



Universiteit
Leiden
The Netherlands

On the neural control of social emotional behavior.

Roelofs, K.; Minelli, A.; Mars, R.B.; Peer, J.M. van; Toni, I.

Citation

Roelofs, K., Minelli, A., Mars, R. B., Peer, J. M. van, & Toni, I. (2009). On the neural control of social emotional behavior. *Social Cognitive And Affective Neuroscience*, 4, 50-58. Retrieved from <https://hdl.handle.net/1887/14319>

Version: Not Applicable (or Unknown)

License: [Leiden University Non-exclusive license](#)

Downloaded from: <https://hdl.handle.net/1887/14319>

Note: To cite this publication please use the final published version (if applicable).

On the neural control of social emotional behavior

Karin Roelofs,^{1,2} Alessandra Minelli,³ Rogier B. Mars,^{4,5,6} Jacobien van Peer,¹ and Ivan Toni^{5,6}

¹Leiden University Institute for Psychological Research, Clinical, Health and Neuropsychology Unit, ²Leiden Institute for Brain and Cognition (LIBC), Leiden University, The Netherlands, ³Genetics Unit, IRCCS ‘Centro S. Giovanni di Dio’, Brescia, Italy,

⁴Department of Experimental Psychology, University of Oxford, Oxford, UK, ⁵F.C. Donders Centre for Cognitive Neuroimaging, and

⁶Nijmegen Institute for Cognition and Information, Radboud University Nijmegen, The Netherlands

It is known that the orbitofrontal cortex (OFC) is crucially involved in emotion regulation. However, the specific role of the OFC in controlling the behavior evoked by these emotions, such as approach–avoidance (AA) responses, remains largely unexplored. We measured behavioral and neural responses (using fMRI) during the performance of a social task, a reaction time (RT) task where subjects approached or avoided visually presented emotional faces by pulling or pushing a joystick, respectively. RTs were longer for affect-incongruent responses (approach angry faces and avoid happy faces) as compared to affect-congruent responses (approach–happy; avoid–angry). Moreover, affect-incongruent responses recruited increased activity in the left lateral OFC. These behavioral and neural effects emerged only when the subjects responded explicitly to the emotional value of the faces (AA-task) and largely disappeared when subjects responded to an affectively irrelevant feature of the faces during a control (gender evaluation: GE) task. Most crucially, the size of the OFC-effect correlated positively with the size of the behavioral costs of approaching angry faces. These findings qualify the role of the lateral OFC in the voluntary control of social–motivational behavior, emphasizing the relevance of this region for selecting rule-driven stimulus–response associations, while overriding automatic (affect-congruent) stimulus–response mappings.

Keywords: orbitofrontal cortex; approach–avoidance; motivational behavior; angry facial expression; social–emotional behavior

INTRODUCTION

Human social skills require the ability to adapt and regulate instinctive reactions to emotional signals, in particular the communicative signals of threat or appeasement conveyed by emotional facial expressions (Öhman, 1986; Blair, 2003). This ability is not trivial, as shown by the inability of non-human primates to control their approach and avoidance tendencies when engaged in collaborative activities (Melis *et al.*, 2006), and it can be dramatically relevant, as shown by psychiatric conditions like social phobia and antisocial behaviors (e.g. Horley *et al.*, 2004; Lewis and Lamm, 2006).

Numerous studies have addressed the neural bases of perception of social emotional signals, in particular facial expression (Adolphs, 2003), detailing the crucial role of the amygdala and other limbic structures in the automatic processing of (negative) facial expressions (Adolphs, 2002; McClure *et al.*, 2004; Strauss *et al.*, 2005). Here we address the cerebral and cognitive mechanisms controlling the behavior evoked by these perceptual processes. Several studies have shown that the OFC plays a crucial role in the

voluntary regulation of emotions (Damasio, 1994; Rolls, 1999; Davidson *et al.*, 2000; Ochsner and Gross, 2005) and the control of social emotional behavior (Rolls *et al.*, 1994; Blair and Cipolotti, 2000; Veit *et al.*, 2002; Hornak *et al.*, 2003; Kringelbach and Rolls, 2003). In particular, the lateral OFC and the adjacent ventrolateral prefrontal cortex are involved in the selection of actions that override automatic and motivationally (reward) driven response tendencies (Elliott *et al.*, 2000; Passingham *et al.*, 2000; Rushworth *et al.*, 2007). Whether this role extends to the domain of social approach–avoidance (AA) behavior remains to be studied. In the present investigation, we test the hypothesis that the contribution of the OFC—the lateral OFC in particular—to social emotional behavior predominantly consists in selecting voluntary or rule-driven behavioral responses that are different from the automatic reactions evoked by emotional stimuli.

We have tested this hypothesis in the context of an ecologically relevant emotional behavior, i.e. approach or avoidance responses to facial emotions, a common and potent social stimulus (Lang, 1990). Several studies have operationalized social AA behavior by asking human subjects to move their forearm either towards their body (approach) or away from their body (avoidance) in response to emotional face stimuli (e.g. Rotteveel and Phaf, 2004; Roelofs *et al.*, 2005, in press; Heuer *et al.*, 2007). Crucially, when subjects approach angry faces and avoid happy faces (affect-incongruent condition), their reaction times (RTs) are slower than when they approach happy and avoid

Received 19 May 2008; Accepted 17 September 2008

Advance Access publication 30 November 2008

The authors thank Paul Gaalman for expert assistance during scanning, Bram Daams for technical assistance, Mark Rotteveel (University of Amsterdam) for his valuable comments on the study design and Jolanda Verhagen and Hans van Pelt (Department of Clinical Chemistry, Leiden University Medical Centre) for conducting the cortisol analyses. Dutch Science Foundation (NWO VENI grant no. 451-02-115 to K.R. and NWO: VIDI grant no. 452-03-339 to I.T.); European Union's Sixth Research Framework Programme (Marie Curie ELF fellowship to R.B.M.).

Correspondence should be addressed to K. Roelofs, PO Box 9555, 2300 RB Leiden, The Netherlands.

E-mail: roelofs@fsw.leidenuniv.nl.

angry faces (affect-congruent condition). This RT effect is an indication that during incongruent trials, subjects solve the task by overriding their instinctive response tendencies. This AA congruency effect is specifically linked to the generation of an explicit emotional judgment, being absent when the same stimuli, movements and stimulus–response mappings are used in a task requiring the evaluation of an affectively irrelevant feature of the same stimuli (the gender of the face, Rotteveel and Phaf, 2004). To test the involvement of the lateral OFC in the voluntary control of social AA behavior, we have adapted the AA task for fMRI. In order to isolate the specific cerebral responses that are modulated by the need to *voluntary* control affect-incongruent AA responses over and above the effects associated with more *automated* control of social AA behavior, we contrasted the AA congruency effects with effects induced by a control (gender evaluation: GE) task, in which exactly the same stimuli, joystick responses and stimulus–response mappings were applied but in which emotion evaluation was not explicitly instructed.

MATERIALS AND METHODS

Participants

Twenty-two healthy, right-handed young males [age: 21 ± 3 years (mean $\pm$ s.d.) range: 18–32 years] participated in the study after giving written informed consent according to the institutional guidelines of local ethics committee (CMO—Commissie Mensgebonden Onderzoek region Arnhem-Nijmegen, the Netherlands). All participants had normal or corrected-to-normal vision. Data from two subjects were excluded from the group analysis due to imaging artifacts related to head movements, leaving 20 subjects for the final analyses.

Experimental setup

Subjects lay supine on the MR scanner bed with their head fitted in a standard circular polarized transmitter–receiver head coil. Visual stimuli were projected onto a mirror above the subjects' head. Stimulus presentation was controlled by a PC running Presentation software version 9.7 (<http://www.nbs.neuro-bs.com>). Motor responses were recorded through a MR-compatible joystick (sampling rate 250 Hz). The joystick was placed on the abdomen of the participants in such a way that the joystick could be moved in a comfortable way into both target directions (pulled towards or pushed away from themselves). We ensured that subjects performed the task by predominantly moving their right hand/wrist and by avoiding movement of the forearm as much as possible. Subjects wore MR-compatible headphones (Resonance Technology, Northridge, CA, USA) to reduce the scanner noise.

Tasks and procedure

The AA task and the control (gender evaluation: GE) task were administered in separate MR-sessions, in a counterbalanced order across subjects, with a 15 min break (outside of

the scanner) between the two sessions. Each task involved an affect-congruent and an affect-incongruent response condition. During both tasks, the subjects were presented with pictures of faces displayed in the centre of the screen against a black background. The stimulus set consisted of 72 pictures taken from Ekman and Friesen (1976), Matsumoto and Ekman (1988), Martinez and Benavente (1998) and Lundqvist *et al.* (1998). Both the happy and angry expressions were taken from the same model (36 models in total).

In the AA task, subjects were explicitly instructed to categorize the facial expressions. In the affect-congruent condition of the AA task, participants were instructed to pull the joystick towards their body in response to a happy face and to push the joystick away in response to an angry face. In the incongruent condition, the instructions were reversed (i.e. angry–pull; happy–push). In the GE task, participants responded to an emotionally irrelevant feature (gender) of the same visual stimuli as presented in the AA task, by means of the same joystick movements (i.e. male–push; female–pull or visa versa), resulting in the same stimulus–response contingencies. In both tasks, subjects were instructed to respond as accurately and fast as possible. For both tasks, trials were presented in instruction blocks of 12 trials, followed by a baseline period (21–24 s), for a total of 24 alternating instruction blocks per task. The order of instruction blocks in each trial was fully counterbalanced. The experiment was preceded by 24 practice trials that contained pictures that were not included in the experimental series. Each trial started with the presentation of a fixation point at the center of the screen (100 ms), followed by a blank screen (300 ms), the experimental stimulus (100 ms), and the subject's response. The inter trial interval (ITI) varied between 2000 and 4000 ms (see Figure 1 for the trial sequence and the experimental setup).

Physiological stress measures

Because of the known influence of the stress hormone cortisol on AA behavior (van Honk *et al.*, 1998, 2000; Roelofs *et al.*, 2005, in press; van Peer *et al.*, 2007) we measured (salivary) cortisol, allowing to control for individual differences. Saliva samples were obtained using Salivette collection devices (Sarstedt, Rommelsdorf, Germany), stored at -20°C before assaying. Biochemical analysis of free cortisol in saliva was performed using a competitive electrochemiluminescence immunoassay (ECLIA, Elecsys 2010, Roche Diagnostics), as described elsewhere (Van Aken *et al.*, 2003). Because time of the day and the subjects' physical state can affect cortisol levels, subjects were always tested between 13.30 and 17.30 PM and were instructed to minimize physical exercise during the hour preceding the experiment and not to take large meals, coffee, drinks with low pH or cigarettes. Cortisol was measured at three time points during the experiment (before the first task, between the first and second task, and at the end of second task but before the structural MRI scan). We controlled for individual

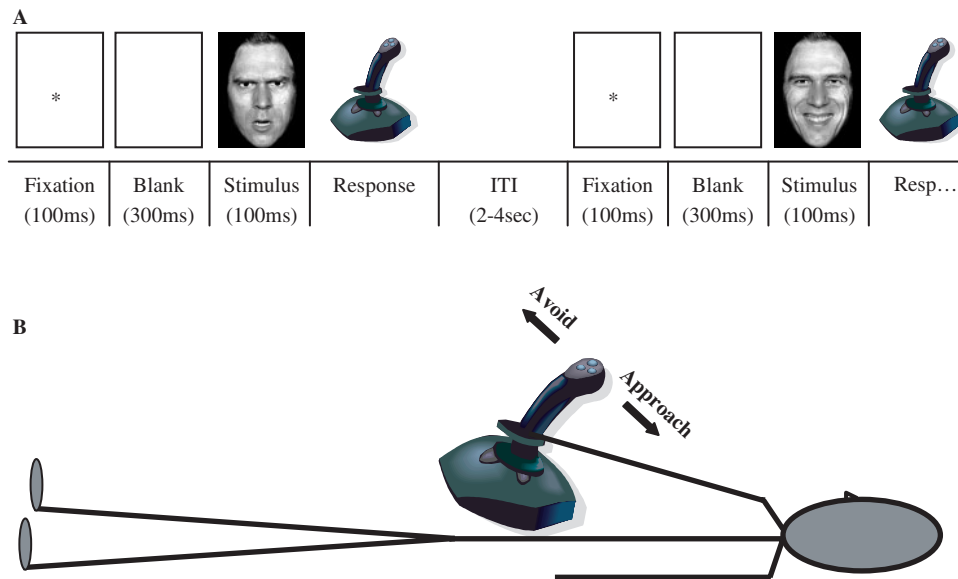


Fig. 1 Trial sequence (A) and experimental setup (B) for the AA task and the GE task. In both tasks, subjects responded to the emotional pictures by moving the joystick either towards (approach) or away (avoid) from their body.

differences in cortisol levels in the behavioral and imaging analyses by adding the mean Cortisol levels as a covariate to the analyses (because the intra-individual differences over the three time points were small [T1 (6.7 nmol/l, s.d. = 3.9); T2 (6.4 nmol/l, s.d. = 3.2); T3 (6.0 nmol/l, s.d. = 3.2)], cortisol levels were averaged over time.

Image acquisition

Images were acquired on a 1.5 Tesla Sonata MRI system (Siemens, Erlangen, Germany), using a standard circular polarized head coil for radio-frequency transmission and signal reception. BOLD-sensitive functional images were acquired using a single shot gradient EPI sequence (TR/TE 2580 ms/35 ms, 35 transversal slices, interleaved acquisition, distance factor 10%, effective voxel size $3.5 \times 3.5 \times 3.5$ mm, field of view 224 mm). Following the experimental session, high-resolution anatomical images were acquired with an MP_RAGE sequence (TE/TR 3.68/2250 ms, 176 sagittal slices, voxel size $1.0 \times 1.0 \times 1.0$ mm, FoV 256 mm).

Image analysis

Functional data were pre-processed and analyzed using SPM2 (Statistical Parametric Mapping, <http://www.fil.ion.ucl.ac.uk/spm>). The first five volumes of each subject's data set were discarded to allow for longitudinal relaxation time equilibration. Prior to analysis, the image time series were spatially realigned using a sinc interpolation algorithm that estimates rigid body transformations (translations, rotations) by minimizing head movements between each image and the reference image (Friston *et al.*, 1995). The time series for each voxel were temporally realigned to the middle slice in time to correct for differences in slice time acquisition. Subsequently, images were normalized onto a standard

MNI-aligned EPI template using linear transformation. Finally, the normalized images were spatially smoothed using an isotropic 10 mm full-width-at-half-maximum Gaussian kernel. Each participant's structural image was spatially coregistered to the mean of the functional images (Ashburner and Friston, 1997) and spatially normalized by using the same transformation matrix applied to the functional images.

The fMRI time series were analyzed using an event-related approach in the context of the general linear model. Analysis of the imaging data considered the following effects, for the AA and GE task separately: Angry congruent, Angry incongruent, Happy congruent, Happy incongruent. Vectors describing the onsets of these trials (regressors) were convolved with the canonical haemodynamic response function and its temporal derivative.

Head movement effects were accounted for by including the six rigid body motion parameters (estimated by the spatial realignment procedure) as nuisance covariates. Three further regressors, describing the time course of signal intensities averaged over different compartments (i.e. white matter, cerebrospinal fluid and the portion of the MR image outside the skull) were added. This was done to account for image intensity shifts due to movement of the hand within or near the main magnetic field of the scanner (Culham *et al.*, 2003; Verhagen *et al.*, 2006). Parameter estimates for all regressors were obtained by maximum-likelihood estimation, while using a temporal high-pass filter (cut-off 60 s), and modeling temporal autocorrelation as an AR(1) process. Consistent effects across subjects were tested by using a random effects multiple regression analysis that considered, for each subject, eight contrast images [i.e. the eight conditions of the experimental

design—Task (AA, GE) $\times$ Condition (Congruent, Incongruent) $\times$ Valence (Happy, Angry)]. In addition, the mean cortisol levels were included in the fMRI model as a condition-specific covariate.

The analysis was focused on testing for the relative involvement of the frontal lobe in the voluntary control of approach and avoidance behavior. This effect was operationalized as task-related differences in providing emotionally incongruent behavioral responses, i.e. a Task (AA, GE) $\times$ Condition (incongruent, congruent) interaction. When testing this interaction, we used a masking procedure to confine our search to regions that showed stronger responses during Incongruent than Congruent trials within the AA task. Therefore, this analysis isolated cerebral regions more strongly involved in voluntary emotional judgements than in the automatic generation of the same judgements (cf. Task $\times$ Condition interaction), and in which these differential effects were specifically driven by the need to voluntarily control such judgements (cf. simple main effect of Incongruent vs Congruent AA trials).

Statistical inference

The statistical significance of the estimated evoked hemodynamic responses was assessed using *t*-statistics in the context of a multiple regression analysis. Contrasts of the parameter estimates for each condition were calculated. Linear contrasts were used to determine the effects associated with each condition, generating *t*-values for each voxel in the image. Consistent effects across subjects were tested by using a random-effect group analysis with inferences drawn at the cluster level, corrected for multiple comparisons using family-wise error correction [$P < 0.05$ (Friston *et al.*, 1996)]. Gaussian random field theory allowed us to make inferences corrected for the number of non-independent comparisons (Friston *et al.*, 1995). The effective degrees of freedom of the error term took into account the temporal autocorrelation of the data (Friston *et al.*, 1995).

In addition to a whole-brain analysis, we focused our analyses on a search volume encompassing the OFC (bilaterally), the dorsolateral frontal cortex (bilaterally) and a 10 mm sphere centered around the coordinates (42, 0, 42—in the stereotactic space of the Montreal Neurological Institute) of a pre-central region recently shown to be involved in inhibitory control of pre-potent responses (Mars *et al.*, 2007). The search volume was determined by selecting all voxels included in the following anatomical regions, as defined and implemented in the WFU_Pickatlas tool (<http://www.fmri.wfubmc.edu/download.htm>): medial, middle, and superior orbital gyrus; gyrus rectus; inferior, middle, and superior frontal gyrus (Figure 3B). Within this search volume, statistical inference ($P < 0.05$) was performed at the cluster-level, correcting for multiple comparisons over the search volume.

Behavioral and brain-behavior analyses

Mean reaction times (RTs in milliseconds) for correct responses and error rates (percentage of trials) were calculated for each level of the three experimental factors (Task $\times$ Condition $\times$ Valence). A three-way ($2 \times 2 \times 2$) repeated measures ANOVA was carried out to examine the effects of Task (AA, GE), Condition (affect-congruent, affect-incongruent) and Valence (happy, angry) on error rates and RTs. Again, salivary cortisol was included as a covariate in the model. We also assessed the relation between condition-specific behavioral (RT) and cerebral (BOLD signal from the left OFC) by means of Pearson's correlation. The α -level was set at $P < 0.05$.

RESULTS

Behavioral data

Mean RTs and error rates of the participants are indicated in Table 1. A three-way (Task $\times$ Condition $\times$ Valence) ANOVA for the RT data with cortisol as a covariate, resulted in a significant Task $\times$ Condition interaction [$F_{(1,18)} = 5.92$, $P = 0.026$]. This finding indicates that, although there was no significant Condition effect for the GE task [$F_{(1,18)} = 0.36$, $P = 0.56$], there was a significant Condition effect for the AA task, with longer RTs for incongruent as compared to congruent trials [$F_{(1,18)} = 8.43$, $P = 0.009$ —see Figure 2. This AA Condition effect was significant for the angry face responses [$F_{(1,18)} = 6.84$, $P = 0.018$] and reflected a non-significant trend for happy face responses [$F_{(1,18)} = 3.06$, $P = 0.097$]. There were no other significant main or interaction effects in the three-way ANOVA, except for cortisol interacting significantly with the Task $\times$ Condition effect [$F_{(1,18)} = 5.25$, $P = 0.034$], indicating that increased AA (and not GE) congruency effects (i.e. faster RTs for congruent as compared to incongruent trials) were associated with decreased cortisol levels ($r = -0.49$, $P = 0.026$) during the course of the experiment. This relation with cortisol was largely explained by the angry face responses ($r = -0.45$, $P = 0.044$) and was not significant for happy faces ($r = -0.31$, ns).

There were no significant main or interaction effects concerning the error rates, apart from a significant effect

Table 1 Reaction times and error rates (mean $\pm$ SEM) for congruent and incongruent responses to happy and angry faces in the AA and GE tasks

	AA		GE	
	Happy	Angry	Happy	Angry
Reaction times (in ms)				
Congruent	560 (24)	616 (24)	578 (29)	593 (29)
Incongruent	592 (30)	649 (27)	597 (28)	606 (28)
Error rates (in percentage of trials)				
Congruent	1.53 (0.24)	1.58 (0.14)	1.30 (0.17)	2.03 (0.31)
Incongruent	1.51 (0.11)	1.74 (0.22)	2.66 (0.25)	1.96 (0.35)

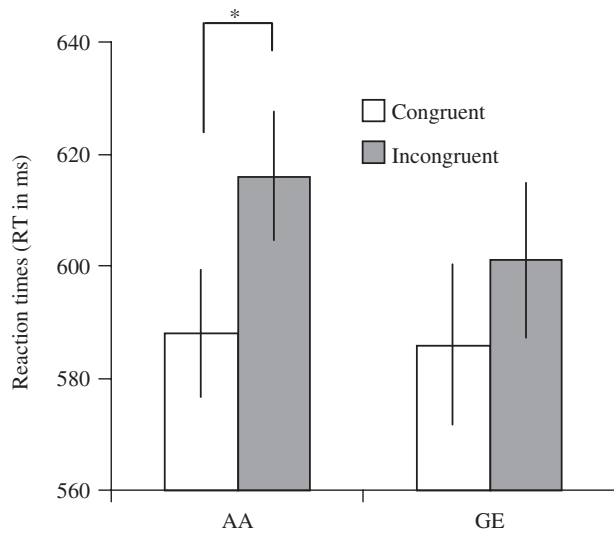


Fig. 2 Behavioral results. Reaction times for the AA task and the GE task (mean $\pm$ standard error of the mean). Subjects were significantly slower to provide affect-incongruent responses (approach angry faces, avoid happy faces) than affect-congruent responses in the AA task, but not in the GE task.

of Condition, indicating more errors for incongruent as compared to congruent trials [$F_{(1,18)} = 6.98$, $P = 0.017$].

Imaging data

During the performance of the AA task, but not of the GE task, there was a significant cluster with stronger responses during incongruent than congruent trials ($P = 0.048$ corrected for multiple comparison; cluster size: 61 voxels; stereotactical coordinates of local maxima: $-48, 30, 8$)—see Figure 3A. This cluster was localized in BA47/12 (Eickhoff et al., 2005), partially extending into BA45 (Figure 3). BA47/12 (Petrides and Pandya, 2002) constitutes the posterior lateral portion of the human OFC (Kringelbach and Rolls, 2004), as well as the ventral portion of the human ventrolateral prefrontal cortex (Petrides, 2005). *Post hoc* analysis revealed that the increased response of this cluster during AA incongruent trials was evoked by both happy and angry faces [conjunction analysis (Nichols et al., 2005), $P = 0.003$]. There were no other significant differential effects when the search for this effect was extended to the whole brain, or

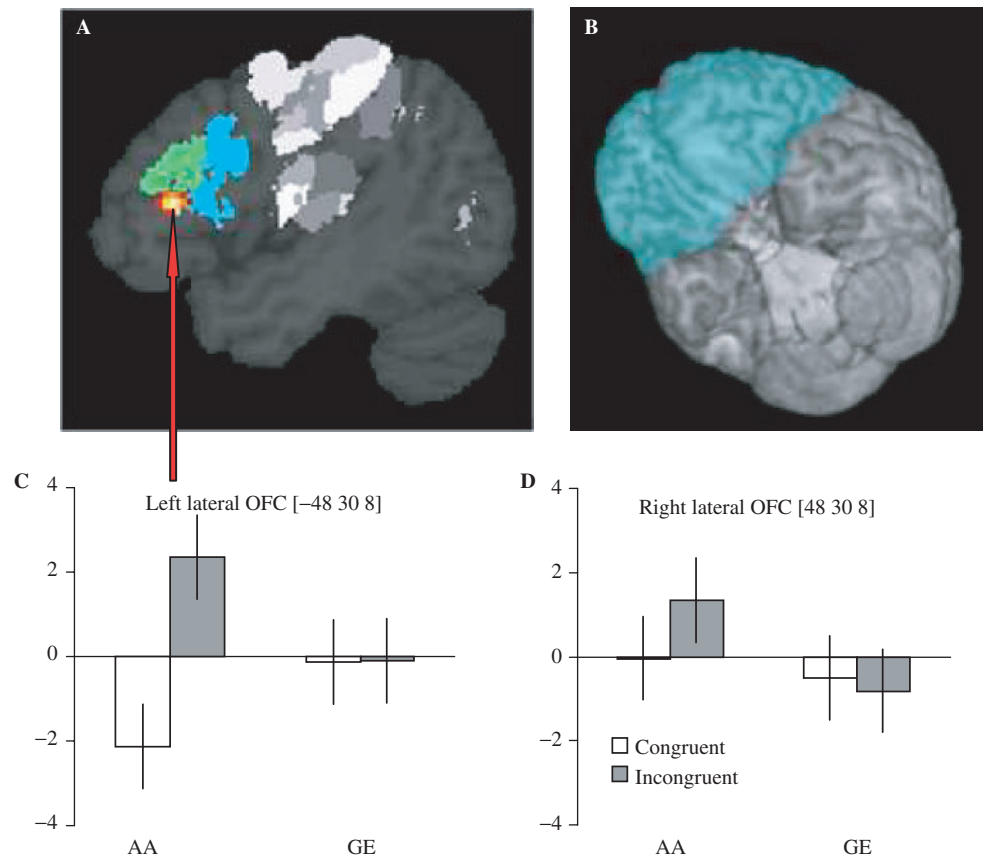


Fig. 3 Imaging results. (B) Volume of interest (in cyan) overlaid on a 3D rendering of a structural MR-scan. (A) Cluster showing larger activity for incongruent versus congruent trials on AA task and not GE task (in red/yellow). The cluster is located in the left lateral orbitofrontal cortex (BA47/12, partially extending into BA45). To indicate the spatial relationship between the activated cluster and cytoarchitectonic maps of BA44 and BA45, the maximum probability maps of these two areas (Eickhoff et al., 2005) have been indicated in blue and green, respectively. (C and D) The effect sizes for the effects in the left ($-48, 30, 8$) and in the right ($48, 30, 8$) hemisphere, respectively. It can be seen that the Task $\times$ Congruency interaction was present in the left orbitofrontal cluster only.

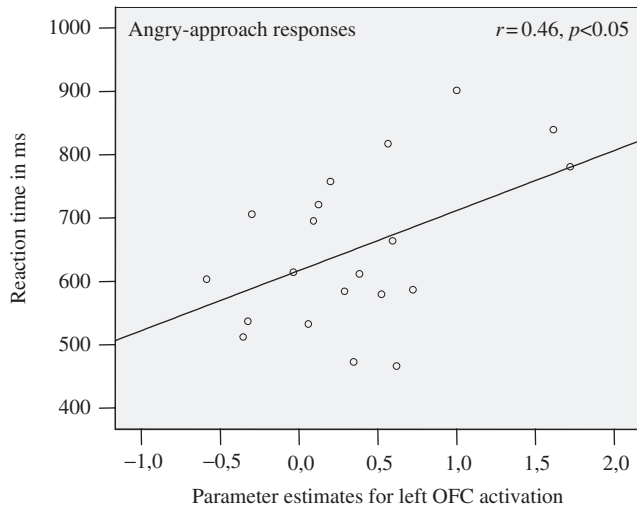


Fig. 4 Correlations between behavioral (RT) and cerebral (estimates of BOLD signal from the OFC at $-48, 30, 8$) effects during affect-incongruent (approach) responses to angry faces on the AA task. The positive correlation indicates that additional orbitofrontal resources were recruited as the RT costs for approaching angry faces increased.

focused on the anterior cingulate cortex (as defined through the WFU_Pickatlas tool).

Correlational analyses for AA-task

Activity in the left OFC was significantly correlated to the behavioral effects (RTs) during incongruent responses to angry faces ($r = 0.46$, $P = 0.043$), indicating that additional orbitofrontal resources were recruited as the RT costs for providing incongruent responses to angry faces increased (Figure 4). There was no such relation for congruent responses to angry faces ($r = -0.24$, ns), nor for incongruent and congruent responses to happy faces [$(r = -0.41$, ns) and $(r = -0.04$, ns), respectively].

DISCUSSION

We measured cerebral activity in a group of healthy subjects providing affective and gender evaluations of human faces with emotional expressions. Behaviorally, we confirmed that subjects are faster at approaching positive and avoiding negative social stimuli, as compared to the opposite mappings [AA congruency effect, (Rotteveel and Phaf, 2004; Roelofs *et al.*, 2005)]. These effects were specific to affective evaluations, largely disappearing when subjects responded to an affectively irrelevant feature of the emotional faces (gender evaluation). The voluntary control of motor responses associated with these affective evaluations evoked cerebral activity in the left OFC. Subsequently, we detail and interpret these behavioral and cerebral effects.

Behavioral results

There were no significant overall differences between performance of the AA and GE tasks, indicating that the two tasks were matched for general difficulty levels and sensorimotor

characteristics. Crucially, we found significant behavioral congruency effects for the AA task and not for the GE task, extending the findings of Rotteveel and Phaf (2004) to an fMRI setting. These results indicate that the present experimental set-up is suitable for the study of voluntary motivational behavior.

Imaging results

The behavioral congruency effects had a cerebral counterpart in increased metabolic activity in the left lateral OFC (BA47/12; Figure 3A). This effect was driven by the congruency of the relation between emotional valence of the faces on display and response type, over and above the main effects of perceiving faces and moving a joystick. This effect was specifically related to the voluntary control of affect–incongruent AA behavior, largely disappearing when subjects were evaluating the gender of the perceived faces rather than their emotional content. Finally, the effect was genuinely left-lateralized, being absent in the corresponding portion of the right hemisphere (Figure 3C and D).

These results emphasize the crucial contribution of the left lateral OFC in controlling voluntary AA behavior, i.e. selecting a motor response to emotional stimuli when this stimulus–response mapping is in conflict with the automatic AA reaction evoked by the emotional stimuli.

It could be argued that the present results can be explained by the inhibition of automatic emotional processing of the perceptual features of the stimuli, in line with the role of the left OFC in suppression of emotional distracters during working memory performance (Dolcos *et al.*, 2006; Dolcos and McCarthy, 2006). However, the AA task does not evoke inhibition of emotional information *per se*, but rather the inhibition of the response automatically associated with the emotional stimulus and the selection of a different stimulus–response association. The virtually error-free performance obtained in the present task (Table 1), together with the known right-lateralization and dorsolateral localization of the frontal network supporting the inhibition of prepotent responses (Garavan *et al.*, 1999; Mars *et al.*, 2007), make it unlikely that inhibition of emotional processing can fully account for the OFC response observed in this study. Previous studies have provided examples of such emotional inhibition. For instance, when subjects generate facial expressions that are incongruent to visually presented facial expressions (i.e. frowning to happy faces and smiling to angry faces; Lee *et al.*, 2008), the *right* OFC appears particularly involved in suppressing the pre-potent imitative response of expressing a facial expression congruent to the one currently perceived (Dimberg, 1982). In another study using emotional facial expressions, Hare *et al.* (2005) operationalized pressing a button ('Go') as an approach response and a lack of movement ('No-go') as an avoidance response. There was a correlation between amygdala activity and slowed Go responses following the presentation of fearful

faces, a possible indication that this structure is involved in fear-specific inhibitory control as evoked under the inhibitory pressures of Go/No-go tasks.

In contrast to a strict inhibitory contribution, we suggest that our findings can be seen as a particular instance of the general role of the left ventral prefrontal cortex in overriding dominant stimulus–response mappings in favor of rule-driven associations (Thompson-Schill *et al.*, 2005), as observed during the learning and performance of arbitrary stimulus–response associations (Passingham *et al.*, 2000; Toni *et al.*, 2001; Grol *et al.*, 2006), in particular when there is conflict among activated action representations (Badre and Wagner, 2007). Accordingly, the present results extend the known role of the OFC in selecting the relevant stimulus–response association among a set of possibilities (Bussey *et al.*, 2001; Rushworth *et al.*, 2005) to the domain of social emotional responses (Rolls, 2000; Hornak *et al.*, 2003).

Brain–behavior relationships and the control of emotional responses

It is conceivable that the size of the AA congruency effect in healthy subjects reflects the functionality of their adaptive emotional regulation (van Honk *et al.*, 2000). For instance, the cortisol level of subjects with strong AA congruency effects for angry faces remained consistently low throughout the experiment, indicating that active emotion regulation in healthy subjects is associated with reduced basal activity of the glucocorticoid stress systems. Accordingly, it becomes relevant to explore the relationship between cerebral and behavioral effects evoked by the AA task, in particular during the presentation of angry faces. We found that the OFC contribution to the incongruent responses in the AA task was modulated by the emotional valence of the stimuli, increasing as a function of RT when subjects were asked to approach angry faces (Figure 4).

This finding helps to integrate previous accounts of emotional processing in a novel perspective focused on response control. For instance, previous studies have pointed to the involvement of the lateral OFC in evaluating and responding to threat stimuli such as angry faces (Murphy *et al.*, 2003; Kringelbach and Rolls, 2004). Our results indicate that the lateral OFC does not respond to the stimulus emotional valence *per se*, but rather to an incongruence between stimulus valence and behavioral response. This interpretation complements previous findings from various human lesion and fMRI studies, indicating that lateral portions of the OFC are involved in overriding behavioral choices based on the previous reward values of stimuli and responses (for a review see Elliott *et al.*, 2000). Considering that approaching an angry face requires the subject to override the usually rewarded tendency to avoid threat helping to diminish arousal (van Honk *et al.*, 2000), we suggest that the lateral OFC support a control mechanism that operates in the context of

monetary and accuracy-feedback rewards (Elliott *et al.*, 2000), as well as in the context of social–motivational behavior.

Other reports have emphasized the importance of subjects' motivation to approach or to avoid an emotional stimulus, with a left frontal dominance for approach behavior (D'Alfonso *et al.*, 2000; van Honk *et al.*, 2002; Harmon-Jones, 2003; Harmon-Jones *et al.*, 2006). Although such approach-related left-hemispheric lateralization may involve the dorsolateral regions of the prefrontal cortex (DLPFC) and perhaps more anterior regions of the lateral OFC (having close connections to the DLPFC), such lateralization is less likely to involve the posterior regions of the lateral OFC implicated in the present study (BA47/12) and known to have close connections with limbic regions such as the amygdala (Elliott *et al.*, 2000). Indeed our results indicate that the left posterior OFC does not respond to approach behavior *per se*, but rather to approach responses that override a different and automatic stimulus–response mapping. In this perspective, the lateralization of OFC activity observed in this study can be seen as an instance of the known left-hemispheric dominance for selecting responses in the context of arbitrary or competing sensorimotor associations (Schluter *et al.*, 1998, 2001; Verstynen *et al.*, 2005; Badre and Wagner, 2007).

Interpretational limitations

The intrinsic characteristics of the AA task (i.e., a forced two-choice protocol) prevented us from introducing a control emotional category (neutral faces). This feature of the experimental design was sub-optimal for assessing the processing of the emotional stimuli irrespectively of the stimulus–response contingencies. For instance, the effects of perceiving angry faces could only be directly compared with happy faces, but we know that the amygdala is involved in detecting both angry and happy facial expressions (Fitzgerald *et al.*, 2006). A *post hoc* analysis indicated that presentation of angry faces evoked stronger responses than presentation of happy faces in the left amygdala (cluster size 5 voxels; stereotactical coordinates of local maxima: -32 , -4 , -14), though these effects remained below statistical threshold ($P < 0.05$ un-corrected for multiple comparisons).

The present results have been obtained in a group of male subjects. This choice appears justified by the well established gender differences in emotion processing (Rotter and Rotter, 1988), and by the substantial fluctuations in basal cortisol levels as a function of menstrual cycle in females. Accordingly, it remains to be seen whether the present findings apply to female subjects as well.

We cannot exclude that the lateralization of OFC activity is related to a generic left-hemispheric bias associated with having studied right-handed subjects providing responses with their right hand. However, this possibility appears unlikely, given that there was no left-hemispheric bias in the GE task.

Clinical implications

This study presents a novel tool for directly assessing frontal control of overt social AA behavior, a tool that considers the behavior evoked by emotional stimuli. This appears particularly relevant given that dysfunctional AA behavior has been implicated in numerous psychiatric conditions (Gray, 1987). For instance, social phobic patients have difficulty to override their social avoidance tendencies (Horley *et al.*, 2004, Heuer *et al.*, 2007), whereas patients with antisocial disorders show impaired control of social approach behavior (Lewis and Lamm, 2006). Our results would predict that these disturbances involve altered responses of the left lateral orbitofrontal cortex, resulting in impaired control of the action tendencies automatically elicited by social stimuli.

CONCLUSIONS

These results extend the known role of the lateral OFC in selecting the relevant stimulus–response association among a set of possibilities to the domain of social emotional responses, demonstrating that the left OFC is particularly involved when approach reactions need to be controlled and override an automatic stimulus–response mapping, such as threat avoidance. Rather than inhibiting instinctive emotional responses, the OFC exerts executive control over social AA. These findings are particularly relevant for the study of psychiatric conditions characterized by failure to control social AA behavior, such as social anxiety disorder.

REFERENCES

- Adolphs, R. (2002). Neural systems for recognizing emotion. *Current Opinion in Neurobiology*, 12, 169–77.
- Adolphs, R. (2003). Cognitive neuroscience of human social behaviour. *Nature Review Neuroscience*, 4(3), 165–78.
- Ashburner, J., Friston, K. (1997). Multimodal image coregistration and partitioning—a unified framework. *Neuroimage*, 6(3), 209–17.
- Badre, D., Wagner, A.D. (2007). Left ventrolateral prefrontal cortex and the cognitive control of memory. *Neuropsychologia*, 45, 2883–901.
- Blair, R.J. (2003). Facial expressions, their communicatory functions and neuro-cognitive substrates. *Philosophical Transactions of the Royal Society of London Biological Sciences*, 358, 561–72.
- Blair, R.J., Cipolotti, L. (2000). Impaired social response reversal: a case of ‘acquired sociopathy’. *Brain*, 123, 1122–41.
- Bussey, T.J., Wise, S.P., Murray, E.A. (2001). The role of ventral and orbital prefrontal cortex in conditional visuomotor learning and strategy use in rhesus monkeys (*Macaca mulatta*). *Behavioral Neuroscience*, 115(5), 971–82.
- Culham, J.C., Danckert, S.L., DeSouza, J.F., Gati, J.S., Menon, R.S., Goodale, M.A. (2003). Visually guided grasping produces fMRI activation in dorsal but not ventral stream brain areas. *Experimental Brain Research*, 153(2), 180–9.
- D’Alfonso, A.A., van Honk, J., Hermans, E., Postma, A., de Haan, E.H.F. (2000). Laterality effects in selective attention to threat after rTMS at the prefrontal cortex in female subjects. *Neuroscience Letters*, 280, 195–8.
- Damasio, A.R. (1994). *Descartes’ error*. New York: Putnam.
- Davidson, R.J., Putnam, K.M., Larson, C.L. (2000). Dysfunction in the neural circuitry of emotion regulation: a possible prelude to violence. *Science*, 289, 591–4.
- Dimberg, U. (1982). Facial reactions to facial expressions. *Psychophysiology*, 19(6), 643–7.
- Dolcos, F., Kragel, P., Wang, L., McCarthy, G. (2006). Role of the inferior frontal cortex in coping with distracting emotions. *Neuroreport*, 17(15), 1591–4.
- Dolcos, F., McCarthy, G. (2006). Brain systems mediating cognitive interference by emotional distraction. *The Journal of Neuroscience*, 26(7), 2072–9.
- Eickhoff, S.B., Stephan, K.E., Mohlberg, H., et al. (2005). A new SPM toolbox for combining probabilistic cytoarchitectonic maps and functional imaging data. *Neuroimage*, 25(4), 1325–35.
- Ekman, P., Friesen, W.V. (1976). *Pictures of Facial Affect*. Palo Alto, CA: Consulting Psychologist Press.
- Elliott, R., Dolan, R.J., Frith, C.D. (2000). Dissociable functions in the medial and lateral orbitofrontal cortex: evidence from human neuroimaging studies. *Cerebral Cortex*, 10(3), 308–17.
- Fitzgerald, D.A., Angstadt, M., Jelsone, L.M., Nathan, P.J., Phan, K.L. (2006). Beyond threat: amygdala reactivity across multiple expressions of facial affect. *Neuroimage*, 30, 1441–8.
- Friston, K.J., Ashburner, J., Frith, C.D., Poline, J.B., Heather, J.D., Frackowiak, R.S. (1995). Spatial registration and normalization of images. *Human Brain Mapping*, 2, 165–89.
- Friston, K.J., Holmes, A., Poline, J.B., Price, C.J., Frith, C.D. (1996). Detecting Activations in PET and fMRI: levels of inference and power. *Neuroimage*, 4, 223–35.
- Garavan, H., Ross, T.J., Stein, E.A. (1999). Right hemispheric dominance of inhibitory control: an event-related functional MRI study. *Proceedings of the National Academy of Sciences USA*, 96, 8301–6.
- Gray, J.A. (1987). *The Psychology of Fear and Stress*, (2nd edn). Cambridge, England: Cambridge University Press.
- Grol, M.J., de Lange, F.P., Verstraten, F.A.J., Passingham, R.E., Toni, I. (2006). Cerebral changes during performance of overlearned arbitrary visuomotor associations. *The Journal of Neuroscience*, 26(1), 117–25.
- Hare, T.A., Tottenham, N., Davidson, M.C., Glover, G.H., Casey, B.J. (2005). Contributions of amygdala and striatal activity in emotion regulation. *Biological Psychiatry*, 57, 624–32.
- Harmon-Jones, E., Lueck, L., Fearn, M., Harmon-Jones, C. (2006). The effect of personal relevance and approach-related action expectation on relative left frontal cortical activity. *Psychological Science*, 17(5), 434–40.
- Harmon-Jones, E. (2003). Early Career Award. Clarifying the emotive functions of asymmetrical frontal cortical activity. *Psychophysiology*, 40(6), 838–48.
- Heuer, K., Rinck, M., Becker, E.S. (2007). Avoidance of emotional facial expressions in social anxiety: the approach-avoidance task. *Behaviour Research Therapy*, 45(12), 2990–3001.
- Horley, K., Williams, L.M., Gonsalvez, C., Gordon, E. (2004). Face to face: visual scanpath evidence for abnormal processing of facial expressions in social phobia. *Psychiatry Research*, 127(1–2), 43–53.
- Hornak, J., Bramham, J., Rolls, E.T., Morris, R.G., O’Doherty, J., Bullock, P.R., Polkey, C.E. (2003). Changes in emotion after circumscribed surgical lesions of the orbitofrontal and cingulate cortices. *Brain*, 126, 1671–712.
- Kringelbach, M.L., Rolls, E.T. (2003). Neural correlates of rapid reversal learning in a simple model of human social interaction. *Neuroimage*, 20, 1371–83.
- Kringelbach, M.L., Rolls, E.T. (2004). The functional neuroanatomy of the human orbitofrontal cortex: evidence from neuroimaging and neuropsychology. *Progress in Neurobiology*, 72(5), 341–72.
- Lang, P.J., Bradley, M.M., Cuthbert, B.N. (1990). Emotion, attention, and the startle reflex. *Psychological Review*, 97, 377–95.
- Lee, T.W., Dolan, R.J., Critchley, H.D. (2008). Controlling emotional expression: behavioral and neural correlates of nonimitative emotional responses. *Cerebral Cortex*, 18, 104–13.
- Lewis, M.D., Lamm, C. (2006). Behavioral differences in aggressive children linked with neural mechanisms of emotion regulation. *Annals of the New York Academy of Sciences*, 1094, 164–77.
- Lundqvist, D., Flykt, A., Öhman, A. (1998). *The Karolinska directed emotional faces - KDEF*, CD ROM from Department of Clinical Neuroscience, Psychology section, Karolinska Institute, Sweden.

- Mars, R.B., Piekema, C., Coles, M.G., Hulstijn, W., Toni, I. (2007). On the programming and reprogramming of actions. *Cerebral Cortex*, 17, 2972–9.
- Martinez, A.M., Benavente, R. (1998). The AR face database. (CVC tech. Rep. No. 24).
- Matsumoto, D., Ekman, P. (1988). Japanese and Caucasian facial expressions of emotion (JACFEE) [Slides]. University of California, Human Interaction Laboratory, San Francisco, CA.
- McClure, E.B., Monk, C.S., Nelson, E.E., Zarahn, E., Leibenluft, E., Bilder, R.M., et al. (2004). A developmental examination of gender differences in brain imaging during evaluation of threat. *Biological Psychiatry*, 55, 1047–55.
- Melis, A.P., Hare, B., Tomasello, M. (2006). Engineering cooperation in chimpanzees: tolerance constraints on cooperation. *Animal Behaviour*, 72, 275–86.
- Murphy, F.C., Nimmo-Smith, I., Lawrence, A.D. (2003). Functional neuroanatomy of emotions: a meta-analysis. *Cognitive, Affective & Behavioral Neuroscience*, 3(3), 207–33.
- Nichols, T., Brett, M., Andersson, J., Wager, T., Poline, J.B. (2005). Valid conjunction inference with the minimum statistic. *Neuroimage*, 25, 653–60.
- Ochsner, K.N., Gross, J.J. (2005). The cognitive control of emotion. *Trends in Cognitive Sciences*, 9(5), 242–9.
- Öhman, A. (1986). Face the beast and fear the face: animal and social fears as prototypes for evolutionary analyses of emotion. *Psychophysiology*, 23, 123–45.
- Passingham, R.E., Toni, I., Rushworth, M.F. (2000). Specialisation within the prefrontal cortex: the ventral prefrontal cortex and associative learning. *Experimental Brain Research*, 133(1), 103–13.
- Petrides, M. (2005). Lateral prefrontal cortex: architectonic and functional organization. *Philosophical Transactions of the Royal Society of London, Biological Sciences*, 360, 781–95.
- Petrides, M., Pandya, D.N. (2002). Comparative cytoarchitectonic analysis of the human and the macaque ventrolateral prefrontal cortex and corticocortical connection patterns in the monkey. *The European Journal of Neuroscience*, 16(2), 291–310.
- Roelofs, K., Elzinga, B., Rottevel, M. (2005). The effect of stress-induced cortisol on approach-avoidance behavior. *Psychoneuroendocrinology*, 30(7), 665–77.
- Roelofs, K., van Peer, J., Berretty, E., De Jong, P., Spinhoven, P.H., Elzinga, B. (in press) HPA-axis hyperresponsiveness is associated with increased social avoidance behavior in social phobia. *Biological Psychiatry*.
- Rolls, E.T. (1999). *The Brain and Emotion*. Oxford: Oxford Univ. Press.
- Rolls, E.T. (2000). Precis of the brain and emotion. *The Behavioral and Brain Sciences*, 23(2), 177–91.
- Rolls, E.T., Hornak, J., Wade, D., McGrath, J. (1994). Emotion-related learning in patients with social and emotional changes associated with frontal lobe damage. *Journal of Neurology, Neurosurgery, and Psychiatry*, 57, 1518–24.
- Rotter, N.G., Rotter, G.S. (1988). Sex differences in encoding and decoding of negative facial emotion. *Journal of Nonverbal Behavior*, 12, 139–48.
- Rottevel, M., Phaf, R.H. (2004). Automatic affective evaluation does not automatically predispose for arm flexion and extension. *Emotion*, 4(2), 156–72.
- Rushworth, M.F., Buckley, M.J., Gough, P.M., Alexander, I.H., Kyriazis, D., McDonald, K.R., et al. (2005). Attentional selection and action selection in the ventral and orbital prefrontal cortex. *The Journal of Neuroscience*, 25(50), 11628–36.
- Rushworth, M.F., Behrens, T.E., Rudebeck, P.H., Walton, M.E. (2007). Contrasting roles for cingulate and orbitofrontal cortex in decisions and social behaviour. *Trends in Cognitive Sciences*, 11(4), 168–76.
- Schluter, N.D., Rushworth, M.F., Passingham, R.E., Mills, K.R. (1998). Temporary interference in human lateral premotor cortex suggests dominance for the selection of movements. A study using transcranial magnetic stimulation. *Brain*, 121(5), 785–99.
- Schluter, N.D., Krams, M., Rushworth, M.F., Passingham, R.E. (2001). Cerebral dominance for action in the human brain: the selection of actions. *Neuropsychologia*, 39(2), 105–13.
- Strauss, M.M., Makris, N., Aharon, I., Vangel, M.G., Goodman, J., Kennedy, D.N., et al. (2005). fMRI sensitization to angry faces. *Neuroimage*, 26, 389–413.
- Thompson-Schill, S.L., Bedny, M., Goldberg, R.F. (2005). The frontal lobes and the regulation of mental activity. *Current Opinion in Neurobiology*, 15, 219–24.
- Toni, I., Ramnani, N., Josephs, O., Ashburner, J., Passingham, R.E. (2001). Learning arbitrary visuomotor associations: temporal dynamic of brain activity. *Neuroimage*, 14(5), 1048–57.
- Van Aken, M.O., Romijn, J.A., Miltenburg, J.A., Lentjes, E.G. (2003). Automated measurement of cortisol. *Clinical Chemistry*, 49(8), 1408–9.
- Van Honk, J., Schutter, D.J., d'Alfonso, A.A., Kessels, R.P., de Haan, E.H. (2002). 1 Hz rTMS over the right prefrontal cortex reduces vigilant attention to unmasked but not to masked fearful faces. *Biological Psychiatry*, 52(4), 312–7.
- Van Honk, J., Tuiten, A., van den Hout, M., Koppeschaar, H., Thijssen, J., de Haan, E., et al. (1998). Baseline salivary cortisol levels and preconscious selective attention for threat: a pilot study. *Psychoneuroendocrinology*, 23, 741–7.
- Van Honk, J., Tuiten, A., van den Hout, M., Koppeschaar, H., Thijssen, J., de Haan, E., et al. (2000). Conscious and preconscious selective attention to social threat: different neuroendocrine response patterns. *Psychoneuroendocrinology*, 25, 577–91.
- Van Peer, J.M., Roelofs, K., Rottevel, M., van Dijk, J.G., Spinhoven, P.H., Ridderinkhof, K.R. (2007). The effects of cortisol administration on approach-avoidance behavior: an event-related potential study. *Biological Psychology*, 76, 135–46.
- Veit, R., Flor, H., Erb, M., Hermann, C., Lotze, M., Grodd, W., et al. (2002). Brain circuits involved in emotional learning in antisocial behavior and social phobia in humans. *Neuroscience Letters*, 328(3), 233–6.
- Verhagen, L., Grol, M.J., Dijkerman, H.C., Toni, I. (2006). Studying visually-guided reach-to-grasp movements in an MR-environment. *Neuroimage*, 31, S45.
- Verstynen, T., Diedrichsen, J., Albert, N., Aparicio, P., Ivry, R.B. (2005). Ipsilateral motor cortex activity during unimanual hand movements relates to task complexity. *Journal of Neurophysiology*, 93(3), 1209–22.