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# Thermal metal-insulator transition in a helical topological superconductor

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Two-dimensional superconductors with time-reversal symmetry have a  $\mathbb{Z}_2$  topological invariant, which distinguishes phases with and without helical Majorana edge states. We study the topological phase transition in a class-DIII network model and show that it is associated with a metal-insulator transition for the thermal conductance of the helical superconductor. The localization length diverges at the transition with critical exponent  $\nu \approx 2.0$ , about twice the known value in a chiral superconductor.

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## I. INTRODUCTION

Gapped electronic systems are topological states of matter, subject to phase transitions in which a topological invariant  $\mathcal{Q}$  changes its value.<sup>1</sup> For noninteracting electrons in two spatial dimensions,  $\mathcal{Q} \in \mathbb{Z}$  is integer valued in the absence of time-reversal symmetry, and  $\mathcal{Q} \in \mathbb{Z}_2$  is binary in its presence. Two familiar examples from semiconductor physics are quantum Hall (QH) insulators in a strong magnetic field and quantum spin-Hall (QSH) insulators in a zero magnetic field.<sup>2,3</sup> The topological invariant  $\mathcal{Q}$  determines the number of electrically conducting edge states, which changes by  $\pm 1$  at a topological phase transition. The edge states are chiral (unidirectional) in the QH effect and helical (counterpropagating) in the QSH effect.

Superconductors can also have an excitation gap with topologically protected edge states in two dimensions. The edge states carry heat but no charge, so superconducting analogs of the quantum (spin)-Hall effect refer to the thermal rather than the electrical conductance. The thermal quantum Hall effect ( $\mathcal{Q} \in \mathbb{Z}$ ) appears in the absence of time-reversal symmetry, for example, in a single layer of a chiral  $p$ -wave superconductor.<sup>4-7</sup> Time-reversal symmetry is restored in two layers of opposite chirality  $p_x \pm ip_y$ , producing the thermal analog of the quantum spin-Hall effect ( $\mathcal{Q} \in \mathbb{Z}_2$ ).<sup>8-11</sup> The edge states in both effects are Majorana fermions, chiral for the thermal QH effect and helical for the thermal QSH effect.

There is a large amount of literature on topological phase transitions in QH and QSH insulators as well as in chiral superconductors,<sup>12</sup> but the thermal QSH effect in helical superconductors has remained largely unexplored. This is symmetry class DIII, characterized by the absence of spin-rotation symmetry and the presence of both time-reversal and electron-hole symmetry. Here, we present a study of the phase diagram and critical behavior in helical superconductors. We use a network model in symmetry class DIII for a numerically efficient approach.

We find that the main qualitative effect of time-reversal symmetry is that the transition between two topologically distinct thermal insulators occurs via a thermal metal phase for finite but arbitrarily weak disorder. In contrast, without time-reversal symmetry (in class D), the value of  $\mathcal{Q}$  changes directly without an intermediate metallic phase for weak disorder. For strong disorder, both chiral and helical superconductors have

a thermal metal-insulator transition, but the critical behavior is different: We find a localization length exponent  $\nu \approx 2.0$ , about twice as large as the known value for chiral  $p$ -wave superconductors.<sup>13</sup>

The outline of this paper is as follows. To put our results for helical superconductors in the proper context, in the next section, we first summarize known results for chiral  $p$ -wave superconductors. In Sec. III, we introduce the network model of a helical superconductor, constructed out of two coupled chiral networks.<sup>14</sup> To identify topologically distinct phases, we apply a scattering formulation of the topological quantum number<sup>15</sup> as described in Sec. IV. In Sec. V, we then present the results of our investigation: the phase diagram with the thermal metal-insulator transition, the scaling of the thermal conductivity at the transition, and the critical exponent for the diverging localization length. We conclude in Sec. VI.

## II. CHIRAL VERSUS HELICAL TOPOLOGICAL SUPERCONDUCTORS

According to the Altland-Zirnbauer classification,<sup>16</sup> superconductors without spin-rotation symmetry are in class D or DIII depending on whether time-reversal symmetry is broken or not. In two dimensions, both symmetry classes can be in thermally insulating phases, which are topologically distinct (with or without edge states). In this section, we summarize what is known for the phase diagram in class D before turning to the effects of time-reversal symmetry in class DIII.

A simple model Hamiltonian in class D represents a chiral  $p$ -wave superconductor in the  $x$ - $y$  plane,

$$H_D = v_\Delta(p_x\tau_x + p_y\tau_y) + \left(\frac{p^2}{2m} + U - \mu\right)\tau_z. \quad (2.1)$$

The Pauli matrices  $\tau_i$  (with  $\tau_0$  as the  $2 \times 2$  unit matrix) operate on the electron-hole degree of freedom, coupled by the pair potential  $v_\Delta(p_x \pm ip_y)$ . The Fermi energy is  $\mu$ , and  $U(x, y)$  describes a random disorder potential (zero average).

By adding a spin degree of freedom (with Pauli matrices  $\sigma_i$  and unit matrix  $\sigma_0$ ), one can extend  $H_D$  to the Hamiltonian of a helical superconductor in class DIII,

$$H_{\text{DIII}} = v_\Delta(p_x\tau_x\sigma_z + p_y\tau_y\sigma_0) + \left(\frac{p^2}{2m} + U - \mu\right)\tau_z\sigma_0 + K\tau_y\sigma_y. \quad (2.2)$$

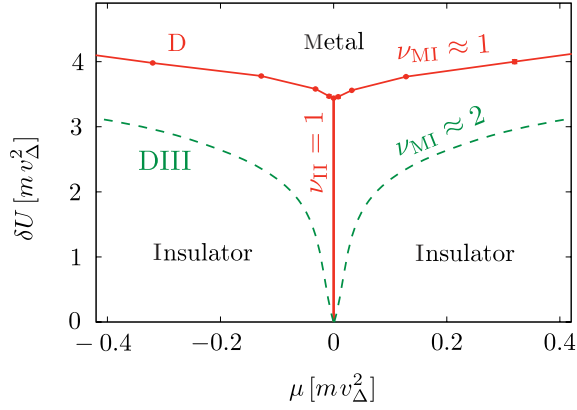


FIG. 1. (Color online) Solid curves (red): Phase boundaries of a chiral  $p$ -wave superconductor, symmetry class D, calculated in Ref. 13 from a lattice model based on the Hamiltonian (2.1).<sup>17</sup> The system is a thermal metal (Majorana metal) for strong disorder and a thermal insulator for weak disorder. The dashed (green) lines qualitatively show the effect of time-reversal symmetry in class DIII: The transition between two topologically distinct insulators then occurs via an intervening metallic phase. The critical exponents for the various phase transitions are indicated: The value for  $\nu_{II}$  is exact,<sup>24</sup> the values for  $\nu_{MI}$  in class D (red, from Ref. 13) and in class DIII (green, from this paper) are numerical estimates.

Both Hamiltonians have electron-hole symmetry  $\tau_x H^* \tau_x = -H$ , but only the Hamiltonian (2.2) has time-reversal symmetry  $\sigma_y H_{DIII}^* \sigma_y = H_{DIII}$ . The term  $K \tau_y \sigma_y$  in  $H_{DIII}$  couples the two spin directions to zeroth order in momentum. Higher-order terms, such as  $p \tau_z \sigma_y$ , can be included as well.

The phase diagram of the chiral  $p$ -wave superconductor was calculated for a lattice model in Ref. 13 by discretizing the Hamiltonian (2.1) on a lattice. A similar phase diagram was obtained earlier<sup>18–20</sup> for a class-D network model (Cho-Fisher model).<sup>14</sup> As shown in Fig. 1, there are two insulating phases plus a metallic phase at strong disorder. The two insulating phases are topologically distinct, one is with and the other is without chiral edge states. The disorder-induced thermal metal (Majorana metal) arises because of resonant transmission through zero modes (Majorana fermions), pinned to potential fluctuations where  $U$  changes sign.<sup>21–23</sup>

The I-I phase boundary separating the two insulating phases and the M-I phase boundary separating insulating and metallic phases meet at a tricritical point. In the insulating phases, the thermal conductivity decays exponentially  $\propto e^{-L/\xi}$  with system size  $L$ . The localization length  $\xi$  diverges  $\propto |\mu - \mu_c|^{-\nu}$  on approaching a phase boundary at  $\mu = \mu_c$ . The critical exponent on the I-I phase boundary (at  $\mu_c = 0$ ) is known analytically,<sup>12,24</sup>  $\nu_{II} = 1$ . The numerically obtained value<sup>13</sup>  $\nu_{MI} = 1.02 \pm 0.06$  on the M-I phase boundary is very close to  $\nu_{II}$ . Indeed, one would expect<sup>25</sup>  $\nu_{MI} = \nu_{II}$  if the phase boundaries at the tricritical point meet at a nonzero angle, which they seem to do.

So much for a summary of known results for chiral superconductors in class D without time-reversal symmetry. The time-reversally symmetric Hamiltonian  $H_{DIII}$  in Eq. (2.2) is just two uncoupled copies of  $H_D$  if  $K = 0$ . Upon increasing the coupling strength  $K$ , the time-reversal symmetry starts to qualitatively modify the phase diagram. As we show in what

follows (as indicated schematically in Fig. 1), a metallic phase develops in between the two insulating phases at weak disorder for  $K \neq 0$ .

### III. CLASS-DIII NETWORK MODEL

For numerical efficiency, we use a network representation of the class-DIII Hamiltonian (2.2). Network models<sup>26</sup> exist for quantum (spin)-Hall insulators<sup>27,28</sup> and for chiral superconductors.<sup>14,29</sup> A network model for helical superconductors was still missing and is provided here.

#### A. Construction

The network is defined on a two-dimensional bipartite square lattice, see Fig. 2. Helical Majorana modes propagate along the bonds and are scattered at the nodes. The helicity of the modes signifies that the direction of motion is tied to the spin degrees of freedom  $\uparrow$  and  $\downarrow$ , represented by dashed and solid lines in the figure. The modes encircle local maxima and minima of the electrostatic potential (indicated by  $\pm$  in the figure) in a clockwise or counterclockwise direction depending on the spin. The Majorana character of the modes signifies that there is no separate electron or hole mode, but one single charge-neutral mode per spin direction.

The nodes of the lattice are saddle points between the local potential maxima and minima, alternating between adjacent plaquettes in a checkerboard pattern. Scattering at the nodes is described by  $4 \times 4$  unitary scattering matrices  $S$  and  $S'$  that alternate between adjacent nodes (black and white dots in the figure). The amplitudes  $a_{n\sigma}, b_{n\sigma}$  of incoming and outgoing

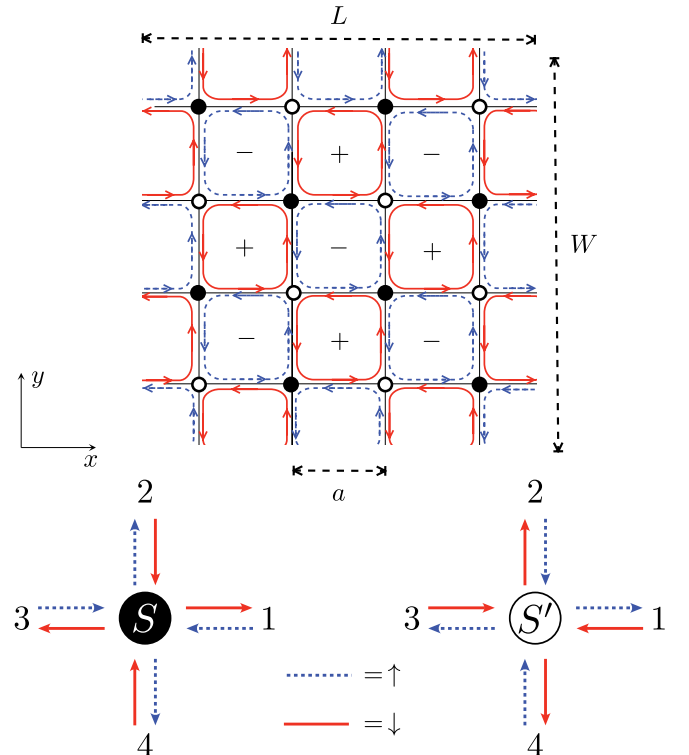


FIG. 2. (Color online) Illustration of the network model described in the text.

modes are related by

$$\begin{pmatrix} b_{1\downarrow} \\ b_{2\uparrow} \\ b_{4\uparrow} \\ b_{3\downarrow} \end{pmatrix} = S \begin{pmatrix} a_{1\uparrow} \\ a_{2\downarrow} \\ a_{4\downarrow} \\ a_{3\uparrow} \end{pmatrix}, \quad \begin{pmatrix} b_{1\uparrow} \\ b_{2\downarrow} \\ b_{4\downarrow} \\ b_{3\uparrow} \end{pmatrix} = S' \begin{pmatrix} a_{1\downarrow} \\ a_{2\uparrow} \\ a_{4\uparrow} \\ a_{3\downarrow} \end{pmatrix}. \quad (3.1)$$

(The labels  $\sigma = \uparrow, \downarrow$  and  $n = 1, 2, 3, 4$  refer to Fig. 2.)

A class-DIII scattering matrix  $S$  at zero excitation energy is constrained by both particle-hole symmetry and time-reversal symmetry. In the basis (3.1) (which relates time-reversed Majorana modes), the unitarity and symmetry constraints read<sup>30</sup>

$$S = S^* = -S^T, \quad S^2 = -1, \quad (3.2)$$

so the scattering matrix is real orthogonal and antisymmetric. (The superscript  $T$  indicates the transpose.)

The most general parametrization contains two real angles  $\alpha, \vartheta \in (0, 2\pi)$  and one  $\mathbb{Z}_2$  index  $\eta \in \{+1, -1\}$ ,<sup>31</sup>

$$S = \begin{pmatrix} A \cos \alpha & -O^T \sin \alpha \\ O \sin \alpha & -\eta A \cos \alpha \end{pmatrix}, \quad (3.3)$$

$$O = \begin{pmatrix} -\cos \vartheta & -\eta \sin \vartheta \\ \sin \vartheta & -\eta \cos \vartheta \end{pmatrix}, \quad A = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}. \quad (3.4)$$

The corresponding parametrization for  $S'$  is obtained upon a permutation of the basis states,

$$S' = P S P^T, \quad \text{with } P = \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}, \quad (3.5)$$

which amounts to a  $90^\circ$  rotation.

The angle  $\alpha$  characterizes the scattering at a node for each of the two spin directions separately, whereas, the angle  $\vartheta$  couples them. For  $\vartheta = 0$ , we have two independent time-reversed copies of the Cho-Fisher model,<sup>14</sup> representing a pair of uncoupled chiral superconductors of opposite chirality. The orthogonal matrix  $O$  couples the two copies and produces a network model for a helical superconductor in much the same way that Obuse *et al.*<sup>28</sup> obtained a network model for a quantum spin-Hall insulator by coupling a pair of Chalker-Coddington models<sup>27</sup> for quantum Hall insulators in opposite magnetic fields.

### B. Vortices

One difference between the superconducting network model considered here and the insulating model of Ref. 28 is that here, the coupling of time-reversed networks is via real orthogonal rather than complex unitary matrices. This difference expresses the Majorana character of the modes, which have real rather than complex wave amplitudes. Another difference is the appearance of the  $\mathbb{Z}_2$  index  $\eta$ , which determines the parity of the number of (time-reversally invariant) vortices in a plaquette.

To see this, we take  $\alpha = 0$  or  $\alpha = \pi$  when the network consists of isolated plaquettes. Denoting the value of  $\eta$  for  $S$  and  $S'$  by  $\eta_S$  and  $\eta_{S'}$ , the phase factor acquired by the Majorana mode as it encircles a plaquette is  $\eta_S \eta_{S'}$ . A bound state at zero excitation energy (doubly degenerate because of time-reversal symmetry) results if  $\eta_S \eta_{S'} = 1$ . Since such

Majorana zero modes come in pairs and each time-reversally invariant vortex in a helical superconductor traps one (doubly degenerate) zero mode,<sup>32</sup> we conclude that the Majorana mode encircles an odd number of vortices for  $\eta_S \eta_{S'} = 1$ .

In what follows, we assume that the system contains no vortices at all in the absence of disorder, so we choose  $\eta_S = 1$ ,  $\eta_{S'} = -1$ . The scattering matrices then take the form

$$S = \begin{pmatrix} 0 & r & t \cos \vartheta & -t \sin \vartheta \\ -r & 0 & t \sin \vartheta & t \cos \vartheta \\ -t \cos \vartheta & -t \sin \vartheta & 0 & -r \\ t \sin \vartheta & -t \cos \vartheta & r & 0 \end{pmatrix}, \quad (3.6a)$$

$$S' = \begin{pmatrix} 0 & -t \cos \vartheta & -r & -t \sin \vartheta \\ t \cos \vartheta & 0 & t \sin \vartheta & -r \\ r & -t \sin \vartheta & 0 & t \cos \vartheta \\ t \sin \vartheta & r & -t \cos \vartheta & 0 \end{pmatrix}, \quad (3.6b)$$

where we have abbreviated  $r = \cos \alpha$ ,  $t = \sin \alpha$ .

### C. Vortex disorder

Disorder is introduced in the network model by varying the scattering parameters in a random way from one node to the other. We choose to keep the coupling strength  $\vartheta$  the same for each node and to vary  $\alpha$ . Following the same procedure as for the Cho-Fisher model,<sup>18</sup> we draw  $\alpha_i$  at each node  $i$  independently from a distribution  $P(\alpha_i)$  given by

$$\begin{aligned} P(\alpha_i) = & (1 - q)\delta(\alpha_i - \alpha) + \frac{1}{2}q\delta(\alpha_i + \alpha) \\ & + \frac{1}{2}q\delta(\alpha_i + \alpha - \pi). \end{aligned} \quad (3.7)$$

The parameter  $q \in [0, 1]$  plays the role of disorder strength.

This is a form of vortex disorder:<sup>18</sup> With probability  $q$  two time-reversally invariant vortices are inserted in the plaquettes adjacent to the  $i$ th node, one vortex in one plaquette and another one in the diagonally opposite plaquette. The diagonally opposite plaquettes are themselves chosen with equal probability  $1/2$  from the two  $\pm$  sublattices in Fig. 2.

We use vortex disorder instead of purely electrostatic disorder [as in the Hamiltonians (2.1) and (2.2)] because it scatters more effectively and allows us to localize wave functions in smaller systems. Both forms of disorder can produce Majorana zero modes,<sup>21,33</sup> so we do not expect qualitatively different features.

## IV. TOPOLOGICAL QUANTUM NUMBER AND THERMAL CONDUCTANCE

A class-DIII topological superconductor in two dimensions has a  $\mathbb{Z}_2$  topological quantum number  $\mathcal{Q} = \pm 1$ . Formulas for  $\mathcal{Q}$  exist based on the Hamiltonian<sup>34</sup> or on the scattering matrix.<sup>15</sup> Since the network model is described in terms of a scattering matrix, we use the latter formulation.

We consider a rectangular geometry in the  $x$ - $y$  plane of length  $L = \mathcal{N}'a$  in the  $x$  direction and width  $W = \mathcal{N}a$  in the  $y$  direction where  $a$  is the lattice constant and  $\mathcal{N}, \mathcal{N}'$  are even integers (see Fig. 2). In the transverse direction, we impose either periodic boundary conditions  $\psi(x, 0) = \psi(x, W)$  or antiperiodic boundary conditions  $\psi(x, 0) = -\psi(x, W)$  on the wave functions. In the longitudinal direction, we have

absorbing boundary conditions,<sup>35</sup> corresponding to normal-metal reservoirs at  $x = 0$  and  $x = L$ .

The scattering matrix  $\mathcal{S}$  of the entire structure has  $\mathcal{N} \times \mathcal{N}$  reflection and transmission blocks,

$$\mathcal{S} = \begin{pmatrix} \mathcal{R} & -\mathcal{T}^T \\ \mathcal{T} & \mathcal{R}' \end{pmatrix}. \quad (4.1)$$

The reflection block is a real antisymmetric matrix. Its Pfaffian<sup>36</sup> determines the topological quantum number,<sup>15</sup>

$$\mathcal{Q} = \text{sgn } Q, \quad Q = (\text{Pf } \mathcal{R}_{\text{pbc}})(\text{Pf } \mathcal{R}_{\text{apbc}}), \quad (4.2)$$

where the labels pbc and apbc refer to the periodic and antiperiodic boundary conditions. (It does not matter if one takes  $\mathcal{R}$  or  $\mathcal{R}'$ , they give the same  $\mathcal{Q}$ .)

The use of periodic (or antiperiodic) boundary conditions is convenient to minimize finite-size effects and is sufficient to study bulk properties. To study edge properties, one can impose reflecting boundary conditions by terminating the lattice as described in Ref. 37. Depending on how the lattice is terminated, one would then find that either  $\mathcal{Q} = +1$  or  $\mathcal{Q} = -1$  produces a helical edge state along the boundary and, therefore, represents a topologically nontrivial phase. In the present paper, without reflecting boundaries, we can distinguish different topological phases—but we cannot decide which phase is trivial and which is nontrivial.

In addition to the topological quantum number, we calculate the two-terminal thermal conductance  $G$  of the strip. This transport property is determined by the transmission matrix  $\mathcal{T}$ ,

$$G = G_0 \text{Tr } \mathcal{T} \mathcal{T}^\dagger, \quad (4.3)$$

with  $G_0 = \pi^2 k_B^2 T_0 / 6h$  as the thermal conductance quantum and  $T_0$  as the temperature of the normal-metal reservoirs. The dimensionless thermal conductivity  $g$  is defined by

$$g = (L/W)(G/G_0). \quad (4.4)$$

For the calculation of the thermal conductivity, we take periodic boundary conditions in the  $y$  direction and a large aspect ratio  $W/L = 4$  so that the choice of boundary conditions in the transverse direction has only a small effect.

## V. TOPOLOGICAL PHASE TRANSITIONS

### A. Phase diagram without disorder

In the absence of disorder, the location of the topological phase transitions can be determined exactly using a duality relation, see Appendix A. We find that the topological quantum number switches sign at critical points  $\alpha_c, \vartheta_c$  that satisfy

$$|\sin \alpha_c \cos \vartheta_c| = |\cos \alpha_c|. \quad (5.1)$$

The phase boundaries are plotted in Fig. 3 (left panel) together with the values of the topological quantum number (4.2) at the two sides of the transition. For  $\vartheta_c = 0$ , we recover the known value  $\alpha_c = \pi/4$  of the critical point in the chiral Cho-Fisher model.<sup>14</sup> This is as expected since, for  $\vartheta = 0$ , our helical network model consists of two independent chiral copies.

### B. Scaling of the critical conductivity

At the phase boundaries, the excitation gap of the system closes. In the chiral network model, this produces a scale-

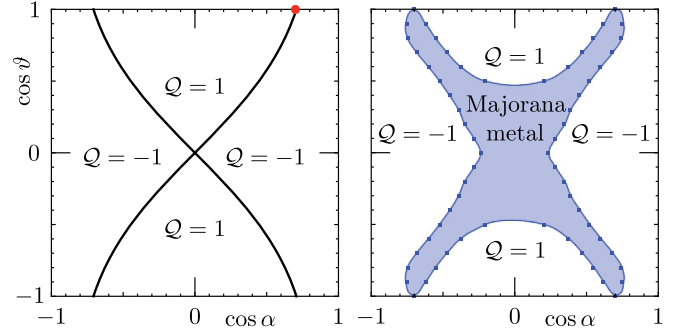


FIG. 3. (Color online) Left panel: phase diagram of the DIII network model without disorder. The solid curves, given by Eq. (5.1), separate helical topological superconductors with different values  $\mathcal{Q}$  of the  $\mathbb{Z}_2$  topological quantum number. The red dot (at  $\vartheta = 0, \alpha = \pi/4$ ) marks the critical point in the Cho-Fisher model<sup>14</sup> of a chiral topological superconductor (class D). Right panel: phase diagram in the presence of disorder ( $q = 0.07$ ) when the topologically distinct phases are separated by a thermal (Majorana) metal.

invariant thermal conductivity  $g = 1/\pi$ , regardless of whether the system is disordered or not,<sup>13</sup> but in our helical model, the conductivity at the critical point scales ballistically  $\propto L$  in the absence of disorder. The ballistic scaling is demonstrated in Fig. 4 and can be understood by examining the long-wavelength Hamiltonian corresponding to the network model.

The calculation of this Hamiltonian proceeds entirely along the lines of the analogous calculation for the quantum spin-Hall insulator,<sup>38</sup> so we only give the result. To first order in the deviation from the Cho-Fisher critical point  $(\alpha, \vartheta) = (\pi/4, 0)$ , we find

$$H = \mathcal{U}^\dagger [p_x \tau_x \sigma_z + p_y \tau_y \sigma_0 + 2(\alpha - \pi/4) \tau_z \sigma_0 + \sqrt{2} \vartheta \tau_y \sigma_y] \mathcal{U} \\ = (p_x \tau_x + p_y \tau_y) \sigma_0 + 2(\alpha - \pi/4) \tau_z \sigma_z + \sqrt{2} \vartheta \tau_0 \sigma_x, \quad (5.2)$$

$$\mathcal{U} = \frac{1}{2}(\tau_0 + i \tau_y) \sigma_0 + \frac{1}{2}(\tau_0 - i \tau_y) \sigma_z. \quad (5.3)$$

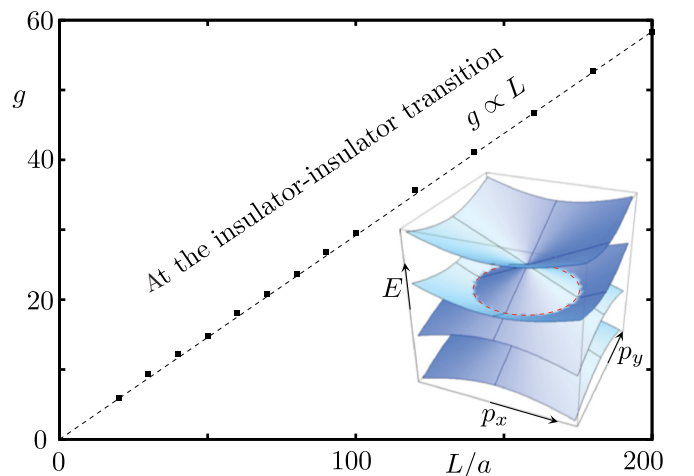


FIG. 4. (Color online) Data points (with the dashed line as a guide to the eye): conductivity as a function of system size (at fixed aspect ratio  $W/L = 4$ ) of the DIII network model without disorder at criticality for  $\vartheta = 0.4$ . The ballistic scaling  $g \propto L$  results from the Fermi circle of the long-wavelength Hamiltonian (5.2) (red dotted circle in the inset).



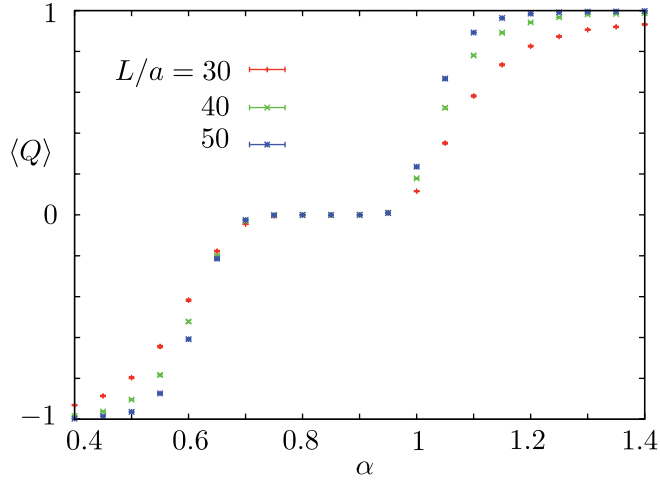


FIG. 5. (Color online) Disorder average of  $Q$ , defined in Eq. (4.2) as a function of  $\alpha$  for fixed  $\vartheta = 0.5$ ,  $q = 0.1$  and different values of  $L = W/4$ . The topological quantum number switches from  $-1$  to  $+1$  in the insulating phases via a plateau of zero average in the metallic phase.

Up to a unitary transformation  $\mathcal{U}$  and to first order in (dimensionless) momentum  $p$ , this Hamiltonian has the form of  $H_{\text{DIII}}$  in Eq. (2.2) with  $\alpha$  playing the role of the chemical potential  $\mu$  and  $\vartheta$  playing the role of the spin coupling strength  $K$ .

The gap closes at  $\alpha = \pi/4$  on a (twofold degenerate) circle  $p_x^2 + p_y^2 = 2\vartheta^2$  in momentum space (inset in Fig. 4). The Fermi wave vector  $k_F = \sqrt{2}|\vartheta|/a$  corresponds to a ballistic conductance  $G/G_0 = 2k_F W/\pi$ . Hence, we find the ballistic critical conductivity,

$$g_c = \frac{2\sqrt{2}|\vartheta|L}{\pi a}, \quad |\vartheta| \ll 1. \quad (5.4)$$

The ballistic scaling of the critical conductivity is a signature of the appearance of a Fermi circle at the phase transition, which is a special property of our model (chosen to maximize the coupling between the two opposite chiralities).

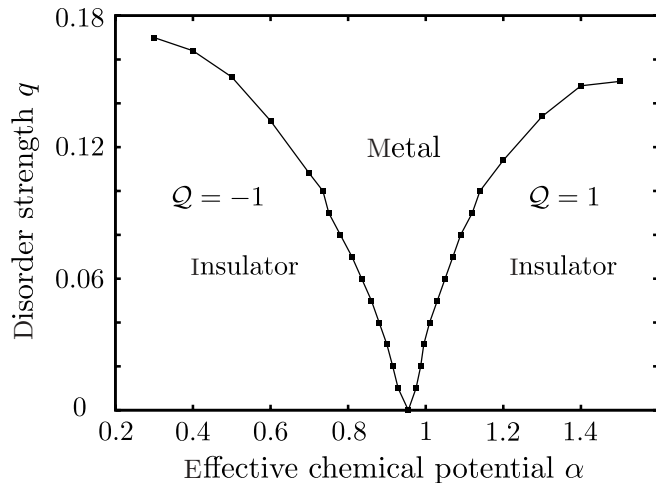


FIG. 6. Phase diagram of the disordered DIII network model for fixed  $\vartheta = 0.785$ .

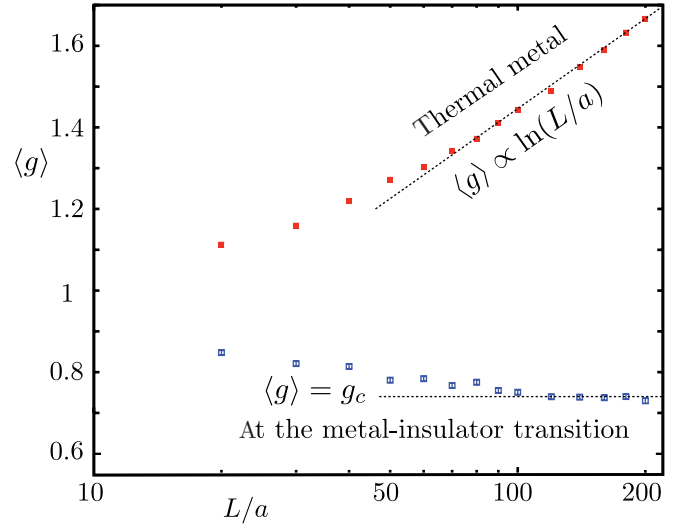


FIG. 7. (Color online) Scaling of the conductivity in the disordered DIII network model ( $\alpha = 1.2$ ,  $\vartheta = 0.5$ ) at the metal-insulator transition (blue data points,  $q = 0.175$ ) and in the metallic phase (red data points,  $q = 0.2$ ). The dotted lines indicate the scale invariance of the critical conductivity and the logarithmic scaling of the metallic conductivity.

More generally, the gap in a class-DIII Hamiltonian will close at four isolated points in momentum space,<sup>39</sup> resulting in a scale-invariant critical conductivity.

### C. Phase diagram with disorder

As disorder is introduced in the system, a metallic phase develops in between the insulating phases so that the switch from  $Q = +1$  to  $Q = -1$  occurs via two metal-insulator transitions. In the metallic region,  $Q$  has a random sign, averaging out to zero (see Fig. 5, where we averaged  $Q$  rather than  $Q = \text{sgn } Q$  to reduce statistical fluctuations). The phase diagram is shown in Fig. 3 (right panel) for a fixed disorder strength  $q$  in the  $\alpha$ - $\vartheta$  plane and in Fig. 6 for fixed  $\vartheta$  in the

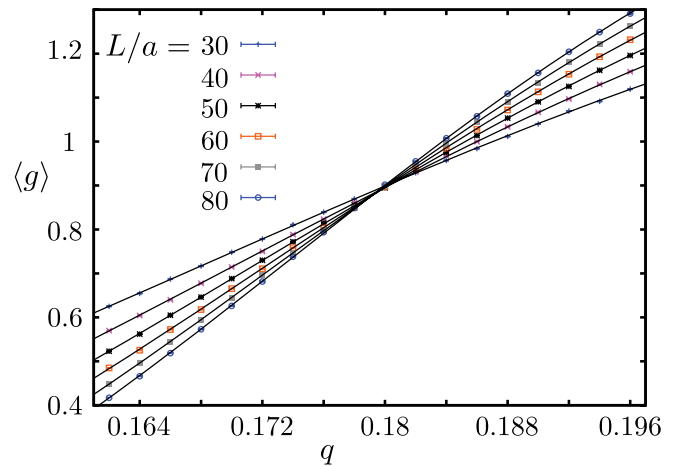


FIG. 8. (Color online) Disorder-averaged conductivity as a function of disorder strength for various system sizes  $L = W/4$  at fixed  $\alpha = 1.2$ ,  $\vartheta = 0.5$ . Solid curves are a fit to the scaling law as described in Appendix B. The curves cross at the critical point.

TABLE I. Critical exponent  $\nu$  and critical conductivity  $g_c$  with  $\pm 3\sigma$  as confidence levels indicated by square brackets, extracted from a finite-size scaling analysis with  $x = q$  or  $x = \alpha$  as the control parameter to drive the system through a metal-insulator transition. The two cases correspond to transitions into a topological phase with an opposite value of  $\mathcal{Q}$ .

Control parameter	$\nu$	$g_c$
$x \equiv q, \alpha = 1.2, \vartheta = 0.5$	2.06 [1.89, 2.20]	0.74 [0.66, 0.81]
$x \equiv \alpha, q = 0.1, \vartheta = 0.785$	1.93 [1.78, 2.24]	0.73 [0.69, 0.76]

$\alpha$ - $q$  plane. The metallic regions become broader and broader with increasing disorder, and for  $q \gtrsim 0.2$ , no insulating phase is left.

Figure 7 shows the sample-size dependence of the average conductivity, both at the metal-insulator transition and in the metallic phase. [The exponential decay  $\propto \exp(-L/\xi)$  in the insulating phase is not shown.] Although, without disorder, the conductivity scales ballistically  $\propto L$  at the critical point (see Fig. 4), disorder restores the scale invariance that is the hallmark of criticality. In the metallic phase, we find a logarithmically increasing conductivity  $\langle g \rangle = c \ln(L/a)$ , characteristic for a Majorana metal,<sup>5,12,13</sup> with  $c = 1/\pi$  (dotted line in Fig. 7).

### D. Critical exponent

The metal-insulator transition is associated with a diverging localization length  $\xi \propto |x - x_c|^{-\nu}$ , where  $x$  can be any of the control parameters  $\alpha$ ,  $\vartheta$ , and  $q$  and  $x_c$  is the value of  $x$  at the critical point. To determine the critical exponent  $\nu$ , we perform a finite-size scaling analysis of the thermal conductivity in a manner analogous to the paper of Slevin and Ohtsuki.<sup>40</sup>

Typical data is shown in Fig. 8 where we follow the thermal conductivity through the metal-insulator transition upon varying the disorder strength  $q$  at fixed  $\alpha$  and  $\vartheta$ . The dimensions  $L$  and  $W$  of the system are increased at fixed aspect ratio  $W/L = 4$ . The curves are fits of the data to the scaling law as described in Appendix B. Results are given in Table I.

## VI. CONCLUSION

In conclusion, we have presented a network model for a two-dimensional helical  $p$ -wave superconductor and have used it to investigate the topological phase transitions. The presence of time-reversal symmetry (class DIII) leads to differences with the more familiar chiral  $p$ -wave supercon-

ductors (class D) of a qualitative nature (the appearance of a thermal metal separating the thermally insulating phases) and of a quantitative nature (an approximate doubling of the critical exponent). Helical superconductors have not yet been convincingly demonstrated in experiments, but there is an active search and a variety of candidate materials.<sup>48–52</sup>

This paper fills in the last missing entry in the list of critical exponents of two-dimensional topological phase transitions (see Table II), completing a line of research on network models that started with the seminal paper of Chalker and Coddington on the quantum Hall effect.<sup>27</sup> It is intriguing that the effect of time-reversal symmetry is close to a doubling of the critical exponent (from  $\nu \approx 1.0$  in class D to  $\nu \approx 2.0$  in class DIII), but this may well be accidental.

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## APPENDIX A: LOCATION OF THE CRITICAL POINT IN THE NETWORK MODEL WITHOUT DISORDER

The critical point in the clean DIII network model ( $q = 0$ ) can be obtained from a duality relation: Exchange of the scattering matrices  $S$  and  $S'$  of the two sublattices (black and white nodes in Fig. 2) has the effect of exchanging the trivial and nontrivial phases. This can be seen most easily for reflecting boundary conditions when the exchange of the sublattices either creates or removes the helical edge state (see Fig. 7 of Ref. 37).

The exchange of  $S$  and  $S'$  amounts to the transformation of  $\alpha, \vartheta$  into  $\alpha', \vartheta'$ , given by

$$\begin{aligned} \cos \alpha' &= -\sin \alpha \cos \vartheta, & \cos \alpha &= -\sin \alpha' \cos \vartheta', \\ \sin \alpha' \sin \vartheta' &= \sin \alpha \sin \vartheta. \end{aligned} \quad (\text{A1})$$

Equivalently, the unit vector  $\hat{n} = (\sin \alpha \cos \vartheta, \sin \alpha \sin \vartheta, \cos \alpha)$  is transformed into

$$n'_x = -n_z, \quad n'_y = n_y, \quad n'_z = -n_x, \quad (\text{A2})$$

which amounts to a reflection in the plane  $x + z = 0$ . The network is self-dual if  $\hat{n}$  lies in this plane. Since a self-dual network is at the critical point, we arrive at a sufficient condition for criticality  $n_x + n_z = 0$ , or equivalently,

$$\sin \alpha_c \cos \vartheta_c + \cos \alpha_c = 0. \quad (\text{A3})$$

TABLE II. Overview of the critical exponents in the five symmetry classes that exhibit a topological phase transition in two dimensions.

	Symmetry class	Time-reversal symmetry	Spin-rotation symmetry	Topological quantum number	Insulator-insulator transition	Metal insulator transition	References
Quantum Hall insulator	A	$\times$	$\times$	$\mathbb{Z}$	$\nu \approx 2.6$		27,41
Quantum spin-Hall insulator	AII	$\checkmark$	$\times$	$\mathbb{Z}_2$		$\nu \approx 2.7$	28,42–45
Chiral $d$ -wave superconductor	C	$\times$	$\checkmark$	$\mathbb{Z}$	$\nu = 4/3$		46,47
Chiral $p$ -wave superconductor	D	$\times$	$\times$	$\mathbb{Z}$	$\nu = 1$	$\nu \approx 1.0$	13,24
Helical $p$ -wave superconductor	DIII	$\checkmark$	$\times$	$\mathbb{Z}_2$		$\nu \approx 2.0$	This paper

TABLE III. Parameters for the nonlinear fitting analysis, giving the critical exponent and conductivity of Table II.

Control parameter	Fit parameters	Irrelevant exponent	Reduced $\chi^2$	Number of degrees of freedom	Goodness of fit
$x \equiv q$	$n = m_1 = 1, m_0 = 3$	$y = -0.44$	1.04	97	0.65
$\alpha = 1.2, \vartheta = 0.5$	$q_r = 2, q_i = 0$	$[-0.81, -0.30]$			
$x \equiv \alpha$	$n = 1, m_0 = m_1 = 2$	$y = -0.67$	1.06	128	0.56
$q = 0.1, \vartheta = 0.785$	$q_r = 3, q_i = 1$	$[-0.78, -0.53]$			

An alternative condition can be obtained by noting that the transformation  $\alpha \mapsto -\alpha$  leaves the reflection matrix  $\mathcal{R}$  unaffected. The topological quantum number (4.2), therefore, remains unchanged, so if  $\alpha_c, \vartheta_c$  is critical, then also,  $-\alpha_c, \vartheta_c$ . We, thus, have a second sufficient condition for criticality,

$$-\sin \alpha_c \cos \vartheta_c + \cos \alpha_c = 0. \quad (\text{A4})$$

Equations (A3) and (A4) together give the condition (5.1).

## APPENDIX B: FINITE-SIZE SCALING ANALYSIS

We determine the critical exponent  $\nu$  associated with the metal-insulator transitions on both sides of the metallic phase by an analysis of the system size  $L = W/4$  dependence of the disorder-averaged conductivity  $g$ . Following the general approach of Slevin and Ohtsuki,<sup>40</sup> we take finite-size corrections to scaling in the form of nonlinearities in the scaling variable  $u$  as well as the presence of an irrelevant scaling exponent  $y < 0$  into account.

The finite-size scaling law reads

$$g = F(u_0 L^{1/\nu}, u_1 L^y), \quad (\text{B1})$$

in terms of the relevant scaling variable  $u_0$  and the leading irrelevant scaling variable  $u_1$ . We perform a Taylor expansion, first up to order  $n$  in powers of  $u_1$ ,

$$g = \sum_{k=0}^n u_1^k L^{ky} F_k(u_0 L^{1/\nu}), \quad (\text{B2})$$

and then on each of the functions  $F_k$  up to order  $m_k$  in powers of  $u_0$ ,

$$F_k(u_0 L^{1/\nu}) = \sum_{j=0}^{m_k} u_0^j L^{j/\nu} F_{kj}. \quad (\text{B3})$$

We tune through the metal-insulator transition by varying one parameter  $x \in \{\alpha, \vartheta, q\}$  through the critical point  $x_c$ , keeping the other two parameters fixed. Nonlinearities are taken into account by Taylor expanding the relevant and irrelevant scaling variables in powers of  $x - x_c$  up to orders  $q_r$  and  $q_i$ , respectively,

$$u_0(x - x_c) = \sum_{k=1}^{q_r} b_k (x - x_c)^k, \quad (\text{B4})$$

$$u_1(x - x_c) = \sum_{k=0}^{q_i} c_k (x - x_c)^k. \quad (\text{B5})$$

The expansion of the relevant scaling variable does not contain a zeroth-order term due to the requirement  $u_0(0) = 0$  for a scale-invariant critical conductivity.

The average conductivity is determined up to a precision between  $\sim 0.2\%$  and  $\sim 0.07\%$  (error bars much smaller than the size of the symbols in Fig. 8). We perform the fit by minimizing the  $\chi^2$  statistic and express the goodness of fit as well as the degree of uncertainty in the fit parameters through a Monte Carlo resampling technique<sup>53</sup> as appropriate for a nonlinear fitting function. Results are collected in Tables I and III.

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