses and heart rates in infants. 12-16 Also in previous studies, infants were included where physiological birth was disrupted by obstetric interventions such as induced or augmented labour, pain relief (opiates, regional anesthesia), instrumental delivery or caesarean section. 5, 17, 18 These interventions could also have caused a delay in transition after birth. It is possible that the defined reference ranges might not reflect the uncomplicated physiological transitional process.

In the Netherlands, almost one third of women (32.9%) give birth supervised by a community-based midwife. In accordance to the Dutch obstetric indication list, midwives supervise uncomplicated vaginal births at home, in birthing facilities or in hospital. <sup>19</sup> In midwifery practice, delayed cord clamping (DCC) and immediate skin to skin contact (ISSC) has been standard care for decades.

Although the benefits of oximetry have been acknowledged by the Royal Dutch College of Midwives, it is undetermined whether the reference ranges are applicable for evaluating term infants after uncomplicated physiological birth where DCC and ISSC is routine management.

In order to assess if previously defined PO reference values are appropriate for evaluating infants born after uncomplicated vaginal births with DCC and ISSC, we compared the SpO<sub>2</sub> and HR data from infants born after midwifery supervised uncomplicated vaginal births (Leiden cohort) with published data from infants born at The Royal Women Hospital, Melbourne, Australia and at the University Hospital of La Fe, Valencia, Spain (defined reference ranges cohort). <sup>6</sup>

#### **METHODS**

A prospective observational study was performed in all seven community based midwifery practices (27 midwives) in the Leiden region supervising low-risk births at home, birthing facilities or in hospital. Birth occurs without interventions such as induced or augmented labour, pain relief (opiates, regional anesthesia), instrumental delivery or caesarean section. In midwifery practices, delayed cord clamping (DCC) is standard care, the cord is clamped after at least 1 minute or when pulsations have ceased. Also immediate skin to skin contact (ISSC) is routine management.

From April 2011 to February 2012, midwives used PO directly after birth for ten consecutive minutes. We allocated a PO (Masimo RAD- 8, Masimo Corporation, Irvine, California) to each midwifery practice; the midwife 'on call' had a device at her disposal. The device contained Signal Extraction Technology, SET V.7.8.0.1 software and set to read measurements with 2-second intervals and maximal sensitivity. <sup>6</sup> We provided all midwives with a timer, synchronized with the PO to record time of birth and initiation of PO measurements. Midwives were instructed to start the timer at the moment the infant had completely left the mother's body, similar to the defined reference ranges group. By using this timer we could calculate at what time after birth the first measurements were recorded.

Midwives were instructed to place a disposable sensor (Masimo Low Noise Cable Sensor (LNCS®) New-born Sensor) around the infant's right wrist and then connect the sensor to the pulse oximeter. <sup>20</sup> Measurements were obtained for a minimum of ten consecutive minutes. Midwives were instructed not to let the device interfere with normal procedures such as DCC and ISSC. Basic characteristics and interventions, if needed, were noted. Only uncomplicated vaginal births, as previously defined were included.

The PO data were downloaded using Trend com software, providing data points for every two seconds. (www.masimo.com) Data with alarm messages (low perfusion, sensor off, ambient light and low signal) were excluded. If in one infant > 90% alarm messages were recorded, this particular infant was excluded for analysis. Although we emphasized during training the importance of starting the timer as accurate as possible, it is not possible for the midwives to start the timer exactly at time of birth. Therefore, for comparison of  $SpO_2$  and HR for each minute we calculated median (inter quartile range, IQR) for each minute by using data points -5 seconds and + 5 seconds around each minute. To calculate percentiles, all valid data points were used, comparable to the LMS-method (the skewness-median coefficient of variation) used for the defined reference ranges. <sup>6,21</sup> For this reason the median and IQR in the reference ranges figure could be a different number than the calculated median (IQR) using the data points -5 seconds and + 5 seconds around each minute. Data were imported into IBM SPSS Statistics (version



20.0; IBM Corporation, Armonk, NY). Infant characteristics are presented as numbers and proportions for categorical variables, means and standard deviation (SD) for normally distributed continuous variables, and medians and inter quartile range (IQR) for variables with skewed distribution. <sup>6</sup>

Differences in minute values of  $SpO_2$  and HR between groups were analysed using independent samples t-test, data that was not normally distributed was analysed using a 2-tailed Mann-Whitney U test. Statistical significance was considered if p < 0.05.

Midwives obtained verbal parental consent prior to the onset of birth. Wall posters and flyers were distributed; midwives informed and subsequently asked parents to participate in the study. Ethical approval was obtained by the Medical Ethics Committee of the Leiden University Medical Center, Leiden, the Netherlands (P.13.155).

#### **RESULTS**

During the study period PO was used on 153 infants. Recordings of 44/153 infants (29%) were excluded for analyses: in 23 infants no data were stored on the device, in ten infants measurements were not obtained during the first ten minutes, in eight infants alarm messages exceeded 90% of the data and in one infant the sensor was accidentally placed on the left wrist. In two infants the midwife in charge felt respiratory support was needed.

Thus, a total of 109/153, (71%) infants were included for analysis. Characteristics are shown in Table 1. DCC was applied in all infants, in 45 infants the exact time was recorded (Table 1).

The amount of data points with alarm message that needed to be excluded was similar in the Leiden group when compared to the defined reference ranges group ( $\mathrm{SpO_2}\,45\%$  vs. 47% data points; HR 46% vs. 44% data points). Thus, the final dataset of the first ten minutes after birth in the Leiden group contained 14 511 individual infant  $\mathrm{SpO_2}$  observations and 9 686 HR observations from 109 infants and in the defined reference ranges group 33 119 infant  $\mathrm{SpO_2}$  observations and 20 318 HR observations from 308 infants. For  $\mathrm{Spo2}$  at one minute, the 10th, 50th, 90th, and 95th percentiles were 60%, 68%, 86%, and 86% and for HR 41, 65, 170 and 170 bpm, respectively. At 2 minutes, 70%, 81%, 89%, and 95% for  $\mathrm{Spo2}$  and 54, 81, 184 and 188 bpm for HR, respectively. At 5 minutes for  $\mathrm{Spo2}\,75\%$ , 91%, 98%, and 99%, and 129, 152, 168 and 173 for HR, respectively.

#### Oxygen Saturation (SpO<sub>2</sub>)

The Leiden percentile chart is characterized by higher SpO<sub>2</sub>, slower rate of rise and a smaller range in the first minutes when compared to the defined reference ranges chart as previously published (Figure 1). <sup>6</sup> The median SpO<sub>2</sub> of the Leiden group was 11, 7 and



4% higher at minute 1, 2 and 3 respectively, but 5, 4, 4, 5, 5, 4 and 2% lower at 4 to 10 minutes after birth when compared to the defined reference ranges group (all statistically significant different except at 4 minutes; Table 2). The 10<sup>th</sup> and 90<sup>th</sup> percentile are shown in table 3.

#### Heart Rate (HR)

Our findings are characterized by slower rate of rise in the first minutes and a lower HR at all minutes, when compared to the defined reference ranges chart as previously published (Figure 2).  $^{22}$  Tachycardia (HR > 180 bpm/ minute) occurred less often in the Leiden group (2.6%) than in the defined reference ranges group (19.3%; p <0.001). For minute 1-10 the percentages of tachycardia were 0, 9, 3, 2, 4, 4, 2, 0, 0, 0 % in the Leiden group and 2, 14, 31, 29, 22, 22, 18, 14, 12, 14 % in the defined reference ranges group (all p <0.05 except at 1 minute). Bradycardia (HR < 80 bpm/minute) occurred more frequent in the Leiden group (6.5%) than in the defined reference ranges group (4.8%; p <0.001). For minute 1-10 the percentages were 70, 47, 13, 3, 2, 3, 1, 1, 0, 0 % in the Leiden group and 50, 21, 6, 2, 1, 1, 2, 1, 0, 0 % in the defined reference ranges group (not significant, except at 1, 2, 3 and 6 minutes p< 0.05).

The median HR of the Leiden group was 19, 75, 10, 18, 17, 14, 10, 13, 7, 12 bpm lower for 1- 10 minutes after birth when compared to the defined reference ranges group (all p < 0.001, except at 1 minute; table 4). The  $10^{th}$  and  $90^{th}$  percentile are shown in table 5.

#### DISCUSSION

In this study we collected  $SpO_2$  and HR values from infants after uncomplicated vaginal birth with DCC and ISSC and compared the measurements with the cohort of infants that were used to define the current reference ranges. However, this cohort of infants included infants that received medical intervention, immediate clamping and ISSC was not routine.  $^6$  Median  $SpO_2$  values in the Leiden group were higher in the very first minutes, but median HRs were lower at all time-points compared to the defined ranges. Considering the fact that it has been recommended to accept values down to the  $10^{th}$  percentile, the differences in the  $10^{th}$  percentile in the first 3 minutes (higher in SpO2 and lower in HR) is noteworthy.  $^{18}$  These observations could imply that caution should be taken in accepting lower levels of  $SpO_2$  and HR as 'normal transition' of healthy term infants.

Several studies report SpO2 values in term infants after birth. <sup>5, 9, 10, 12, 20, 23</sup> This is the first study solely describing infants born after uncomplicated birth with DCC and ISSC. Although the SpO<sub>2</sub> in our group was within the range of acceptable levels, the large amount of data points led to significant differences at almost all time points. The largest



difference was observed within the first 3 minutes with a higher  $SpO_2$  in the Leiden group, followed by smaller differences in  $SpO_2$  that were lower than the previously defined range. This resulted in a lower rate of rise in  $SpO_2$  in our group compared to the defined ranges.

In contrast to the defined reference ranges cohort, DCC is common practice for midwives in the Netherlands. A recent study in preterm lambs showed that DCC is beneficial for the cardiovascular transition, which leads to greater cardiovascular stability. 11 DCC allows time for infants to breathe and increase their pulmonary blood flow so that when the cord is cut, the source of preload for the left ventricle can immediately switch from placental venous return to pulmonary venous return, thereby maintaining left ventricular output. In contrast, cutting the cord before pulmonary transition has started, leads to a sudden loss in preload and a decrease in left ventricular output until ventilation commences. 11 It is likely that cardiovascular stability leads to an improved tissue perfusion, which not only plays an important part in the benefits of delayed cord clamping (lower incidence of NEC and IVH) 24, but could also explain the observed higher SpO, levels in the first minutes after birth in our cohort. In addition, the fact that the Leiden group was more homogenous could contribute to the observed differences as the defined reference ranges are based on a heterogeneous group (augmented labour; e.g. pain relief, instrumental delivery or caesarean section). Interventions during birth could have induced a response within the infant that altered its transition during the very first minutes, even though these infants did not require additional support. Indeed, studies have shown lower SpO<sub>2</sub> levels in the first five minutes after caesarean section, compared to vaginal delivery. 5, 6, 9, <sup>17, 25-28</sup> Although medical obstetric pain relief (combined spinal epidural, inhaled analgesia and opioids) showed no adverse effect on the Apgar score and NICU admission, these interventions could alter the physiological transition at birth, thereby influencing the SpO<sub>2</sub> and HR after birth. <sup>29-31</sup>

Other different physiological processes may be involved as well. Seventy five percent of women in the Netherlands initiate breastfeeding after birth.  $^{32}$  Although we did not record this, it is likely that a substantial number of infants were breastfed at time of pulse oximetry measurements, which is known to influence HR and  $SpO_2$  levels.  $^{16, 33}$  Indeed, feeding (both bottle and breast) is acknowledged to interrupt ventilation and increase oxygen consumption, so the lower  $SpO_2$  levels during the latter part of the study period may simply reflect the fact that more of these infants were feeding. These practices were not common in infants included in the defined reference ranges group.

The lower HR in vigorous infants in the first minutes after birth is not a new finding and has been described before. <sup>6, 22</sup> However, the lower heart rate in our cohort when compared to the cohort of the defined ranges was in contrast to what we expected when considering the effect of DCC. In a recent animal study a reduction in HR (40%) was

observed when the cord was clamped before ventilation, while in the lambs that were ventilated before cord clamping had a smaller decrease in HR. <sup>11</sup> Although other factors (see below) could explain the observed lower HR, it is still possible that DCC could have contributed. DCC prevents a sudden loss in preload and decrease in left ventricular stroke volume and therefore there is less need to compensate this with HR to maintain left ventricular output. <sup>11</sup> Further studies are needed, but we speculate that, in the Leiden group, DCC contributed to the lower incidence of tachycardia and the lower rate of HR rise compared with the defined reference ranges group.

Another possible explanation for the lower heart rates in the first minutes, lower rate of rise and less frequent tachycardia is that all infants in the Leiden group were subjected to ISSC. Various studies report positive effects of ISSC; it reduces the amount of crying and infants maintain higher skin temperature. <sup>13, 33</sup> Also, infants exposed to ISSC have a lower mean HR and respiratory rate after birth compared to those not subjected to ISSC. <sup>12, 14, 15</sup> Similarly, lower cortisol levels at 60 minutes after birth were found in newborn infants immediately placed prone on the mother's bare chest. A lower cortisol level likely reflects a reduced stress response and an associated reduced sympathetic drive for increased HR. <sup>14-16</sup>

Interestingly, the median Apgar score at 1 minute was higher and in discrepancy to the recorded the median heart rate and oxygen saturation at one minute. The midwives did not use the measured values for calculating the Apgar score, but only used their clinical evaluation and in vigorous infants the heart rate is often not counted Our study group was smaller when compared to the study group on which the defined ranges were based (109 versus 308 infants), and consequently less data points could have influenced the observed variation. However, the amount of data points per infant was similar in both groups as well as the percentage of validated data points. <sup>6</sup>

More than half of the infants (54%) in our study were born at home and in this setting the midwife operates alone. Although we emphasized the importance of starting the timer as accurately as possible and the midwives were dedicated, it is possible that the time of birth was not recorded to the precise second. For the percentiles all data points were used (figures 1-2). The possibility that in the Leiden group the time of birth was earlier than recorded could explain the higher SpO<sub>2</sub> levels in the first minutes, but the observed lower heart rates makes this unlikely. It can be difficult to get reliable recordings in the very first minutes and fewer infants were included for analysis. However, the percentage of infants included in our cohort was similar to the cohort of the defined reference ranges.

In conclusion, the reference values can be used for evaluating term infants after uncomplicated birth with DCC and ISSC. Caution, however, should be taken in what we define 'healthy', normal transition and which lower levels we find acceptable. DCC,



ISSC and the absence of medical interventions could explain the observed differences. Future studies are needed to identify which ranges in heart rate and oxygen saturation can be considered normal and how the different factors influence these parameters.

#### Acknowledgements

We would like to thank the midwives in Leiden region for participating in this study and providing us with the necessary data. We are very grateful to all parents who allowed the midwife to take measurements on their new born.

We thank Raul Bénis of Masimo Corporation for his technical support during the study period.

Table 1. Infant Characteristics of both Leiden and Defined reference ranges

	Leiden $(N = 109)$	Defined reference ranges $(N = 308)$
Gestational age, mean (range), wk.	40 weeks (37 – 42)	40 weeks (37-42)
Place of birth, $n$ (%)		
Home	59(54)	0
Birthing Clinic	50 (46)	0
Hospital	0	306 (100)
Birth weight, mean (SD), g	3575 (482)	3581 (514)
Time of umbilical cord clamping, minutes, median (IQR)	5 (3-7)	-
Apgar score at 1 min, median (IQR)	9 ( (9-9)	8 (7-9)
Apgar score at 5 min, median (IQR)	10 (10-10)	9 (9-9)
Apgar score at 10 min, median (IQR)	10 (10-10)	(no 10 min scores)

Table 2. Median (IQR) SpO<sub>2</sub> at 1 to 10 minutes after birth for Leiden versus Defined reference ranges

Time After Birth in Minutes	SpO2 , Median (IQR), %		P	
	Leiden ( <i>N</i> = 109)	Defined reference ranges $(N = 308)$		
1	78 (67-87)	67 (59-77)	< 0.001	
2	80 (74-86)	73 (62-82)	< 0.001	
3	85 (77-91)	81 (67-92)	< 0.01*	
4	86 (80-93)	91 (79-95)	ns	
5	90 (81-95)	94 (86-97)	< 0.05*	
6	91 (85-95)	95 (90-97)	< 0.001	
7	91 (87-95)	96 (93-98)	< 0.001*	
8	92 (89-96)	97 (94-98)	< 0.001*	
9	93 (89-97)	97 (94-98)	< 0.001*	
10	95 (89-98)	97 (94-98)	<0.001*	

<sup>\*</sup> Means corrected for assumption equal variances

Table 3. The  $10^{th}$  and  $90^{th}$  percentiles of  $SpO_2$  per minute after birth

Time After Birth in Minutes	Leiden	Defined reference ranges	
	P10-P90	P10-P90	
1	61-95	48-84	
2	63-94	50-92	
3	62-94	53-97	
4	72-97	67-98	
5	74-98	73-99	
6	79-98	83-99	
7	82-98	89-99	
8	83-98	89-100	
9	86-99	92-99	
10	86-98	92-99	

Table 4. Median (IQR) Heart Rate (HR) per minute after birth of Leiden versus Defined reference ranges

Time After Birth in Minutes	Leiden (109 Infants)	Defined reference ranges (308 Infants)	
	HR (bpm) Median (IQR)	HR (bpm) Median (IQR)	Р
1	61 (42-146)	80 (68-151)	ns
2	85 (67-164)	160 (102-173)	< 0.001*
3	157 (145-169)	167 (152-185)	< 0.001*
4	152 (140-163)	170 (157-182)	< 0.001*
5	150 (140-161)	167 (153-179)	< 0.001*
6	149 (138-162)	163 (153-178)	< 0.001
7	152 (140-161)	162 (150-177)	< 0.001*
8	147 (139-156)	160 (134-173)	< 0.001*
9	149 (138-158)	156 (145-173)	< 0.001*
10	146 (140-153)	158 (144-174)	<0.001*

<sup>\*</sup> Means corrected for assumption equal variances

Figure 1. Oxygen saturation percentiles of the defined reference range and Leiden cohort.

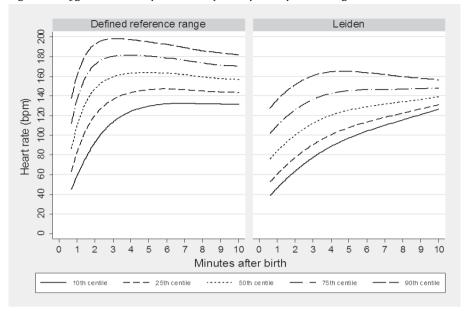


Figure 2. Heart rate percentiles of the defined reference range and Leiden cohort.

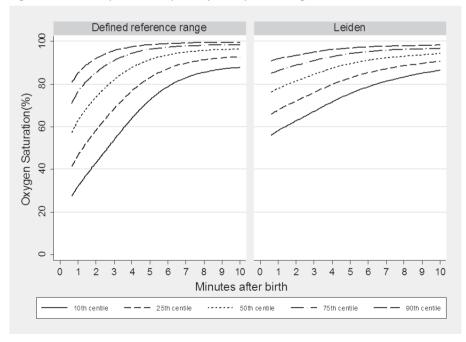






Table 5. The 10th and 90th percentiles of Heart Rate (HR) per minute

Time After Birth in Minutes	Leiden P10-P90	Defined reference ranges P10-P90
1	38-171	56-176
2	54-179	59-187
3	77-174	112-195
4	125-171	138-198
5	126-169	142-193
6	123-168	143-189
7	127-169	137-188
8	125-167	134-185
9	124-169	132-173
10	132-162	134-182

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