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**Oxidation of Pd(553): From ultrahigh vacuum to atmospheric pressure**

R. Westerström, J. Gustafson, A. Resta, A. Mikkelsen, J. N. Andersen, and E. Lundgren  
*Department of Synchrotron Radiation Research, Lund University, Box 118, S-221 00 Lund, Sweden*

N. Seriani and F. Mittendorfer  
*Institut für Materialphysik, Universität Wien, A-1090 Wien, Austria*

M. Schmid, J. Klikovits, and P. Varga  
*Institut für Allgemeine Physik, Technische Universität Wien, A-1040 Wien, Austria*

M. D. Ackermann and J. W. M. Frenken  
*Kamerlingh Onnes Laboratory, Leiden University, P.O. Box 9504, 2300 RA Leiden, The Netherlands*

N. Kasper and A. Stierle  
*Max-Planck Institut für Metallforschung, Heisenbergstrasse 3, D-70569 Stuttgart, Germany*  
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The oxidation of a vicinal Pd(553) surface has been studied from ultrahigh vacuum (UHV) to atmospheric pressures at elevated sample temperatures. The investigation combines traditional electron based UHV techniques such as high resolution core level spectroscopy, low-energy electron diffraction, scanning tunneling microscopy with *in situ* surface x-ray diffraction, and *ab initio* simulations. In this way, we show that the O atoms preferentially adsorb at the step edges at oxygen pressures below  $10^{-6}$  mbar and that the (553) surface is preserved. In the pressure range between  $10^{-6}$  and 1 mbar and at a sample temperature of 300–400 °C, a surface oxide forms and rearranges the (553) surface facets and forming (332) facets. Most of the surface oxide can be described as a PdO(101) plane, similar to what has been found previously on other Pd surfaces. However, in the present case, the surface oxide is reconstructed along the step edges, and the stability of this structure is discussed. In addition, the  $(\sqrt{6} \times \sqrt{6})$  Pd<sub>5</sub>O<sub>4</sub> surface oxide can be observed on (111) terraces larger than those of the (332) terraces. Increasing the O pressure above 1 mbar results in the disappearance of the (332) facets and the formation of PdO bulk oxide.

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**I. INTRODUCTION**

Most solid surfaces are exposed to oxygen in their natural environment, and they are often heavily influenced by the presence of this gas. Since in many processes of technological interest, such as catalysis, corrosion, and production of thin film devices, surface properties play a fundamental role, the understanding of oxide formation on solid surfaces is crucial.

In the last 40 years, interaction between oxygen and solid surfaces has been investigated by employing low-index single crystal surfaces in ultrahigh vacuum (UHV) and carefully depositing small amounts of atomic or molecular oxygen. This has led to detailed knowledge on adsorption sites, dissociation processes, and reaction pathways. However, the large differences between these simple UHV model systems and more real-world nonsingle crystal surfaces exposed to high pressures often prevent extrapolation of this knowledge to more realistic situations (materials and pressure gap<sup>1</sup>).

In recent years, methodological advances have made it possible to investigate single crystal surfaces of late transition metals exposed to high oxygen pressures with *ex situ* measurements, as well as with *in situ* oxidation, reactivity methods, and *ab initio* simulations.<sup>2–22</sup> These investigations have led to the discovery of well-ordered ultrathin surface oxides<sup>5,12–20</sup> at oxygen pressures up to 1 mbar and, at higher pressures, to the observation of bulk oxide formation.<sup>5,11</sup> For-

mation of oxide structures on the surface is expected to change dramatically its chemical properties; in fact, recent studies on the catalytic oxidation of CO have suggested that under realistic conditions, some of these oxide structures should be catalytically more active than the corresponding metal surfaces.<sup>2–4,12,23</sup>

In order to approach realistic conditions, the complexity of the model systems should also increase. From a morphological point of view, the well defined surfaces of low-index single crystals have little in common with the metal nanoparticles present in a real catalyst. A typical nanoparticle displays several different surface orientations, as well as a large number of defects, kinks, and steps. Such structural properties result in a much more complex oxidation behavior even under UHV conditions.<sup>24–26</sup>

A step toward higher complexity, but maintaining a well controlled surface, is the use of vicinal surfaces.<sup>27–32</sup> A vicinal surface consists of a periodic array of steps, where the undercoordinated atoms at the step edges have similar properties as the atoms situated between different facets or at defects on a real metal particle. The reduced coordination of these step atoms can enhance the adsorption energy of the adsorbate,<sup>33,34</sup> as well as promote the dissociation of molecules.<sup>35,36</sup> Step sites can also enhance the reactivity despite a higher binding energy of one of the reactants at the step as compared to that on the terrace.<sup>32</sup>

In the present contribution, we have investigated the oxidation of a Pd(553) surface by using high resolution core level spectroscopy (HRCLS), scanning tunneling microscopy (STM), surface x-ray diffraction (SXRD), and *ab initio* simulations. We show that the Pd(553) surface undergoes large rearrangements already at low partial oxygen pressures. Such large rearrangements were also observed in our previous investigation of the oxidation of a Rh(553) surface.<sup>31</sup> In the present case, the surface facets into (332) facets which are covered with a two-dimensional surface oxide at a pressure of  $1 \times 10^{-5}$  mbar. This phase is stable up to 1 mbar of oxygen, where the (332) facets disappear with the formation of PdO bulk oxide. From our studies, it is clear that a metal nanoparticle will change its shape together with other relevant properties such as the electronic structure governing the reactivity, already at a pressure above  $1 \times 10^{-5}$  mbar. Such morphological and electronic changes of metal particles have been reported for the case of Ru particles.<sup>25</sup> An atomic understanding of these changes is of great importance, especially under real working conditions, for the comprehension of heterogeneous catalysis.

## II. EXPERIMENTAL AND COMPUTATIONAL SETUP

The HRCLS measurements were done at room temperature at beamline I311<sup>37</sup> at MAX-lab, Lund, Sweden using a normal emission angle and photon energies of 400 eV for the Pd  $3d_{5/2}$  level and 625 eV for the O  $1s$ .

The STM images were recorded in two different laboratories in Lund and Vienna, and the methods have been described in previous publications.<sup>5,14</sup> Both STMs are positioned inside an ultrahigh vacuum system with a base pressure of  $1 \times 10^{-10}$  mbar. STM tips used in these experiments were all electrochemically etched tungsten tips. All images shown were recorded in constant current mode.

The SXRD measurements were carried out at the MPI-MF beamline<sup>38</sup> at Angströmquelle Karlsruhe (ANKA) using a photon energy of 10 keV and at the ID3 surface diffraction beamline<sup>39</sup> at the European Synchrotron Radiation Facility (ESRF) using a photon energy of 17 keV. The experimental end stations for these two beamlines are specifically constructed for studies under high pressures and elevated sample temperatures.<sup>40</sup> The crystal basis used to describe the (*HKL*) directions is a monoclinic basis set, which for the (553) coordinates gives  $\mathbf{a}_1 = (a_0/2, a_0/2, 0)$ ,  $\mathbf{a}_2 = (-3/2a_0, 0, 5/2a_0)$ , and  $\mathbf{a}_3 = (5a_0, -5a_0, 3a_0)$ , expressed as a function of the cubic Pd lattice, with  $\mathbf{a}_1$  and  $\mathbf{a}_2$  lying in the surface plane with lengths of 2.751 and 11.34 Å and  $\mathbf{a}_3$  out of plane with length of 29.88 Å. In this basis,  $\alpha = \beta = 90^\circ$  and  $\gamma = 111.3^\circ$  ( $a_0 = 3.89$  Å is the bulk Pd lattice constant).

The calculations were performed using the Vienna *ab initio* simulation package (VASP),<sup>41,42</sup> using PAW potentials,<sup>43,44</sup> the PW91 exchange-correlation functional,<sup>45</sup> and a cutoff energy of 250 eV. The Pd surface has been modeled by a periodically repeated slab consisting of six layers parallel to the (111) terrace with the density functional theory–generalized gradient approximation (DFT-GGA) lattice constant of 3.95 Å. The core level shifts (CLSs) have been calculated including final state contributions.

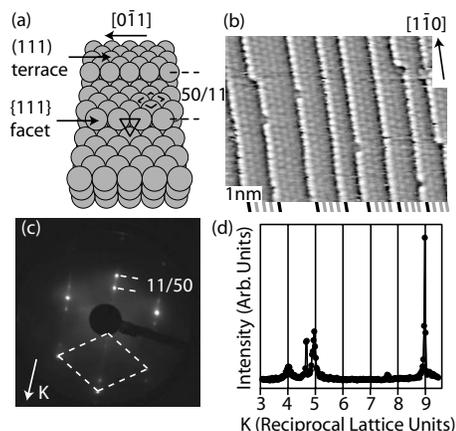


FIG. 1. (a) Model of the Pd(553) surface. (b) STM image of the clean surface ( $85 \times 65$  Å<sup>2</sup>, 0.015 V, and 0.54 nA). Almost all terraces consist of one step row and three visible rows in the terrace that together with a fifth row underneath the next step make up the (553) surface structure. (c) LEED pattern from the clean Pd(553) surface. The unit cell of the (111) terraces is indicated and the splitting due to the periodicity of the steps. (d) SXRD scan from the Pd(553) surface in the *K* direction with  $H=0$  and  $L=1.2$  plotted in (553) coordinates. The extra peak between  $H=4$  and  $H=5$  is due to {111} planes from polycrystalline parts within the sample.

## III. RESULTS AND DISCUSSION

### A. Clean surface

Figure 1(a) shows a model of the Pd(553) surface, which consists of (111) terraces that are five atom rows wide and separated by monatomic {111} faceted steps 10.56 Å apart. Comparing the atomically resolved STM image in Fig. 1(b) and the model confirms that, with the exception of some small variations in terrace width and other imperfections such as vacancies and kinks, the surface has the expected structure. The presence of a well-ordered (553) surface is further supported by low-energy electron diffraction (LEED) and SXRD measurements, see Figs. 1(c) and 1(d). Inspecting the LEED pattern, the step structure appears as a splitting of the (111) spots, which corresponds to the terrace width of the (553) surface. In the SXRD scan, diffraction peaks appear at integer values of *K* when plotted in (553) coordinates.

### B. Overview

In this section, we describe the general development of the oxidation of the Pd(553) surface as observed with HRCLS and SXRD. Figure 2(a) shows HRCLS from the O  $1s$  and the Pd  $3d_{5/2}$  regions as the oxygen exposure increases. In this case, the measurements are performed *ex situ* after the surface has been exposed for 300 s at the indicated pressure and a sample temperature of 350 °C. Starting with the clean surface, the Pd  $3d_{5/2}$  does not exhibit well-separated surface and step components but rather resembles the HRCLS of the Pd(111) surface.<sup>13</sup> As the O coverage increases, a shoulder appears in the Pd  $3d_{5/2}$  level toward higher binding energy at a pressure of  $10^{-6}$  mbar. Such a shift is consistent with surface or step-edge Pd atoms coordinated to one or two O atoms.<sup>14</sup> This shoulder persists until a pressure of  $10^{-5}$  mbar,

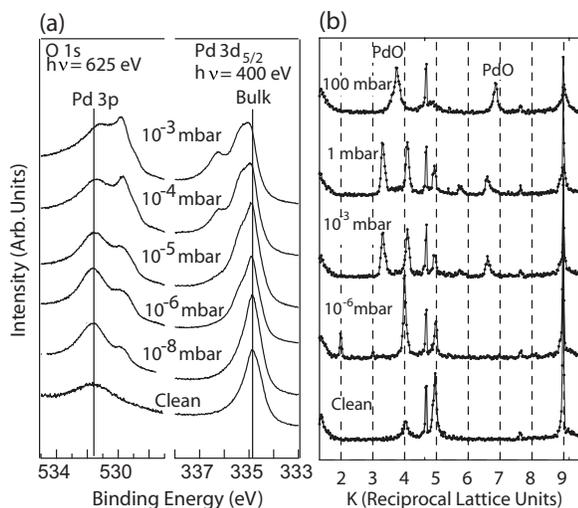


FIG. 2. (a) *Ex situ* HRCLS spectra for different oxygen exposures. Each spectrum was recorded at room temperature after exposing the sample to oxygen for 5 min at 350 °C. (b) *In situ* SXR D scans in the  $k$  direction with  $H=0$  and  $L=1.2$  for different oxygen pressures and a sample temperature of 350 °C. The scans are plotted in (553) coordinates.

at which an additional component at higher binding energies appears, which increases in intensity until the final pressure of  $10^{-3}$  mbar. In addition, the intensity of the Pd  $3d_{5/2}$  component corresponding to Pd atoms coordinated to one or two O atoms increases simultaneously with the growth of the new component. Turning to the O  $1s$  region, it should be noted that the Pd  $3p$  level has a binding energy close to the O  $1s$  level. As the O pressure is increased, one single O  $1s$  component can be observed, which increases its intensity as the oxygen exposure increases. Furthermore, a shift in conjunction with a broadening is observed as the pressure reaches  $10^{-6}$  mbar. Above this pressure, an additional shoulder appears in the O  $1s$  spectrum, indicating the existence of two distinctly different O atoms at the surface and the formation of a surface oxide.<sup>13,14</sup>

The SXR D measurements were performed by doing  $K$  scans for  $H=0$  and  $L=1.2$ , as shown in Fig. 2(b). The signature of the clean surface can be followed *in situ* to a pressure of  $10^{-7}$  mbar. Up to this pressure, diffracted intensities at the integer-order positions corresponding to the clean Pd(553) surface can be detected. No particular changes in the diffraction indicating large surface rearrangements can be observed at these pressures. At  $10^{-6}$  mbar, the intensity of some of the peaks, in particular, the intensity at  $K=2$ , changes significantly. This indicates that the local atomic arrangement at the Pd(553) has changed, however, without disrupting the (553) surface. The behavior will be discussed in more detail below.

Increasing the pressure above  $10^{-6}$  mbar, new peaks appear at noninteger values, clearly demonstrating a large rearrangement of the surface. Again, this new surface phase will be discussed below, which involves surface faceting and the formation of a surface oxide. These new peaks are stable up to a pressure of 1 mbar. Above 1 mbar, additional new peaks can be seen in the  $K$  scans, and we will show below that these particular peaks correspond to the formation of PdO.

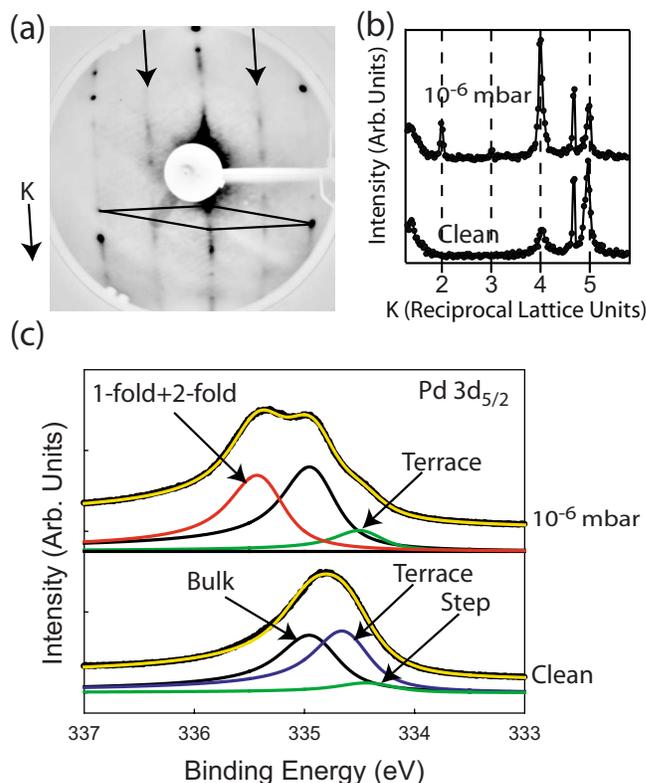


FIG. 3. (Color online) (a) LEED image from the Pd(553) surface after exposing it to  $10^{-6}$  mbar of oxygen for 5 min at 350 °C. Apart from the spots from the clean surface, also  $(2 \times 1)$ -like streaks can be seen, indicating a structure with a periodicity that is well defined in the step direction. (b) *In situ* SXR D  $K$  scans ( $H=0$  and  $L=1.2$ ) from the clean and the  $10^{-6}$  mbar oxygen exposed Pd(553) surface. (c) HRCLS from the Pd  $3d_{5/2}$  level from the clean (bottom) and the  $10^{-6}$  mbar oxygen exposed surface (top) for 5 min at 350 °C.

### C. Low coverage (clean to $10^{-6}$ mbar)

Figure 3(a) shows a LEED image after exposing the crystal to  $10^{-6}$  mbar of oxygen for 5 min at a temperature of 350 °C. In addition to the spots from the clean (553) surface,  $(2 \times 1)$ -like streaks can be observed. This indicates a structure with a well defined periodicity in the  $[01\bar{1}]$  direction but less ordered across the steps.

The fact that the surface structure is modified at these conditions is confirmed by the SXR D results shown in Fig. 3(b). Here, we observe a change of the intensity of the integer-order diffraction. This change is already a possible indication of strong relaxation effects of the surface, in particular, of step-edge atoms.

Turning to the HRCL measurements, the bottom spectrum in Fig. 3 shows the Pd  $3d_{5/2}$  core level region from the clean Pd(553) surface. The spectrum can be decomposed into three different components corresponding to emission from the bulk, the terrace atoms (named T1–T4 in Table I), and the step atoms (step). A comparison between measured and calculated core level binding energy shifts is shown in Table I and the agreement can be seen to be satisfactory.

Exposing the surface to  $10^{-6}$  mbar of oxygen results in the upper HRCL spectra in Fig. 3(c). In this case, the decom-

TABLE I. Core level shifts for the Pd 3*d* states from the clean Pd(553) surface atoms: calculated and experimental core level shifts. A Pd atom in the fourth metallic layer was taken as bulk reference for the calculations.

	Calculated CLS (eV)	Experimental CLS (eV)
Pd <sub>step</sub>	-0.45	-0.52
Pd <sub>T1</sub>	-0.25	-0.29
Pd <sub>T2</sub>	-0.20	-0.29
Pd <sub>T3</sub>	-0.25	-0.29
Pd <sub>T4</sub>	-0.05	

position yields three components from the Pd 3*d*<sub>5/2</sub> spectra. The first originates from the bulk Pd atoms, and the second from Pd atoms at the surface displaying a surface core level shift of approximately -0.44 eV, revealing that some of the atoms at the terraces are not coordinated to oxygen atoms. The third component can be found at higher binding energy, which relates to O atoms adsorbed on the steps and/or at the terrace.

The DFT calculations give an insight on atomistic changes upon oxygen adsorption. In the low-coverage case, oxygen tends to accumulate in the vicinity of the upper step edge [Fig. 4(a)]. The adsorption energy is significantly increased to a value of  $E_{ads} = -1.76$  eV as compared to a  $p(2 \times 2)$ -O on the (111) terrace (-1.36 eV). In contrast to the adsorption of oxygen on stepped Rh surfaces,<sup>31</sup> the driving force is large enough to favor a further occupation of the step before oxygen adsorption on the terrace, leading to a  $p2mg$ -like zigzag pattern at the step [Fig. 4(b)]. If the oxygen partial pressure is increased even further, additional oxygen

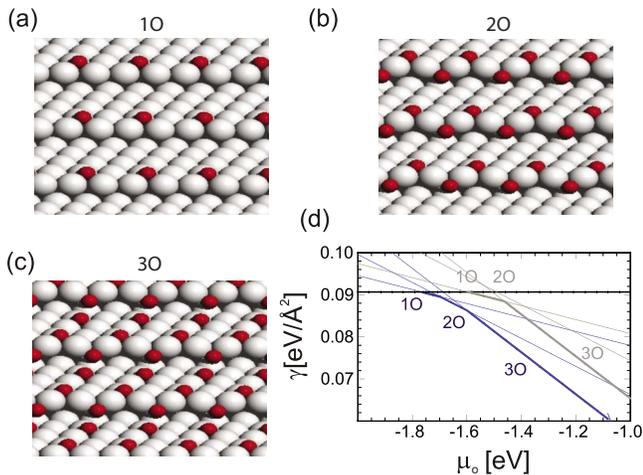


FIG. 4. (Color online) Calculated models for the adsorption of oxygen on Pd(553): (a) the low-coverage case (1O), (b) a  $p2mg$ -like structure at the step (2O), (c) oxygen adsorbed on the step edge and terrace (3O), and (d) phase diagram of the relaxed phases [(a)–(c)] (lower blue lines) as well as the corresponding unrelaxed structures (light gray lines).  $\mu_0$  is the chemical potential of oxygen and  $\gamma$  the Gibbs free surface energy. High chemical potentials correspond to high oxygen pressure and/or low temperature.

TABLE II. Calculated and experimental core level shifts for the Pd 3*d* states of the Pd surface atoms in the Pd(553)3O adsorption structure as shown in Fig. 4(c). For the atoms in the terrace (T1 is closest to the step), the two different types coordinated to one and zero oxygen atoms are named “1O” and “no O,” respectively. A Pd atom in the fourth metallic layer was taken as bulk reference for the calculations.

	Calculated CLS (eV)	Experimental CLS (eV)
Pd <sub>step-2O</sub>	+0.32	+0.47
Pd <sub>T1-1O</sub>	+0.35	+0.47
Pd <sub>T1-no O</sub>	-0.53	-0.44
Pd <sub>T2-1O</sub>	+0.32	+0.47
Pd <sub>T3-1O</sub>	+0.28	+0.47
Pd <sub>T3-no O</sub>	-0.49	-0.44

is adsorbed at the terrace [Fig. 4(c)]. This phase is thermodynamically stable over a wide pressure range [Fig. 4(d)]. The phase diagram for the relaxed 1O, 2O, and 3O is shown in [Fig. 4(d)] with the lower (blue) line. The adsorption of oxygen leads to an expansion of the lattice perpendicular to the step. For the  $p2mg$ -like structure, the nearest-neighbor distances of the Pd atoms with oxygen bonds are expanded up to a value of 2.99 Å, an increase of 0.19 Å compared to the calculated GGA bulk distance. In Fig. 4(d), the phase diagram for the corresponding unrelaxed structures is also shown (light gray lines). Interestingly, comparison shows that the stability of the 2O and 3O structure is significantly enhanced due to the relaxation effects.

The calculated Pd 3*d* core level shifts shown in Table II reveal two opposed tendencies: the core level states of the Pd atoms sharing one or two oxygen bonds are shifted to higher energies with a common shift of 0.3 eV with respect to bulk Pd. On the other hand, the states of the remaining atoms without coordination to O atoms are shifted to lower energies by -0.5 eV. Again, we find that the overall agreement between calculated and experimental values is good.

In summary, we find that at low oxygen pressures ( $10^{-6}$  mbar range), the O atoms adsorb in a zigzag fashion along the step edge as well as in a one-dimensional ( $2 \times 1$ ) structure on the terraces. Strong relaxations in the Pd surface atoms are observed, already indicating potential major rearrangements of the surface at higher O pressure; however, at this low O pressure, no strong faceting or surface reconstruction occurs.

#### D. Higher coverage ( $10^{-5}$ –1 mbar): Faceting and formation of a surface oxide

As the oxygen pressure is increased above  $10^{-5}$  mbar, a change in the surface structure can be seen in the LEED image, see Fig. 5(a). The spots from the (111) terraces are still present but the spot-spot distance of the splitting has decreased to a value below that of a (553) surface, indicating a longer periodicity across the steps. Perpendicular to the *K* direction, there are also additional spots with a periodicity of

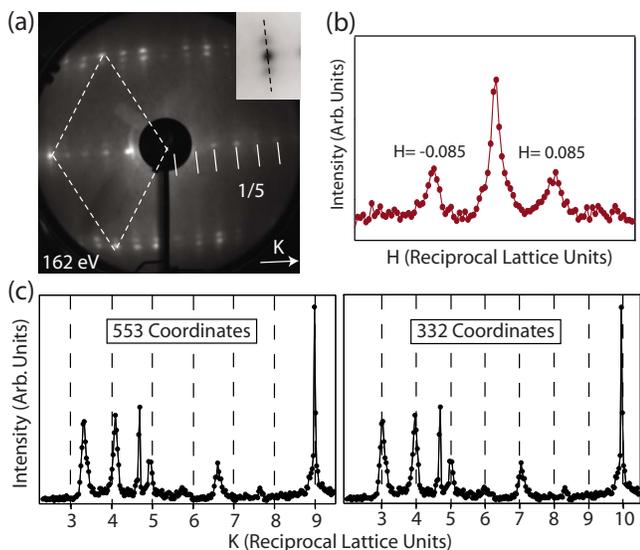


FIG. 5. (Color online) (a) LEED image after exposing the sample to  $10^{-3}$  mbar of oxygen at  $350^\circ\text{C}$ . The periodicity of the spots in the  $K$  direction has changed due to faceting. New spots have emerged perpendicular to the steps, indicating a reconstruction along the steps. (b) SXR scan along the steps reveals a periodicity of about 12. (c) SXR scans along  $K$  with  $H=0$  and increasing  $L$ , plotted both in (553) and (332) coordinates, showing that the (553) surface has faceted into a (332) surface.

approximately 12. From this LEED pattern, we can conclude that the surface has faceted, resulting in wider terraces, and reconstructed parallel to the steps. By using SXR, we can make a more precise determination of the surface structure. A facet on a surface will give rise to a crystal truncation rod

(CTR) normal to its surface plane. A facet with a different orientation will have CTRs that are tilted with respect to the CTRs from the (553) surface, as well as having a different periodicity in the plane. As a consequence of this, when plotted in Pd(553) coordinates, the intensity peaks from different facets will not appear at integer values of  $K$ . Figure 5(c) shows a  $K$  scan (in-plane scan perpendicular to the step edges) and  $H=0$ . The peaks that were characteristic for the (553) surface have disappeared, and instead new peaks appear at noninteger values of  $K$ . This shows that the surface structure has changed so that the average periodicity differs from that of the (553) surface. The LEED image in Fig. 5(a) indicated that the step width had increased to  $12.9 \text{ \AA}$ , which corresponds to the step distance of a (332) surface. If we now plot the same  $K$  scan in the (332) coordinates, the peaks appear at integer values, yielding strong support to the idea that the average periodicity is that of a (332) surface [Fig. 5(c)]

Along the step edges (perpendicular to the  $K$  direction), the LEED image [Fig. 5(a)] displayed additional spots, originating from a new structure. A SXR scan in this direction also reveals a reconstruction along the steps with a periodicity of about 12, see Fig. 5(b). The (332) facets and the accompanying surface reconstruction along the step edges are stable up to a pressure of 1 mbar, as probed by *in situ* SXR.

The STM image in Fig. 6(a) of the Pd(553) surface after  $10^{-3}$  mbar of oxygen at  $350^\circ\text{C}$ , agrees with the observation from the diffraction studies. (553) terraces have almost completely disappeared. Instead, the surface consists of larger areas, each of which accommodates up to six terraces with a periodicity of approximately  $13 \text{ \AA}$ , corresponding to that of the (332) surface, as shown in the line scan in Fig. 6(b).

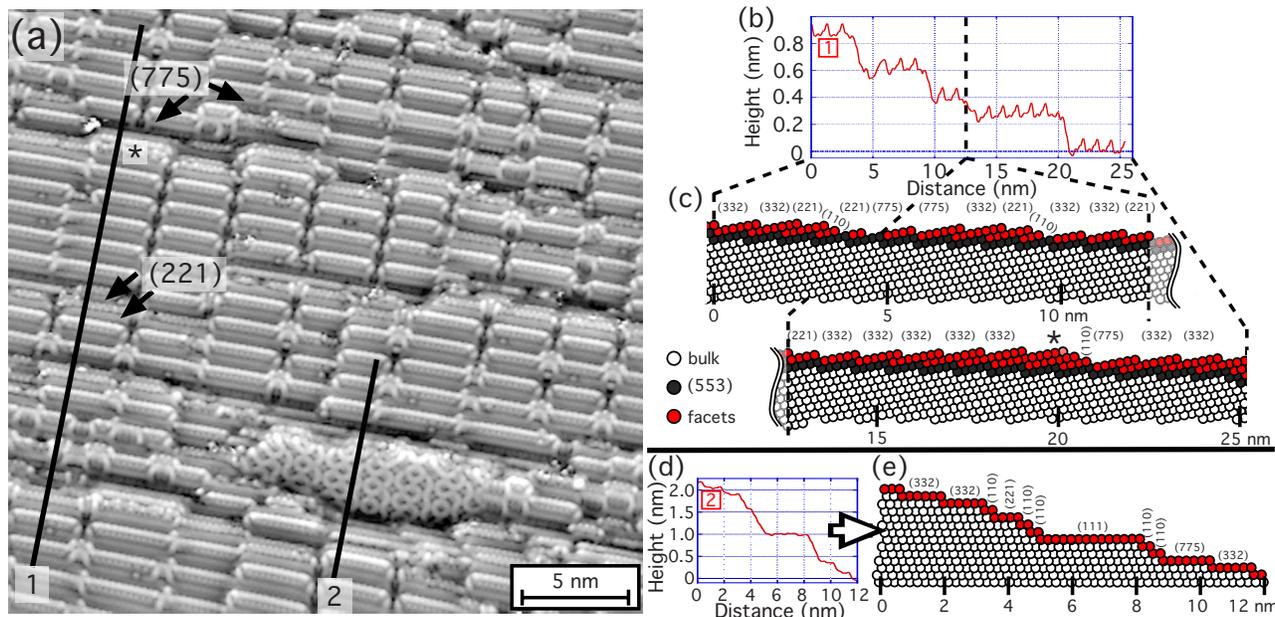


FIG. 6. (Color online) (a) STM image ( $V=0.95 \text{ V}$ ,  $I=0.64 \text{ nA}$ ) after exposure to  $10^{-3}$  mbar of oxygen at  $350^\circ\text{C}$ . On the (221) and (775) areas (marked by arrows), a similar surface oxide as on (332) can be observed. (b) and (d) are line scans, which are marked by black lines in (a) and have been tilted to obtain flat (332) and (111) facets, respectively. (c) and (e) are the corresponding side view models. The asterisk in (a) and in model (c) marks a disordered area of the STM image.

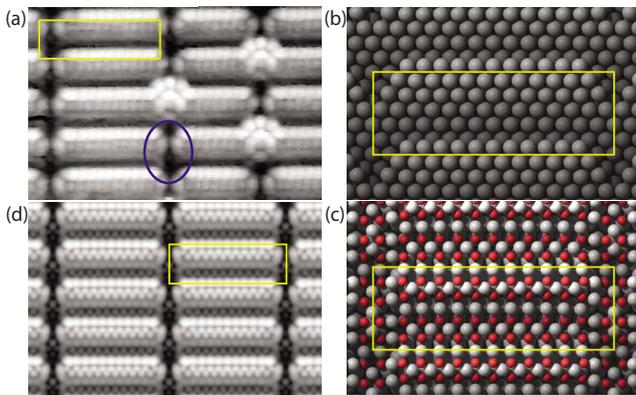


FIG. 7. (Color online) (a) STM image ( $V=0.21$  V,  $I=5.69$  nA) of an oxide-covered (332) area after exposure to  $10^{-3}$  mbar of oxygen at  $350$  °C. The oval indicates the transition region between well-ordered surface-oxide stripes. The oxide unit cell is indicated by a rectangle in (a)–(d). (b) Model of the substrate below the surface oxide and (c) simulated lowest-energy structure for the surface oxide on Pd(332). The red (small) atoms are oxygen, while gray (large) atoms are Pd, and the geometric height (normal to the surface) is indicated by atom brightness. (d) Simulated STM image of the lowest-energy structure on Pd(332). States with energy between  $E_F$  and  $E_F+1$  eV have been taken into account.

Thus, we conclude that the majority of the surface has faceted into (332). However, as it can be seen in the structure model in Fig. 6(c), terraces of different widths can be found between the extended (332) areas. Besides (332), the facets in the line profile include (110), (221), and (775). The structure model [Fig. 6(c)] explains the line profile of Fig. 6(b) excellently and also demonstrates how an overall (553) surface orientation is preserved by introducing steeper facets, such as (110). Thus, the average slope of the line scan in Fig. 6(b) runs only  $0.2^\circ$  off a (553) surface orientation.

In the lower part of the STM image, a (111) terrace can be found. This area is covered by the “ $\sqrt{6}$ ” surface oxide, identified by its characteristic “asian-carpet-like” pattern.<sup>13</sup> As indicated by the line scan in Fig. 6(d) and its structure model in Fig. 6(e), terrace widths in direct proximity of the (111) area almost exclusively correspond to a (110) orientation. Due to this step bunching, an overall (553) surface orientation is again preserved.

A structural model for the (332) surface oxide can be constructed from the STM images [see Figs. 6(a) and 7(a)]. The distance between the bright spots along the steps on the (332) terraces is slightly less than  $3$  Å, as determined from STM, corresponding roughly to the short side of the PdO bulk unit cell ( $3.04$  Å, cf. Sec. III E). Also, the  $(\sqrt{5} \times \sqrt{5})$  surface oxide on Pd(100) consists of PdO(101) planes with the dimension  $3.04 \times 6.15$  Å<sup>2</sup>.<sup>14,20</sup> The mismatch between the (332) step distance ( $12.9$  Å) and two long sides of the PdO(101) cell is only  $0.6$  Å. Thus, there is a strong indication that the structure on the (332) terraces consists of PdO-type building blocks, in a similar way as in the case of Pd(100).<sup>14,20</sup> The use of PdO building blocks for the new structure is also supported by its appearance in STM, which is similar to the surface oxide on Pd(100), consisting of these

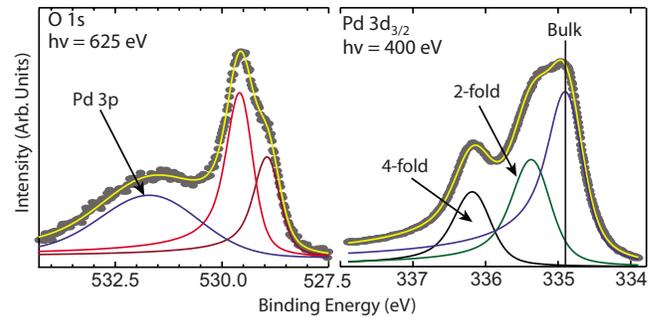


FIG. 8. (Color online) HRCLS from the surface oxide on Pd(553): left the O  $1s$  region, and right the Pd  $3d_{5/2}$  region.

building blocks.<sup>14,20</sup> Bright stripes on the (332) terraces running parallel to the steps in Fig. 6(a) can be described by alternating rows of twofold and fourfold oxygen-coordinated Pd. The bright row directly at the step edge can be attributed to twofold Pd, followed by a row of fourfold and another row of twofold Pd. A second fourfold row at the rear of the (332) terrace is invisible in the STM measurement.

Furthermore, along the steps, a reconstruction with a periodicity of 10–16 Pd distances is found by STM, in agreement with the observations by LEED and SXRD as presented above. The PdO(101) planes on the (332) terraces are interrupted with this periodicity. Without interruption, the misfit of the atomic distances along the steps within the surface oxide layer ( $\leq 3$  Å) and the substrate ( $2.75$  Å) would force some atoms into unfavorable sites. Experimentally, several different structures in the transition areas can be observed [see Fig. 6(a)], of which the most common transition structure is indicated by an oval in Fig. 7(a). Several different models for this transition structure have been investigated. The one with the lowest energy in DFT calculations is shown in Fig. 7(c). Its transition areas are strongly reconstructed, including Pd vacancies in the layer below the surface oxide [see Fig. 7(b)]. Nevertheless, the surface oxide structure in the transition area is still related to the uninterrupted oxide. In principle, a PdO-like structure, which is rotated by  $90^\circ$  and matches the (332) terrace width of  $12.9$  Å, forms the transition, having only twofold and fourfold oxygen-coordinated Pd atoms. The unit cell in Fig. 7(c) contains 32 twofold and 23 fourfold coordinated Pd atoms. A calculated STM image for this lowest-energy model is shown in Fig. 7(d). The agreement with the measurement is excellent since the model is capable of not only reproducing the correct brightness contrast in the main structure on the (332) terraces but also in the transition area, confirming the formation of a PdO(101)-like surface oxide on the (332) faceted areas.

Figure 8 shows the HRCLS results from the (332) faceted surface. The Pd  $3d_{5/2}$  spectrum consists of a bulk peak and two additional components. The component with the highest binding energy comes from Pd atoms that are coordinated to four oxygen atoms, while the middle peak can be assigned to Pd atoms with two neighboring oxygen atoms.<sup>13,14,20</sup> The two-fold/four-fold ratio is found to be 4:3, in excellent agreement with the model shown in Fig. 7(c) with a ratio of 32:23.

In the O  $1s$  peak, two components can be detected, the ratio between the two components being 2:3. The simulation

shows a more complex picture. Each of the four oxygen rows in Fig. 7(c) has a different CLS. In the second row from the upper edge of the unit cell in Fig. 7(c), the  $1s$  states are more bound by 0.3 eV in comparison with the first row above the step, in the third by 0.5 eV, and in the fourth by 0.4 eV. Moreover, the oxygens near the transition region still have different CLSs with respect to their row [typically similar to those in the first row in Fig. 7(c)], leading presumably to a rather complex spectrum. Still, some of the peaks are very close to each other, which explains why using only two components to fit the experimental data is successful (see Fig. 8).

As mentioned previously, the matching of two cells of a PdO(101) layer with the step-step distance of Pd(332) and the lack of such a matching for (553) terraces explain the formation of (332) facets by oxidation. Furthermore, the misfit of the oxide layer (interatomic distances 2.84 Å according to DFT) and the substrate (2.75 Å) along the steps causes the formation of a periodically occurring transition structure. In this transition structure, oxidation causes Pd vacancies in the topmost substrate layer directly below the surface oxide, resulting in the formation of kinks, which seem to provide favorable sites for oxide layer atoms. Thus, we observed that a carpetlike oxide layer completely covers the stepped (332)-faceted areas.

As already mentioned, introducing different facets, most of which are steeper than (553), ensures that the requirement of an overall (553) surface orientation can be fulfilled. In Fig. 6(a), a surface oxide is clearly visible on the (775) and (221) facets. The (775) area (marked by arrows) clearly displays an oxide structure similar to that on (332), with one more row of Pd, due to the increased terrace width. On the other hand, the oxide on the (221) facet (arrows) appears similar to the oxide on (332) facets at the rear part of the terrace but is prematurely interrupted due to the reduced terrace width. Therefore, it is very likely that a similar oxide carpet as on extended (332) areas covers all other facets as well, ranging from steep (110) to flat (775) facets. One can thus argue that the sequence of facets is self-organized in such a way that it provides a favorable substrate for the carpetlike oxide layer.

It is noticeable that the (111) terraces of Pd(332) facets do not display a surface oxide that is structurally similar to the  $\sqrt{6}$  surface oxide on a regular Pd(111) surface,<sup>13</sup> but rather to that on Pd(100),<sup>14,20</sup> with part of the terrace covered by a transition structure different from both. Nevertheless, the basic building principle of having a single oxide layer, consisting of a regular sequence of twofold and fourfold oxygen-coordinated Pd, can be found in all these structures. This can explain the rather similar stability for the  $\sqrt{6}$  structure on Pd(111) and the surface oxide on Pd(332) (see Fig. 9). Here, we compare the stability of the present surface oxide with the Pd<sub>5</sub>O<sub>4</sub>  $\sqrt{6}$  oxide on fictional (111) and (11 $\bar{1}$ ) faceted surfaces and we find that the Pd{111}- $\sqrt{6}$  structure is marginally more stable at the experimental conditions of interest ( $\mu_{\text{O}} \approx 1$  eV), but the difference is very small. Furthermore, since faceting of Pd(553) is not limited to (332) facets—but to a rather broad spectrum of different terrace widths—and since step bunching into (110) in direct proximity of (111) facets occurs [see Figs. 6(d) and 6(e)], a combination of Pd(111)

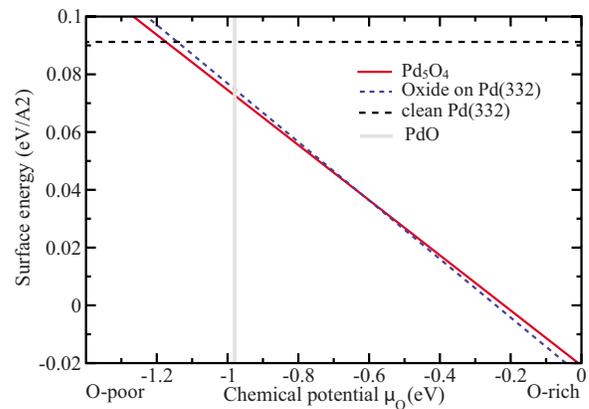


FIG. 9. (Color online) Phase diagram for the Pd<sub>5</sub>O<sub>4</sub> surface oxide (“ $\sqrt{6}$ ”) on Pd(111) as well as the PdO(101)-like surface oxide layer on Pd(332), as shown in Fig. 7(c). The surface energy for the  $\sqrt{6}$  oxide is multiplied by 1.1078 to account for the higher surface area that fictional (111) and (11 $\bar{1}$ ) faceted surfaces would result in. The vertical gray line corresponds to the stability limit of the PdO bulk oxide.

facets covered by the  $\sqrt{6}$  phase and other surface-oxide-covered facets such as Pd(110) might be slightly more stable than Pd(111)+Pd(11 $\bar{1}$ ). Therefore, the phase diagram (Fig. 9) does not necessarily contain the thermodynamically stable configuration. The reason why the (332) oxide is so common compared to the  $\sqrt{6}$  oxide on extended (111) areas could also be due to kinetics. The formation of (332) facets from a (553) surface requires fewer atomic rearrangements and (332) facets already provide a step-step distance well suited for accommodating a surface oxide. Surface oxide formation on (332) facets might then hinder the formation of larger (111) terraces and thus the growth of the  $\sqrt{6}$  surface oxide to such an extent that the bulk PdO oxide (cf. Sec. III E) is formed prior to complete transformation into (111) facets with the  $\sqrt{6}$  oxide.

### E. High pressure (1–100 mbar): The formation of PdO

The SXRD scans in Fig. 2(b) show that the (332) facets are stable up to 1 mbar of oxygen and thus also the PdO(101) surface oxide. By looking closely at the  $K$  scan recorded at an oxygen pressure of 1 mbar, a new diffraction peak appearing between  $K=3$  and  $K=4$  marked PdO can be seen. Increasing the pressure to 10 mbar results in the disappearance of the diffraction from both the (332) and the (553) facets at this  $L$  value. Instead, the peak between  $K=3$  and  $K=4$  continues to grow in conjunction with a second peak located between  $K=6$  and  $K=7$ . These two new peaks can be assigned to the bulk oxide PdO.<sup>46–48</sup> Figure 10 presents the intensity distribution in the  $H=0$  plane. The Bragg peaks of PdO can be either (002) and (004) or (101) and (202). Additional scans (not shown) along the step edges reveal a periodicity corresponding to the side  $a$  of the PdO unit cell (3.04 Å). The angle between the direction of the PdO peaks and the Pd(553) surface is roughly 16° (the broken line in Fig. 10). This is very close to the angle of 15.9° between the



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