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Superconductivity in the fullerenes

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our calculation (the neglect of lattice dynamics and the use of perfect crystalline lattices). Both plasma models may be compared to the Monte Carlo simulations of Hubbard and DeWitt (9) (at 10.5 Mbar), who used the Lindhard dielectric function to screen the bare Coulomb interaction between the ions (dashed curve in Fig. 3 labeled Lindhard). The Lindhard results are very close to both the OCP-LM and ion-sphere curves but very far from our calculations.

Our phase-separation temperatures were calculated for a pressure of 10.5 Mbar but are virtually independent of pressure in the range from 5 to 20 Mbar. However, at low pressures hydrogen is a molecular insulator and becomes a molecular metal near 2 Mbar (5). Van den Bergh and Schouten (17), using pair potentials fit to low-pressure experiments, found that for pressures up to 1 Mbar helium will be completely miscible in insulating, molecular hydrogen for temperatures above approximately 2500 K. The miscibility of helium in metallic, molecular hydrogen is unknown. Our calculations do not apply directly to this molecular phase, but in analogy with the metallization of pure helium we expect that the precise pressure at which molecular hydrogen metallizes will be unimportant with regard to the miscibility. Rather, we expect that the phase-separation temperatures will increase monotonically from van den Bergh and Schouten's values at 1 Mbar to the values we calculate at sufficiently high pressure, where hydrogen is an atomic (rather than molecular) metal. Additional TE calculations for alloys containing molecular hydrogen are needed in order to accurately determine the behavior of the miscibility gap below 5 Mbar.

The primary conclusion obtained from the results of our TE calculations is that it is crucial to treat the electronic structure accurately in order to obtain the correct thermodynamics for hydrogen-helium mixtures at megabar pressures. The electronic energy makes a large contribution to the phase-separation temperature. This temperature could be small only if we have severely underestimated the thermal contribution. Barring this circumstance, our phase-separation temperature of $15,000 \pm 3,000$ K for a 7% helium mixture confirms that the fluid interior of Saturn has at least partially phase-separated, because the maximum temperature in the fluid is estimated to be only 10,000 K. The estimated temperatures in the fluid interior of Jupiter range from 10,000 K near the surface to 20,000 K at the central core (1). Thus our calculation predicts that phase separation has also begun in Jupiter. In view of this prediction, the fact that the currently successful evolutionary models of Jupiter do not need to

invoke phase separation may indicate a failure of these models. Alternatively, phase separation may have occurred too late in the evolution of Jupiter to provide a significant internal energy source up to the present time. In either case, new evolutionary calculations are needed to resolve this dilemma and to confirm that the inclusion of phase separation leads to a consistent model of Saturn.

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Superconductivity in the Fullerenes

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Intramolecular vibrations strongly scatter electrons near the Fermi-surface in doped fullerenes. A simple expression for the electron-phonon coupling parameters for this case is derived and evaluated by quantum-chemical calculations. The observed superconducting transition temperatures and their variation with lattice constants can be understood on this basis. To test the ideas and calculations presented here, we predict that high frequency H_g modes acquire a width of about 20% of their frequency in superconductive fullerenes, and soften by about 5% compared to the insulating fullerenes.

THE EXCITING DISCOVERY OF SUPERCONDUCTIVITY in metallic fullerenes (1) leads us to inquire whether the classic mechanism for superconductivity, namely, effective electron-electron attraction via the interaction of electrons with vibrations of the ions, is applicable here as well. Associated with this is the question of whether the direct electron-electron repulsion in Fullerenes can suppress conventional singlet pairing. In this paper we exploit the special nature of cluster compounds to derive a particularly simple expression for electron-vibrational coupling from which parameters of the superconducting state of fullerenes are easily calculated. Further, we present arguments why the effective repulsions in fullerenes are no different than in conventional metals.

The lattice vibrations couple to the electronic states of metallic fullerenes in two

ways: by causing fluctuations in the hopping rate of electrons from one molecule to the other and by causing fluctuations in the electronic structure of a single molecule. The covalent interactions that split the molecular states, which form the bands in the metallic state, are over an order of magnitude larger than the inter-molecular covalency. This is reflected in the intra-molecular splitting $W_{\text{intra}} \approx 20$ eV (2, 3) compared to the width of the t_{1u} bands which is $W_{\text{inter}} \approx 0.6$ eV (4, 5). The electron-vibration coupling is known to be proportional to such covalent splittings (6). Therefore, in the problem of the fullerenes, one needs to consider only the intra-molecular vibration coupling. The same argument rationalizes why the electron-vibrational coupling may be much larger in doped fullerenes than in doped graphite. In the latter, the orbitals near the Fermi-energy are π bonded. The Fullerenes have a significantly larger relevant bandwidth, because of σ admixture due to the non-planar local geometry, and therefore a stronger

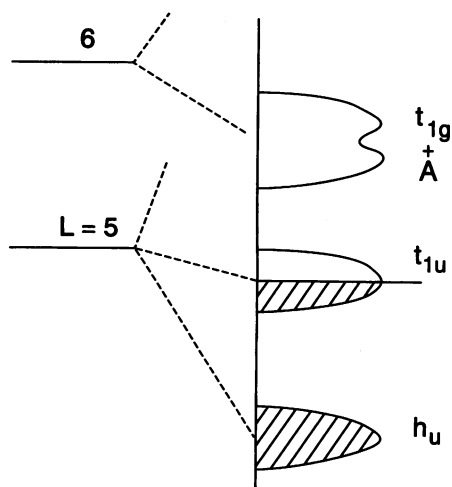


Fig. 1. Artists conception of the electronic structure of the fullerenes, as inferred from photoemission spectroscopies and electronic structure calculations. A denotes the alkali s level.

electron-phonon coupling (5).

Photoemission studies (7), in combination with electronic structure calculations (2-4), have revealed a clear picture of the electronic structure of the fullerenes. The deviation from spherical symmetry of the icosahedra affects the 11 $L = 5$ spherical harmonic states (3), so that they split into a fivefold degenerate h_u and two threefold degenerate t_{1u} and t_{2u} manifolds, respectively. As shown in Fig. 1, the h_u states lie ~ 2 eV below the t_{1u} states and are occupied. The $L = 6$ -derived t_{1g} states lie ~ 1 eV above the t_{1u} states, and the latter are occupied on doping. The unoccupied alkali s states are roughly degenerate with the t_{1g} states (7). So one may confine attention simply to the threefold degenerate t_{1u} states. These transform as x , y , and z , and so their degeneracy is split by any quadrupolar deformation that makes the cartesian axes inequivalent. With respect to superconductivity these quadrupolar Jahn-Teller modes, having H_g symmetry, are the only relevant ones. This follows from the fact that in icosahedral symmetry

$$t_{1u} \times t_{1u} = A_g + T_{1g} + H_g \quad (1)$$

The T_{1g} mode is asymmetric so that it cannot couple, and the A_g modes do not lift the degeneracy (although they change the local energy level).

The vibrational modes of the C_{60} molecules have been calculated. There are eight such H_g modes, each of which is fivefold degenerate. So of the 174 vibrational modes of C_{60} , 40 can, in a static fashion (Jahn-Teller effect) or, more importantly, in a dynamic fashion (dynamic Jahn-Teller effect) affect the t_{1u} electronic states. Let us now consider the two limiting cases. The intramolecular processes can either lead to a

pairing energy much larger than the intermolecular transfer integrals, or the reverse is true. The latter is found more appropriate for the fullerenes, but the former is interesting to discuss first because of its conceptual simplicity. Consider two molecules with average charge $\bar{n}$. The Hamiltonian for the coupled problem is a 3×3 matrix with elements

$$H_{ij} = \bar{E}\delta_{ij} + \sum_{m,\mu} h_{ij}(m,\mu)Q_{m,\mu} + H_{\text{vib}} \quad (2)$$

where $i = 1, 2, 3$ labels the degenerate states of t_{1u} symmetry, $Q_{m,\mu}$ are the normal coordinates of the m -th H_g mode with degeneracy $\mu = 1 \dots 5$. H_{vib} is the Hamiltonian of the vibrational modes with frequencies ω_m . This Jahn-Teller problem, involving a threefold degenerate electronic state interacting with a fivefold degenerate mode, has been worked out some time ago (8) and the coupling matrix is found to be

$$\frac{1}{2}g_m \begin{pmatrix} Q_{m,5} - \sqrt{3}Q_{m,4} & -\sqrt{3}Q_{m,1} & -\sqrt{3}Q_{m,2} \\ -\sqrt{3}Q_{m,1} & Q_{m,5} + \sqrt{3}Q_{m,4} & -\sqrt{3}Q_{m,3} \\ -\sqrt{3}Q_{m,2} & -\sqrt{3}Q_{m,3} & -2Q_{m,5} \end{pmatrix} \quad (3)$$

where g_m is the characteristic energy per unit displacement of the m -th mode, to be evaluated by microscopic calculations.

In the strong-coupling limit, the t_{1u} levels will split as indicated in Fig. 2 left for one phase of the vibration and Fig. 2 right for the other phase (8). Now if we calculate the energy reduction in this limit (neglecting the zero-point motion) and deduce the effective electron-electron interaction through

$$U_{\bar{n}} = E_{\bar{n}+1} + E_{\bar{n}-1} - 2E_{\bar{n}} \quad (4)$$

where $E_{\bar{n}}$ is the ground state energy for

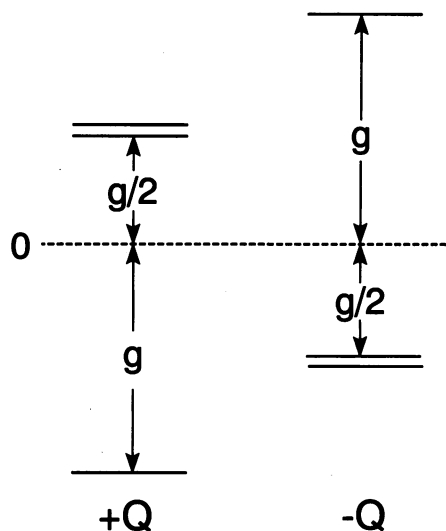


Fig. 2. The splitting pattern of the t_{1u} level by the H_g distortion for the two different phases of the radial displacement Q .

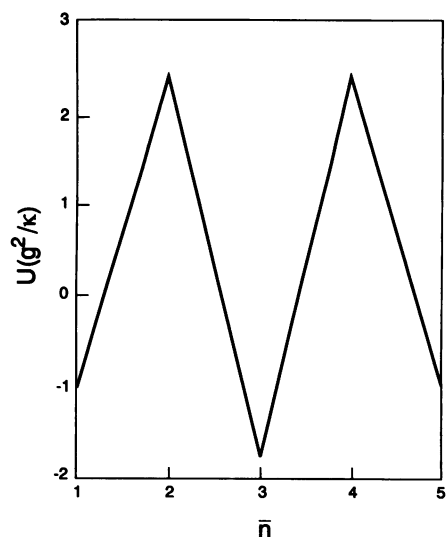


Fig. 3. The local electron-electron interaction (U) as a function of particle number ($\bar{n}$), induced by the Jahn-Teller interactions (κ is the spring constant). A negative U is found for an average occupation ($\bar{n}$) of 3 electrons, and a positive U for 2 or 4 electrons.

average charge n , we find the behavior as in Fig. 3 (9). The actual relevant electron-electron interaction parameter is in this limit, of course $\tilde{U}_{\bar{n}} = U_{\bar{n}} + U_C$, where $U_C \approx e^2/\epsilon R \approx 0.5$ to 1 eV ($\epsilon \sim 4$) comes from direct electron-electron interactions. $U_{\bar{n}}$ and U_C have in general different high frequency cutoffs.

This picture, which could yield an effective attractive interaction, would be a good starting point for further discussion if $-\tilde{U}_{\bar{n}} \gg W$, the conduction electron bandwidth (and the phonon frequency). One would then have local pairing (10) and a superconducting transition temperature of $O(W^2/|\tilde{U}|)$, provided a static Jahn-Teller distortion with a possible phase difference from one molecule to the other, although a simple staggered charge density wave is frustrated in the fcc-lattice (11) were not to occur. For $|U_{\bar{n}}| \leq W$, as we find below, the above adiabatic picture is not valid—an electron runs away from a molecule before it develops pairing correlation with another electron on the same molecule. Then the physics we described above should be incorporated into the traditional way of considering electron-phonon scattering in metallic bands (6).

The 40 intramolecular H_g modes are expected to be nearly dispersionless in the solid state. For this case, the electron-phonon Hamiltonian is particularly simple, and may be written as

$$H_{e-ph} = \sum_{\kappa\kappa',\kappa'',\sigma,m,\mu} h_{\kappa\kappa',\kappa''}(m,\mu)c_{\kappa\sigma}^{\dagger}c_{\kappa'\sigma} \times (Q_{m\mu,\kappa-\kappa'} + Q_{m\mu,-\kappa}) \quad (5)$$

Here the scattering matrix element

$$h_{\kappa\kappa',\kappa''}(m,\mu) = \sum_{ij} A_{\kappa\kappa'}^* h_{ij}(m\mu) A_{\kappa''\kappa'j} \quad (6)$$

where $A_{\kappa\kappa'}$ are the elements of the linear transformation from molecular levels to band states— $\mathbf{k}$ is the momentum and κ are the band indices.

For s -wave superconductivity, in weak to intermediate coupling, one is interested in time-reversed states near the Fermi-energy. So one may confine attention to intra-band pairing. For the present case, the dimensionless electron-phonon coupling constant λ has a particularly simple form, which is easily derived from the general expressions (12, 13)

$$\lambda = \sum_{m\mu} \frac{N(0)}{M\omega_m^2} I_{m\mu}^2 \quad (7)$$

$$I_{m\mu}^2 = N(0)^{-2} \sum_{\kappa,\kappa'} \int \frac{dS_{\kappa,\kappa}}{\nu_{\kappa,\kappa}} \times \int \frac{dS_{\kappa',\kappa'}}{\nu_{\kappa',\kappa'}} |h_{\kappa\kappa',\kappa''}(m,\mu)|^2 \quad (8)$$

where the integrals are over the Fermi-surface. Using the fact that the degeneracy of the t_{1u} levels is not lifted in a cubic environment (14) we find

$$\lambda = \frac{5}{6} \sum_m \frac{N(0)}{M\omega_m^2} g_m^2 \quad (9)$$

We can now calculate the superconductive transition temperature T_c through the approximate solution of the Eliashberg equation, given the intra-molecular deformation potentials g_m . These, and the vibrational frequencies, were calculated using the quantum-chemical MNDO semi-empirical technique (15). This method has been successfully used previously on a wide variety of

Table 1. Experimental (20) and calculated frequencies (ω_m^{exp} , ω_m , in cm^{-1}) and deformation potentials (g_m , in $\text{eV}/\text{\AA}$), and the corresponding coupling constants λ_m for $N(0) = 10$ per spin per eV per C_{60} molecule for the eight H_g modes of a C_{60} molecule.

ω_m^{exp}	273	437	710	744	1099	1250	1428	1575
ω_m	263	447	711	924	1260	1406	1596	1721
g_m	0.1	0.1	0.2	0.0	0.6	0.2	1.8	1.2
λ_m	0.03	0.01	0.01	0.0	0.06	0.0	0.34	0.11

carbon compounds containing five- and six-membered rings and is known to be reliable for structures, relative energies and vibrational frequencies (16). For example, the MNDO bond-lengths in C_{60} 1.47 and 1.40 $\text{\AA}$ (17) are in excellent agreement with the best theoretical (18) and experimental (19) estimates. We evaluated the complete matrix of force constants and calculated the associated normal coordinates for all 174 vibrations in pure C_{60} . In Table 1 the results for the eight Raman active H_g mode frequencies are shown which have a mean deviation of only 10% from experiment (20) (Table 1). We calculated the deformation potentials g_m by a frozen-phonon technique. The energy of a C_{60} molecule will depend on the amplitude of a frozen-in $Q_{m,5}$ phonon as $E = -g_m Q_{m,5} + \kappa_m Q_{m,5}^2/2$ (κ_m is the spring constant in Eqs. 2 and 3). For each of the eight H_g phonons we selected this component ($\sim 3z^2 - r^2$), and we distorted the negatively charged C_{60} molecule along these normal coordinates. The initial slope of the energy as a function of the distortion amplitude yields then the deformation potentials.

In Table 1 the results for the deformation potentials are summarized, together with the coupling constants for the individual modes λ_m , as calculated from Eqs. 7 and 9 with the observed phonon frequencies in C_{60} and the phonon renormalization calculated below. We find that the two highest modes near 1428 and 1575 cm^{-1} are the most strongly coupled. The reason is that these high-lying modes involve bond-stretching, compared to the bond-bending characterizing the lower frequency modes. The former leads to the maximum change in energy for a given (normalized) distortion.

Assuming a square density of states and a bandwidth, which is ~ 0.6 eV according to band structure calculations, $N(0)$ would be ~ 5 orbital states per electron volts per C_{60} molecule. However, the band structure calculations (21) give figures for $N(0)$ which are more than twice as large and experimentally $N_0 \sim 10$ to 20 [H_{c1} , H_{c2} , normal state susceptibility (22)]. From Table 1 we find that the overall $\lambda = \sum_m \lambda_m$ is in the range of ~ 0.3 to 0.9, and together with the large range in frequency for which the interactions are attractive, high T_c 's are to be expected. The large electron-phonon cou-

pling also leads to a strong decrease in the phonon frequencies and a corresponding increase in their linewidth $= \gamma_m \omega_m$. It is known that (24)

$$\frac{\Delta\omega_m}{\omega_m} \approx -\frac{\lambda_m}{5}; \frac{\gamma_m}{\omega_m} \approx \pi N(0) |\Delta\omega_m| \quad (10)$$

So we predict a diminution of about 5% in the frequency of the high H_g modes and a linewidth increase of order 300 cm^{-1} , compared to pure C_{60} .

We now present an approximate calculation of T_c . For $\lambda < 1$, McMillan (13) has given a very good (23) approximate solution to the Eliashberg equations (12)

$$T_c = \frac{\omega_{av}}{1.2} \exp \left[-\frac{1.04(1 + \lambda)}{\lambda - \mu^*(1 + 0.62\lambda)} \right] \quad (11)$$

Most of the coupling strength is in the two highest lying modes, so the usual average of the phonon frequencies (23) ($\omega_{\log}$) is not appropriate. We find

$$\omega_{av} = \exp \left\{ \sum_m \frac{\lambda_m \ln[\omega_m(1 - \lambda_m)]}{\lambda} \right\} \quad (12)$$

a better approximation. Eq. 11 includes the Coulomb pseudo-potential parameter μ^* . Because the approximations such as those due to Migdal (25) do not work for electron-electron interactions, it is impossible to estimate μ^* (in contrast to λ). Traditionally μ^* is used as a fitting parameter in comparing T_c , $\Delta(0)$ and the tunneling spectra to theory. For instance, for Pb $\mu^* = 0.12$ (23). μ^* for a Fermi-level in a well-isolated band is smaller than the screened repulsion parameter μ by a factor $[1 + \mu \ln(W/\omega_{av})]$ (26), where W is the smaller of E_F and ω_p , the plasma frequency. E_F/ω_{av} in the Fullerenes is smaller than, say in Pb, by $\sim 10^2$. However, if one notes that the actual electronic structure of metallic C_{60} is a ladder of bands of width ~ 1 eV, spread out over 20 eV, and separated from each other by energies also of order 1 eV, and considers the calculation of μ^* in this situation, one concludes that μ^* is close in value to that of a wide band metal.

We present in Fig. 4 T_c versus $N(0)$ for various values of μ^* (27). T_c has a particularly simple relation to $N(0)$ and therefore to the nearest-neighbor C_{60} distance d in the Fullerenes, because the other factors are

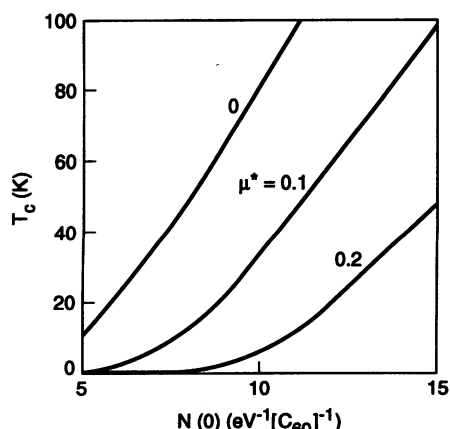


Fig. 4. T_c (in kelvin) as a function of physically likely electronic densities of orbital states $N(0)$ and Coulomb pseudopotentials (μ^*).

intramolecular and do not depend on d . Figure 4 shows that $T_c \sim N(0)$, in agreement with a recent compilation of lattice constants, calculated densities of states and T_c 's (21). Given the physical fact we used that most of the coupling is intramolecular, our estimate of λ from the H_g modes should be as good as the determination of vibration frequencies, that is, good to about 10%. One worry is that our calculation of g_m is based on the deformations of a C_{60} molecule, whereas the more appropriate calculation would have a neutralizing background. The Migdal approximation for determining T_c is only good in our case to $(\omega_{av}/E_F) \sim 1/5$.

For low density of states obtainable by small doping, we expect the Coulomb interactions to dominate. In that case the intramolecular Hund's rule coupling (owing to orbital degeneracy) plus the almost empty band usually favors ferromagnetism (28). This may be the simple reason for the recent observation of ferromagnetism in the compound $TDAE_1C_{60}$ (29).

Note added in proof: In recent Raman measurements Duclos *et al.* (30) find that the two highest frequency H_g phonons, which we find couple most strongly to the electrons (Table 1), are clearly seen in C_{60} and K_6C_{60} but disappear in the superconducting compound K_3C_{60} . This is consistent with our prediction based on Eq. 10 for their linewidth.

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 27. An independent calculation of the electron-phonon

coupling in the Fullerenes has been carried out by M. Schluter *et al.* (in preparation) using a tight-binding method. Our results differ in some ways from theirs. They find the major contribution from the $\sim 400 \text{ cm}^{-1}$ and $\sim 1600 \text{ cm}^{-1}$ H_g phonons, where the latter contribution is about half that of the former. In their calculation of T_c they use an expression averaging over all the phonon frequencies irrespective of their coupling constants.

28. We envisage a competition between Hund's rule couplings and phonon-induced attractive interactions. We note the interesting possibility discussed by S. Chakravarty and S. Kivelson (*Europhys. Lett.*, in press) that in a Hubbard model for C_{60} clusters, the effective electron-electron interaction is repulsive for small U ; that is, the Hund's rule is obeyed, but for larger U it may change sign due to configuration interactions.
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Long-Term History of Chesapeake Bay Anoxia

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Stratigraphic records from four sediment cores collected along a transect across the Chesapeake Bay near the mouth of the Choptank River were used to reconstruct a 2000-year history of anoxia and eutrophication in the Chesapeake Bay. Variations in pollen, diatoms, concentration of organic carbon, nitrogen, sulfur, acid-soluble iron, and an estimate of the degree of pyritization of iron indicate that sedimentation rates, anoxic conditions and eutrophication have increased in the Chesapeake Bay since the time of European settlement.

SINCE THE OCCURRENCE OF ANOXIA was first reported in the Chesapeake Bay in the 1930's (1), the relative importance of climate versus eutrophication and other anthropogenic influences on the extent and duration of anoxic events has been debated (2-6). Large-scale monitoring of the chemical and physical properties of the Chesapeake Bay began as recently as 1984 (7). Information on the long-term history of anoxia in the Bay is available from stratigraphic records, accessed through paleoecological methods (8). In this report, we describe data from the stratigraphic records to evaluate conditions over time in the mesohaline (moderately brackish) section of the Chesapeake Bay.

Four sediment cores were collected in May 1985 along a transect across the Chesapeake Bay from the Choptank River to Plum Point, Maryland (Fig. 1). This region of the Bay is currently anoxic at least part of

each year. Surface salinities for this area of the Bay average between 8 and 15 parts per thousand (ppt) in spring and fall, respectively; salinities in the bottom water are consistently higher and not usually less than 15 ppt (9). The cores ranged in length from 114 to 160 cm, and have a diameter of 5.7 cm. The sediment in all cores was a relatively uniform mix of gray silt and clay, undisturbed by mixing or bioturbation. Each core was cut into 2-cm intervals. The bottom sediments were dated by the carbon-14 technique and the other samples were dated on the basis of pollen horizons and pollen concentration techniques (10). The agricultural pollen horizon was recognized by a sharp increase in concentration of ragweed pollen in relation to oak pollen and was dated as A.D. 1760 on the basis of historical records of land use in the area. Several controls were used to check the accuracy of both dating methods. For example, a sediment sample taken from above the agricultural pollen horizon in a nearby core could not be dated by radiocarbon

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