

## Universal Limit of Critical-Current Fluctuations in Mesoscopic Josephson Junctions

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The sample-to-sample fluctuations in the critical current of a disordered Josephson junction are analyzed by means of a transmission-matrix formalism. If the junction becomes small compared to the superconducting coherence length, the fluctuations at  $T=0$  become of order  $e\Delta_0/\hbar$ , dependent only on the energy gap  $\Delta_0$  of the bulk superconductors and *independent of junction length or mean free path*. This universal limit is reached in weak links formed from point contacts or microbridges.

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The question addressed in this paper is: Does the phenomenon of “universal conductance fluctuations” have an analog in superconductivity?

In 1985 Al'tshuler and Lee and Stone showed that the sample-to-sample or “mesoscopic” fluctuations in the conductance  $G$  of a disordered metal wire at temperature  $T=0$  have a root-mean-square value  $\text{rms}G \simeq e^2/\hbar$  (up to a numerical coefficient of order unity) [1,2]. This value is called *universal* because, unlike the average conductance, it is independent of both the length  $L$  of the wire and the elastic mean free path  $l$  (provided  $l \ll L$ ). Universal conductance fluctuations (UCF) have been demonstrated in a variety of experiments, and stand out as one of the most remarkable phenomena in mesoscopic physics [3].

A few years later, Al'tshuler and Spivak studied the mesoscopic fluctuations in the current-phase-difference relationship  $I(\phi)$  of a superconductor-normal-metal-superconductor (SNS) Josephson junction [4]. They found that the critical current  $I_c \equiv \max I(\phi)$  fluctuates from sample to sample with the rms value

$$\text{rms}I_c \simeq ev_F l / L^2 \quad (1)$$

for  $T \ll \hbar v_F / k_B L^2$ . Here  $v_F$  is the Fermi velocity and  $L$  is the length of the junction, i.e., the separation of the two SN interfaces (it is assumed that the transverse dimension of the junction  $\leq L$ ). The critical-current fluctuations (1) depend on both  $L$  and  $l$ , and are therefore *not* universal in the sense of UCF.

The theory of Al'tshuler and Spivak applies to a junction which is long compared to mean free path and superconducting coherence length:  $L \gg l, \xi$ . [The coherence length is given by  $\xi = (\xi_0 l)^{1/2}$ , in the dirty limit  $l \ll \xi_0$ , where  $\xi_0 \equiv \hbar v_F / \pi \Delta_0$  and  $\Delta_0(T)$  is the superconducting energy gap.] The regime  $l \ll L \ll \xi$  of a short disordered junction (which is especially relevant for weak links formed from point contacts of microbridges [5]) was not considered. Here we will show that in this short-junction regime one has

$$\text{rms}I_c \simeq e\Delta_0/\hbar \quad (2)$$

for  $T \ll T_c$  [ $T_c \simeq \Delta_0(0)/k_B$  is the critical temperature]. In contrast to Eq. (1), the magnitude of the critical-current fluctuations has become *independent* of the prop-

erties of the junction. This is the analog for the Josephson effect of universal conductance fluctuations in metals.

The research presented here was motivated by work on *ballistic* point contacts ( $l \gg L$ ), which showed that the critical current per transmitted mode takes on the universal value  $e\Delta_0/\hbar$  in the limit  $L \ll \xi_0$  [6]—but not in longer junctions [7].

Our strategy to arrive at Eq. (2) is to relate the Josephson current through an SNS junction to the scattering matrix of the normal region, and then to use the statistical properties of this scattering matrix which are known from the theory of UCF [1–3]. The model considered is illustrated in Fig. 1. It consists of a disordered normal region (hatched) between two superconducting regions  $S_1$  and  $S_2$ . The disordered region may or may not contain a geometrical constriction. To obtain a well-defined scattering problem we insert ideal (impurity-free) normal leads  $N_1$  and  $N_2$  to the left and right of the disordered region. The SN interfaces are located at  $x = \pm L/2$ . We assume that the only scattering in the superconductors consists of Andreev reflection at the SN interfaces; i.e., we consider the case that the disorder is contained entirely within the normal region. This spatial separation of Andreev and normal scattering is the key simplification of our model. The model is directly applicable to superconductors in the clean limit  $\xi_0 \ll l_S$ , where  $l_S$  is the mean free path in the superconductor. We will argue that the qualitative results are not dependent on whether the disorder extends into the superconductor or not.

Further assumptions are standard within the theory of superconducting weak links [5]. The junction width is assumed to be much smaller than the Josephson penetration depth, so that the vector potential can be disregarded.

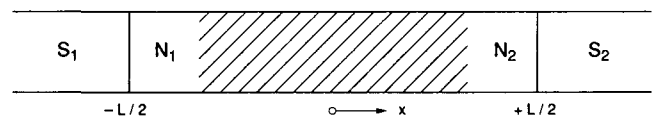


FIG. 1. Superconductor-normal-metal-superconductor Josephson junction containing a disordered normal region (hatched).

The reduction of the order parameter  $\Delta(\mathbf{r})$  in the superconducting region on approaching the SN interface is neglected; i.e., we approximate  $\Delta = \Delta_0 \exp(\pm i\phi/2)$  for  $|x| > L/2$ . (In the normal region  $|x| < L/2$  one has  $\Delta \equiv 0$  by definition.) As discussed by Likharev [5], this approximation is justified if the weak link has length and width much smaller than  $\xi$ . (It is then also irrelevant whether the weak link is formed out of a superconductor or a normal metal.) This is generally the case when the weak link consists of a constriction. If the weak link is not small compared to  $\xi$ , one may still neglect the reduction of the order parameter at the SN interfaces if the resistance of the SNS junction is dominated by the resistance of the normal region, which in the present model occurs when  $l \ll L$ .

The starting point of our analysis is a general relation between the Josephson current  $I(\phi)$  and the quasiparticle excitation spectrum [8]:

$$I = I_1 + I_2 + I_3,$$

$$I_1 \equiv -\frac{2e}{\hbar} \sum_p \tanh(\varepsilon_p/2k_B T) \frac{d\varepsilon_p}{d\phi},$$

$$I_2 \equiv -\frac{2e}{\hbar} 2k_B T \int_{\Delta_0}^{\infty} d\varepsilon \ln[2 \cosh(\varepsilon/2k_B T)] \frac{\partial \rho}{\partial \phi},$$

$$I_3 \equiv \frac{2e}{\hbar} \frac{d}{d\phi} \int d\mathbf{r} |\Delta|^2 / |g|,$$

where  $g$  is the interaction constant of the BCS theory. The supercurrent is given as the sum of three terms:  $I_1$  is a sum over the discrete spectrum, consisting of the energies  $\varepsilon_p(\phi) \in (0, \Delta_0)$ ;  $I_2$  is an integral over the continuous spectrum, with density of states  $\rho(\varepsilon, \phi)$ ; the third term  $I_3$  vanishes for a  $\phi$ -independent  $|\Delta|$ .

The excitation spectrum consists of the positive eigenvalues of the Bogoliubov-de Gennes equation [9]

$$\begin{pmatrix} \mathcal{H}_0 & \Delta \\ \Delta^* & -\mathcal{H}_0 \end{pmatrix} \Psi = \varepsilon \Psi, \quad (4)$$

where  $\Psi(\mathbf{r})$  is a two-component wave function and  $\mathcal{H}_0 = \mathbf{p}^2/2m + V(\mathbf{r}) - E_F$  is the single-electron Hamiltonian in a potential  $V$ . Energies are measured relative to the Fermi energy  $E_F$ . In the normal lead  $N_1$  the eigenfunctions are

$$\Psi_{n,e}^{\pm}(N_1) = \begin{pmatrix} 1 \\ 0 \end{pmatrix} (k_n^e)^{-1/2} \Phi_n \exp[\pm i k_n^e (x + \frac{1}{2}L)],$$

$$\Psi_{n,h}^{\pm}(N_1) = \begin{pmatrix} 0 \\ 1 \end{pmatrix} (k_n^h)^{-1/2} \Phi_n \exp[\pm i k_n^h (x + \frac{1}{2}L)],$$

where  $k_n^{e,h} \equiv (2m/\hbar^2)^{1/2} (E_F - E_n + \sigma^{e,h} \varepsilon)^{1/2}$  and  $\sigma^e \equiv 1$ ,  $\sigma^h \equiv -1$ . The labels e and h indicate the electron or hole character of the wave function. The index  $n$  labels the modes,  $\Phi_n(y, z)$  is the transverse wave function of the  $n$ th mode, and  $E_n$  its threshold energy. The eigenfunctions in lead  $N_2$  are chosen similarly, but with  $L$  replaced by  $-L$ .

In the superconducting lead  $S_1$ , where  $\Delta = \Delta_0 \exp(i\phi/2)$ , the eigenfunctions are

$$\Psi_{n,e}^{\pm}(S_1) = \begin{pmatrix} e^{i\eta^e/2} \\ e^{-i\eta^e/2} \end{pmatrix} (2q_n^e)^{-1/2} (\varepsilon^2/\Delta_0^2 - 1)^{-1/4} \\ \times \Phi_n \exp[\pm i q_n^e (x + \frac{1}{2}L)], \quad (6)$$

while  $\Psi_{n,h}^{\pm}(S_1)$  has the label e replaced by h. We have defined  $q_n^{e,h} \equiv (2m/\hbar^2)^{1/2} [E_F - E_n + \sigma^{e,h} (\varepsilon^2 - \Delta_0^2)^{1/2}]^{1/2}$  and  $\eta^{e,h} \equiv \phi/2 + \sigma^{e,h} \arccos(\varepsilon/\Delta_0)$ . The square roots are to be taken such that  $\text{Re} q^{e,h} \geq 0$ ,  $\text{Im} q^e \geq 0$ ,  $\text{Im} q^h \leq 0$ . The function  $\arccos t \in (0, \pi/2)$  for  $0 < t < 1$ , while  $\arccos t \equiv -i \ln[t + (t^2 - 1)^{1/2}]$  for  $t > 1$ . The eigenfunctions in lead  $S_2$  are obtained by replacing  $\phi$  by  $-\phi$  and  $L$  by  $-L$ .

The wave functions (5) and (6) have been normalized to carry the same amount of quasiparticle current, because we want to use them as the basis for scattering ( $s$ ) matrices. Our goal is to express the excitation spectrum of the SNS junction in terms of the  $s$  matrix of the normal region. To this end we will make use of several different  $s$  matrices, which we now introduce.

A wave incident on the disordered normal region is described in the basis (5) by a vector of coefficients  $c_N^{\text{in}} \equiv (c_e^+(N_1), c_e^-(N_2), c_h^-(N_1), c_h^+(N_2))$ . (The mode index  $n$  has been suppressed for simplicity of notation.) The reflected and transmitted waves have vector of coefficients  $c_N^{\text{out}} \equiv (c_e^-(N_1), c_e^+(N_2), c_h^+(N_1), c_h^-(N_2))$ . The  $s$  matrix  $s_N$  of the normal region relates these two vectors,  $c_N^{\text{out}} = s_N c_N^{\text{in}}$ . Because the normal region does not couple electrons and holes, this matrix has the block-diagonal form

$$s_N(\varepsilon) = \begin{pmatrix} s_0(\varepsilon) & \emptyset \\ \emptyset & s_0(-\varepsilon)^* \end{pmatrix}, \quad s_0 \equiv \begin{pmatrix} r_{11} & t_{12} \\ t_{21} & r_{22} \end{pmatrix}. \quad (7)$$

Here  $s_0$  is the unitary and symmetric  $s$  matrix associated with the single-electron Hamiltonian  $\mathcal{H}_0$ . The reflection and transmission matrices  $r$  and  $t$  are  $N \times N$  matrices,  $N$  being the number of propagating modes at energy  $\varepsilon$ . (We assume for simplicity that the number of modes in leads  $N_1$  and  $N_2$  is the same.)

We will make use of two more  $s$  matrices. For energies  $\varepsilon < \Delta_0$  there are no propagating modes in the superconducting leads  $S_1$  and  $S_2$ . We can then define an  $s$  matrix  $s_A$  for Andreev reflection at the SN interfaces by  $c_N^{\text{in}} = s_A c_N^{\text{out}}$ . The elements of  $s_A$  can be obtained by matching the wave functions (5) at  $|x| = L/2$  to the decaying wave functions (6). Since  $\Delta_0 \ll E_F$ , one may neglect normal reflections at the SN interface [10]. The result is

$$s_A = \alpha \begin{pmatrix} \emptyset & r_A \\ r_A^* & \emptyset \end{pmatrix}, \quad r_A \equiv \begin{pmatrix} e^{i\phi/2} \mathbf{1} & \emptyset \\ \emptyset & e^{-i\phi/2} \mathbf{1} \end{pmatrix}, \quad (8)$$

where  $\alpha \equiv \exp[-i \arccos(\varepsilon/\Delta_0)]$ . The matrices  $\mathbf{1}$  and  $\emptyset$  are the unit and null matrices, respectively. For  $\varepsilon > \Delta_0$  we can define the  $s$  matrix  $s_{\text{SNS}}$  of the whole junction by  $c_S^{\text{out}} = s_{\text{SNS}} c_S^{\text{in}}$ . The vectors  $c_S^{\text{in}}$  and  $c_S^{\text{out}}$  are the coef-

ficients in the expansion of the incoming and outgoing waves in leads  $S_1$  and  $S_2$  in terms of the wave functions (6). By matching the wave functions (5) and (6) at  $|x|=L/2$ , we arrive after some algebra at the matrix-product expression

$$s_{SNS} = U^{-1}(1-M)^{-1}(1-M^\dagger)s_N U, \quad (9)$$

$$U \equiv \begin{pmatrix} r_A & \emptyset \\ \emptyset & r_A^* \end{pmatrix}^{1/2}, \quad M \equiv \alpha s_N \begin{pmatrix} \emptyset & r_A \\ r_A^* & \emptyset \end{pmatrix}.$$

One can verify that the three  $s$  matrices defined above ( $s_{N,SA}$  for  $\varepsilon < \Delta_0$ ,  $s_{SNS}$  for  $\varepsilon > \Delta_0$ ) are unitary and satisfy the symmetry relation  $s(\varepsilon, \phi)_{ij} = s(\varepsilon, -\phi)_{ji}$ , as required by flux conservation and time-reversal invariance.

We are now ready to relate the excitation spectrum of the Josephson junction to the  $s$  matrix of the normal region. First the discrete spectrum. The condition  $c_{in} = s_{AS} c_{in}$  for a bound state implies  $\text{Det}(1 - s_{AS}) = 0$ . Using Eqs. (7) and (8), and the identity

$$\text{Det} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \text{Det}(ad - bca^{-1}), \quad (10)$$

we find the equation

$$\text{Det}[1 - \alpha(\varepsilon_p)^2 r_A^* s_0(\varepsilon_p) r_A s_0(-\varepsilon_p)^*] = 0, \quad (11)$$

which determines the discrete spectrum. The density of states of the continuous spectrum is related to  $s_{SNS}$  by the general relation [11]  $\rho = (2\pi i)^{-1} (\partial/\partial \varepsilon) \ln \text{Det} s_{SNS}$  plus a  $\phi$ -independent term. Using Eqs. (9) and (10) we find

$$\frac{\partial \rho}{\partial \phi} = -\frac{1}{\pi} \frac{\partial^2}{\partial \phi \partial \varepsilon} \text{Im} \ln \text{Det}[1 - \alpha(\varepsilon)^2 r_A^* s_0(\varepsilon) r_A s_0(-\varepsilon)^*]. \quad (12)$$

The determinantal equations (11) and (12) are the key technical results of this paper.

In the short-junction limit  $L \ll \xi$ , the determinants can be simplified further. The condition  $L \ll \xi$  is equivalent to  $\Delta_0 \ll E_c$ , where the correlation energy  $E_c \equiv \hbar/\tau$  is defined in terms of the traversal time  $\tau$  through the junction [12]. The elements of  $s_0(\varepsilon)$  change significantly if  $\varepsilon$  is changed by at least  $E_c$  [13]. We are concerned with  $\varepsilon$  of order  $\Delta_0$  or smaller [since  $\rho(\varepsilon, \phi)$  becomes independent of  $\phi$  for  $\varepsilon \gg \Delta_0$ ]. For  $\Delta_0 \ll E_c$  we may thus approximate  $s_0(\varepsilon) \approx s_0(-\varepsilon) \approx s_0(0)$ . Equation (11) then takes the form

$$\text{Det}[(1 - \varepsilon_p^2/\Delta_0^2)1 - t_{12}(0)t_{12}^\dagger(0)\sin^2(\phi/2)] = 0. \quad (13)$$

Equation (12) reduces to  $\partial \rho/\partial \phi = 0$ , from which we conclude that the continuous spectrum does not contribute to  $I(\phi)$  in the short-junction limit [ $I_2 = 0$  in Eq. (3)]. Equation (13) can be solved for  $\varepsilon_p$  in terms of the eigenvalues  $T_p$  of the Hermitian  $N \times N$  matrix  $t_{12} t_{12}^\dagger$  [14],

$$\varepsilon_p = \Delta_0 [1 - T_p \sin^2(\phi/2)]^{1/2}. \quad (14)$$

Substitution into Eq. (3) finally yields the Josephson

current

$$I = \frac{e\Delta_0^2}{2\hbar} \sin\phi \sum_{p=1}^N \frac{T_p}{\varepsilon_p} \tanh\left(\frac{\varepsilon_p}{2k_B T}\right). \quad (15)$$

Equation (15) is a generalization to arbitrary transmission matrix (i.e., to arbitrary disorder potential) of a result in the literature [15] for the Josephson current through a tunnel barrier. The generalization is *essential* for determining the sample-specific supercurrent fluctuations. A formula of similar generality for the conductance is the Landauer formula:  $G = (2e^2/h) \text{Tr} t t^\dagger \equiv (2e^2/h) \sum_{p=1}^N T_p$ . In contrast to the conductance, the Josephson current is in general a *nonlinear* function of the transmission eigenvalues  $T_p$ . If the weak link consists of a ballistic point contact ( $l \gg L$ ) with a quantized conductance [3], one has  $T_p = 1$  for  $p \leq N_0$ ,  $T_p = 0$  for  $p > N_0$ , for some integer  $N_0$ . Equation (15) then yields (at  $T=0$ ) the discretized critical current  $I_c = N_0 e \Delta_0 / \hbar$  derived in Ref. [6] under the more restricted condition of adiabaticity. In the opposite regime  $l \ll L$  of diffusive transport we may approximate  $\varepsilon_p \approx \Delta_0$  in Eq. (15), since  $T_p = \mathcal{O}(l/L) \ll 1$ . Equation (15) then reduces to a *linear* relation between  $I$  and  $T_p$ ,

$$I = \frac{e\Delta_0}{2\hbar} \sin\phi \tanh(\Delta_0/2k_B T) \text{Tr} t t^\dagger. \quad (16)$$

In this regime, and at  $T=0$ , the average supercurrent  $\langle I \rangle$  (averaged over an ensemble of impurity configurations) is related to the average conductance  $\langle G \rangle$  by  $\langle I \rangle = (\pi \Delta_0 / 2e) \langle G \rangle \sin\phi$ . This relation for the supercurrent through a disordered normal region has the same form as the Ambegaokar-Baratoff formula [16] for a tunnel junction. It differs from the result obtained by Kulik and Omel'yanchuk [17] for a point contact in a disordered superconductor, by the absence of higher harmonics in  $\phi$ . (The fundamental  $\sin\phi$  term agrees.) We attribute the difference to the fact that we have assumed a clean superconductor ( $l_S \gg \xi_0$ ) containing a disordered region ( $l \ll \xi_0$ ), while in Ref. [17] both the superconductor and the weak link are in the dirty limit ( $l \equiv l_S \ll \xi_0$ ). The difference in the average critical current  $\langle I_c \rangle$  is a difference in a numerical coefficient, not in the order of magnitude. [Reference [17] gives  $\langle I_c \rangle = C(\pi \Delta_0 / 2e) \langle G \rangle$  with  $C = 1.32$  instead of  $C = 1$ .]

The analysis of Kulik and Omel'yanchuk is based on a diffusion equation for the *ensemble-averaged* Green's function, and cannot therefore describe the mesoscopic fluctuations of  $I(\phi)$  from the average. In contrast, our Eq. (16) holds for a *specific* member of the ensemble of impurity configurations. The statistical properties of  $\text{Tr} t t^\dagger$  in this ensemble are known from the theory of UCF [1-3]. The central result is that  $\text{rms} \text{Tr} t t^\dagger \equiv C_{\text{UCF}}$  is a number of order unity, calculated in Ref. [2], which depends weakly on the shape of the junction [18]. Since the supercurrent (16) is linear in  $\text{Tr} t t^\dagger$ , we obtain without

further calculation the result

$$\text{rms}I(\phi) = \frac{1}{2} C_{\text{UCF}}(e\Delta_0/\hbar)\sin\phi \tanh(\Delta_0/2k_B T). \quad (17)$$

We thus find that, for  $T \ll T_c$ , the critical-current fluctuations have magnitude  $\text{rms}I_c = \frac{1}{2} C_{\text{UCF}}e\Delta_0/\hbar$ , as advertised in Eq. (2). These results are obtained from the model of a disordered junction between clean superconductors. Just as for  $\langle I_c \rangle$  (previous paragraph), we expect that, if the disorder extends into the bulk superconductor, the numerical value of  $\text{rms}I_c$  differs—but not its order of magnitude.

Experimentally, sample-to-sample fluctuations are not as easily studied as fluctuations in a given sample as a function of some parameter. In the theory of UCF one has an ergodicity property, which says that averaging over an ensemble of samples is equivalent to averaging a single sample over magnetic field  $B$  or Fermi energy  $E_F$  [2]. The ergodicity in  $E_F$  holds for our problem as well, since Eq. (16) implies that the Josephson current and the conductance have *identical statistical properties* at  $T=0$ ,  $B=0$ . Josephson junctions consisting of a two-dimensional electron gas (2DEG) with superconducting contacts allow for variation of  $E_F$  in the 2DEG by means of a gate voltage [19]. Point-contact junctions can be defined in the 2DEG either lithographically or electrostatically (using split gates) [3]. For such a system the theory presented here predicts that if  $E_F$  is varied on the scale of  $E_c$ , the critical current (at  $T \ll T_c$ ) will fluctuate by an amount of order  $e\Delta_0/\hbar$ , independent of the properties of the junction.

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