Cover Page

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Chapter 3

Correlates of apathy
in Huntington's disease

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Abstract

Objective: To study prevalence and clinical correlates of apathy in Huntington's disease.

Method: Apathy was defined as an Apathy Scale (AS) score ≥ 14 points in 152 Huntington's disease mutation carriers and 56 non-carriers. Correlates of apathy were analyzed cross-sectionally in mutation carriers using multivariable logistic regression analysis.

Results: Forty-nine (32%) Huntington's disease mutation carriers showed apathy compared to none of the non-carriers. After exclusion of 10 depressed subjects, apathy was independently associated with male sex, worse global functioning and higher use of neuroleptics and benzodiazepines.

Conclusion: Next to being male and worse global functioning, use of psychotropic medication was associated with apathy in Huntington's disease patients.

Introduction

Huntington's disease (HD) is an autosomal dominant, neurodegenerative disorder resulting from an expanded trinucleotide cytosine-adenine-guanine (CAG) repeat (≥ 36 glutamines), coding for the mutant protein huntingtin on chromosome 4p16.3.[1] Symptomatic treatment is widely available although no cure is possible. Clinical features of HD consist of movement, neuropsychiatric, and cognitive disorders. Disease progression causes a decline of daily functioning and patients ultimately become totally dependent on the help of others.

Apathy is a common neuropsychiatric feature of HD.[2-4] Reported prevalences of apathy in HD vary from 34% to 76%, depending on disease stages examined and assessment methods used,[5] and its prevalence and severity increase with disease progression.[6] Apathy has been described both as a symptom (i.e. of mood disorder, altered level of consciousness, or cognitive impairment), and as a syndrome.[7,8] An apathy syndrome is defined as a disorder of motivation; with loss of or diminished goal-directed behavior, cognitive activity, and/or emotion; as wells as functional impairments that are attributable to the apathy.[9,10] Clinically, apathy has been related to decline in activities of daily living (ADL) causing a great burden of disease and distress in caregivers,[11] also after adjusting for the presence of motor and cognitive deficits.[12,13]

In the present study, we aimed to assess the prevalence of apathy in HD mutation carriers and control non-carriers. Furthermore, we investigated sociodemographic, clinical and neuropsychiatric correlates of apathy comparing HD mutation carriers with apathy to those without apathy.

Method

Subjects

Between May 2004 and August 2006, HD mutation carriers were recruited from the out-patient departments of Neurology and Clinical Genetics of the Leiden University Medical Center (LUMC), and from a regional nursing home. Subjects with a CAG repeat length of 36 or more repeats were considered positive for HD mutation carriership.

The design of the study has been described in detail elsewhere.[14] In short, of 361 known subjects, 45 out-patients were untraceable, 17 subjects were excluded or were deceased, and 89 refused to participate because of various reasons. Fifty-six subjects appeared to be non-carriers. After the assessment, two more subjects were excluded because of a missing motor score. Thus, 152 HD mutation carriers and 56 non-carriers were included in the present analysis. All subjects gave written informed consent. The study was approved by the Medical Ethical Committee of the LUMC.

Instruments

Assessment of apathy

Apathy was assessed using the semi-structured Apathy Scale (AS) (Figure 1).[15] The AS is a modified version of the Apathy Evaluation Scale (AES),[7] and consists of 14 questions read by the interviewer, measuring different features of apathy in the two weeks prior to the interview. As patients with apathy often lack insight into their behavior, we also used caregivers' information. The subject and his/her informant are provided with four possible answers: 'not at all', 'slightly', 'some', and 'a lot'. The total score of the AS ranges from 0 – 42 points, with higher scores indicating greater apathy. The AS has shown good interrater reliability, good test-retest reliability, as well as high internal consistency in patients with Parkinson's disease.[15] We used an AS total score ≥ 14 points to characterize subjects as apathetic, and those scoring below this cut-off score as non-apathetic.[15,16]

Figure 1. *Apathy Scale, patient version*

	Not at all	slightly	some	a lot
1. Are you interested in learning new things?	3	2	1	0
2. Does anything interest you?	3	2	1	0
3. Does someone have to tell you what to do each day?	0	1	2	3
4. Are you concerned about your condition?	3	2	1	0
5. Are you indifferent to things?	0	1	2	3
6. Do you put much effort into things?	3	2	1	0
7. Are you always looking for something to do?	3	2	1	0
8. Do you have plans and goals for the future?	3	2	1	0
9. Do you have motivation?	3	2	1	0
10. Do you have energy for daily activities?	3	2	1	0
11. Are you unconcerned with many things?	0	1	2	3
12. Do you need a push to get started on things?	0	1	2	3
13. Are you neither happy nor sad, just in between, no matter what happens?	0	1	2	3
14. Would you consider yourself to be apathetic?	0	1	2	3

Sociodemographic and clinical characteristics

Information on sociodemographic and clinical characteristics of mutation carriers and controls was collected in a standardized manner. Global functioning was assessed with the Total Functioning Capacity (TFC) scale of the Unified Huntington's Disease Rating Scale (UHDRS).[17] The TFC scale consists of five questions assessing employment, capacity to handle financial affairs, to manage domestic chores, to perform activities of daily living, and the care level provided (range 0 – 13 points, lower scores indicate poorer functional abilities).[18]

Assessment of motor function

Neurological examination was done by a neurologist with experience in HD, blind for the genetic status of the subject and according to the motor section of the Unified Huntington's Disease Rating Scale (UHDRS-m).[17] The UHDRS-m consists of 15 items that are rated on a scale from 0 (normal) to 4 (severe) points. The total UHDRS-m score is the sum of all individual motor ratings (total score range 0 – 124 points; higher scores indicating worse motor performance).

The Confidence Level (CL) of the UHDRS-m was used to define subjects as pre-motor symptomatic (CL score = 0 or 1 points) or motor symptomatic (CL score = 2 – 4 points).

Assessment of depression

Composite International Diagnostic Interview

Because symptoms of apathy may overlap with depression, we assessed the presence of depression (major depressive disorder and dysthymia) according to the criteria of the Diagnostic Statistical Manual (DSM) of mental disorders, Version IV.[19] Psychiatric assessment was done by a psychiatrist (EvD) or a trained research assistant under his supervision. Raters for psychiatric and cognitive function were informed about the genetic status of the subjects, because non-disclosure could considerably influence subjects' answering to questions about symptoms that are directly related to mutation carriership.

The Dutch translation of the computerized version of Composite International Diagnostic Interview (CIDI, Version 2.1) was used to classify depression according to DSM-IV criteria.[20] The CIDI was not administered in subjects with score < 18 points on the Mini-Mental State Examination (MMSE), since the CIDI cannot be reliably administered to patients with such a severe cognitive dysfunction. In these subjects the presence of a depression was assessed clinically, based on the psychiatric examination, medical reports, and information of caregivers.

Neuropsychological assessment

The MMSE, Symbol Digit Modalities Test (SDMT), Verbal Fluency Test (VFT), and Stroop Color-Word tests were administered to assess cognitive function.

The MMSE consists of 11 items that has been found to be reliable and valid in assessing global cognitive function. Scoring range of the MMSE is 0 – 30 points with lower scores indicating worse global cognitive performance.[21]

The SDMT examines attention, working memory, and visuoverbal substitution speed.[22] Subjects have 90 seconds to write down the number that matches each of the geometric figures, which are printed on several lines.

The VFT is sensitive to frontal executive dysfunction and subtle degrees of semantic memory impairment.[23] Subjects are instructed to generate as many words as possible in one minute. A total VFT score of less than 30 words is considered abnormal.

The Stroop Color-Word test was used to measure a person's sustained attention in three conditions: color naming, word reading, and naming the color of the ink of an incongruous color name (interference).[24] For each condition the subject had 45 seconds and the total of all right answers was scored, with maximum 100 points per condition.

Statistical analyses

Data are presented as n (%), mean (± SD) or median (interquartile range [IQR], i.e. 25th to 75th percentiles) when appropriate. Chi-square tests for categorical data. *t*-tests for independent samples with normal distributions, or non-parametric Mann-Whitney *U*-tests were conducted to compare mutation carriers and non-carriers. Mutation carriers with and without apathy were compared to determine correlates of apathy using univariate logistic regression analyses. Odds ratio's (OR) and their corresponding 95% confidence interval (CI) were computed. TFC, UHDRS-m, MMSE, SDMT, VFT and Stroop Color-Word test scores were divided into two groups using a median split. A *p*-value < 0.05 was considered statistically significant.

Because of a strong collinearity between the SDMT, VFT, and Stroop Color-Word test, a new variable for executive cognitive function (ExCogn) was computed by averaging the 4 index z-scores (i.e. subtracting the mean from an individual raw score and then dividing the difference by the standard deviation).

Figure 2. *Box plot showing Apathy Scale scores of non-carriers, pre-motor symptomatic and motor symptomatic mutation carriers.*
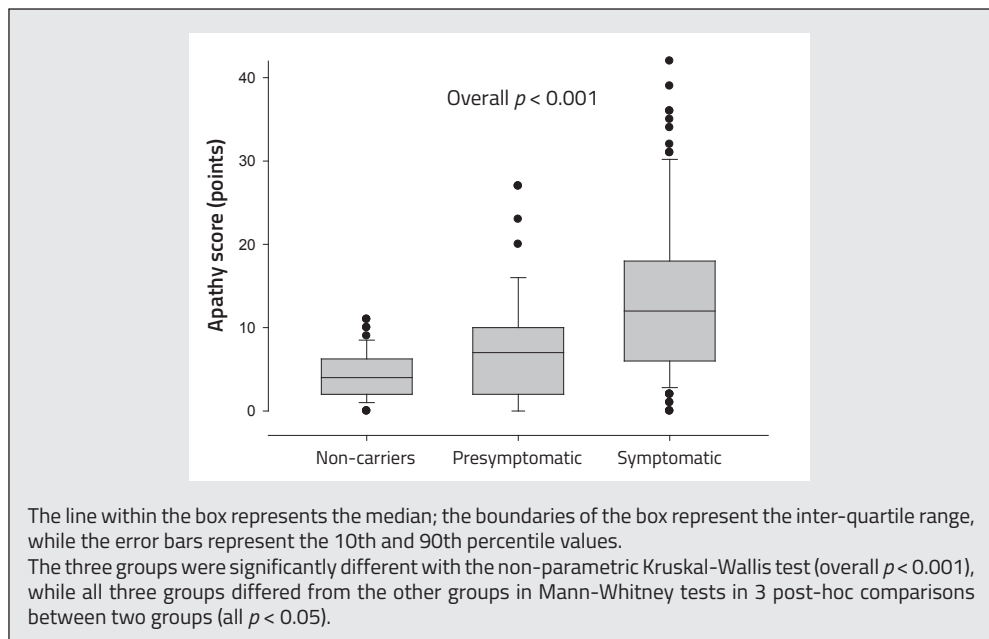

The line within the box represents the median; the boundaries of the box represent the inter-quartile range, while the error bars represent the 10th and 90th percentile values.
The three groups were significantly different with the non-parametric Kruskal-Wallis test (overall *p* < 0.001), while all three groups differed from the other groups in Mann-Whitney tests in 3 post-hoc comparisons between two groups (all *p* < 0.05).

Table 1. *Sociodemographic, clinical, and neuropsychiatric characteristics of Huntington's disease in mutation carriers and non-carriers*

	Mutation carriers n = 152	Non-carriers n = 56	p-value*
Sociodemographic and clinical characteristics			
Male gender (n, %)	68 (45%)	25 (45%)	1.00
Age (years ± SD)	47.2 ± 11.9	39.7 ± 11.2	< 0.001
Higher level of education[a] (n, %)	92 (61%)	42 (75%)	0.05
Married or with partner (n, %)	98 (65%)	46 (82%)	0.18
CAG repeats (number ± SD)	44.1 ± 3.1	21.0 ± 4.8	< 0.001
Neuropsychiatric characteristics			
AS[b] (points, IQR)	10 (5 – 16)	4 (2 – 6)	< 0.001
AS ≥ 14 (n, %)	49 (32%)	0	-
DSM-IV[c] depression (n, %)	8 (5%)	0	-

Data are presented as n (%), mean (± SD) or median (interquartile range [IQR]) when appropriate.
*P-values by chi-square tests for categorical data, by t-test for independent samples with normal distributions, or non-parametric Mann-Whitney U tests.
[a] Higher level of education: ≥ 12 years of education.
[b] AS = Apathy Scale.
[c] DSM-IV = Diagnostic Statistical Manual of mental disorders, Version IV.

3

Multiple logistic regression analysis, identified by a forward stepwise selection procedure, was used to determine the independent correlates of apathy. For this analysis, the following variables with p-value < 0.05 in the univariate regression analysis were used: sex, age, TFC score, UHDRS-m score, use of antidepressants, use of neuroleptics, use of benzodiazepines, presence of depression, MMSE score, and ExCogn score. The overall use of psychotropic medication was not entered, because of the inclusion of the three medication subcategories. Statistical analysis was carried out by means of the Statistical Package for the Social Sciences (SPSS) for Windows, release 16.0.

Results

Sociodemographic and clinical characteristics of HD mutation carriers versus non-carriers
The sociodemographic, clinical, and neuropsychiatric characteristics of 152 HD mutation carriers and 56 non-carriers are shown in Table 1. Mutation carriers were older and had significantly more symptoms of apathy than non-carriers (Table 1). Mutation carriers also had more often a formal DSM-IV diagnosis of depression compared to non-carriers. Assessment of the CIDI was not possible in 12 mutation carriers because of severe cognitive impairment (MMSE < 18 points). Using information of caregivers, medical reports and clinical impression during the assessment, 2 of these 12 mutation carriers were diagnosed as depressed.
Mutation carriers with motor symptoms showed significantly more symptoms of apathy than pre-motor symptomatic mutation carriers and non-carriers, and pre-motor symptomatic mutation carriers showed significantly more symptoms of apathy than non-carriers (all p < 0.001) (Figure 2).

HD mutation carriers with and without apathy

Forty-nine mutation carriers (32%) were considered apathetic (median AS score = 20 points; IQR = 16 – 27), whereas 103 mutation carriers (68%) were not (median AS score = 7 points; IQR = 3 – 10) (Table 2).

Univariate regression analysis showed that, in comparison with non-apathetic mutation carriers, apathetic subjects were more often male and older, had a lower TFC score, a higher UHDRS-m total score, used more psychotropic medication, were diagnosed more often as depressed, and showed worse global and executive cognitive function.

Table 2. *Sociodemographic, clinical, and neuropsychiatric characteristics as predictors of apathy in Huntington's disease mutation carriers*

	No apathy n = 103	Apathy* n = 49	Univariate logistic regression OR (95% CI)	*p*-value**
Sociodemographic characteristics				
Male (n, %)	40 (39%)	28 (57%)	2.10 (1.05–4.19)	0.04
Age (years ± SD)	45.5 ± 11.3	50.8 ± 12.3	1.04 (1.01–1.07)	0.01
Higher level of education (n, %)	66 (64%)	26 (53%)	0.62 (0.31–1.24)	0.18
Married or with partner (n, %)	35 (34%)	19 (39%)	1.23 (0.61–2.49)	0.56
Clinical characteristics				
CAG repeats (number ± SD)	44.0 ± 3.1	44.2 ± 3.2	1.02 (0.92–1.14)	0.71
TFC [a] [< 11 points] (n, %)	39 (38%)	37 (76%)	5.06 (2.36–10.9)	< 0.001
UHDRS-m [b] [> 15 points] (n, %)	43 (42%)	36 (74%)	4.02 (1.91–8.48)	< 0.001
Use of psychotropic medication (n, %)	27 (26%)	35 (71%)	7.04 (3.29–15.0)	< 0.001
- Antidepressants (n, %)	19 (18%)	24 (49%)	4.24 (2.01–8.98)	< 0.001
- Neuroleptics (n, %)	5 (5%)	13 (27%)	7.08 (2.36–21.3)	< 0.001
- Benzodiazepines (n, %)	14 (14%)	22 (45%)	5.18 (2.34–11.5)	< 0.001
Neuropsychiatric characteristics				
AS [c] (points, IQR)	7 (3–10)	20 (16–27)	–	< 0.001
DSM-IV [d] depression (n, %)	1 (1%)	7 (14%)	21.9 (2.59–184)	< 0.001
MMSE [e] [< 27 points] (n, %)	49 (48%)	34 (69%)	2.60 (1.26–5.34)	0.01
SDMT [f] [< 34 points] (n, %)	41 (40%)	35 (71%)	3.78 (1.81–7.88)	< 0.001
VFT [g] [< 19 points] (n, %)	42 (41%)	34 (69%)	3.29 (1.60–6.79)	0.001
Stroop-Color [< 50 points] (n, %)	41 (40%)	33 (67%)	3.12 (1.53–6.38)	0.002
Stroop-Word [< 72 points] (n, %)	40 (39%)	36 (74%)	4.36 (2.07–9.21)	< 0.001
Stroop-Interference [< 29 points] (n, %)	41 (40%)	34 (69%)	3.43 (1.66–7.07)	0.001
ExCogn [h] [< 0.05] (n, %)	42 (41%)	34 (69%)	3.29 (1.60–6.79)	0.001

Data are n (%) or mean (± SD) when appropriate.
Odds ratio's (OR) and the corresponding 95% confidence interval (CI) are provided.
* Apathy was defined as an Apathy Scale score ≥ 14 points.
** *P*-values by univariate logistic regression analysis, or non-parametric Mann-Whitney U tests.
[a] TFC = Total Functional Capacity; [b] UHDRS-m = Unified Huntington's Disease Rating Scale, motor section; [c] AS = Apathy Scale; [d] DSM-IV = Diagnostic Statistical Manual of mental disorders, Version IV; [e] MMSE = Mini-Mental State Examination; [f] SDMT = Symbol Digit Modality Test; [g] VFT = Verbal Fluency Test; [h] ExCogn = executive cognitive function defined by 5 index z-scores derived from SDMT, VFT, and Stroop tests). TFC, UHDRS-m, MMSE, SDMT, VFT, Stroop tests, and ExCogn scores are divided into two groups using a median split.

Table 3a. *Independent predictors of apathy in 49 Huntington's disease mutation carriers.*

	No apathy Reference n = 103	Apathy OR (95% CI) n = 49	p-value*
Male sex	1.00	2.46 (1.05–5.78)	0.04
Use of antidepressants	1.00	2.72 (1.13–6.55)	0.03
Use of neuroleptics	1.00	4.40 (1.20–16.1)	0.03
Depression	1.00	23.84 (2.40–237)	0.007

Table 3b. *Independent predictors of apathy in 40 Huntington's disease mutation carriers, after exclusion of 10 subjects with a depression.*

	No apathy Reference n = 102	Apathy OR (95% CI) n = 40	p-value*
Male sex	1.00	2.73 (1.15–6.50)	0.02
TFC score (Total Functional Capacity)	1.00	2.88 (1.18–7.07)	0.02
Use of neuroleptics	1.00	3.64 (1.01–13.1)	0.048
Use of benzodiazepines	1.00	2.91 (1.07–7.86)	0.04

Odds ratio's (OR) and the corresponding 95% confidence intervals (CI) are provided.
*P-values by multivariate forward logistic regression.
TFC = Total Functional Capacity.

Independent correlates of apathy in HD mutation carriers

Using logistic regression analysis male sex, higher use of both antidepressants and neuroleptics, and the presence of depression were statistically significant independent correlates of apathy in a multivariable analysis (Table 3a).

In addition, a sensitivity analysis was conducted to evaluate the robustness of our model and to eliminate the possibility of confounding influences of depression on the correlates of apathy. As described above, eight subjects had a formal diagnosis of depression according to the CIDI (7 subjects in the apathetic group and 1 subject in the non-apathetic group), and 2 without the CIDI assessment were clinically depressed (both in the apathetic group). After exclusion of these 10 subjects with depression, higher use of antidepressants was no longer independently associated with the presence of apathy. However, male sex and higher use of neuroleptics were still independent predictors of apathy, together with lower TFC score, and higher use of benzodiazepines (Table 3b).

Discussion

The results of our study confirm that apathy frequently occurs in HD with a prevalence of 32% in mutation carriers compared to 0% in non-carriers. Mutation carriers with apathy were more

likely to be male, of older age, and were using more psychotropic medication. When comparing mutation carriers with apathy to those without apathy, significantly more depression, worse total functioning with more severe motor and cognitive symptoms, and increased use of psychotropic medication was shown. After exclusion of mutation carriers with depression, the independent associations with the presence of apathy in HD mutation carriers were male sex, worse global functioning, higher use of neuroleptics, and higher use of benzodiazepines.

Apathy and depression

The relationship between apathy and depression varies across diagnostic groups and depends on assessment tools used.[25] Apathy can be a clinical sign of depression, but can also occur independently. In HD, apathy has been shown to be associated with the presence of depressed mood,[3] but inconsistently.[26,27,11] Contrary to our findings, one other study using the CIDI found no association between a formal diagnosis of depression and apathy in patients with traumatic brain injury.[28] In another study applying a factor analysis of the Montgomery and Åsberg Depression Rating Scale (MADRS)[29] in patients with acquired brain damage, 'negative symptoms' of depression were highly associated with apathy, whereas 'depressed mood' or 'somatic symptoms' were not.[30]

Apathy and the use of psychotropic medication

The presence of apathy was associated with higher use of different types of psychotropic medication. The association with the use of antidepressants – not surprisingly – disappeared after the exclusion of subjects with depression. Higher use of neuroleptics remained independently predictive, together with higher use of benzodiazepines.

Since this study has a cross-sectional design, we cannot conclude whether the use of psychotropic medication is a cause or consequence of apathy. In clinical practice, antidepressants may be prescribed as a treatment for apathy, but in our study their use seems to be related to presence of depression. Development of apathy as a side-effect of the use of neuroleptics and benzodiazepines is very well possible, due to their blunting and sedative effects, which may result in lethargy and fatigue.

Furthermore, distinguishing apathy from depression is of clinical importance because of potential differences in the use of pharmacological and non-pharmacological interventions. Pharmacotherapy for depression may improve the clinical profile, but can also have a counteractive effect on apathy.[31] For example, serotonin reuptake inhibitors may increase apathy and withdrawal from engagement with the environment.[32]

To date, no specific treatments for apathy are known. Preliminary studies suggest that apathy may respond to pharmacotherapy with stimulants, dopamine agonists, acetylcholinesterase inhibitors, or NMDA-receptor antagonists.[33,34]

Apathy and cognitive function

Using univariate analysis we found an association between presence of apathy and worse cognitive function. This result is in line with a previous study among patients with early HD, that found severe deficits in attention, executive function, and episodic memory to be related to apathy.[35] In other neurodegenerative disorders, an association between apathy and cognitive dysfunction has also been described. For example, apathy correlated with initiation-perseveration in subjects with progressive supranuclear palsy,[36] and a correlation between apathy and worse performance on several cognitive tests among which executive cognitive function in Parkinson's disease has been reported.[27] Also, in Alzheimer's disease, patients with apathy performed worse on the SDMT and the Stroop-Interference test, than those without apathy.[37]

In patients with dementia and apathy, a faster cognitive and functional decline has been found compared to patients without apathy.[34] In an earlier study,[6] we found significantly more apathy in advanced stage HD. Therefore, apathy may be a sign of disease progression in HD, including progressive motor and cognitive impairments, and worse global functioning, but longitudinal studies are needed to investigate precise relationships.

The strengths of this study are a relatively large study population with HD, the use of a comparison group, and the use of specific and validated measurement tools in a standardized interview. However, there are some limitations that warrant discussion. First, this study involved the analysis of cross-sectional data which precludes conclusions about the direction of causality. Second, as discussed before, assessment of the AS was done during a clinical interview with the mutation carrier and an informant, whereas the CIDI was assessed in absence of the informant. This may have reduced the validity of the CIDI assessment, as HD patients may have a lack of insight into their own behavior and feelings. Another limitation was that some of the explanatory variables were rather strongly intercorrelated and that the automated variable selection method in the logistic regression may therefore have produced models of somewhat limited stability. Further, all subjects volunteered to participate in this study, which may have led to an underestimation of the prevalence of apathy in HD patients due to selection bias, as subjects who did not respond to the invitation to participate in the study may have been more apathetic.

We conclude that apathy is highly prevalent in HD and is strongly associated with the presence of depression, worse global functioning, and the use of psychotropic medication (especially neuroleptics and benzodiazepines). Therefore, we advise to evaluate the use of all psychotropic medications to exclude an iatrogenic cause of apathy.

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3